

**IN THE COURT OF COMMON PLEAS  
HAMILTON COUNTY, OHIO**

*In re Rumpke Data Incident Litigation*

Case No. A2404930

Judge Leah Dinkelacker

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**SETTLEMENT AGREEMENT AND RELEASE**

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This Settlement Agreement and Release (“Settlement Agreement”) is entered into by and between (i) Defendant Rumpke Consolidated Companies, Inc. (“Defendant”) and (ii) Plaintiffs Kimberly Whitehead, Jeremy Clark, Josh Forbes and Dalton Pearson (“Plaintiffs”) both individually and on behalf of the Settlement Class, in the case of *In re Rumpke Data Incident Litigation*, Case No. A2404930, Hamilton County, Ohio Court of Common Pleas (the “Litigation”). Defendant and Plaintiffs are collectively referred to herein as the “Parties.” The Settlement Agreement is subject to Court approval and intended by the Settling Parties to resolve, discharge, and settle the Released Claims and this Litigation (as defined below), upon and subject to the terms and conditions set forth below.

**I. FACTUAL BACKGROUND AND RECITALS**

1. On or about October 11, 2024, Defendant discovered that a third party threat actor improperly gained access to parts of Rumpke’s network and viewed and may have potentially extracted certain files and Private Information belonging to Plaintiffs and Class Members (the “Data Incident”).

2. On December 10, 2024, Defendant published a public notice of the Data Incident on its website. Also on December 10, 2024, Defendant issued the first wave of individual notice letters. After December 10, 2024, a number of individuals filed class action complaints against Rumpke regarding the Data Incident, which were consolidated into this Litigation. On May 14, 2025, Plaintiffs filed a Consolidated Complaint. The Consolidated Complaint asserted claims of negligence, breach of fiduciary duty, breach of implied contract, and unjust enrichment. Plaintiffs alleged that Defendant failed to adequately secure its systems, and that as a result, cybercriminals were able to access Defendant’s network and allegedly steal sensitive personally identifiable information (“PII”) and protected health information (“PHI,” and collectively with PII, “Private Information”) belonging to Plaintiffs and the putative Class Members. As outlined below, Defendant denies each and every allegation of the Plaintiffs.

3. Following the exchange of Rule 408 discovery and a settlement demand, the Parties continued good faith negotiations over a period of months, ultimately reaching a settlement in principle on October 1, 2025, to resolve all claims arising from the Data Incident, including all claims Plaintiffs and Settlement Class Members have or may have had against Defendant and related persons and entities, as set forth herein.

4. This Settlement Agreement resolves the claims of Plaintiffs and putative Class Members related to the alleged access to their Private Information in the Data Incident.

5. The Parties have agreed to settle the Litigation on the terms and conditions set forth herein in recognition that the outcome of the Litigation is uncertain and that achieving a final result through litigation would require substantial additional risk, uncertainty, discovery, time, and expense for the Parties.

6. Defendant denies all claims of wrongdoing or liability that Plaintiffs, Settlement Class Members, or anyone else have asserted in this Litigation or may assert in the future. Defendant contends that it has maintained and continues to maintain reasonable security information practices. Defendant also contends that it has factual and legal defenses to the claims and class allegations asserted in the Consolidated Complaint. Despite Defendant's position that it is not liable for, and has good defenses to, the claims alleged in the Litigation, Defendant desires to settle the Litigation, and thus avoid the expense, risk, exposure, inconvenience, uncertainty, and distraction of continued litigation of any action relating to the matters being fully settled and finally resolved and released in this Settlement Agreement. Neither this Settlement Agreement, nor any negotiation or act performed, or document created in relation to the Settlement Agreement, or negotiation or discussion thereof is, or may be deemed to be, or may be used as, an admission of, or evidence of, any wrongdoing or liability.

7. The Parties now enter into this Settlement Agreement. Plaintiffs and Class Counsel have conducted an investigation into the facts and the law regarding the Litigation and have concluded that a settlement according to the terms set forth below is fair, reasonable, and adequate, and beneficial to and in the best interests of Plaintiffs and the Settlement Class, recognizing: (1) the existence of complex and contested issues of law and fact; (2) the risks inherent in litigation; (3) the likelihood that future proceedings will be unduly protracted and expensive if the proceeding is not settled by voluntary agreement; (4) the magnitude of the benefits derived from the contemplated settlement in light of both the maximum potential and likely range of recovery to be obtained through further litigation and the expense thereof, as well as the potential of no recovery whatsoever; and (5) Plaintiffs' determination that the Settlement is fair, reasonable, adequate, and will substantially benefit the Settlement Class Members.

8. Considering the risks and uncertainties of continued litigation and all factors bearing on the merits of settlement, the Parties are satisfied that the terms and conditions of this Settlement Agreement are fair, reasonable, adequate, and in their respective best interests.

9. In consideration of the covenants, agreements, and releases set forth herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, it is agreed by and among the undersigned that the Litigation be settled and compromised, and that the Relators release the Released Parties of the Released Claims, without costs as to Released Parties, Plaintiffs, Class Counsel, or the Settlement Class, except as explicitly provided for in this Settlement Agreement, subject to the approval of the Court, on the following terms and conditions.

## **II. DEFINITIONS**

As used in this Settlement Agreement, the following terms have the meanings specified below:

10. **“Administrative Expenses”** means all the expenses incurred in the administration of this Settlement, including, without limitation, all expenses or costs associated with providing Short Form Notice to the Settlement Class, locating Settlement Class Members, processing claims, determining the eligibility of any person to be a Settlement Class Member, and administering, calculating and distributing the Settlement Fund to Settlement Class Members. Administrative Expenses also includes all reasonable third-party fees and expenses incurred by the Settlement Administrator in administering the terms of this Agreement.

11. **“Approved Claims”** shall mean complete and timely Claim Forms submitted by Settlement Class Members that have been approved by the Settlement Administrator.

12. **“Claim Form”** shall mean the form that Settlement Class Members may submit to obtain compensation under this Settlement Agreement, which is attached as **Exhibit A**.

13. **“Claims Deadline”** shall mean the date by which all Claim Forms must be postmarked (if mailed) or submitted (if filed electronically) to be considered timely and shall be set as a date ninety (90) days after the Notice Deadline. The Claims Deadline shall be clearly set forth in the Preliminary Approval Order, as well as in the Short Form Notice and Long Form Notice and the Claim Form.

14. **“Class”** or **“Settlement Class”** means all United States residents whose Private Information was implicated in the Data Incident, including all United States residents to whom Defendant sent direct notice of the Data Incident. The Class specifically excludes: (i) all persons who timely and validly request exclusion from the Class; (ii) the Judge assigned to evaluate the fairness of this Settlement (including any members of the Court’s staff assigned to this case); (iii) Defendant’s officers and directors, and (iv) any person found by a court of competent jurisdiction to be guilty under criminal law of initiating, causing, aiding or abetting the criminal activity occurrence of the Data Incident or who pleads nolo contendere to any such charge.

15. **“Class Member”** or **“Settlement Class Member”** shall mean each member of the Settlement Class.

16. **“Class Counsel”** shall mean Terence R. Coates and Jonathan T. Deters of Markovits, Stock & DeMarco, LLC and Grayson Wells of Stranch, Jennings, & Garvey, PLLC.

17. **“Counsel”** or **“Counsel for the Parties”** means both Class Counsel and Defendant’s Counsel, collectively.

18. **“Court”** shall mean any Judge of the Hamilton County Court of Common Pleas who is presiding over this Litigation.

19. **“Data Incident”** means the discovery by Rumpke on or about October 11, 2024, that a third party threat actor improperly gained access to parts of Rumpke’s network and viewed

and may have potentially extracted certain files and Private Information belonging to Plaintiffs and Class Members (the “Data Incident”).

20. “**Defendant**” means Rumpke Consolidated Companies, Inc.

21. “**Defendant’s Counsel**” shall mean Gregory M. Utter and Joseph M. Callow, Jr., and Callow + Utter Law Group, 8044 Montgomery Road, Suite 170, Cincinnati, Ohio 45236.

22. “**Effective Date**” shall mean the date when the Settlement Agreement becomes Final, which is thirty (30) days after the Court’s grant of the Final Approval Order, assuming no appeals have been filed. If an appeal is filed, the Effective Date will become 30 days from when the appeal is finalized and a final judgment is entered in this case. The Effective Date shall be conditioned on the occurrence of all the following events: (a) the Court has entered an Order of Preliminary Approval; (b) Defendant has not exercised its option to terminate the Settlement Agreement pursuant to ¶ 83; (c) the Court has entered the Judgment granting final approval to the Settlement as set forth herein; and (d) the Judgment has become Final, as defined in ¶ 25.

23. “**Fee and Expense Application**” shall mean the motion to be filed by Class Counsel, in which they seek approval of an award of attorneys’ fees, as well as Service Awards for the Class Representatives.

24. “**Fee Award and Expenses**” means the amount of attorneys’ fees and reimbursement of litigation expenses awarded by the Court to Class Counsel.

25. “**Final**” means the occurrence of all of the following events: (i) the Settlement pursuant to this Settlement Agreement is approved by the Court; (ii) the Court has entered a Judgment (as that term is defined herein); and (iii) the time to appeal or seek permission to appeal from the Judgment has expired or, if appealed, the appeal has been dismissed in its entirety, or the Judgment has been affirmed in its entirety by the court of last resort to which such appeal may be taken, and such dismissal or affirmance has become no longer subject to further appeal or review. Notwithstanding the above, any order modifying or reversing any attorneys’ fees award or Service Awards made in this case shall not affect whether the Judgment is “Final” as defined herein or any other aspect of the Judgment.

26. “**Final Approval Hearing**” means the hearing before the Court where the Plaintiffs will request a judgment to be entered by the Court approving the Settlement Agreement, approving the Fee Award, and approving Service Awards to the Class Representatives.

27. “**Final Approval Order**” shall mean an order entered by the Court that:

- i. Certifies the Settlement Class pursuant to Ohio Rule of Civil Procedure 23;
- ii. Finds that the Settlement Agreement is fair, reasonable, and adequate, was entered into in good faith and without collusion, and approves and directs consummation of this Settlement Agreement;
- iii. Dismisses Plaintiffs’ claims pending before it with prejudice and without costs, except as explicitly provided for in this Settlement Agreement;

- iv. Approves the Release provided in Section VIII and orders that, as of the Effective Date, the Released Claims will be released as to Released Parties;
- v. Reserves jurisdiction over the Settlement and this Settlement Agreement; and
- vi. Finds that there is no just reason for delay of entry of the Final Approval Order with respect to the foregoing.

