### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF FLORIDA

MARC J. ZAUDERER, DMD, a Massachusetts resident, individually and as the representative of a class of similarly- situated persons,	)	
Plaintiff,	)	Civil Action No.
v.	)	CLASS ACTION
SOLSTICE BENEFIT SERVICES, INC.	)	
and SOLSTICE BENEFITS, INC., Florida corporations,	)	
Defendants.	)	

### **CLASS ACTION COMPLAINT**

Plaintiff, MARC J. ZAUDERER, DMD ("Plaintiff"), brings this action on behalf of himself and all others similarly situated, through his attorneys, and except as to those allegations pertaining to Plaintiff or his attorneys, which allegations are based upon personal knowledge, alleges the following upon information and belief against Defendants, SOLSTICE BENEFIT SERVICES, INC. and SOLSTICE BENEFITS, INC. ("Defendants"):

### PRELIMINARY STATEMENT

- 1. This case challenges Defendants' practice of sending unsolicited facsimiles.
- 2. The federal Telephone Consumer Protection Act of 1991, as amended by the Junk Fax Prevention Act of 2005, 47 USC § 227 ("JFPA" or the "Act"), and the regulations promulgated under the Act, prohibit a person or entity from faxing or having an agent fax advertisements without the recipient's prior express invitation or permission. The JFPA provides a private right of action and provides for injunctive relief and statutory damages of \$500 per violation.

Upon information and belief, Defendants have sent facsimile transmissions of unsolicited advertisements to Plaintiff and the Class in violation of the JFPA, including, but not limited to, the facsimile transmission of an unsolicited advertisement on or about April 23, 2018 ("the Fax"), a true and correct copy of which is attached hereto as Exhibit A, and made a part hereof. The Fax describes the commercial availability or quality of Defendants' products, goods and services. Plaintiff is informed and believes, and upon such information and belief avers, that Defendants have sent, and continue to send, unsolicited advertisements via facsimile transmission in violation of the JFPA, including but not limited to those advertisements sent to Plaintiff.

- 3. Unsolicited faxes damage their recipients. A junk fax recipient loses the use of its fax machine, paper, and ink toner. An unsolicited fax wastes the recipient's valuable time that would have been spent on something else. A junk fax interrupts the recipient's privacy. Unsolicited faxes prevent fax machines from receiving authorized faxes, prevent their use for authorized outgoing faxes, cause undue wear and tear on the recipients' fax machines, and require additional labor to attempt to discern the source and purpose of the unsolicited message.
- 4. On behalf of himself and all others similarly situated, Plaintiff brings this case as a class action asserting claims against Defendants under the JFPA. Plaintiff seeks to certify a class including faxes sent to Plaintiff and other advertisements sent without prior express invitation or permission, whether sent to Plaintiff or not.
- 5. Plaintiff is informed and believes, and upon such information and belief avers, that this action is based upon a common nucleus of operative facts because the facsimile transmissions at issue were and are being done in the same or similar manner. This action is based on the same legal theory, namely liability under the JFPA. This action seeks relief expressly authorized by the JFPA: (i) injunctive relief enjoining Defendants, its employees,

agents, representatives, contractors, affiliates, and all persons and entities acting in concert with them, from sending unsolicited advertisements in violation of the JFPA; and (ii) an award of statutory damages in the minimum amount of \$500 for each violation of the JFPA, and to have such damages trebled, as provided by § 227(b)(3) of the Act.

#### **JURISDICTION AND VENUE**

- 6. This Court has subject matter jurisdiction under 28 U.S.C. § 1331 and 47 U.S.C. § 227.
- 7. This court has personal jurisdiction over Defendants because Defendants transact business within this judicial district, have made contacts within this judicial district, and/or have committed tortious acts within this judicial district.
- 8. Venue is this judicial district is proper under 28 U.S.C. §§ 1391(b)(2) because this is the judicial district in which a substantial part of the events or omissions giving rise to the claims in this case occurred.

