

By providing this notice, Sotheby's does not waive any rights or defenses regarding the applicability of Maine law, the applicability of the Maine data event notification statute, or personal jurisdiction.

Nature of the Data Event

On July 24, 2025, Sotheby's became aware that certain Sotheby's data appeared to have been removed from its environment by an unknown actor. Sotheby's immediately began an investigation to determine what data was involved, which included downloading and cataloging the data with instruction by third-party specialists for further review and analysis. After that process was completed, Sotheby's began a comprehensive review of the data to determine what personal information was potentially contained within the data and to whom it relates. The review was completed on or around September 24, 2025, which allowed Sotheby's to arrange services to provide individuals with notice and an offer of complimentary identity monitoring.

The personal information identified through the review may vary by individual but included the name, Social Security number, and financial account information of two (2) Maine residents.

Notice to Maine Residents

On or about October 15, 2025, Sotheby's provided written notice of the Event to two (2) Maine residents in substantially the same form as the letter attached here as ***Exhibit A***.

Other Steps Taken and To Be Taken

Sotheby's moved quickly to investigate and respond to the Event. The investigation steps included assessing the security of Sotheby's systems, identifying and validating the data involved, working with federal law enforcement, and identifying potentially impacted individuals for purposes of providing notice. Additionally, Sotheby's has administrative and technical safeguards in place that protect information through layered defenses, strict access controls, secure connections, and advanced threat protections. Sotheby's regularly patches systems, tests internal incident response plans, backs up critical services, vets their vendors, and trains their workforce to ensure security is built into how they work every day. As part of their ongoing commitment to the privacy of information Sotheby's will continue to review these safeguards and consider further enhancements to ensure the ongoing safety of information on Sotheby's systems.

As an added precaution, Sotheby's notice to individuals provides access to complimentary credit monitoring services for twelve (12) months, through TransUnion. Sotheby's is also providing individuals with guidance on how to better protect against identity theft and fraud, including advising individuals to report any suspected incidents of identity theft or fraud to their credit card company and/or bank. Sotheby's is providing individuals with information on how to place a fraud alert and security freeze on one's credit file, the contact details for the national consumer reporting agencies, information on how to obtain a free credit report, a reminder to remain vigilant for incidents of fraud and identity theft by reviewing account statements and monitoring free credit reports, and encouragement to contact the Federal Trade Commission, their state Attorney General, and law enforcement to report attempted or actual identity theft and fraud.

Sotheby's is providing written notice of the Event to relevant regulatory authorities, and to the three major credit reporting agencies, Equifax, Experian, and TransUnion.