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14	UNITED STATE	ES DIST	RICT COURT	Γ
15	CENTRAL DISTR	RICT OF	CALIFORN	ĺΑ
16 17	WESTER	RN DIV	ISION	
17 18	STEVE NUNEZ in dividually and on		No. 2:20-cv-0	2016
18 19	STEVE NUNEZ, individually and on behalf of all others similarly situated,			S840 COMPLAINT
20	Plaintiff,		and for Jury 7	
20	V.	Dema	ind for bury	
22	UNILEVER UNITED STATES,			
23	INC.,			
24	Defendant.			
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1	Plaintiff Steve Nuñez ("Plaintiff"), on behalf of himself and all others
2	similarly situated, brings this Class Action Complaint against Unilever United
3	States, Inc. ("Defendant" or "Unilever"), pursuant to the Class Action Fairness Act
4	of 2005, 28 U.S.C. § 1332(d), and on the basis of personal knowledge, information
5	and belief, and investigation of counsel, alleges as follows:
6	INTRODUCTION
7	1. Breyers Natural Vanilla Ice Cream is an ice cream manufactured, sold,
8	and marketed by Unilever.
9	2. During the period from April 27, 2016, to the present, Plaintiff
10	purchased Breyers Natural Vanilla Ice Cream in California.
11	3. Unilever falsely and misleadingly markets Breyers Natural Vanilla Ice
12	Cream to consumers as containing only vanilla flavor from vanilla (i.e., the vanilla
13	plant) and not from non-vanilla sources. Unfortunately for consumers, this is
14	untrue, as much of the vanilla flavor comes from non-vanilla plant sources.
15	4. In fact, Breyers Natural Vanilla Ice Cream has, at most, only a trace of
16	real vanilla, and what consumers taste is vanilla flavor provided by non-vanilla
17	sources.
18	5. Rather than only containing real vanilla, Breyers Natural Vanilla Ice
19	Cream contains non-vanilla flavors and vanilla enhancers which are not disclosed,
20	contrary to the legal requirements and expectations of reasonable consumers.
21	6. Unilever charges a price premium for Breyers Natural Vanilla Ice
22	Cream.
23	7. Plaintiff would not have purchased or paid more for Breyers Natural
24	Vanilla Ice Cream had Plaintiff realized that much, if not all, of the vanilla flavor
25	came from non-vanilla plant sources.
26	8. Plaintiff would not have purchased or paid more for Breyers Natural
27	Vanilla Ice Cream had Plaintiff known that it does not exclusively contain flavor
28	derived from vanilla beans.

9. On behalf of himself and the proposed class members, Plaintiff seeks
 damages and an injunction to stop Unilever's false and misleading marketing
 practices with regard to Breyers Natural Vanilla Ice Cream.

JURISDICTION AND VENUE

5 10. This Court has jurisdiction over this action under the Class Action
6 Fairness Act of 2005, 28 U.S.C. § 1332(d). The amount in controversy exceeds the
7 sum or value of \$5,000,000, exclusive of interest and costs, and the parties are
8 citizens of different states.

9 11. Venue is proper in this Court pursuant to 28 U.S.C. § 1391 because a
10 substantial part of the events and omissions giving rise to Plaintiff's claims
11 occurred in this District, and Defendant (1) is authorized to conduct business in this
12 District and has intentionally availed itself of the laws and markets of this District
13 through the promotion, marketing, distribution, and sale of its products here, (2)
14 resides in this District, and (3) is subject to personal jurisdiction in this District.

15

4

PARTIES

16 12. Plaintiff Steve Nuñez is a resident of the City and County of Los17 Angeles, California.

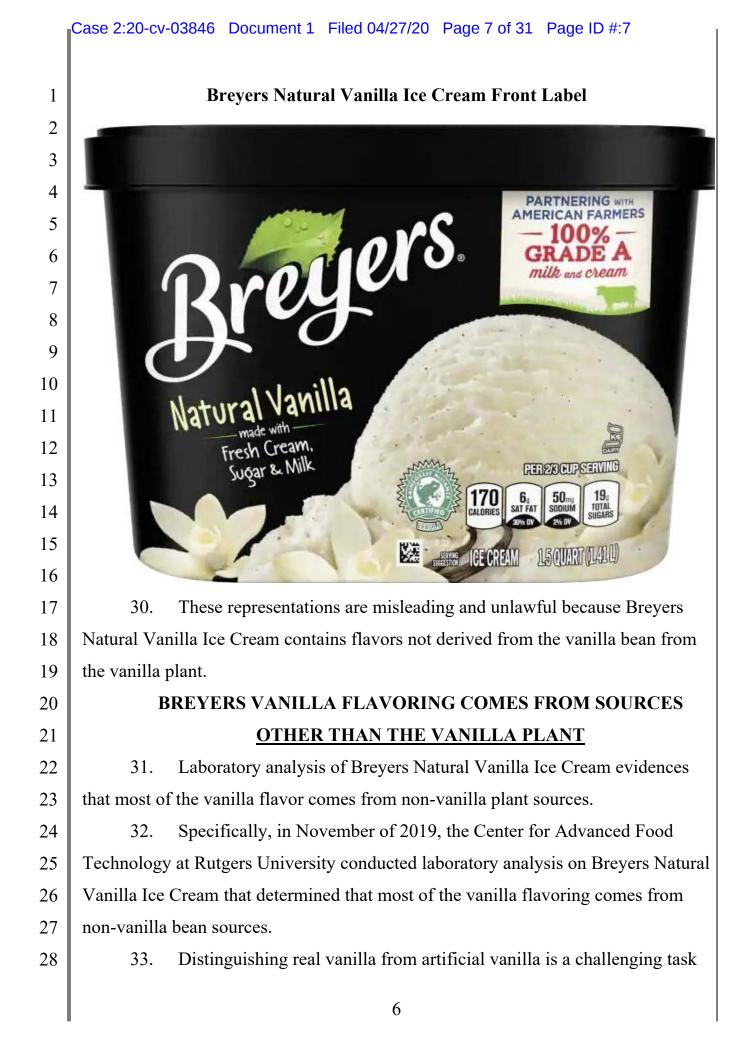
18 13. During the period from April 27, 2016, to the present, in California,
19 Plaintiff purchased Breyers Natural Vanilla Ice Cream for personal, family, or
20 household use.