#### **PARTIES**

- 9. Plaintiff, MARC J. ZAUDERER, DMD, is a Massachusetts resident.
- 10. On information and belief, Defendants, SOLSTICE BENEFIT SERVICES, INC. and SOLSTICE BENEFITS, INC., are Florida corporations with their principal place of business in Plantation, Florida.

#### **FACTS**

12. On information and belief, on or about April 23, 2018, Defendants sent an unsolicited facsimile to Plaintiff using a telephone facsimile machine, computer, or other device. A copy of the facsimile is attached hereto as Exhibit A.

- 13. On information and belief, Defendants receive some or all of the revenues from the sale of the products, goods or services advertised on Exhibit A, and Defendants profit and benefit from the sale of the products, goods or services advertised on Exhibit A.
- 14. Plaintiff did not give Defendants "prior express invitation or permission" to send the fax.
- 15. On information and belief, Defendants faxed the same and other unsolicited facsimiles to Plaintiff and at least 40 other recipients or sent the same and other advertisements by fax without first receiving the recipients' express invitation or permission or without having an established business relationship as defined by the TCPA and its regulations.
- 16. There is no reasonable means for Plaintiff (or any other class member) to avoid receiving unauthorized faxes. Fax machines are left on and ready to receive the urgent communications their owners desire to receive.

### **CLASS ACTION ALLEGATIONS**

17. In accordance with Fed. R. Civ. P. 23(b)(1), (b)(2) and (b)(3), Plaintiff brings this class action pursuant to the JFPA, on behalf of the following class of persons:

All persons who (1) on or after four years prior to the filing of this action, (2) were sent telephone facsimile messages of material advertising the commercial availability or quality of any property, goods, or services by or on behalf of Defendants, and (3) from whom Defendants did not have "prior express invitation or permission" for the sending of such faxes, or (4) where the fax advertisements did not include an opt-out notice compliant with 47 C.F.R. § 64.1200(a)(4)(iii).

Excluded from the Class are the Defendants, their employees, agents and members of the Judiciary. Plaintiff seeks to certify a class which include but are not limited to the fax advertisements sent to Plaintiff. Plaintiff reserves the right to amend the class definition upon completion of class certification discovery.

- 18. <u>Class Size (Fed. R. Civ. P. 23(a)(1))</u>: Plaintiff is informed and believes, and upon such information and belief avers, that the number of persons and entities of the Plaintiff Classes is numerous and joinder of all members is impracticable. Plaintiff is informed and believes, and upon such information and belief avers, that the number of class members is at least forty.
- 19. <u>Commonality (Fed. R. Civ. P. 23 (a) (2))</u>: Common questions of law and fact apply to the claims of all class members. Common material questions of fact and law include, but are not limited to, the following:
  - (a) Whether the Defendants sent unsolicited fax advertisements;
  - (b) Whether Defendants' faxes sent to other persons, not the Plaintiff, constitute advertisements:
  - (c) Whether the Defendants' faxes advertised the commercial availability or quality of property, goods, or services;
  - (d) The manner and method the Defendants used to compile or obtain the list of fax numbers to which they sent Exhibit A and other unsolicited faxed advertisements;
  - (e) Whether the Defendants faxed advertisements without first obtaining the recipient's prior invitation or permission;
    - (f) Whether the Defendants sent the faxed advertisements knowingly;
  - (g) Whether the Defendants violated the provisions of 47 U.S.C. § 227 and the regulations promulgated thereunder;
  - (h) Whether the faxes contain an "opt-out notice" that complies with the requirements of  $\S(b)(1)(C)(iii)$  of the Act, and the regulations promulgated thereunder, and the effect of the failure to comply with such requirements;
  - (i) Whether the Defendants should be enjoined from faxing advertisements in the future;