28. “**Frequently Asked Questions**” or “**FAQs**” are questions and answers to those questions that are frequently posed by Class Members about class action settlements and specifically about this Settlement.

29. “**Identity Theft Monitoring**” means three-bureau credit monitoring and identity theft protection. All valid claimants shall have the ability to claim one (1) year of Identity Theft Monitoring.

30. “**Litigation**” shall mean the action captioned *In re Rumpke Data Incident Litigation*, Case No. A2404930, Hamilton County Court of Common Pleas.

31. “**Long Form Notice**” is the content of the notice substantially in the form as **Exhibit B** is the detailed, long form notice that will be posted on the Settlement Website that will include robust details about the Settlement.

32. “**Notice Deadline**” means the last day by which the Short Form Notice may be issued to the Settlement Class Members, which shall be thirty (30) days after Preliminary Approval.

33. “**Objection Deadline**” means the date by which a written objection to this Settlement Agreement must be filed with the Court, which shall be ninety (90) days after Preliminary Approval (which is also sixty (60) days after the Notice Deadline), or such other date as ordered by the Court.

34. “**Opt-Out Deadline**” or “**Exclusion Deadline**” is the last day on which a Settlement Class Member may file a written request to be excluded from the Settlement Class, which will be ninety (90) days after Preliminary Approval (which is also 60 days after the Notice Deadline), or such other date as ordered by the Court.

35. “**Out-of-Pocket Losses**” means out-of-pocket costs or expenses that a Settlement Class Member actually incurred that are supported by reasonable documentation. “Out-of-Pocket Losses” include things such as the purchase of identity protection services, credit monitoring services, or ID theft insurance different than the services and benefits offered by Defendant, are fairly traceable to the Data Incident, and such expenses have not already been reimbursed by a third party.

36. “**Participating Settlement Class Member**” means a Settlement Class Member who does not submit a valid Request for Exclusion prior to the Opt-Out Deadline.

37. “**Parties**” shall mean Plaintiffs and Defendant, collectively.

38. “**Plaintiffs**” or “**Class Representatives**” shall mean the named class representatives, Kimberly Whitehead, Jeremy Clark, Josh Forbes and Dalton Pearson.

39. “**Preliminary Approval Order**” shall mean the Court’s Order preliminarily approving the Settlement Agreement, certifying the Settlement Class for settlement purposes, and directing notice of the Settlement to the Settlement Class substantially in the form of the Short Form Notice and Long Form Notice set forth in this Settlement Agreement.

40. “**Private Information**” means names, dates of birth, emails, addresses, telephone numbers, Social Security numbers, drivers’ license, state or government identification numbers, and financial information as well as health, patient, identification and medical information, health claims-related data, treatment information, and information related to payments for medical services, and additional personally identifiable information (“PII”) and protected health information (“PHI”) as defined by the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”) that Defendant collected and/or maintained, as those terms are defined by applicable data breach notification laws.

41. “**Released Claims**” shall have the meaning ascribed to it as set forth in Section VIII of this Settlement Agreement.

42. “**Released Parties**” shall have the meaning ascribed to it as set forth in Section VIII of this Settlement Agreement.

43. “**Releasors**” shall refer, jointly and severally, and individually and collectively, to Plaintiffs, the Settlement Class Members, and to each of their predecessors, successors, heirs, executors, administrators, and assigns, and anyone claiming by, through, or on behalf of them.

44. “**Remainder Funds**” means any funds that remain in the Settlement Fund after all deductions from the Settlement Fund and for settlement payments to Class Members. Often in class actions settlements, some number of class members submitting valid claims and who are then issued a settlement check fail to cash and/or deposit their settlement payments. The funds remaining in the Settlement Fund after settlement payments have been distributed and the time for cashing and/or depositing checks has expired will be Remainder Funds. The Remainder Funds will be sent to one or more court-approved charitable organizations as a *cypres* distribution. The Parties will jointly recommend the entity or entities to the Court that will be the recipients of the *cypres* distribution.

45. “**Reminder Notice**” means a notice reminding Class Members to submit a claim that may be mailed at Class Counsel’s discretion to Class Members within sixty (60) days after the Notice Deadline.

46. “**Service Award**” shall have the meaning ascribed to it as set forth in Section IX of this Settlement Agreement. The Service Awards requested in this matter will be \$5,000.00 to each Plaintiff, subject to court approval.

47. “**Settlement Administrator**” means, subject to Court approval, Simpluris, Inc., an entity jointly selected and supervised by Class Counsel and Defendant to administer the Settlement.

48. “**Settlement Class List**” means a list of each Settlement Class Member’s full name, and current or last known address, which Defendant or Defendant’s agent shall provide to the Settlement Administrator within fourteen (14) days of the entry of the Preliminary Approval Order.

49. “**Settlement Fund**” means a non-reversionary common fund, paid by or on behalf of Defendant, in the amount of Seven Hundred Fifty Thousand dollars (\$750,000), including any interest accrued thereon after payment, this being the full and complete limit and extent of Defendant’s obligations with respect to the Settlement.

50. “**Settlement Payment**” means the payment to be made via mailed check and/or electronic payment to a Participating Settlement Class Member from the Settlement Administrator from the Settlement Fund.

51. “**Settlement Website**” means a website established and administered by the Settlement Administrator, which shall contain information about the Settlement, including electronic copies of the Claim Form, Long Notice, Short Notice, this Settlement Agreement, and all Court documents related to the Settlement. The Settlement Website, [www.rccdatasettlement.com](http://www.rccdatasettlement.com), will be publicly viewable and contain broad information about the Settlement, including but not limited to, copies of the Complaint filed in this matter, a copy of the Long Form Notice, Short Form Notice, FAQs, Claim Form that may be submitted online through the Settlement Website or mailed to the Settlement Administrator, and the deadlines for filing a claim, objection, exclusion requests, and the date of the Fairness Hearing. The Settlement Website shall include a toll-free telephone number and mailing address through which Settlement Class Members may contact that Settlement Administrator directly. The Settlement Website is viewed as an important piece of the Notice Plan to Class Members. The Settlement Website will remain active until 120 days after the Effective Date.

52. “**Short Form Notice**” is the postcard notice that will be mailed to the Settlement Class Members whose postal address is known, which shall be in a form substantially similar to **Exhibit C** attached hereto.

53. “**Taxes and Tax-Related Expenses**” means any and all applicable taxes, duties, and similar charges imposed by a government authority (including any estimated taxes, interest or penalties) arising in any jurisdiction, if any, with respect to the income or gains earned by or in respect of the Settlement Fund, including, without limitation, any taxes that may be imposed upon Defendant with respect to any income or gains earned by or in respect of the Settlement Fund for any period while it is held in the Settlement Fund.

### **III. SETTLEMENT FUND**

54. **Establishment of Settlement Fund.** Defendant agrees to make a payment of, and deposit payment into, the Settlement Fund as follows: (a) Within twenty-five (25) days of the Court granting preliminary approval of this Settlement Agreement, Defendant shall pay \$25,000 into the Settlement Fund (said amount being part of and not in addition to the Settlement Fund); (b) within twenty-one (21) days of the Effective Date, Defendant shall pay into a Qualified Settlement Fund to be established and maintained by the Settlement Administrator the remaining portion of the Settlement Fund (\$725,000); and, (c) within thirty (30) days of the Effective Date, the Settlement

Administrator shall pay to Class Counsel any attorneys' fees, costs, and expenses, and shall pay to the Class Representatives any Service Awards. Settlement Administrator shall use this balance to pay for Costs of Settlement Administration. The Settlement Administrator will provide a W-9 and voided check or official bank letter with payment information at least ten (10) days before any required payment by the Defendant. For the avoidance of doubt, and for purposes of this Settlement Agreement only, Defendant's liability shall not exceed \$750,000.

**55. Qualified Settlement Fund.** The Parties agree that the Settlement Fund is intended to be maintained as a qualified settlement fund within the meaning of Treasury Regulation § 1.468 B-1, and that the Settlement Administrator, within the meaning of Treasury Regulation § 1.468 B-2(k)(3), shall be responsible for filing tax returns and any other tax reporting for or in respect of the Settlement Fund and paying from the Settlement Fund any Taxes and Tax-Related Expenses owed with respect to the Settlement Fund. The Parties agree that the Settlement Fund shall be treated as a qualified settlement fund from the earliest date possible and agree to any relation-back election required to treat the Settlement Fund as a qualified settlement fund from the earliest date possible. Any and all funds held in the Settlement Fund shall be held in an interest-bearing account insured by the Federal Deposit Insurance Corporation ("FDIC"). Funds may be placed in a non-interest bearing account as may be reasonably necessary during the check clearing process. The Settlement Administrator shall provide an accounting of any and all funds in the Settlement Fund, including any interest accrued thereon and payments made pursuant to this Agreement, upon request of any of the Parties.

**56. Custody of Settlement Fund.** The Settlement Fund shall be deemed to be in the custody of the Court and shall remain subject to the jurisdiction of the Court until such time as the entirety of the Settlement Fund is distributed pursuant to this Settlement Agreement or the balance returned to those who paid the Settlement Fund in the event this Settlement Agreement is terminated in accordance with this Agreement.

**57. Use of the Settlement Fund.** As further described in this Agreement, the Settlement Fund shall be used by the Settlement Administrator to pay for the following (in this order): (1) Administrative Expenses; (2) Fee Award and Expenses as awarded by the Court; (3) Service Awards approved by the Court; (4) Identity Theft Monitoring; (5) valid claims for Out-of-Pocket Losses; (6) *Pro Rata* Cash Payments; and (7) any *cy pres* award of Remainder Funds (to the extent any exist following the preceding payments). No amounts may be withdrawn from the Settlement Fund unless expressly authorized by this Agreement or approved by the Court. Responsibility for effectuating payments described in this paragraph shall rest solely with the Settlement Administrator and neither Defendant nor Defendant's agents shall have any responsibility whatsoever with respect to effectuating such payments.