21 14. The front of the cartons of Breyers Natural Vanilla Ice Cream that 22 Plaintiff purchased said "Natural Vanilla" in large, light green letters against a black background, and the front of the cartons contained pictures of two vanilla 23 beans and vanilla flowers and a scoop of the ice cream with noticeable specks 24 25 purporting to be actual vanilla beans. Plaintiff relied upon these representations when Plaintiff purchased Breyers Natural Vanilla Ice Cream. Plaintiff believed that 26 27 the vanilla flavor in the Breyers Natural Vanilla Ice Cream would come only from 28 the vanilla plant. Plaintiff would not have purchased Breyers Natural Vanilla Ice

1	Cream had Plaintiff understood the true flavor composition of the product.
2	15. Plaintiff would purchase Breyers Natural Vanilla Ice Cream again in
3	the future if the product were remedied to reflect Defendant's labeling and
4	marketing claims for it.
5	16. Defendant Unilever United States, Inc., is incorporated in Delaware,
6	with its principal place of business in Englewood Cliffs, New Jersey.
7	17. Unilever is one of the world's largest food and consumer packaged
8	goods companies, and it produces and markets ice cream products in the United
9	States and throughout the world. Unilever sells ice cream under the "Breyers" brand
10	name throughout California.
11	FACTUAL ALLEGATIONS
12	18. As "natural, organic and better-for-you trends proliferate, demand has
13	flourished for naturally sourced vanilla." ¹
14	19. Manufacturers have responded "by transitioning from artificial to
15	natural ingredients," including "natural vanilla ingredients." ²
16	20. However, global climate disruptions resulting in natural disasters
17	befalling the primary vanilla producing country of Madagascar, have caused global
18	vanilla shortages.
19	21. This disruption in available vanilla has caused companies to cut
20	corners when it comes to their premium vanilla ice cream products.
21	22. According to John B. Hallagan and Joanna Drake, the former and
22	current legal advisors for The Flavor and Extract Manufacturers Association of the
23	United States ("FEMA"):
24	When consumers purchase ice cream labeled as "vanilla ice cream" they
25	expect it to be flavored with vanilla flavoring derived from vanilla beans
26	unless labeled otherwise. As we shall see, this expectation is codified in
27	¹ Amanda Del Buono, <u>Suppliers utilize cost-effective vanilla ingredient solutions</u> , Beverage Industry (last updated Oct. 14, 2016).
28	Beverage Industry (last updated Oct. 14, 2016). ² Id.

1	two U.S. federal standards of identity, one for vanilla flavorings and one
2	for ice cream. ³ (emphasis added).
3	23. 21 C.F.R. § 135.110(f)(2)(i), referred to as "Category 1," states:
4	If the food contains no artificial flavor, the name on the principal display
5	panel or panels of the label shall be accompanied by the common or
6	usual name of the characterizing flavor, e.g., "vanilla", in letters not less
7	than one-half the height of the letters used in the words "ice cream".
8	24. That the ice cream regulations are meant to be read "together with the
9	vanilla standard of identity means that the characterizing flavor for this [Category
10	1] ice cream must be provided only by vanilla extract complying with the standard
11	at 21 CFR Section 169.175, or another standardized vanilla flavoring derived solely
12	from vanilla beans." ⁴
13	25. The International Dairy Foods Association summarized the unique
14	distinction between natural and artificial flavors in the context of ice cream as
15	follows:
16	Flavors which are derived from natural sources other than the
17	characterizing flavor and simulate, resemble or reinforce the
18	characterizing flavor, are considered artificial flavors. Products flavored
19	in such a manner must be labeled according to either flavor labeling
20	requirements of Category II or III products. ⁵
21	26. Though the text of 21 C.F.R. § 135.110(f)(2)(i) does not distinguish
22	between flavor from the natural characterizing flavor and natural flavors from
23	sources other than the characterizing flavor, the regulations for vanilla and ice
24	cream products "are supplemented by a formal [Food and Drug Administration
25	('FDA')] Advisory Opinion, and a collection of FDA-issued regulatory
26	³ John B. Hallagan and Joanna Drake, The Flavor and Extract Manufacturers
27	Association of the United States, " <u>Labeling Vanilla Flavorings and Vanilla-</u> <u>Flavored Foods in the U.S.</u> ," Perfumer & Flavorist, Apr. 25, 2018.
28	⁴ Hallagan, <i>supra</i> note 3, at p. 11. ⁵ IDFA, Ice Cream & Frozen Desserts Labeling Manual, 2019 Ed.