- (j) Whether the Plaintiff and the other members of the class are entitled to statutory damages; and
  - (k) Whether the Court should award treble damages.
- 20. Typicality (Fed. R. Civ. P. 23 (a) (3)): The Plaintiff's claims are typical of the claims of all class members. The Plaintiff received the same or similar faxes as the faxes sent by or on behalf of the Defendants advertising products, goods and services of the Defendants during the Class Period. The Plaintiff is making the same claims and seeking the same relief for itself and all class members based upon the same federal statute. The Defendants have acted in the same or in a similar manner with respect to the Plaintiff and all the class members by sending Plaintiff and each member of the class the same or similar faxes or faxes which did not contain the proper opt-out language or were sent without prior express invitation or permission.
- 21. <u>Fair and Adequate Representation (Fed. R. Civ. P. 23 (a) (4)):</u> The Plaintiff will fairly and adequately represent and protect the interests of the class members. He is interested in this matter, has no conflicts, and has retained experienced class counsel to represent the class.
- 22. <u>Predominance and Superiority (Fed. R. Civ. P. 23 (b) (3))</u>: Common questions of law and fact predominate over any questions affecting only individual members, and a class action is superior to other methods for the fair and efficient adjudication of the controversy because:
  - (a) Proof of the claims of the Plaintiff will also prove the claims of the class without the need for separate or individualized proceedings;
  - (b) Evidence regarding defenses or any exceptions to liability that the Defendants may assert and attempt to prove will come from the Defendants' records and will not require individualized or separate inquiries or proceedings;

- (c) The Defendants have acted and are continuing to act pursuant to common policies or practices in the same or similar manner with respect to all class members;
- (d) The amount likely to be recovered by individual class members does not support individual litigation. A class action will permit a large number of relatively small claims involving virtually identical facts and legal issues to be resolved efficiently in one proceeding based upon common proofs; and
  - (e) This case is inherently manageable as a class action in that:
  - (i) The Defendants identified persons to receive the fax transmissions and it is believed that the Defendants' and/or Defendants' agents' computers and business records will enable the Plaintiff to readily identify class members and establish liability and damages;
  - (ii) Liability and damages can be established for the Plaintiff and the class with the same common proofs;
  - (iii) Statutory damages are provided for in the statute and are the same for all class members and can be calculated in the same or a similar manner;
  - (iv) A class action will result in an orderly and expeditious administration of claims and it will foster economics of time, effort and expense;
  - (v) A class action will contribute to uniformity of decisions concerning the Defendants' practices; and
  - (vi) As a practical matter, the claims of the class are likely to go unaddressed absent class certification.

Claim for Relief for Violation of the JFPA, 47 U.S.C. § 227 et seq.

- 23. The JFPA makes it unlawful for any person to "use any telephone facsimile machine, computer or other device to send, to a telephone facsimile machine, an unsolicited advertisement . . . ." 47 U.S.C. § 227(b)(1)(C).
- 24. The JFPA defines "unsolicited advertisement" as "any material advertising the commercial availability or quality of any property, goods, or services which is transmitted to any person without that person's prior express invitation or permission, in writing or otherwise." 47 U.S.C. § 227 (a) (5).
- 25. **Opt-Out Notice Requirements.** The JFPA strengthened the prohibitions against the sending of unsolicited advertisements by requiring, in § (b)(1)(C)(iii) of the Act, that senders of faxed advertisements place a clear and conspicuous notice on the first page of the transmission that contains the following among other things (hereinafter collectively the "Opt-Out Notice Requirements"):
  - (1) A statement that the recipient is legally entitled to opt-out of receiving future faxed advertisements knowing that he or she has the legal right to request an opt-out gives impetus for recipients to make such a request, if desired;
  - (2) A statement that the sender must honor a recipient's opt-out request within 30 days and the sender's failure to do so is unlawful thereby encouraging recipients to opt-out, if they did not want future faxes, by advising them that their opt-out requests will have legal "teeth";
  - (3) A statement advising the recipient that he or she may opt-out with respect to all of his or her facsimile telephone numbers and not just the ones that receive a faxed advertisement from the sender thereby instructing a recipient on how to make a valid opt-out request for all of his or her fax machines;
    - (4) The opt-out language must be conspicuous.