**58. Taxes and Representations.** Taxes and Tax-Related Expenses relating to the Settlement Fund, if any, shall be considered Administrative Expenses and shall be timely paid by the Settlement Administrator out of the Settlement Fund without prior order of the Court. Further, the Settlement Fund shall indemnify and hold harmless the Parties and their Counsel for Taxes and Tax-Related Expenses (including, without limitation, taxes payable by reason of any such indemnification payments). The Parties and their respective Counsel have made no representation or warranty, and have no responsibility, with respect to the tax treatment by any Settlement Class Representative or any Settlement Class Member of any payment or transfer made pursuant to this

Agreement or derived from or made pursuant to the Settlement Fund. Each Class Representative and Participating Settlement Class Member shall be solely responsible for the federal, state, and local tax consequences to him, her, or it of the receipt of funds from the Settlement Fund pursuant to this Agreement.

#### **IV. SETTLEMENT BENEFITS AND ADMINISTRATION**

59. **Claimed Benefits:** All Class Members shall have the opportunity to submit a Claim Form for certain benefits. The claimed benefits, as described below, shall include: (a) Out-of-Pocket Losses; (b) Pro-Rata Cash Payments; and (c) Identity Theft Monitoring. Any valid claim may be combined with any other valid claim. The amount of claimed benefits received will be calculated in the following order: (1) Identity Theft Monitoring, (2) Out of Pocket Losses, and (3) Pro-Rata Cash Payments. In the unlikely event that the Settlement Fund is insufficient to cover all Out-of-Pocket Losses, such claims shall be reduced *pro rata* to account for the amount of remaining funds, and no additional monetary benefits shall be paid to any claimants.

60. **Out-of-Pocket Losses.** The Settlement Administrator, from the Settlement Fund, will provide compensation, up to a total of \$5,000 per person who is a member of the Settlement Class, upon submission of a claim and supporting documentation, for out-of-pocket monetary losses incurred as a result of the Data Incident, including, without limitation, unreimbursed losses relating to fraud or identity theft; professional fees including attorneys' fees, accountants' fees, and fees for credit repair services; costs associated with freezing or unfreezing credit with any credit reporting agency; credit monitoring costs that were incurred on or after the Data Incident through the date of claim submission; and miscellaneous expenses such as notary, fax, postage, copying, mileage, and long-distance telephone charges. Settlement Class Members with monetary losses must submit documentation supporting their claims. This can include receipts or other documentation not "self-prepared" by the claimant that document the costs incurred. "Self-prepared" documents such as handwritten receipts are, by themselves, insufficient to receive reimbursement, but can be considered to add clarity or support other submitted documentation.

61. **Pro Rata Cash Payment.** All Settlement Class Members may file a claim for a cash payment of approximately \$237.50 assuming 10% of the roughly 16,946-person Settlement Class submits a valid claim and approximately \$475 assuming that 5% of the roughly 16,946-person Settlement Class submits a valid claim. This benefit may be stacked with any claim for Out-of-Pocket Losses and/or Identity Theft Monitoring. The amount of this claim shall be *pro rata* increased or decreased based on the money remaining in the Settlement Fund after the payment of any Fee Award and Expenses, Service Award, Administrative Expenses, Identity Theft Monitoring, and claims for Out-of-Pocket Losses. The Short Form Notice will project that each cash payment under this section will be roughly \$237.50, but shall explain that the ultimate amount is subject to a *pro rata* increase or decrease.

62. **Identity Theft Monitoring.** All Settlement Class Members may file a claim for one (1) year of three-bureau credit monitoring and protection services. The benefit may be stacked with any claim for Out-of-Pocket Losses and/or *Pro Rata* Cash Payment.

63. **Business Practices Changes & Confirmatory Discovery.** Defendant has provided reasonable access to confidential confirmatory discovery regarding its information

security policies and the changes and improvements that have been made or are being made to protect sensitive data.

**64. Dispute Resolution for Claims.** The Settlement Administrator, in its sole discretion to be reasonably exercised, will determine whether: (1) the claimant is a Class Member; (2) the claimant has provided all information needed to complete the Claim Form, including any documentation that may be necessary to reasonably support the Out-of-Pocket Loss Claims; (3) the information submitted could lead a reasonable person to conclude that it is more likely than not the claimant has suffered the claimed losses as a result of the Data Incident; and (4) the claimant timely submitted their Claim Form. The Settlement Administrator may, at any time, request from the claimant, in writing, additional information that the Settlement Administrator deems reasonably necessary to evaluate the claim, e.g., documentation requested on the Claim Form, information regarding the claimed losses, and claims previously made for identity theft and the resolution thereof. For any such claims that the Settlement Administrator determines to be invalid, the Settlement Administrator will submit those claims to the Settling Parties, by and through their respective Counsel. If, upon meeting and conferring, the Settling Parties disagree as to the claim validity, then the claim shall be referred back to the Settlement Administrator for final determination on the claim validity.

- i. Upon receipt of an incomplete or unsigned Claim Form or a Claim Form that is not accompanied by sufficient documentation to determine whether the claim is facially valid, the Settlement Administrator shall request additional information and allow the claimant 14 days from the date of the request to cure the defect. If the defect is not cured within the time allotted, then the claim will be deemed invalid.
- ii. Following timely receipt of additional information pursuant to a request by the Settlement Administrator, the Settlement Administrator shall have 10 days to accept or reject the claim. If, after review of the claim and all documentation submitted by the claimant, the Settlement Administrator determines that such a claim is valid, then the claim shall be paid. If the claim is not valid because the claimant has not provided the information requested by the Settlement Administrator, then the Settlement Administrator may reject the claim without any further action. A defect in one claim shall not cause rejection of any other valid claim submitted by the claimant.
- iii. Class Members shall have 10 days from receipt of the approval of a claim that provides a payment that deviates from the losses described on the Claim Form to accept or reject the claim. This provision does not apply where the claim value deviates due to a *pro rata* increase or decrease.

**65. Administrative Expenses:** The Settlement Fund amount provided by Defendant, or on behalf of Defendant, will pay the entirety of the Administrative Expenses. Class Counsel solicited competitive bids for the Administrative Expenses, all in order to contain the Notice Plan and Administrative Expenses while still providing effective notice to the Class. Administrative Expenses shall be paid through the Settlement Fund.

66. Defendant provided confirmatory discovery on establishing the appropriateness of the Settlement terms as contemplated under Ohio Civ. R. 23, and will provide to the Settlement Administrator a full class list that identifies each known Class Member and their address, as available.

67. The Settlement Fund represents the total extent of Defendant's monetary obligations under the Settlement Agreement. Defendant's contribution to the Settlement Fund shall be fixed under this Section and shall be final. Defendant shall have no obligation to make further payments into the Settlement Fund and shall have no financial responsibility or obligation relating to the Settlement beyond the Settlement Fund, except insofar as such obligations are explicitly provided for in this Settlement Agreement.

68. Once a Settlement Administrator is mutually agreed to by the Parties and after the Settlement is preliminarily approved by the Court, the Settlement Administrator will provide notice in a manner mutually agreed upon by the Parties and approved by the Court.

69. After the Court enters an order approving the final Settlement Agreement, the Settlement Administrator shall provide the approved relief to all Settlement Class Members who made a valid claim within thirty (30) days of the Effective Date, subject to the procedure set forth herein.

70. The Parties, Class Counsel, and Defendant's Counsel shall not have any liability whatsoever with respect to (i) any act, omission or determination of the Settlement Administrator, or any of its respective designees or agents, in connection with the administration of the Settlement or otherwise; (ii) the management, investment or distribution of the Settlement Fund; (iii) the formulation, design or terms of the disbursement of the Settlement Fund; (iv) the determination, administration, calculation or payment of any claims asserted against the Settlement Fund; (v) any losses suffered by or fluctuations in the value of the Settlement Fund; or (vi) the payment or withholding of any Taxes and Tax-Related Expenses.

## **V. SETTLEMENT CLASS NOTICE, OPT-OUTS, AND OBJECTIONS**

71. **Notice.** Within fourteen (14) days of the Preliminary Approval Order, Defendants shall provide the Settlement Class List to the Settlement Administrator. Within thirty (30) days of Preliminary Approval, the Settlement Administrator shall disseminate the Short Form Notice to the Settlement Class Members. The Short Form Notice shall be disseminated via U.S. mail to all Settlement Class Members. Class Counsel may direct the Settlement Administrator to send reminder notices to Settlement Class Members at any time prior to the Claims Deadline. The process to issue the Short Form Notice as described in this paragraph and the creation and maintenance of the Settlement Website [www.rccdatasettlement.com](http://www.rccdatasettlement.com) shall constitute the "Notice Plan."

72. **Reminder Notice.** Within sixty (60) days of the Notice Deadline, the Settlement Administrator, at Class Counsel's discretion, may mail a Reminder Notice to all Class Members who have not yet submitted a claim and for whom the Settlement Administrator has a valid address.

73. **Final Approval Hearing.** The Short Form Notice and Long Form Notice must set forth the time and place of the Final Approval Hearing (subject to change) and state that any

Settlement Class Member who does not file a timely and adequate objection in accordance with this Paragraph waives the right to object or to be heard at the Final Approval Hearing and shall be forever barred from making any objection to the Settlement.

74. **Opt-Outs.** The Short Form Notice and Long Form Notice shall explain the procedure for Settlement Class Members to exclude themselves or “opt-out” of the Settlement by submitting a Request for Exclusion to the Settlement Administrator postmarked no later than the Opt-Out Deadline. The Request for Exclusion must include the name of the proceeding, the individual’s full name, current address, personal signature, and the words “Request for Exclusion” or a comparable statement in the document that the individual does not wish to participate in the Settlement. The Short Form Notice and Long Form Notice must state that any Settlement Class Member who does not file a timely Request for Exclusion in accordance with this Paragraph will lose the opportunity to exclude himself or herself from the Settlement and will be bound by the Settlement.

75. **Objections.** The Short Form Notice and Long Form Notice shall explain the procedure for Settlement Class Members to object to the Settlement or Fee Application by submitting written objections to the Court no later than the Objection Deadline. A written objection must include (i) the name of the proceedings; (ii) the Settlement Class Member’s full name, current mailing address, and telephone number; (iii) a statement of the specific grounds for the objection, as well as any documents supporting the objection; (iv) the identity of any attorneys representing the objector; (v) a statement regarding whether the Settlement Class Member (or his/her attorney) intends to appear at the Final Approval Hearing; (vi) a statement identifying all class action settlements objected to by the Settlement Class Member in the previous 5 years; and (vii) the signature of the Settlement Class Member or the Settlement Class Member’s attorney.