1	correspondence." ⁶
2	27. The 1983 Advisory Opinion cited by Hallagan and Drake states that 21
3	C.F.R. § 135.110(f) "makes no provision for any natural flavors other than natural
4	characterizing flavors," which means the "FDA must treat all natural flavors that
5	simulate the characterizing flavor as artificial flavors when deciding what name
6	should appear on the principal display panel."7
7	SPECIFIC MISREPRESENTATIONS,
8	MATERIAL OMISSIONS, AND DECEPTIVE ACTS
9	Misleading and Deceptive Name
10	28. The Breyers Natural Vanilla Ice Cream product name is false,
11	misleading, and unlawful because Breyers Natural Vanilla Ice Cream does not get
12	its flavoring only from vanilla beans, but the product name implies otherwise.
13	29. The front label of Breyers Natural Vanilla Ice Cream is represented as
14	complying with 21 C.F.R. § 135.110(f)(2)(i) ("Category 1") because the front label
15	only mentions the "Natural Vanilla" flavoring through the name, "Natural Vanilla,"
16	pictures of vanilla beans and vanilla flowers, "Rainforest Alliance Certified
17	Vanilla" seal, and picture of the ice cream containing what appear to be vanilla
18	bean specks, as the image below shows:
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28	 ⁶ Hallagan, <i>supra</i> note 3, at p. 1. ⁷ Hallagan, endnote 7, FDA, 1983. Letter dated 9 February 1983 from FDA to FEMA constituting an FDA Advisory Opinion.



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because every time a new high tech authentication method is adopted, "bad actors"
 find ways to "beat the test," such as artificial vanillin designed to contain isotopes
 present in real vanilla.

4 34. This is why identifying the presence and amount of the following four
5 vanilla marker compounds is valuable:

6	Compounds	Percent Present in Vanilla Beans
7	vanillin	1.3-1.7 %
8	p-hydroxybenzaldehyde	0.1%
9	vanillic acid	0.05%
10	p-hydroxybenzoic acid	0.03%

35. The testing of Defendant's Breyers Natural Vanilla Ice Cream did not
detect p-hydroxybenzaldehyde, vanillic acid, or p-hydroxybenzoic acid, which
means the Breyers Natural Vanilla Ice Cream has, at most, a small amount of
vanilla from vanilla beans, in accordance with the following chart:

	Case 2:20-c	:v-03846 [Document 1	Filed 04/27/20	Page 9 of 31	Page ID #:	9
1 2	Meti	hylene Chlor	P	eyer's Natural Vani roduction Code: 29 10.0 g with 1 ppm I	230 A 07:58	Std. by P&T-1	D-GC-MS
3	Data File	e = TSQA3571	I				
4 5			Peak Assignm	nent			Conc. PPM w/w
6	205 250		acetic acid diacetyl				0.022 0.797
6	342	268657					0.059
7	447 474		butyric acid ethyl lactate				0.097 0.503
	510		dimethylsulfoxi	ide (DMSO)			0.149
8	632	14688936	dimethyl sulfor				3.230
0	684		hexanoic acid				1.226
9	750 769		benzyl alcohol heptanoic acid				0.025 0.125
10	806		guaiacol				0.119
10	813		nonanal				0.102
11	836	139435					0.031
	885 890		octanoic acid benzoic acid				2.654 0.017
12	912		2-methoxy-4-m	ethylphenol			0.069
13	928			8 (internal standard)			1.000
13	971		nonanoic acid				0.845
14	1007 1030		delta-nonalacto 2,4-decadienal				0.041 0.033
	1065		decanoic acid	1			1.540
15	1121	25782638	vanillin				5.670
10	1140		undecanoic ac				0.028
16	1158 1195		vanillyl ethyl et delta-decalacto				0.083 0.074
17	1225		lauric acid				0.327
1/	1338		gamma-dodeca				0.018
18	1365		delta-dodecala	ctone			0.059
	1381	405333	myristic acid	ng internal standard	4)		0.089
19				•	-,		
20	1365		delta-dodecala	ctone			0.059
20	1381	405333	myristic acid	ng internal standard	4)		0.089
21			Total (excluding	ng memai standari	.,		10.05
22	37.	Moreov	ver, the fact	that Breyers Na	atural Vanilla	Ice Cream h	as elevated
23	levels of v	vanillin evi	idences that	the vanillin is f	rom non-vani	lla bean sou	rces, which
24	can incluc	le ferulic a	icid or wood	l pulp (referred	to as lignin).		
25	38.	The abs	sence of det	ectable levels of	f p-hydroxybe	enzaldehyde	, p-
26	hydroxyb	enzoic acio	d, and vanil	lic acid, coupled	l with vanillin	levels, indi	cates this
27	vanillin is	not real v	anilla from	the vanilla plan	t, which decei	ves consum	ers.
28	39.	The Bro	eyers Natur	al Vanilla Ice C	ream also con	tains maltol	, a
				8			

compound not found in vanilla beans, but which is "used to enhance the flavor and
 aroma of fruit, vanilla, and chocolate flavored foods and beverages."⁸

40. That Breyers Natural Vanilla Ice Cream contains non-vanilla flavors
can also be concluded by experts in the field of food labeling (but not the
reasonable consumer) from the side panel of the Breyers Natural Vanilla Ice Cream
packaging, which states as follows:

INGREDIENTS: MILK, CREAM, SUGAR, VEGETABLE GUM (TARA), NATURAL FLAVOR. Nutrition Facts Serving Size 1/2 cup (66g) Servings Per Container 12 **Amount Per Serving** ©UNILEVER ENGLEWOOD CLIFFS Calories 130 Calories from Fat 60 NJ 07632 USA % Daily Value* **Questions or Comments** 11% Total Fat 7g call or visit us at Saturated Fat 4g 20% 1-800-931-2826 Trans Fat Og www.breyers.com 7% Cholesterol 20mg Natural flavor made with vanilla beans from Rainforest Alliance Certified ™ farms. Sodium 35mg 1% Total Carbohydrate 14g 5% **Dietary Fiber 0g** 0% See bottom panel for Best if Used By date Sugars 14g Protein 2g Vitamin A 4% • Vitamin C 0% Calcium 8% • Iron 0% *Percent Daily Values are based on a 2.000 calorie diet. Your daily values may be higher or lower depending on your calorie needs: Calories: 2.000 2,500 Total Fat Less than 65g 80a Saturated Fat Less than 20g 250 Cholesterol Less than 300mg 300mg Less than 2,400mg 2,400mg Sodium Total Carbohydrate 300g 375g **Dietary Fiber** 25g 30g