The requirement of (1) above is incorporated from § (b)(D)(ii) of the Act. The requirement of (2) above is incorporated from § (b)(D)(ii) of the Act and the rules and regulations of the Federal Communications Commission (the "FCC") in ¶ 31 of its 2006 Report and Order (*In the Matter of Rules and Regulations Implementing the Telephone Consumer Protection Act, Junk Prevention Act of 2005*, 21 F.C.C.R. 3787, 2006 WL 901720, which rules and regulations took effect on August 1, 2006). The requirements of (3) above are contained in § (b)(2)(E) of the Act and incorporated into the Opt-Out Notice Requirements via § (b)(2)(D)(ii). Compliance with the Opt-Out Notice Requirements is neither difficult nor costly. The Opt-Out Notice Requirements are important consumer protections bestowed by Congress upon the owners of the telephone lines and fax machines giving them the right, and means, to stop unwanted faxed advertisements.

- 26. The Fax. Defendants sent the advertisement on or about April 23, 2018, via facsimile transmission from telephone facsimile machines, computers, or other devices to the telephone lines and facsimile machines of Plaintiff and members of the Plaintiff Class. The Fax constituted an advertisement under the Act. Defendants failed to comply with the Opt-Out Requirements in connection with the Fax. The Fax was transmitted to persons or entities without their prior express invitation or permission. By virtue thereof, Defendants violated the JFPA by sending the Fax via facsimile transmission to Plaintiff and members of the Class. Plaintiff seeks to certify a class which includes this fax and all others sent during the four years prior to the filing of this case through the present.
- 27. **Defendants' Other Violations.** Plaintiff is informed and believes, and upon such information and belief avers, that during the period preceding four years of the filing of this Complaint and repeatedly thereafter, Defendants have sent via facsimile transmissions from telephone facsimile machines, computers, or other devices to telephone facsimile machines of

members of the Plaintiff Class other faxes that constitute advertisements under the JFPA that were transmitted to persons or entities without their prior express invitation or permission. By virtue thereof, Defendants violated the JFPA. Plaintiff is informed and believes, and upon such information and belief avers, that Defendants may be continuing to send unsolicited advertisements via facsimile transmissions in violation of the JFPA, and absent intervention by this Court, will do so in the future.

- 28. The TCPA/JFPA provides a private right of action to bring this action on behalf of Plaintiff and the Plaintiff Class to redress Defendants' violations of the Act, and provides for statutory damages. 47 U.S.C. § 227(b)(3). The Act also provides that injunctive relief is appropriate. *Id*.
- 29. The JFPA is a strict liability statute, so the Defendants are liable to the Plaintiff and the other class members even if its actions were only negligent.
- 30. The Defendants knew or should have known that (a) the Plaintiff and the other class members had not given prior express invitation or permission for the Defendants or anybody else to fax advertisements about the Defendants' products, goods or services; (b) the Plaintiff and the other class members did not have an established business relationship; (c) Defendants transmitted advertisements; (d) the Faxes did not contain the required Opt-Out Notice; and (e) Defendants' transmission of advertisements that did not contain the required opt-out notice or were sent without prior express invitation or permission was unlawful.
- 31. The Defendants' actions caused damages to the Plaintiff and the other class members. Receiving the Defendants' junk faxes caused Plaintiff and the other recipients to lose paper and toner consumed in the printing of the Defendants' faxes. Moreover, the Defendants' faxes used the Plaintiff's and the other class members' telephone lines and fax machine. The Defendants' faxes cost the Plaintiff and the other class members time, as the Plaintiff and the

other class members and their employees wasted their time receiving, reviewing and routing the

Defendants' unauthorized faxes. That time otherwise would have been spent on the Plaintiff's

and the other class members' business activities. The Defendants' faxes unlawfully interrupted

the Plaintiff's and other class members' privacy interests in being left alone.

WHEREFORE, Plaintiff, MARC J. ZAUDERER, DMD, individually and on behalf of all

others similarly situated, demands judgment in his favor and against Defendants, SOLSTICE

BENEFIT SERVICES, INC. and SOLSTICE BENEFITS, INC., jointly and severally, as

follows:

A. That the Court adjudge and decree that the present case may be properly

maintained as a class action, appoint the Plaintiff as the representative of the class, and appoint

the Plaintiff's counsel as counsel for the class;

В. That the Court award actual monetary loss from such violations or the sum of five

hundred dollars (\$500.00) for each violation of the JFPA, whichever is greater, and that the

Court award treble damages of \$1,500.00 if the violations are deemed "willful and knowing";

C. That the Court enjoin the Defendants from additional violations of the JFPA; and

D. That the Court award pre-judgment interest, costs, and such further relief as the

Court may deem just and proper.