76. Within seven (7) days of the Opt-Out Deadline and Objection Deadline, the Settlement Administrator shall provide Counsel with a list of any Opt-Outs and Objections.

## **VI. PRELIMINARY APPROVAL, FINAL APPROVAL AND JURISDICTION**

77. **Certification of the Settlement Class.** For purposes of this Settlement only, the Parties stipulate to the certification of the Settlement Class, which is contingent upon both the Court entering the Final Approval Order of this Settlement and the occurrence of the Effective Date.

78. **Preliminary Approval.** Following execution of this Agreement, Class Counsel shall file a motion for preliminary approval of the Settlement, in a form agreeable to the Parties.

79. **Final Approval.** Class Counsel shall move the Court for a Final Approval Order of this Settlement, to be issued following the Final Approval Hearing; within a reasonable time after the Notice Deadline, Objection Deadline, and Opt-Out Deadline.

80. **Jurisdiction.** The Court shall retain jurisdiction over the implementation, enforcement, and performance of this Agreement, and shall have exclusive jurisdiction over any suit, action, proceeding or dispute arising out of or relating to this Agreement that cannot be resolved by negotiation and agreement by Counsel for the Parties. The Court shall retain jurisdiction with respect to the administration, consummation and enforcement of the Agreement

and shall retain jurisdiction for the purpose of enforcing all terms of the Agreement. The Court shall also retain jurisdiction over all questions and/or disputes related to the Notice Plan and the Settlement Administrator. As part of its agreement to render services in connection with this Settlement, the Settlement Administrator shall consent to the jurisdiction of the Court for this purpose.

## **VII. MODIFICATION AND TERMINATION**

81. **Modification.** The terms and provisions of this Agreement may be amended, modified, or expanded by written agreement of the Parties and approval of the Court; provided, however, that, after entry of the Preliminary Approval Order, the Parties may, by written agreement, effect such amendments, modifications, or expansions of this Agreement and its implementing documents (including all exhibits hereto) without further notice to the Settlement Class or approval by the Court if such changes are consistent with the Court's Preliminary Approval Order and do not materially alter, reduce, or limit the rights of Settlement Class Members under this Agreement.

82. **Settlement Not Approved.** If: (1) the Court does not issue the Preliminary Approval Order or Final Approval Order; (2) the Effective Date does not occur; or (3) the Final Approval Order is modified or reversed in any material respect by any appellate or other court, the Parties shall have 60 days from the date of such non-occurrence during which the Parties shall work together in good faith in considering, drafting, and submitting reasonable modifications to this Agreement to address any issues identified by the Court or that otherwise caused the Preliminary Approval Order or Final Approval Order not to issue or the Effective Date not to occur. If such efforts are unsuccessful, either Party may at their sole discretion terminate this Agreement on seven days written notice to the other Party. For avoidance of any doubt, neither Party may terminate the Agreement while an appeal from an order granting approval of the Settlement is pending.

83. **Termination Due to Opt-Outs.** Defendant shall have the right to terminate this Settlement Agreement if more than 2% of the Settlement Class opt out of the Settlement. Defendant shall notify Class Counsel of its intent to terminate this Settlement Agreement pursuant to this Paragraph within 10 days after the end of the Opt-Out Deadline. In the event this occurs, Defendant is still responsible for Administrative Expenses incurred through that date.

## **VIII. RELEASES**

84. **Released Parties.** Upon Final Approval of this Settlement Agreement, Settlement Class Members release, acquit, and forever discharge Defendant and Defendant's past or present parents, subsidiaries, divisions, and related or affiliated entities, and each of their respective predecessors, successors, directors, owners, shareholders, officers, employees, principals, agents, attorneys, insurers, and reinsurers, and including, without limitation, any person related to any such entity who is, was or could have been named as a defendant in any of the actions in the Litigation, other than any person who is found by a court of competent jurisdiction to be guilty under criminal law of initiating, causing, aiding or abetting the criminal activity occurrence of the Data Incident or who pleads *nolo contendere* to any such charge, and includes any entity with whom Defendant contracted that, on behalf of Defendant, held data involved in the Data Incident

who is, was or could have been named as a defendant in any of the actions in the Litigation (“Released Parties”).

85. **Released Claims.** Upon Final Approval of this Settlement Agreement, Settlement Class Members release, acquit, and forever discharge any and all past, present, and future claims and causes of action related to the Data Incident, including, but not limited to, any causes of action arising under or premised upon any statute, constitution, law, ordinance, treaty, regulation, or common law of any country, state, province, county, city, or municipality, including 15 U.S.C. § 45, *et seq.*, and all similar statutes in effect in any states in the United States as defined below; state consumer-protection statutes; negligence; negligence *per se*; breach of contract; breach of implied contract; breach of fiduciary duty; breach of confidence; invasion of privacy; fraud; misrepresentation (whether fraudulent, negligent or innocent); unjust enrichment; bailment; wantonness; breach of the covenant of good faith and fair dealing; failure to provide adequate notice pursuant to any breach notification statute or common law duty; and including, but not limited to, any and all claims for damages, injunctive relief, disgorgement, declaratory relief or judgment, equitable relief, attorneys’ fees and expenses, pre-judgment interest, credit monitoring services, the creation of a fund for future damages, statutory damages, punitive damages, special damages, exemplary damages, restitution, and/or the appointment of a receiver, whether known or unknown, liquidated or unliquidated, accrued or unaccrued, fixed or contingent, direct or derivative, and any other form of legal or equitable relief that either has been asserted, was asserted, or could have been asserted, by any Class Member against any of the Released Parties based on, relating to, concerning or arising out of the alleged Data Incident or the allegations, transactions, occurrences, facts, or circumstances alleged in or otherwise described in the Litigation (“Released Claims”). Released Claims shall not include the right of any Class Member or any of the Released Parties to enforce the terms of the settlement contained in this Settlement Agreement, and shall not include the claims of any person who has timely excluded themselves from the Class.

86. Each Releasor waives any and all defenses, rights, and benefits that may be derived from the provisions of applicable law in any jurisdiction that, absent such waiver, may limit the extent or effect of the release contained in this Settlement Agreement.

87. **Mutual Understanding.** The Parties understand that if the facts upon which this Agreement is based are found hereafter to be different from the facts now believed to be true, each Party expressly assumes the risk of such possible difference in facts, and agrees that this Agreement, including the releases contained herein, shall remain effective notwithstanding such difference in facts. The Parties agree that in entering this Agreement, it is understood and agreed that each Party relies wholly upon its own judgment, belief, and knowledge and that each Party does not rely on inducements, promises, or representations made by anyone other than those embodied herein.

88. **Release of Class Representatives and Class Counsel.** Upon the Effective Date, Defendant and its representatives, officers, agents, directors, principals, affiliates, employees, insurers, and attorneys shall be deemed to have released, acquitted, and forever discharged the Plaintiffs and Class Counsel from any and all claims or causes of action of every kind and description, including any causes of action in law, claims in equity, complaints, suits or petitions, and any allegations of wrongdoing, demands for legal, equitable or administrative relief (including,

but not limited to, any claims for injunction, rescission, reformation, restitution, disgorgement, constructive trust, declaratory relief, compensatory damages, consequential damages, penalties, exemplary damages, punitive damages, attorneys' fees, costs, interest or expenses), whether known or unknown, that arise out of, are based upon, or relate to prosecution of the Litigation, the Settlement Agreement, or the Settlement claims process (provided, however, that this release and discharge shall not include claims relating to the enforcement of the terms of the Settlement or this Agreement).

89. **Bar to Future Suits.** Upon entry of the Final Approval Order, the Settlement Class Representatives and other Settlement Class Members shall be enjoined from prosecuting any claim they have released in the preceding paragraphs in any proceeding against Defendant or based on any actions taken by any of the Released Parties that are authorized or required by this Agreement or by the Final Approval Order. Likewise, Defendant and its representatives, officers, agents, directors, principals, affiliates, employees, insurers, and attorneys shall be enjoined from prosecuting any claim they have released in the preceding paragraphs in any proceeding against Settlement Class Representatives and Class Counsel or based on any actions taken by Settlement Class Representatives and Class Counsel that are authorized or required by this Agreement or by the Final Approval Order. It is further agreed that the Settlement may be pleaded as a complete defense to any proceeding subject to this section.

## **IX. SERVICE AWARDS**

90. **Service Awards.** At least fourteen (14) days before the Opt-Out and Objection Deadlines, Class Counsel will file a Fee Application that will include a request for Service Awards for the Settlement Class Representatives in recognition for their contributions to this Litigation not to exceed \$5,000 each. The Settlement Administrator shall pay the Service Awards to the Settlement Class Representatives from the Settlement Fund. Such Service Awards shall be paid by the Settlement Administrator, in the amount approved by the Court, no later than thirty (30) days after the Effective Date.

91. **No Effect on Agreement.** In the event the Court declines to approve, in whole or in part, the Service Awards in the amount requested, the remaining provisions of this Agreement shall remain in full force and effect. No decision by the Court, or modification or reversal or appeal of any decision by the Court, concerning the amount of the Service Awards shall constitute grounds for termination of this Agreement.

## **X. ATTORNEYS' FEES, COSTS, EXPENSES**

92. **Fee Award and Expenses.** Within forty-six (46) after the Notice Deadline, Class Counsel will file a Fee Application for an award of attorneys' fees to be paid from the Settlement Fund not to exceed one-third of the Settlement Fund for fees, or \$250,000, and litigation expenses (exclusive of Administrative Expenses) not exceed \$30,000. Before the disbursement or payment of the Fee Award and Expenses under this Agreement to the IOLTA trust account of Markovits, Stock & DeMarco, LLC ("MSD"), MSD shall provide to the Settlement Administrator a properly completed and duly executed IRS Form W-9. Any Fee Award and Expenses (plus any interest accrued thereon) shall be paid by the Settlement Administrator, in the amount approved by the Court, no later than thirty (30) days after the Effective Date.