Information Panel

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- 41. The ingredient list identifies "MILK, CREAM, SUGAR,
- 23 VEGETABLE GUM (TARA), NATURAL FLAVOR."
- 42. The flavor compound analysis indicating flavors not found in vanilla
 and flavors used to enhance vanilla (i.e., maltol), and the ingredient list not
 specifying "vanilla extract complying with the standard at 21 CFR Section 169.175,
 or another standardized vanilla flavoring derived solely from vanilla beans,"
- ⁸ Natural Maltol, Elan Chemical Company Inc., UL Prospector, <u>https://www.ulprospector.com/en/na/Food/Detail/10751/327455/Natural-Maltol</u>.

support the conclusion that Breyers Natural Vanilla Ice Cream contains non-vanilla
 artificial flavors that give the impression of more vanilla.⁹

43. The Breyers Natural Vanilla Ice Cream cannot be "Category 1"
because its side panel concedes it does not contain only vanilla flavor from the
vanilla plant.

6 44. Assuming the Breyers Natural Vanilla Ice Cream contains a small
7 amount of vanilla, this is its "natural characterizing flavor."

45. 8 However, the laboratory analysis shows that "the artificial flavor 9 predominates" in the Breyers Natural Vanilla Ice Cream because the vanillin levels appear to be much greater than 1 ounce per unit of vanilla constituent. See 21 10 11 C.F.R. § 135.110(f)(2)(iii) ("If the food contains both a natural characterizing flavor 12 and an artificial flavor simulating it, and if the artificial flavor predominates, ... the 13 name on the principal display panel or panels of the label shall be accompanied by the common name of the characterizing flavor ..., preceded by 'artificial' or 14 'artificially flavored''); see also C.F.R. §135.110(f)(5)(i) ("An artificial flavor 15 16 simulating the characterizing flavor shall be deemed to predominate: (i) In the case 17 of vanilla beans or vanilla extract used in combination with vanillin if the amount of vanillin used is greater than 1 ounce per unit of vanilla constituent"). 18

46. Due to the artificial vanilla flavor predominating, the Breyers Natural
Vanilla Ice Cream is required to be labeled as "artificial vanilla" or "artificially
flavored vanilla." *See* 21 C.F.R. § 135.110(f)(2)(iii).

47. Even if the amount of vanillin were not "greater than 1 ounce per unit
of vanilla constituent," the Breyers Natural Vanilla Ice Cream would still be
required to say "artificial vanilla" because it contains maltol, a "flavor from a nonvanilla bean source (which simulates, resembles, or reinforces the vanilla flavor)."¹⁰
48. The alternate side panel describes the Breyers Natural Vanilla Ice

27 Cream as follows:

⁹ Hallagan, *supra* note 3. ¹⁰ FDA, Newberry to Thompson, October 30, 1979.



unlawful because even though its "Flavors Come From Natural Sources" and are
 listed on the ingredient list as "Natural Flavor," the non-vanilla flavors are deemed
 "artificial" in the context of a Category 1 vanilla ice cream.

4 50. Reasonable consumers are not aware of the distinction between natural
5 and artificial flavors in ice cream products and are misled to expect all the flavor is
6 from vanilla beans.

51. Unilever intended for Plaintiff to be misled by Breyers Natural Vanilla
Ice Cream by highlighting the vanilla component of the natural flavor, even though
it knew that ice cream products which were not flavored only from vanilla cannot
be labeled as "vanilla ice cream."

52. Breyers Natural Vanilla Ice Cream is an example of a food that is
labeled as "vanilla" that is clearly mislabeled and therefore in violation of FDA
regulations. A common violation is to label a food product such as ice cream in a
way that leads consumers to believe that it is flavored with vanilla extract, or
another vanilla flavoring derived solely from vanilla beans, as defined in the federal
standard of identity, when in fact it is not.¹¹

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Misleading and Deceptive Representation:

Vanilla Beans without Vanilla Flavor

19 53. Unilever has created and disseminated numerous advertisements on
20 television and radio which promote Breyers Natural Vanilla Ice Cream as
21 containing only vanilla as flavoring, as discussed below.