Respectfully submitted,

MARC J. ZAUDERER, DMD, individually and as

the representative of a class of similarly-situated

persons

By: /s/ Ryan M. Kelly

Ryan M. Kelly

ANDERSON + WANCA

3701 Algonquin Road, Suite 500

Rolling Meadows, Illinois 60008

Telephone: (847) 368-1500

Facsimile: (847) 368-1501

# **EXHIBIT A**

cv-61820-BB Document 1-1 Entered on FLSD Docket 08/07/2018 Page 2 of 2



Spril 2018

RE:

Solstice Welcomes The National Association for Medical and Dental, Inc/Healthcare National Marketing, Incl

Dear Doctor,

Solstice is happy to announce that effective January 1, 2018 The National Association for Medical and Dental, Inc/Healthcare National Marketing, Inc. has selected us as their carrier for theirdental PPO, DHMO, and Discount benefits administration. This group has over 25,000 members who will choose betweenone of the plans listed below:

- Solstice PPO
- DHMO-5008
- Discount Plan-Plus Plan One
- Discount Plan-Plus Plan Complete

You may be a contracted provider for one or all plans.

To verify eligibility, log into (or create an account) Mysolstice.net before providing any plan benefits.

Claims should be submitted to:

Solstice
P.O. Box 14009
Lexington, KY 40512-4009
Payor ID: 76578
NEA: Solstice Benefits

You can always call and verify eligibility information through our interactive Voice Response (IVR) system and request a faxed verification of eligibility thru our IVR by calling 877.760.2247.

Should you have any questions about this letter you may contact our Customer Service Department Monday thru Friday 8:00am to 6:00pm at 877.760.2247. You can also reach out to your local Solstice Provider Relations Representative or email Provider Relations Solstice Benefits.com.

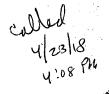
We look forward to continuing to promote your practice through our growing membership base!

Sincerely,

Your Dedicated Provider Relations Team

The recipient is entitled to request that the sender not send any future advertisements to its telephone facsimile machines. Fallure to comply within 30 days from the date the request is properly made is unlawful. 866-632-3510 is the telephone number and 354-526-5853 is the fax number for the recipient to transfer such opt-out request.