## **XI. NO ADMISSION OF LIABILITY**

93. **No Admission of Liability.** The Parties understand and acknowledge that this Agreement constitutes a compromise and settlement of disputed claims. No action taken by the Parties either previously or in connection with the negotiations or proceedings connected with this Agreement shall be deemed or construed to be an admission of the truth or falsity of any claims or defenses heretofore made, or an acknowledgment or admission by any party of any fault, liability, or wrongdoing of any kind whatsoever. As outlined above, Defendant denies all claims of wrongdoing or liability that Plaintiffs, Settlement Class Members, or anyone else have asserted in this Litigation or may assert in the future; contends that it has maintained and continues to maintain reasonable security information practices; and also contends that it has factual and legal defenses to the claims and class allegations asserted in the Consolidated Complaint

94. **No Use of Agreement.** Neither the Settlement Agreement, nor any act performed or document executed pursuant to or in furtherance of the Settlement: (i) is or may be deemed to be, or may be used as, an admission of, or evidence of, the validity of any claim made by Plaintiffs; or (ii) is or may be deemed to be, or may be used as, an admission of, or evidence of, any fault or omission by Defendant in the Litigation or in any proceeding in any court, administrative agency, or other tribunal.

## **XII. MISCELLANEOUS**

95. **Integration of Exhibits.** The exhibits to this Agreement and any exhibits thereto are a material part of the Settlement and are incorporated and made a part of the Agreement.

96. **Entire Agreement.** This Agreement, including all exhibits hereto, shall constitute the entire Agreement among the Parties with regard to the subject matter hereof and shall supersede any previous agreements, representations, communications and understandings among the Parties. This Agreement may not be changed, modified, or amended except in writing signed by all Parties, subject to Court approval. The Parties contemplate that, subject to Court approval or without such approval where legally permissible and where such changes are non-material, the exhibits to this Agreement may be modified by subsequent agreement of counsel for the Parties prior to dissemination of the Short Form Notice to the Settlement Class.

97. **Deadlines.** If any of the dates or deadlines specified herein falls on a weekend or legal holiday, the applicable date or deadline shall fall on the next business day. All reference to “days” in this agreement shall refer to calendar days unless otherwise specified.

98. **Construction.** For the purpose of construing or interpreting this Agreement, the Parties agree that this Agreement is to be deemed to have been drafted equally by all Parties and shall not be construed strictly for or against any Party.

99. **Cooperation of Parties.** The Parties agree to cooperate in good faith to prepare and execute all documents, to seek Court approval, defend Court approval, and to do all things reasonably necessary to complete and effectuate the Settlement described in this Agreement.

100. **Obligation to Meet and Confer.** Before filing any motion in the Court raising a dispute arising out of or related to this Agreement, the Parties shall consult with each other in good faith prior to seeking Court intervention.

101. **Governing Law.** The Agreement shall be construed in accordance with, and be governed by, the laws of the State of Ohio, without regard to the principles thereof regarding choice of law.

102. **Counterparts.** This Agreement may be executed in any number of counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument, even though all signatories do not sign the same counterparts. Original signatures are not required. Any signature submitted electronically through e-mail of an Adobe PDF shall be deemed an original.

103. **Notices.** All notices to Class Counsel provided for herein, shall be sent by overnight mail and email to:

Terence R. Coates  
**MARKOVITS, STOCK & DEMARCO, LLC**  
119 East Court Street, Suite 530  
Cincinnati, OH 45202  
[tcoates@msdlegal.com](mailto:tcoates@msdlegal.com)

All notices to Defendant provided for herein, shall be sent by overnight mail and email to:

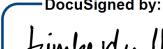
Gregory M. Utter  
**Callow + Utter Law Group**  
Suite 170  
8044 Montgomery Road  
Cincinnati, OH 45236  
[gmutter@callowandutter.com](mailto:gmutter@callowandutter.com)

The notice recipients and addresses designated above may be changed by written notice.

104. **Authority.** Any person executing this Agreement in a representative capacity represents and warrants that he or she is fully authorized to do so and to bind the Party or Parties on whose behalf he or she signs this Agreement to all of the terms and provisions of this Agreement.

By: Jonathan Deters  
Class Counsel

Date: 11/13/2025

By:   
kimberly Whitehead  
C64BFE59D16440A  
Kimberly Whitehead

Date: 11/12/2025 | 8:06 AM PST

By: \_\_\_\_\_  
Jeremy Clark

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Josh Forbes

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Dalton Pearson

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Defendant's Counsel

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Defendant

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Class Counsel

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Kimberly Whitehead

Date: \_\_\_\_\_

By: Jeremy Clark  
Jeremy Clark (Nov 12, 2025 18:09:25 EST)  
Jeremy Clark

Date: Nov 12, 2025

By: \_\_\_\_\_  
Josh Forbes

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Dalton Pearson

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Defendant's Counsel

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Defendant

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Class Counsel

Date: \_\_\_\_\_

By: Kimberly Whitehead

Date: \_\_\_\_\_

By: Jeremy Clark

Date: \_\_\_\_\_

By:  Josh Forbes (Nov 12, 2025 22:37:24 EST)

Date: Nov 12, 2025

By:  Dalton Pearson (Nov 12, 2025 13:26:18 EST)

Date: Nov 12, 2025

By: \_\_\_\_\_  
Defendant's Counsel

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Defendant

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Class Counsel

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Kimberly Whitehead

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Jeremy Clark

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Josh Forbes

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Dalton Pearson

Date: \_\_\_\_\_

By: Angy M. Ulloa  
Defendant's Counsel

Date: 11/12/25

By: Kimberly E. Wilson - CFO/Treasurer  
Defendant

Date: 11/12/25

## SETTLEMENT TIMELINE

<b><u>Grant of Preliminary Approval</u></b>	
Defendant provides list of Settlement Class Members to the Settlement Administrator	+14 days from Preliminary Approval
Payment of Administrative Expenses	+25 days from Preliminary Approval
Long Form and Short Form Notices Posted on the Settlement Website	+30 days from Preliminary Approval
Notice Deadline	+30 days from Preliminary Approval
Class Counsel's Motion for Attorneys' Fees, Reimbursement of Litigation Expenses, and Class Representative Service Awards	+ 76 days from Preliminary Approval
Reminder Notice	+90 days from Preliminary Approval
Objection Deadline	+90 days from Preliminary Approval
Exclusion Deadline	+90 days from Preliminary Approval
Settlement Administrator provides list of Objections/Exclusions to the Court and Class Counsel and Defendant's Counsel	+104 days from Preliminary Approval
Claims Deadline	+120 days from Preliminary Approval
<b><u>Final Approval Hearing</u></b>	
Motion for Final Approval	+140 days after Preliminary Approval (at least) -14 Days before Final Approval Hearing
<b><u>Final Approval</u></b>	
Effective Date	+30 days after Final Approval Order (assuming no appeal)
Defendant Pays Balance of Settlement Fund	+21 days after Effective Date (assuming no appeal)
Payment of Fee Award and Expenses	+30 days after Effective Date (assuming no appeal)
Payment of Service Awards	+30 days after Effective Date (assuming no appeal)
Payment of Valid Claims	+30 days after Effective Date (assuming no appeal)
Settlement Website Deactivation	+120 days after Effective Date

# EXHIBIT A

***In re Rumpke Data Incident Litigation, No. A2404930 (Hamilton County)***

**SETTLEMENT CLAIM FORM**

TO BE VALID, THIS CLAIM FORM MUST BE POSTMARKED OR SUBMITTED ONLINE AT [WWW.RCCDATASETTLEMENT.COM](http://WWW.RCCDATASETTLEMENT.COM) NO LATER THAN <<CLAIM DEADLINE>>.

***ATTENTION:*** This Claim Form is to be used to apply for relief related to the Data Incident that Defendant Rumpke Consolidated Companies, Inc. (“Defendant” or “Rumpke”) discovered in or around October 2024, which potentially affected former and current employees, including those to whom Rumpke sent notice. There are three types of benefits for which Class Members are eligible: (a) reimbursement of out-of-pocket losses or expenses that are reasonably traceable to the Data Incident, up to a maximum of \$5,000.00; (b) a cash payment of approximately \$237.50 assuming 10% of Class Members submit valid pro rata cash payment claims; and/or (c) a one-year subscription for three bureau identity theft and credit monitoring services.

*To submit a claim, you must have been identified as an individual whose private information was maintained on the Rumpke database that was implicated during the Data Incident and received notice of this Settlement with a Unique ID.*

**Please review this entire claim form. Failure to submit required documentation, or to complete all necessary parts of the claim form, may result in denial of the claim, delay its processing, or otherwise adversely affect the claim.**

***ASSISTANCE: If you have questions, please visit the Settlement Website at [www.RCCdatasettlement.com](http://www.RCCdatasettlement.com) or call <<Settlement Toll Free Phone Number>>.***

**REGISTRATION**

First Name:

MI: Last Name:

Mailing Address:

City:

Code:

State:

Zip

Telephone Number:

Email Address:

**Please provide the Unique ID identified on the notice that was sent to you:**

A horizontal row of 15 empty square boxes, intended for children to draw or write in.

**Instructions.** Please follow the instructions below and answer the questions as instructed.

## CASH PAYMENT

**Would you like to receive a cash payment under the Settlement? (select)**

\* *The Parties estimate that payments under this option will be approximately \$237.50 if roughly 10% of Class Members submit a valid cash payment claim and \$475 if roughly 5% of Class Members submit a valid cash payment claim. However, the value of payments under this option will be increased or decreased pro rata based on the balance of the Settlement Fund after the payment of other benefits, fees, and expenses. You do not need to suffer out-of-pocket losses or expenses to receive this payment.*

## IDENTITY THEFT AND CREDIT MONITORING PROTECTION

**Would you like to receive one year of three-bureau identity theft and credit monitoring protection? (select)**

\*\* *You may select this benefit in addition to any cash payment under the Settlement. You do not need to suffer out-of-pocket losses or expenses to receive this benefit.*

## OUT-OF-POCKET LOSSES

The Settlement also provides reimbursement for out-of-pocket losses or expenses incurred in or after October 2024 as a result of the Data Incident, up to a maximum reimbursement of \$5,000. Examples of losses or expenses that can be reimbursed include, but are not limited to, money spent for credit monitoring services, to hire professional services to remedy identity theft, to freeze your credit, or to remedy a falsified tax return or inaccurate entries on your credit report. To obtain

reimbursement, you must provide a brief description of what the losses or expenses were for, and provide supporting third-party documentation, such as receipts, bank statements, or reports.

**Did you suffer any financial expenses or losses that you believe were incurred as a result of the Data Incident? (select one)**

**Yes** **No**

**If you selected no**, please proceed to the end of this Claim Form to provide a date and signature.

**If you selected yes**, for each loss or expense that you believe you incurred as a result of the Data Incident, please provide a short description of the loss, the date of the loss, and the type of documentation you will be submitting to support the loss. You must provide ALL of this information for this claim to be processed. **Supporting documents must also be submitted with this Claim Form.** “Self-prepared” documents such as handwritten receipts are, by themselves, insufficient to receive reimbursement, but can be considered to add clarity or support other submitted documentation. Please provide only copies of your supporting documents and keep all originals for your personal files.