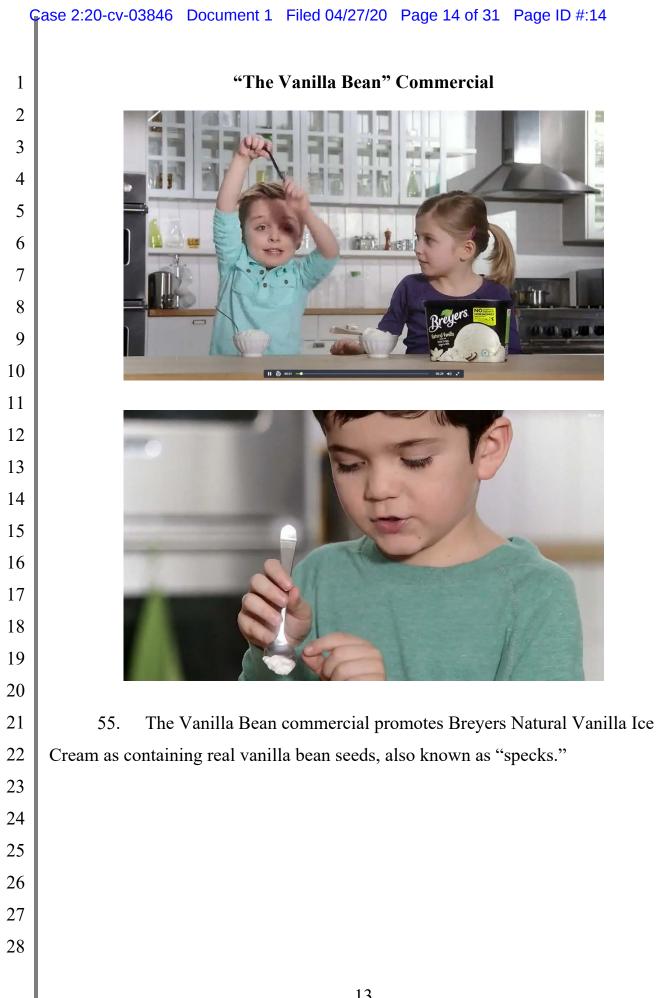
54. In one commercial entitled "The Vanilla Bean," kids are shown
becoming excited as they examine the vanilla bean specks in the Breyers Natural
Vanilla Ice Cream:

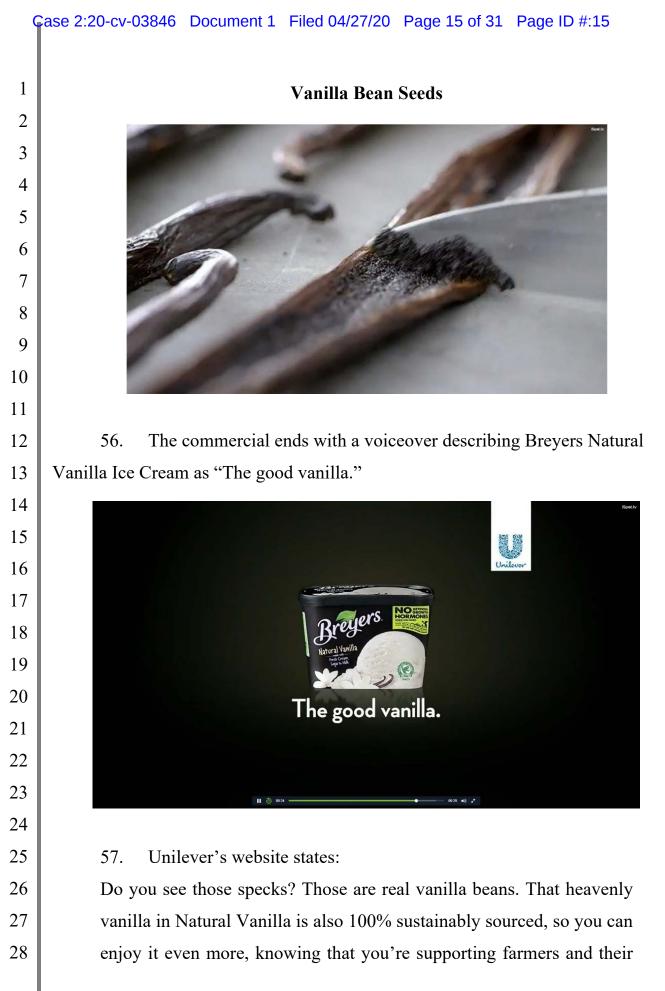
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¹¹ Hallagan, *supra* note 3, at p. 53.





families in Madagascar through our partnership with the Rainforest Alliance. We believe that the quality of our ingredients makes the most delicious vanilla ice cream, and that's why even today we keep true to William Breyers® pledge of purity.¹²

our most popular ice cream flavors and the taste you love. Do you see those specks? Those are real vanilla beans. That heavenly vanilla in Natural Vanilla is also 100% sustainably sourced, so you can enjoy it even more, knowing that you're supporting farmers and their families in Madagascar through our partnership with the Rainforest Alliance. We believe that the quality of our ingredients makes the most delicious vanilla ice cream, and that's why even today we keep true to William Breyers® pledge of purity. Try Breyers® Natural Vanilla yourself and see if those vanilla specks and refreshing milk and cream can scoop up some smiles in your family. Want to give your dessert

58. Vanilla bean specks are the:

tiny black seeds that line the inside of a vanilla bean.

When flavor houses extract vanilla beans to make vanilla extract, the goal is to extract all possible flavor from the bean, including its seeds. After the vanilla extract has percolated for an optimal time, the vanilla bean pods and seeds sink to the bottom and are filtered from the extract. As a final step, the vanilla bean seeds are sifted from the spent vanilla

bean pods. 16

> The resulting bean pods and seeds are known as "exhausted," because all flavor has been extracted.¹³

- The FDA has long prohibited adding such ingredients to food, stating: 59. Spent vanilla beans are considered an adulterant under Section 402(b)
- of the Federal Food, Drug, and Cosmetic Act. We have consistently
- 21 considered spent spice ingredients adulterated because of extraction of 22 essential ingredients.¹⁴ 23
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60. According to the Alcohol and Tobacco Tax and Trade Bureau

- ("TTB"), the addition of "ground vanilla beans which had been exhausted . . . do 25
 - ¹² https://www.breyers.com/us/en/products/natural-vanilla.html

 ¹³ Cook's Blog, <u>Vanilla Bean Seeds: A Troubling New Trend</u>, June 13, 2019.
 ¹⁴ Walter Moses, Division of Industry Advice, FDA, to Alan H. Kaplan, May 10, 28 1965.