P.O. Box 19199 \* Plantation, FL 33318 877.760.2247 SolsticeBenefits.com



### $_{ m JS~44~(Rev.~12/12)}$ Case 0:18-cv-61820-BB Document 1-2 Coverd Shelsp Docket 08/07/2018 Page 1 of 2

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS				DEFENDANTS			
Marc J. Zauderer, DMD			Solstice Benefit Se	Solstice Benefit Services, Inc. and Solstice Benefits, Inc.			
(b) County of Residence of First Listed Plaintiff  (EXCEPT IN U.S. PLAINTIFF CASES)			NOTE: IN LAND CO	County of Residence of First Listed Defendant  (IN U.S. PLAINTIFF CASES ONLY)  NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.			
(c) Attorneys (Firm Name, Anderson + Wanca 3701 Algonquin Road, St Rolling Meadows, IL 600	847-368-1500 uite 500	r)	Attorneys (If Known)				
II. BASIS OF JURISDI	CTION (Place an "X" in O	ne Box Only)	. CITIZENSHIP OF P	RINCIPAL PARTIES	(Place an "X" in One Box for Plaintif		
□ 1 U.S. Government Plaintiff	■ 3 Federal Question (U.S. Government I	Not a Party)		TF DEF			
☐ 2 U.S. Government Defendant	☐ 4 Diversity (Indicate Citizensh.)	ip of Parties in Item III)	Citizen of Another State	2			
			Citizen or Subject of a Foreign Country	3 G 3 Foreign Nation	□ 6 □ 6		
IV. NATURE OF SUIT		ely) PRTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES		
☐ 110 Insurance	PERSONAL INJURY	PERSONAL INJURY	☐ 625 Drug Related Seizure	☐ 422 Appeal 28 USC 158	☐ 375 False Claims Act		
□ 120 Marine □ 130 Miller Act □ 140 Negotiable Instrument □ 150 Recovery of Overpayment & Enforcement of Judgment □ 151 Medicare Act □ 152 Recovery of Defaulted Student Loans (Excludes Veterans) □ 153 Recovery of Overpayment of Veteran's Benefits □ 160 Stockholders' Suits □ 190 Other Contract □ 195 Contract Product Liability □ 196 Franchise  ■ REAL PROPERTY □ 210 Land Condemnation	☐ 310 Airplane ☐ 315 Airplane Product Liability ☐ 320 Assault, Libel &	□ 365 Personal Injury - Product Liability □ 367 Health Care/ Pharmaceutical Personal Injury Product Liability □ 368 Asbestos Personal Injury Product Liability ■ 370 Other Fraud □ 370 Other Fraud □ 371 Truth in Lending □ 380 Other Personal Property Damage □ 385 Property Damage □ 700 Product Liability ■ PRISONER PETITIONS ■ Habeas Corpus:	of Property 21 USC 881    690 Other	□ 423 Withdrawal 28 USC 157  PROPERTY RIGHTS □ 820 Copyrights □ 830 Patent □ 840 Trademark  SOCIAL SECURITY □ 861 HIA (1395ff) □ 862 Black Lung (923) □ 863 DIWC/DIWW (405(g)) □ 864 SSID Title XVI □ 865 RSI (405(g))  FEDERAL TAX SUITS □ 870 Taxes (U.S. Plaintiff	□ 400 State Reapportionment □ 410 Antitrust □ 430 Banks and Banking □ 450 Commerce □ 460 Deportation □ 470 Racketeer Influenced and Corrupt Organizations □ 480 Consumer Credit □ 490 Cable/Sat TV □ 850 Securities/Commodities/Exchange  ■ 890 Other Statutory Actions □ 891 Agricultural Acts □ 893 Environmental Matters □ 895 Freedom of Information Act □ 896 Arbitration □ 899 Administrative Procedure Act/Review or Appeal of		
☐ 220 Foreclosure ☐ 230 Rent Lease & Ejectment ☐ 240 Torts to Land ☐ 245 Tort Product Liability	1 441 Voting 1 441 Voting 1 442 Employment 1 443 Housing/ Accommodations	☐ 463 Alien Detainee ☐ 510 Motions to Vacate Sentence ☐ 530 General	meone security Act	or Defendant)  B 871 IRS—Third Party  26 USC 7609	Agency Decision  950 Constitutionality of State Statutes		
□ 290 All Other Real Property	□ 445 Amer. w/Disabilities - Employment □ 446 Amer. w/Disabilities - Other □ 448 Education	□ 535 Death Penalty Other: □ 540 Mandamus & Other □ 550 Civil Rights □ 555 Prison Condition □ 560 Civil Detainee - Conditions of Confinement	IMMIGRATION  □ 462 Naturalization Application □ 465 Other Immigration Actions				
	moved from 3	Remanded from 4 Appellate Court	Reinstated or Reopened 5 Transfe	er District Litigation			
VI. CAUSE OF ACTIO	ON 47 U.S.C. Section Brief description of ca	1 227	ling (Do not cite jurisdictional stat				
VII. REQUESTED IN COMPLAINT:		IS A CLASS ACTION	DEMAND \$	CHECK YES only <b>JURY DEMAND:</b>	if demanded in complaint:		
VIII. RELATED CASI IF ANY	E(S) (See instructions):	JUDGE		DOCKET NUMBER			
DATE 08/07/2018 FOR OFFICE USE ONLY		signature of attor s/Ryan M. Kelly	NEY OF RECORD				
	MOUNT	APPLYING IFP	JUDGE	MAG. JUI	DGE		

#### INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
  United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment

to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)

- **III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerk(s) in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the six boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

  Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction. Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

**Date and Attorney Signature.** Date and sign the civil cover sheet.

### UNITED STATES DISTRICT COURT

for the

Southern District of Florida

Marc J. Zauderer, DMD	)			
Plaintiff	)			
v.	Civil Action No.			
Solstice Benefits Services, Inc. and Solstice Benefits, Inc., Florida corporations.	)			
Defendant	)			
SUMMONS II	N A CIVIL ACTION			
To: (Defendant's name and address) SOLSTICE BENEFIT SE c/o Michael Flax, Registe 7901 SW 6th Court Suite 400 Plantation, FL 33324				
A lawsuit has been filed against you.				
Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:				
Ryan M. Kelly ANDERSON + WANCA 3701 Algonquin Road - S Rolling Meadows, IL 600				
If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.				
	CLERK OF COURT			
Date:				
	Signature of Clerk or Deputy Clerk			

AO 440 (Rev. 12/09) Summons in a Civil Action (Page 2)

Civil Action No.

### PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

	This summons for (name	ne of individual and title, if any	y)					
was re	ceived by me on (date)							
	☐ I personally served	the summons on the indi-	vidual at (place)					
			on (date)	; or				
	☐ I left the summons a	at the individual's resider	nce or usual place of abode with (name)					
		, a person of suitable age and discretion who resides there,						
	on (date), and mailed a copy to the individual's last known address; or							
	☐ I served the summons on (name of individual)							
	designated by law to a	accept service of process of	on behalf of (name of organization)					
			on (date)	; or				
	☐ I returned the summ	nons unexecuted because		; (	or			
	☐ Other (specify):							
	My fees are \$	for travel and \$	for services, for a total of \$	0.00	•			
	I declare under penalty	of perjury that this infor	rmation is true.					
		1 3 3						
Date:								
2			Server's signature		=			
			Printed name and title		_			
			Server's address		_			

Additional information regarding attempted service, etc:

Print Save As... Reset

### UNITED STATES DISTRICT COURT

for the

Southern District of Florida

Marc J. Zaudere	er, DMD	)		
Plaintiff		)		
v.		)	Civil Action No.	
Solstice Benefits Services, Inc. Inc., Florida corp		)		
Defendant		)		
	SUMMONS I	NAC	CIVIL ACTION	
To: (Defendant's name and address)	SOLSTICE BENEFITS, c/o Chief Financial Offic Department Of Financia 200 E Gaines St. Tallahassee, FL 32399	er	rices	
A lawsuit has been filed against you.  Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:  Ryan M. Kelly  ANDERSON + WANCA  3701 Algonquin Road - Suite 500  Rolling Meadows, IL 60008				
If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.				
			CLERK OF COURT	
Date:			Signature of Clerk or Deputy Clerk	

AO 440 (Rev. 12/09) Summons in a Civil Action (Page 2)

Civil Action No.

### PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

	This summons for (no	ame of individual and title,	if any)					
was re	ceived by me on (date)		•					
	☐ I personally serve	d the summons on the	individual at (place)					
				on (date)	; or			
	☐ I left the summon	s at the individual's res	sidence or usual pla	ce of abode with (name)				
		, a person of suitable age and discretion who resides there,						
	on (date)	, and mailed	l a copy to the indiv	ridual's last known address; or				
	☐ I served the summ	nons on (name of individue	al)		, v	vho is		
	designated by law to	accept service of proc						
				on (date)				
	☐ I returned the sum	nmons unexecuted beca	nuse		; or			
	☐ Other (specify):							
	My fees are \$	for travel ar	nd \$	for services, for a total of \$	0.00			
	I declare under penalty of perjury that this information is true.							
ъ.								
Date:		-		Server's signature				
				Printed name and title				
		-		Server's address				

Additional information regarding attempted service, etc:

Print Save As... Reset

## **ClassAction.org**

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>Massachusetts Dentist Sues Solstice Benefit Services</u>, <u>Solstice Benefits Over Alleged Junk Faxes</u>