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### FORM OF PAYMENT

By mailing this form to the Settlement Administrator, you will receive payment for your losses under this Settlement in the form of a check. If you wish to receive an electronic payment, you must submit your Claim Form online at <<Settlement Website>>.

### CLASS MEMBER AFFIRMATION

By submitting this Claim Form and signing my name below, I declare that I received notification from Rumpke Consolidated Companies, Inc. or the Settlement Administrator that I am a potential Class Member. I declare under penalty of perjury that any losses or expenses identified above were suffered by me in or after October 2024, and that the information I provided is true and accurate to the best of my knowledge.

Signature:

Date:  -  -   
MM      DD      YY

**TO BE VALID, THIS CLAIM FORM MUST BE POSTMARKED OR SUBMITTED  
ONLINE AT [WWW.RCCDATASETTLEMENT.COM](http://WWW.RCCDATASETTLEMENT.COM) NO LATER THAN <<CLAIM  
DEADLINE>>**

## EXHIBIT B

HAMILTON COUNTY COURT OF COMMON PLEAS

***In re Rumpke Data Incident Litigation***  
**No. A2404930 (Hamilton County)**

**If You Are Receiving this Notice a Class Action Settlement May Affect Your Rights.**

***The Hamilton County Court of Common Pleas authorized this Notice.***  
***You are not being sued. This is not a solicitation from a lawyer.***

- A Settlement has been reached in a class action lawsuit concerning Defendant Rumpke Consolidated Companies, Inc. (“Defendant” or “Rumpke”) and a data incident (the “Data Incident”) that occurred in October 2024, when one or more unauthorized individuals allegedly accessed information on a database belonging to Rumpke, including names, dates of birth, addresses, phone numbers, email addresses, Social Security numbers, health insurance plan enrollment and account information, medical diagnosis and treatment information, health insurance billing and payment data, driver’s license or state identification numbers, financial account information, and other sensitive, confidential data (collectively, “Private Information”)
- The lawsuit is called *In re Rumpke Data Incident Litigation*, No. A2404930 (Hamilton County). The lawsuit asserts claims related to the Data Incident. The Defendant in the lawsuit is Rumpke. Rumpke denies any wrongdoing or that it is or can be held liable for the claims made in the lawsuit. The Settlement does not establish who is correct, but rather is a compromise to end the lawsuit.
- Members of the Class are all United States residents whose Private Information was implicated in the Data Incident, including all United States residents to whom Defendant sent direct notice of the Data Incident. The Class specifically excludes: (i) all persons who timely and validly request exclusion from the Class; (ii) the Judge assigned to evaluate the fairness of this Settlement (including any members of the Court’s staff assigned to this case); (iii) Defendant’s officers and directors, and (iv) any person found by a court of competent jurisdiction to be guilty under criminal law of initiating, causing, aiding or abetting the criminal activity occurrence of the Data Incident or who pleads nolo contendere to any such charge.
- Class Members are eligible to receive the following relief: (1) up to \$5,000 in reimbursement for documented out of pocket losses and expenses resulting from the Data Incident, and (2) a cash payment between roughly \$475 and \$237.50 (assuming a claims rate of 5-10% of the roughly 16,946-person Settlement Class), which will be pro rata increased or decreased based on the money still remaining in the Settlement Fund at the time of payment; and/or (3) one (1) year of 3-bureau identity theft and credit monitoring protection. All three forms of relief may be combined. The Settlement Administrator will post additional information about the payment amount on [www.rccdatasettlement.com](http://www.rccdatasettlement.com). For complete details, please see the Settlement Agreement, whose terms control, available at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).
- Your legal rights are affected regardless of whether you act or do not act. Please read this Notice carefully.

## YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT

<b>SUBMIT A CLAIM FORM</b>	This is the only way you may receive benefits from this Settlement. The deadline to submit a Claim Form is <<Date>>.
<b>EXCLUDE YOURSELF FROM THE SETTLEMENT</b>	You will receive no payment, but you will retain any rights you currently have with respect to Defendant and the issues in this case. You may download an exclusion form at <a href="http://www.rccdatasettlement.com">www.rccdatasettlement.com</a> . The deadline to exclude from the Settlement is <<Date>>.
<b>OBJECT TO THE SETTLEMENT</b>	Write to the Court explaining why you do not agree with the Settlement. The deadline to object is <<Date>>.
<b>ATTEND THE FINAL APPROVAL HEARING</b>	You may ask the Court for permission for you or your attorney to speak about your objection at the Final Approval Hearing. The Final Approval Hearing will be held on <<Date>> at <<Time>>.
<b>DO NOTHING</b>	You get no payment and you give up rights.

- These rights and options—and the deadlines to exercise them—are explained in this Notice. For complete details, please see the Settlement Agreement, whose terms control, available at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).
- The Court in charge of this case still has to decide whether to approve the Settlement. No Settlement benefits or payments will be provided unless the Court approves the Settlement and it becomes Final.

## BASIC INFORMATION

### What is this Notice and why should I read it?

The Court authorized this Notice to inform you about a proposed Settlement with Defendant. You have legal rights and options that you may act on before the Court decides whether to approve the proposed Settlement. You may be eligible to receive a cash payment as part of the Settlement. This Notice explains the lawsuit, the Settlement, and your legal rights.

Judge Leah Dinkelacker of the Hamilton County Court of Common Pleas is overseeing this class action. The case is called *In re Rumpke Data Incident Litigation*, No. A2404930 (Hamilton County) (the “Action”).

Kimberly Whitehead, Jeremy Clark, Josh Forbes and Dalton Pearson are the Plaintiffs. The company they sued, Rumpke Consolidated Companies, Inc., is the Defendant.

## **What is a class action lawsuit?**

A class action is a lawsuit in which one or more plaintiff—in this case, Kimberly Whitehead, Jeremy Clark, Josh Forbes and Dalton Pearson, sue on behalf of a group of people who they allege have similar claims. If certified, together this group is called a “Class” and consists of “Class Members.” In a class action, the court resolves the issues for all class members, except those who exclude themselves from the class. After the Parties reached an agreement to settle the Action, the Court granted preliminary approval of the Settlement and recognized it as a case that should be treated as a class action for settlement purposes only.

## **THE CLAIMS IN THE LAWSUIT AND THE SETTLEMENT**

### **What is this lawsuit about?**

Plaintiffs claim that Defendant failed to implement and maintain reasonable security measures necessary to protect Private Information that it maintained on its database.

Defendant denies any wrongdoing and denies that it is or can be held liable for the claims made in the Action. More information about the allegations in the Action and Defendant’s responses can be found in the “Court Documents” section of the Settlement Website at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).

### **Why is there a Settlement?**

The Court has not decided whether Plaintiffs or Defendant should win the Action. Instead, both sides agreed to this Settlement. That way, they can avoid the uncertainty, risks, and expense of ongoing litigation, and Class Members will get compensation now rather than years later—if ever. The Class Representatives and Class Counsel, attorneys for the Class Members, agree the Settlement is in the best interests of the Class Members. The Settlement is not an admission of wrongdoing by Defendant.

## **WHO’S INCLUDED IN THE SETTLEMENT?**

### **How do I know if I am in the Settlement Class?**

You are part of the Settlement as a Class Member if you are a United States residents whose Private Information was implicated in the Data Incident, including all United States residents to whom Defendant sent direct notice of the Data Incident. The Class specifically excludes: (i) all persons who timely and validly request exclusion from the Class; (ii) the Judge assigned to evaluate the fairness of this Settlement (including any members of the Court’s staff assigned to this case); (iii) Defendant’s officers and directors, and (iv) any person found by a court of competent jurisdiction to be guilty under criminal law of initiating, causing, aiding or abetting the criminal activity occurrence of the Data Incident or who pleads nolo contendere to any such charge.

Eligible Class Members will have been mailed notice of their eligibility by the Settlement Administrator, and Class membership will be verified against that mailed list. If you are still not

sure whether you are included, you can contact the Settlement Administrator by calling toll-free at <<Settlement Toll-Free Number>> or by visiting the Settlement Website at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).

## THE SETTLEMENT BENEFITS

### What does the Settlement provide?

This Settlement provides eligible Class Members with: (1) up to \$5,000 in reimbursement for Out-of-Pocket Losses consisting of actual documented losses or expenses resulting from the Data Incident, and (2) a roughly \$475.00 to \$237.50 cash payment (assuming a claims rate of 5-10% of the 61,850-person Settlement Class); and (3) one (1) year of 3-bureau identity theft and credit monitoring protection. Payments to Class Members are subject to a *pro rata* increase or decrease based on the money remaining in the Settlement Fund at the time of payment.

### Who May Recover for Out-of-Pocket Losses and for How Much?

- If you are a Class Member and you incurred documented out of pocket losses fairly traceable to the Data Incident and/or documented unreimbursed expenses incurred on or after October 2024 that are related to the Data Incident, you may be eligible to receive reimbursement of your losses and expenses up to a total of \$5,000.00 per Class Member. Eligible losses or expenses include, without limitation: (1) unreimbursed costs, expenses, losses or charges incurred a result of identity theft or identity fraud, falsified tax returns, or other possible misuse of a Settlement Class Member's Social Security number; (2) unreimbursed costs incurred on or after October 2024 associated with accessing or freezing/unfreezing credit reports with any credit reporting agency; (3) other unreimbursed miscellaneous expenses incurred related to any Out-of-Pocket Expenses such as notary, fax, postage, copying, mileage, and long-distance telephone charges; (4) other mitigative costs fairly traceable to the Data Incident that were incurred on or after October 2024 through the date of the Settlement Class Member's claim submission; and (5) unpaid time off work to address issues fairly traceable to the Data Incident at the actual hourly rate of that Settlement Class Member.
- Settlement Class Members who elect to submit a claim for reimbursement of Out-of-Pocket Losses must provide to the Settlement Administrator information required to evaluate the claim, including: (1) the Settlement Class Member's name and current address; (2) documentation reasonably supporting their claim; and (3) a brief description of the nature of the loss, if the nature of the loss is not apparent from the documentation alone. Documentation supporting Out-of-Pocket Losses can include receipts or other documentation not "self-prepared" by the Settlement Class Member concerning the costs incurred. "Self-prepared" documents such as handwritten receipts are, by themselves, insufficient to receive reimbursement, but can be considered to clarify or support other submitted documentation. For complete details, please see the Settlement Agreement, whose terms control, available at [www.gsbdatasettlement.com](http://www.gsbdatasettlement.com). The Settlement Administrator will post additional information about the payment amount on [www.rccdatasettlement.com](http://www.rccdatasettlement.com), if necessary.