²⁷

1 not constitute a legitimate article of commerce for food use in that the valuable constituents, the flavoring principles, have been omitted or abstracted therefrom."15 2 See 21 U.S.C. § 342(b)(1) ("A food shall be deemed to be adulterated – If any 3 4 valuable constituent has been in whole or in part omitted or abstracted therefrom."). The FDA and TTB are clear that "[t]his type of adulteration cannot be 5 61. corrected by any form of labeling."¹⁶ See Moses Letter, supra note 14 ("We are 6 unable to suggest any way that your client might use a combination of spent vanilla 7 beans with other flavoring materials in ice cream."). 8 The added exhausted vanilla beans only give the false impression that 9 62. Brevers Natural Vanilla Ice Cream contains a greater amount of vanilla than it 10 11 actually does. **Misleading and Deceptive Omission** 12 of the Key Differentiating Component: Non-Vanilla Flavors 13 63. Unilever falsely and misleadingly markets Breyers Natural Vanilla Ice 14 Cream as having all of its flavor coming from the vanilla plant. 15 64. 16 Unilever, in its naming and marketing of Brevers Natural Vanilla Ice 17 Cream, misleadingly, deceptively, and unlawfully omits any clear and conspicuous indication that the product contains non-vanilla flavor and that the amount of 18 19 vanilla is not enough to provide the vanilla taste sought by consumers to the ice 20 cream. 21 65. The labeling and marketing of Breyers Natural Vanilla Ice Cream does 22 not enable consumers to understand readily the distinction between natural vanilla and "natural flavors," which is the central difference between items labeled 23 24 "Vanilla Ice Cream" and those with labels qualified by the terms "flavored" or "artificially flavored." 25 26 ¹⁵ Letter from Chester T. Hubble, Director of Administrative Review, Bureau of Enforcement, Bureau of Alcohol, Tobacco Tax Division, U.S. Treasury to Anthony 27

Filandro, Virginia Dare Extract Company, Inc., August 23, 1960; TTB has authority over extract of vanilla due to alcohol content.
 ¹⁶ Hubble letter, *supra* note 15.

66. Unilever misleads consumers by advertising Breyers Natural Vanilla
 Ice Cream as a premium ice cream containing a sufficient amount of flavor from
 the vanilla plant and only the vanilla plant, capable of providing a vanilla taste to
 the ice cream.

67. However, consumers are deceived because what they taste are
ingredients and flavors designed to mimic and imitate vanilla, such as maltol and
vanillin from non-vanilla sources.

8

Reliance and Economic Injury

9 68. When purchasing Breyers Natural Vanilla Ice Cream, Plaintiff sought
10 a product with a materially greater amount of vanilla than Breyers Natural Vanilla
11 Ice Cream actually contained.

12 69. When purchasing Breyers Natural Vanilla Ice Cream, Plaintiff sought
13 a product that was natural in that its flavor was provided exclusively by the natural
14 characterizing flavor, vanilla.

15 70. Plaintiff read and relied on Unilever's false and misleading product
16 name (i.e., Breyers Natural Vanilla Ice Cream) and misleading claims in its labeling
17 and advertising of the product.

71. Plaintiff also saw and relied on images on the Breyers Natural Vanilla
Ice Cream cartons and containers, which misleadingly emphasize "natural vanilla"
and "vanilla beans," even though the amount of natural vanilla is not enough to
impart a vanilla taste and the vanilla beans serve only a cosmetic and deceptive
purpose. These images appeared in stores (on cartons and containers), on television
and radio, and on the Internet.

72. Plaintiff purchased Breyers Natural Vanilla Ice Cream, and paid more
for it than Plaintiff would have paid for ice cream that was labeled as "Vanilla
Flavored" believing the product had qualities Plaintiff sought (e.g., sufficient
vanilla flavor to impart a vanilla taste, only flavor from vanilla) based on the
misleading labeling and marketing; but, the product was unsatisfactory to him for

1 the reasons described herein.

2 73. Breyers Natural Vanilla Ice Cream costs significantly more per ounce
3 at stores like Walmart and Safeway than similar products labeled as "Vanilla
4 Flavored Ice Cream."

74. Plaintiff paid more for Breyers Natural Vanilla Ice Cream than
Plaintiff would have had Plaintiff not been misled by the false and misleading
labeling and advertising complained of herein. Plaintiff would not have purchased
Breyers Natural Vanilla Ice Cream absent these misrepresentations.

9 75. For these reasons, the Breyers Natural Vanilla Ice Cream products
10 were worth less than what Plaintiff paid for them.

11 76. Plaintiff purchased Breyers Natural Vanilla Ice Cream based on the12 false and misleading representations described herein.

13 77. Plaintiff lost money as a result of Unilever's deception in that Plaintiff14 did not receive what he paid for.

15 78. Plaintiff altered Plaintiff's position to Plaintiff's detriment and
16 suffered damages in an amount equal to the amount Plaintiff paid for the Breyers
17 Natural Vanilla Ice Cream.

18 79. By engaging in its misleading and deceptive marketing, sales, and19 pricing scheme, Unilever reaped and continues to reap increased sales and profits.

80. Unilever is familiar with marketing research and knows that many of
its customers purchase Breyers Natural Vanilla Ice Cream because they seek
indulgence – not just a product that tastes good, but that contains ingredients they
are familiar with and have a connection to the food they are used in.

81. Unilever knows that the vanilla content and flavor source of ice cream
is material to consumers' decision to purchase Breyers Natural Vanilla Ice Cream
and is also regulated by law.

82. Unilever deliberately cultivates these misperceptions through its
marketing, sales, and pricing scheme. Indeed, Unilever relies and capitalizes on

1	consumer misconceptions about Breyers Natural Vanilla Ice Cream.
2	CLASS ACTION ALLEGATIONS
3	83. Plaintiff brings this action as a class action pursuant to Rule 23 of the
4	Federal Rules of Civil Procedure. The class that Plaintiff seeks to represent (the
5	"Class" or "the California Class") is composed of and defined as follows:
6	All persons residing in California who have purchased Breyers Natural
7	Vanilla Ice Cream for their own use (which includes feeding their
8	families), and not for resale, since April 27, 2016. Excluded from the
9	Class are: governmental entities; Defendant; any entity in which
10	Defendant has a controlling interest; Defendant's officers, directors,
11	affiliates, legal representatives, employees, co-conspirators,
12	successors, subsidiaries, and assigns; and any judge, justice, or judicial
13	officer presiding over this matter and the members of their immediate
14	families and judicial staff.
15	84. For the purposes of this Complaint, the term "Class Members" refers
16	to all members of the Class, including the named Plaintiff.
17	85. This action is maintainable as a class action under Federal Rules of
18	Civil Procedure Rule 23(a), (b)(2), and (b)(3).
19	86. Numerosity . The Class consists of many thousands of persons
20	throughout the State of California. The Class is so numerous that joinder of all
21	members is impracticable, and the disposition of their claims in a class action will
22	benefit the parties and the Court.
23	87. Commonality and Predominance . The questions of law and fact
24	common to the Class have the capacity to generate common answers that will drive
25	resolution of this action. They predominate over any questions affecting only
26	individual class members. Common questions of law and fact include, but are not
27	limited to, the following:
28	a. Whether Unilever contributed to, committed, or is responsible

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1	for the conduct alleged herein;
2	b. Whether Unilever's conduct constitutes the violations of law
3	alleged herein;
4	c. Whether Unilever acted willfully, recklessly, negligently, or
5	with gross negligence in the violations of law alleged herein;
6	d. Whether Class Members are entitled to injunctive relief; and
7	e. Whether Class Members are entitled to restitution and damages.
8	88. By seeing the name, labeling, display, and marketing of Breyers
9	Natural Vanilla Ice Cream, and by purchasing Breyers Natural Vanilla Ice Cream,
10	all Class Members were subject to the same wrongful conduct.
11	89. Absent Unilever's material deceptions, misstatements, and omissions,
12	Plaintiff and other Class Members would not have purchased Breyers Natural
13	Vanilla Ice Cream.
14	90. Typicality . Plaintiffs' claims are typical of the claims of the Class
15	Members because Plaintiff purchased Breyers Natural Vanilla Ice Cream products
16	and was injured thereby. The claims of Plaintiff and other Class Members are based
17	on the same legal theories and arise from the same false, misleading, and unlawful
18	conduct.
19	91. Adequacy. Plaintiff is an adequate representative of the Class because
20	Plaintiff's interests do not conflict with those of other Class Members. Each Class
21	Member seeks damages reflecting a similar and discrete purchase or purchases that
22	each Class Member made. Plaintiff has retained competent and experienced class
23	action counsel, who intend to prosecute this action vigorously. The Class Members'
24	interests will be fairly and adequately protected by Plaintiff and Plaintiff's counsel.
25	92. Superiority . A class action is superior to other available methods for
26	the fair and efficient adjudication of this controversy because joinder of all Class
27	Members is impracticable. The amount at stake for each consumer, while
28	significant, is such that individual litigation would be inefficient and cost-

1	prohibitive. Plaintiff anticipates no difficulty in the management of this action as a
2	class action.
3	93. This Court should certify a class under Rule 23(b)(2) and (b)(3)
4	because Defendant has acted or refused to act on grounds that apply generally to the
5	Class, by making illegal, unfair, misleading, and deceptive representations and
6	omissions regarding Breyers Natural Vanilla Ice Cream.
7	94. Notice to the Class. Plaintiff anticipates that this Court can direct
8	notice to the Class, to be effectuated by publication in major media outlets and the
9	Internet.
10	FIRST CLAIM
11	(ON BEHALF OF THE CALIFORNIA CLASS)
12	Violation of California's Unfair Competition Law,
13	Cal. Bus. & Prof. Code § 17200 et seq.
14	Unlawful Conduct Prong
15	95. Plaintiff incorporates by reference all allegations contained in the
16	complaint as if fully set forth herein.
17	96. California's Unfair Competition Law, Cal. Bus. & Prof. Code § 17200
18	et seq. ("UCL"), prohibits any "unlawful, unfair or fraudulent business act or
19	practice."
20	97. The acts, omissions, misrepresentations, practices, and non-disclosures
21	of Unilever, as alleged herein, constitute "unlawful" business acts and practices in
22	that they violate the Federal Food, Drug, and Cosmetic Act, 21 U.S.C. § 301 et seq.
23	("FFDCA"), and its implementing regulations, including, at least, the following
24	sections:
25	a. 21 U.S.C. § 343, which deems food misbranded when the label
26	contains a statement that is "false or misleading in any particular," with
27	"misleading" defined to "take[] into account (among other things) not only
28	representations made or suggested by statement, word, design, device, or any