## **Who May Receive a separate *Pro Rata* Cash Payment and for How Much?**

All Class Members may make a claim to receive a cash payment of between approximately \$475.00 and \$237.50 (assuming a claims rate of 5-10% of the roughly 16,946-person Settlement Class) that will be adjusted up or down to account for the money remaining in the Settlement Fund after the payment of attorneys' fees and costs, settlement administration costs, class representative service awards, valid claims for out-of-pocket losses, and identity theft monitoring subscriptions. Class Members do not need to suffer Out-of-Pocket Losses for eligibility to file a claim for a *pro rata* cash payment.

## **Who May Receive Identity Theft and Credit Monitoring Protection?**

All Class Members may submit a claim to receive one (1) year of 3-bureau identity theft and credit monitoring protection services.

**Maximum Settlement Contribution:** Under this Settlement, the maximum total amount Defendant may be required to pay is \$750,000.00. This maximum includes all class member benefits, attorneys' fees, costs, expenses, and class representative service awards. In no event shall Defendant's total financial obligation under the Settlement exceed \$750,000.00.

## **HOW TO GET BENEFITS**

### **How do I make a Claim?**

By submitting a valid claim form by on or before the claim deadline of [Month/Date/Year]. If you received the notice from the Settlement Administrator and/or a notification letter from Rumpke, you can make a claim by filling out and submitting the claim form available at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).

You can also contact the Settlement Administrator to request a paper claim form by telephone (1-800-XXX-XXXX), email (info@ <<Email>>), or U.S. mail Simpluris>>, Rumpke Data Settlement, (address, city) (Zip)).

Claims will be subject to a verification process. You will need the Unique ID provided on the front of your postcard Notice to fill out a Claim Form. If you do not know your Unique ID, please contact the Settlement Administrator.

### **When will I get my payment?**

The hearing to consider the fairness of the Settlement is scheduled for <<DATE>>, <<TIME>>. If the Court approves the Settlement, eligible Settlement Class Members whose claims were approved by the Settlement Administrator will be sent payment after all appeals and other reviews, if any, are completed. Please be patient. Eligible claims will be paid to Class Members via written check unless a Class Member chooses to receive payment electronically. All checks will expire and become void 180 days after they are issued.

## **THE LAWYERS REPRESENTING YOU**

### **Do I have a lawyer in this case?**

Yes, the Court has appointed Terence R. Coates and Jonathan T. Deters of Markovits, Stock & DeMarco, LLC and Grayson Wells of Stanch of Stranch, Jennings & Garvey, PLLC as “Class Counsel.”

### **Should I get my own lawyer?**

You don’t need to hire your own lawyer because Class Counsel are working on your behalf. These lawyers and their firms are experienced in handling similar cases. You will not be charged for these lawyers. You can ask your own lawyer to appear in Court for you, at your own cost, if you want someone other than Class Counsel to represent you.

### **How will the lawyers be paid?**

Class Counsel will ask the Court for attorneys’ fees, costs, and expenses that will be paid from the Settlement Fund. Class Counsel will not seek more than one-third of the Settlement Fund (\$250,000.00) in attorneys’ fees and up to \$30,000.00 in litigation costs and expenses. Class Counsel will also request a service award of up to \$5,000 for each of the class representatives. The Court will determine the proper amount of any attorneys’ fees, costs, and expenses to award Class Counsel and the proper amount of any service awards to the Class Representative. The Court may award less than the amounts requested.

## **YOUR RIGHTS AND OPTIONS**

### **What claims do I give up by participating in this Settlement?**

If you do not exclude yourself from the Settlement, you will not be able to sue Defendant about the issues in the Action, and you will be bound by all decisions made by the Court in this case, the Settlement, and its included Release. This is true regardless of whether you submit a Claim Form. You can read the Settlement Agreement at [www.rccdatasettlement.com](http://www.rccdatasettlement.com). However, you may exclude yourself from the Settlement. If you exclude yourself from the Settlement, you will not be bound by any of the Released Claims.

“Released Claims” means any and all past, present, and future claims and causes of action related to the Data Incident, including but not limited to, any causes of action arising under or premised upon any statute, constitution, law, ordinance, treaty, regulation, or common law of any country, state, province, county, city, or municipality, including 15 U.S.C. § 45, et seq., and all similar statutes in effect in any states in the United States as defined below; state consumer-protection statutes; negligence; negligence per se; breach of contract; breach of implied contract; breach of fiduciary duty; breach of confidence; invasion of privacy; fraud; misrepresentation (whether fraudulent, negligent or innocent); unjust enrichment; bailment; wantonness; failure to provide adequate notice pursuant to any breach notification statute or common law duty; and including,

but not limited to, any and all claims for damages, injunctive relief, disgorgement, declaratory relief or judgment, equitable relief, attorneys' fees and expenses, pre-judgment interest, credit monitoring services, the creation of a fund for future damages, statutory damages, punitive damages, special damages, exemplary damages, restitution, and/or the appointment of a receiver, whether known or unknown, liquidated or unliquidated, accrued or unaccrued, fixed or contingent, direct or derivative, and any other form of legal or equitable relief that either has been asserted, was asserted, or could have been asserted, by any Class Member against any of the Released Parties based on, relating to, concerning or arising out of the alleged Data Incident or the allegations, transactions, occurrences, facts, or circumstances alleged in or otherwise described in the Litigation.

The Settlement Agreement in Section VIII describes the Release, Released Claims, and timeline to submit valid claims in necessary legal terminology, so please read these sections carefully. The Settlement Agreement is available at [www.rccdatasettlement.com](http://www.rccdatasettlement.com) or in the public court records on file in the Action.

The Released Claims shall not include the right of Plaintiffs, any Class Member, or any Releasing Party to enforce the terms of the Settlement Agreement.

### **What happens if I do nothing at all?**

If you do nothing, you will receive no payment under the Settlement for any losses incurred as a result of the Data Incident. You will be in the Class, and if the Court approves the Settlement, you will also be bound by all orders and judgments of the Court, the Settlement, and its included Release. You will be deemed to have participated in the Settlement and will be subject to the provisions of Section 11 above. Unless you exclude yourself, you won't be able to file a lawsuit or be part of any other lawsuit against Defendant for the claims or legal issues resolved in this Settlement.

### **What happens if I ask to be excluded?**

If you opt-out of the Settlement, you will not have any rights as a member of the Class under the Settlement terms; you will not receive any payment as part of the Settlement; you will not be bound by any further orders or judgments in this case; and you will keep the right, if any, to sue on the claims alleged in this lawsuit at your own expense.

### **How do I ask to be excluded?**

You can ask to be excluded from the Settlement. To do so, you must mail a letter or exclusion form stating: (1) the name of the proceeding, *In re Rumpke Data Incident Litigation*, No. A2404930 (Hamilton County); (2) your full name; (3) your current address; (4) your personal signature; and (5) a clear statement of your intent to opt-out of or exclude yourself from the Settlement. You must mail your exclusion request, postmarked no later than <<ExclusionDeadline>>, to the following address:

***In re Rumpke Data Incident Litigation Settlement***  
c/o << Simpluris >>

You cannot exclude yourself by phone or email. Each individual who wants to be excluded from the Settlement must submit his or her own exclusion request. No group opt-outs shall be permitted.

**If I don't exclude myself, can I sue Defendant for the same thing later?**

No. Unless you exclude yourself, you give up any right to sue Defendant for the claims being resolved by this Settlement even if you do nothing.

**If I exclude myself, can I get anything from this Settlement?**

No. If you exclude yourself, do not submit a Claim Form to ask for a payment.

**How do I object to the Settlement?**

If you did not exclude yourself from the Class and think that the Court should not approve the Settlement, you can object to the Settlement and provide reasons why you think the Settlement should not be approved. Such notice must state: (i) your full name and address; (ii) the case name and docket number, *In re Rumpke Data Incident Litigation*, No. A2404930 (Hamilton County); (iii) information identifying yourself as a Class Member, including proof that you are a member of the Class (e.g., copy of your settlement notice, copy of original notice of the Data Incident, or a statement explaining why you believe you are a Class Member); (iv) a written statement of all grounds for the objection, accompanied by any legal support for the objection that you believe is applicable; (v) the identity of any and all counsel representing you in connection with your objection; (vi) a statement whether you and/or your counsel will appear at the Final Approval Hearing; and (vii) your signature or the signature of your duly authorized attorney or other duly authorized representative (if any) representing you in connection with the objection.

To be timely, written notice of an objection in the appropriate form must be mailed, with a postmark date no later than <<Objection Date>>, to the Settlement Administrator, Simpluris, at <<Address>>. You or your counsel shall also file any Objection with the Court through the Court's ECF system or by submitting your objection to the Clerk of Court, which is located at <<Address>>.

For all objections mailed to the Settlement Administrator, Class Counsel will file them with the Court with the Motion for Final Approval of the Settlement, unless the Objection(s) were previously filed on the docket.

**What's the difference between objecting and excluding myself from the Settlement?**

Objecting simply means telling the Court that you don't like something about the Settlement. You can object only if you stay in the Class. Excluding yourself from the Class is telling the Court that you don't want to be part of the Class. If you exclude yourself, you have no basis to object because the case no longer affects you.

## THE COURT'S FAIRNESS HEARING

### When and where will the Court hold a hearing on the fairness of the Settlement?

The Court will hold the Final Approval Hearing on <<Final Approval Hearing Date and Time>> at the <<Court Address>>. The purpose of the hearing is for the Court to determine whether the Settlement is fair, reasonable, adequate, and in the best interests of the Class. At the hearing, the Court will hear any objections and arguments concerning the fairness of the proposed Settlement, including those related to the amount requested by Class Counsel for attorneys' fees, costs, and expenses and the Service Awards to the Class Representatives.

**Note:** The date and time of the Final Approval Hearing are subject to change by Court Order. Any changes will be posted at the Settlement Website, [www.rccdatasettlement.com](http://www.rccdatasettlement.com), or through the Court's publicly available docket. You should check the Settlement Website to confirm the date and time have not been changed.

### Do I have to come to the hearing?

No. Class Counsel will answer any questions the Court may have, but you are welcome to attend the hearing at your own expense. If you send an objection, you don't have to come to Court to talk about it. As long as your written objection was filed or mailed on time and meets the other criteria described in the Settlement Agreement, the Court will consider it. You may also pay a lawyer to attend on your behalf at your own expense, but you don't have to.

### May I speak at the Hearing?

Yes. If you do not exclude yourself from the Class, you may ask the Court for permission to speak at the Final Approval Hearing concerning any part of the proposed Settlement.

## GETTING MORE INFORMATION

### Where can I get additional information?

This notice summarizes the proposed Settlement. More details are in the Settlement Agreement, which is available at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).

YOU MAY CONTACT THE SETTLEMENT ADMINISTRATOR ONLINE AT [WWW.RCCDATASETTLEMENT.COM](http://WWW.RCCDATASETTLEMENT.COM), BY CALLING TOLL-FREE AT, <<SETTLEMENTTOLLFREENUMBER>>, BY EMAIL AT <<Email>>, OR WRITING TO:

*In re Rumpke Data Incident Litigation*  
c/o <<Settlement Administrator>>

**PLEASE DO NOT CALL THE COURT, THE CLERK OF THE COURT, THE JUDGE, OR THE DEFENDANT WITH QUESTIONS ABOUT THE SETTLEMENT OR CLAIMS PROCESS**

# EXHIBIT C

In re Rumpke Data  
Incident Litigation,  
c/o Settlement Administrator  
P.O. Box XXXXX  
City, State Zip

FIRST-CLASS MAIL  
U.S. POSTAGE PAID  
CITY, STATE ZIP  
PERMIT NO. XXXX

NOTICE OF CLASS ACTION

SETTLEMENT

You may be entitled to submit a claim  
for monetary compensation under a  
class action settlement.

<<Barcode>>  
Class Member ID: <<Refnum>>  
<<FirstName>> <<LastName>>  
<<BusinessName>>  
<<Address>>  
<<Address2>>  
<<City>>, <<ST>> <<Zip>>-<<zip4>>

**<<Settlement Website>>**

## WHO IS A CLASS MEMBER?

In the lawsuit *In re Rumpke Data Incident Litigation*, No. A2404930 (Hamilton County) you are a class member if your personal information was implicated in the data security incident that Defendant Rumpke experienced in October 2024 (the “Data Incident”), including those to whom Rumpke sent direct notice of the Data Incident.

### WHAT ARE THE SETTLEMENT BENEFITS AND TERMS?

Under the Settlement, Rumpke has agreed to pay \$750,000.00 into a Settlement Fund which will be distributed to Class Members who submit valid claims. Class Members who believe they suffered out-of-pocket losses or expenses as a result of the Data Incident may claim up to \$5,000 for the reimbursement of sufficiently documented losses or expenses. All Class Attorneys’ fees and expenses will be paid exclusively from the Settlement Fund as awarded and approved by the Court. Class Counsel will request approximately \$237.50, which will be *pro rata* adjusted up or down based on the balance of the Settlement Fund after payments for other class member expenses in an amount not exceeding \$30,000.00. The motion for benefits, settlement administration expenses, attorneys’ fees and expenses, attorney expenses, and any class representative service awards. Class Members may also submit a claim for one year of 3-bureau identity theft and credit monitoring protection services. More information about the types of claims and how to file them is available at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).

### WHAT ARE YOUR RIGHTS AND OPTIONS?

**Submit a Claim Form.** To qualify for a cash payment, you must timely mail a Claim Form that is attached to this notice or complete and submit a Claim Form online at [www.rccdatasettlement.com](http://www.rccdatasettlement.com). Your Claim Form must be postmarked or submitted online no later than **[REDACTED], 2026**. If you don’t exclude yourself, you will be bound by payment. If you wish to receive just a *pro rata* cash payment and **[REDACTED]** the Settlement and give up your right to sue regarding the released claims. **[REDACTED]**, the attached tear off Claim Form will suffice. A longer **[REDACTED]**. If you do not exclude yourself, you have the right to object to version of the Claim Form may be accessed on the Settlement Website. **[REDACTED], 2026**, and provide the reasons for the objection. If you

intend to file an objection, please review the full requirements for filing an objection located at [www.rccdatasettlement.com](http://www.rccdatasettlement.com).

**Do Nothing.** If you do nothing, you will not receive a Settlement payment. If you do nothing, you will lose the right to sue regarding the released claims.

**Attend the Final Approval Hearing.** The Court will hold a Final Approval Hearing at **[REDACTED] m. on [REDACTED], 2026** to determine if the Settlement is fair, reasonable, and adequate. All persons who timely object to the Settlement may appear at the Final Approval Hearing.

### Who are the attorneys for the Plaintiff and the proposed Class?

The Court has appointed Terence R. Coates and Jonathan T. Deters of Markovits, Stock, & DeMarco, LLC and Grayson Wells of Stranch, Jennings & Garvey, PLLC to represent the Class.

**Do I have any obligation to pay attorneys’ fees or expenses?** No. Class Attorneys’ fees and expenses will be paid exclusively from the Settlement Fund as awarded and approved by the Court. Class Counsel will request approximately \$237.50, which will be *pro rata* adjusted up or down based on the balance of the Settlement Fund after payments for other class member expenses in an amount not exceeding \$30,000.00. The motion for benefits, settlement administration expenses, attorneys’ fees and expenses, attorney expenses, and any class representative service awards. Class Members may also submit a claim for one year of 3-bureau identity theft and credit monitoring How much are the Class Representative Service Awards? The five Class Representatives will seek Service Awards up to \$5,000 each for their efforts in this case.

### Who is the Judge overseeing this Settlement?

Judge Leah Dinkelacker of the Hamilton County Court of Common Pleas.

**Where may I locate a copy of the Settlement Agreement, learn more about the case, or learn more about submitting a Claim?**

[www.rccdatasettlement.com](http://www.rccdatasettlement.com)

**Opt Out.** You may exclude yourself from the Settlement and retain your ability to sue Rumpke on your own by mailing a written request for exclusion to the Settlement Administrator that is postmarked no later than **[REDACTED], 2026**. If you don’t exclude yourself, you will be bound by payment. If you wish to receive just a *pro rata* cash payment and **[REDACTED]** the Settlement and give up your right to sue regarding the released claims. **[REDACTED]**, the attached tear off Claim Form will suffice. A longer **[REDACTED]**. If you do not exclude yourself, you have the right to object to version of the Claim Form may be accessed on the Settlement Website. **[REDACTED], 2026**, and provide the reasons for the objection. If you

**This Notice is a summary of the proposed Settlement.**

Postage  
Required

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In re Rumple Data  
Incident Litigation  
c/o Settlement Administrator  
**P.O. Box XXXXX**  
City, State Zip

<< Bar code >> Class Member ID:  
<<Refnum>>

**CLAIM FORM**

**Claims must be postmarked or submitted online no later than \_\_\_\_\_, 2026.**

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

**1. Pro Rata Cash Payment:** Would you like to receive a cash payment under the Settlement? (circle)    Yes    No

\*Settlement Class Members may receive pro rata cash payments of approximately \$237.50, which shall be adjusted up or down on a pro rata basis from money remaining in the Settlement Fund after all other class member benefits, attorneys' fees, expenses, and service awards are paid. All Settlement Class Members are eligible for this payment. You may choose any or all of the available benefits when submitting a claim.

**2. Credit Monitoring:** Would you like to receive one year of three-bureau identity theft and credit monitoring protection services? (circle)    Yes    No

**You are also permitted to submit a claim for Verified Out-of-Pocket Losses. To submit a claim for Documented Out-of-Pocket Losses you incurred as a result of the Data incident, you need to visit [www.rccdatasettlement.com](http://www.rccdatasettlement.com) to complete a claim form.**

**You are required to provide supporting third-party documentation to support your claim for out-of-pocket losses or expenses, such as providing copies of receipts, bank statements, reports, or other documentation supporting your claim. "Self-prepared" documents, such as handwritten receipts, are insufficient by themselves but can be considered to add clarity or support with other submitted documentation. If you lack information and documentation supporting your claim for verified out-of-pocket losses or expenses, you will not receive compensation for this settlement benefit. It is recommended that claims for out-of-pocket loss or expense reimbursement be submitted online at [www.rccdatasettlement.com](http://www.rccdatasettlement.com), which will allow you to upload documentation through the claim portal.**

**By signing my name below, I swear and affirm that I have read this claim form and that the information I have provided is true and accurate, and that I am completing this claim form to the best of my personal knowledge.**

\_\_\_\_\_  
(signature)

# Rumpke Consolidated Companies, Inc.

## Settlement Agreement

Final Audit Report

2025-11-13

Created:	2025-11-12
By:	Raya Jacob (rjacob@eksm.com)
Status:	Signed
Transaction ID:	CBJCHBCAABAA6WZZ7n6cdJszado-3jwLhY-27XmfBCZV

## "Rumpke Consolidated Companies, Inc. Settlement Agreement" History

-  Document created by Raya Jacob (rjacob@eksm.com)  
2025-11-12 - 3:59:47 PM GMT
-  Document emailed to Josh Forbes (forbesj071@gmail.com) for signature  
2025-11-12 - 3:59:56 PM GMT
-  Document emailed to Dalton Pearson (daltonpearson79@gmail.com) for signature  
2025-11-12 - 3:59:56 PM GMT
-  Email viewed by Dalton Pearson (daltonpearson79@gmail.com)  
2025-11-12 - 6:25:19 PM GMT
-  Document e-signed by Dalton Pearson (daltonpearson79@gmail.com)  
Signature Date: 2025-11-12 - 6:26:18 PM GMT - Time Source: server
-  Email viewed by Josh Forbes (forbesj071@gmail.com)  
2025-11-13 - 3:35:07 AM GMT
-  Document e-signed by Josh Forbes (forbesj071@gmail.com)  
Signature Date: 2025-11-13 - 3:37:24 AM GMT - Time Source: server
-  Agreement completed.  
2025-11-13 - 3:37:24 AM GMT



Adobe Acrobat Sign

# ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: [\\$750K Rumpke Settlement Resolves Lawsuit Over Data Breach Discovered in Oct. 2024](#)

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