1 combination thereof, but also the extent to which the labeling or advertising fails to reveal facts material"; 2 b. 21 U.S.C. § 321(n), which states the nature of a false and 3 4 misleading advertisement; 21 U.S.C. \S 343(g), which deems a food misbranded if it 5 c. 6 purports to be a food which is subject to a standard of identity but does not comply with such standard due to not containing the ingredients required by the standard; 7 21 C.F.R. § 101.18(b), which prohibits true statements about 8 d. 9 ingredients that are misleading in light of the presence of other ingredients; 21 C.F.R. 135.110(f)(2)(i), which prohibits a product from 10 e. being labeled as "vanilla ice cream" where it contains flavor from sources other 11 than its natural characterizing flavor, which is considered to be an "artificial 12 flavor"; and 13 21 C.F.R. §135.110(f)(5)(i), which states that if the amount of f. 14 vanillin used is greater than 1 ounce per unit of vanilla constituent it is an artificial 15 flavor. 16 Unilever's conduct is further "unlawful" because it violates 17 98. California's False Advertising Law, Cal Bus. & Prof. Code § 17500 et seq. 18 ("FAL"), and California's Consumers Legal Remedies Act, Cal. Civ. Code § 1750 19 20 et seq. ("CLRA"), as discussed in the claims below. 21 99. Unilever's conduct also violates California's Sherman Food, Drug, and 22 Cosmetic Law, Cal. Health & Saf. Code § 109875 et seq. ("Sherman Law"), including, at least, the following sections: 23 Section 110100 (adopting all FDA regulations as state 24 a. regulations); 25 Section 110290 ("In determining whether the labeling or 26 b. advertisement of a food . . . is misleading, all representations made or suggested by 27 28 statement, word, design, device, sound, or any combination of these, shall be taken

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1 into account. The extent that the labeling or advertising fails to reveal facts concerning the food . . . or consequences of customary use of the food . . . shall also 2 be considered."); 3 Section 110390 ("It is unlawful for any person to disseminate 4 c. any false advertisement of any food An advertisement is false if it is false or 5 6 misleading in any particular."); d. Section 110395 ("It is unlawful for any person to manufacture, 7 sell, deliver, hold, or offer for sale any food . . . that is falsely advertised."); 8 9 e. Section 110398 ("It is unlawful for any person to advertise any food, drug, device, or cosmetic that is adulterated or misbranded."); 10 11 f. Section 110400 ("It is unlawful for any person to receive in commerce any food . . . that is falsely advertised or to deliver or proffer for delivery 12 any such food"); and 13 Section 110660 ("Any food is misbranded if its labeling is false 14 g. or misleading in any particular."). 15 16 100. Each of the challenged statements made and actions taken by Unilever 17 violates the FFDCA, the CLRA, the FAL, and the Sherman Law, and therefore violates the "unlawful" prong of the UCL. 18 19 101. Unilever leveraged its deception to induce Plaintiff and members of 20 the Class to purchase products that were of lesser value and quality than advertised. 21 102. Unilever's deceptive advertising caused Plaintiff and members of the Class to suffer injury in fact and to lose money or property, as it denied them the 22 23 benefit of the bargain when they decided to purchase Breyers Natural Vanilla Ice 24 Cream over other products that are less expensive and contain virtually the same or 25 immaterially different amounts of vanilla. Had Plaintiff and the members of the Class been aware of Unilever's false and misleading advertising tactics, they would 26 27 not have purchased Breyers Natural Vanilla Ice Cream at all or would have paid 28 less than what they did for it.

1	103. In accordance with California Business and Professions Code section
2	17203, Plaintiff seeks an order enjoining Unilever from continuing to conduct
3	business through unlawful, unfair, and/or fraudulent acts and practices and to
4	commence a corrective advertising campaign.
5	104. Plaintiff also seeks an order for the disgorgement and restitution of all
6	monies from the sale of Breyers Natural Vanilla Ice Cream products that were
7	unjustly acquired through acts of unlawful, unfair, and/or fraudulent competition.
8	105. THEREFORE, Plaintiff prays for relief as set forth below.
9	SECOND CLAIM
10	(ON BEHALF OF THE CALIFORNIA CLASS)
11	Violation of California's Unfair Competition Law,
12	Cal. Bus. & Prof. Code § 17200 et seq.
13	Unfair and Fraudulent Conduct Prongs
14	106. Plaintiff incorporates by reference all allegations contained in the
15	complaint as if fully set forth herein.
16	107. As set forth above, the UCL prohibits any "unlawful, unfair or
17	fraudulent business act or practice."
18	108. The false and misleading labeling of Breyers Natural Vanilla Ice
19	Cream, as alleged herein, constitutes "unfair" business acts and practices because
20	such conduct is immoral, unscrupulous, and offends public policy. Further, the
21	gravity of Unilever's conduct outweighs any conceivable benefit of such conduct.
22	109. The acts, omissions, misrepresentations, practices, and non-disclosures
23	of Unilever as alleged herein constitute "fraudulent" business acts and practices
24	because Unilever's conduct is false and misleading to Plaintiff and members of the
25	Class.
26	110. Unilever's labeling and marketing of Breyers Natural Vanilla Ice
27	Cream is likely to deceive Class Members about the flavoring source and amount of
28	vanilla of Breyers Natural Vanilla Ice Cream.

1	111. Unilever either knew or reasonably should have known that the claims
2	and statements on the labels of Breyers Natural Vanilla Ice Cream were likely to
3	deceive consumers.
4	112. In accordance with California Business and Professions Code section
5	17203, Plaintiff seeks an order enjoining Unilever from continuing to conduct
6	business through unlawful, unfair, and/or fraudulent acts and practices and to
7	commence a corrective advertising campaign.
8	113. Plaintiff also seeks an order for the disgorgement and restitution of all
9	monies from the sale of Breyers Natural Vanilla Ice Cream products that were
10	unjustly acquired through acts of unlawful, unfair, and/or fraudulent competition.
11	114. THEREFORE, Plaintiff prays for relief as set forth below.
12	THIRD CLAIM
13	(ON BEHALF OF THE CALIFORNIA CLASS)
14	Violation of California's False Advertising Law,
15	Cal. Bus. & Prof. Code § 17500 et seq.
15 16	Cal. Bus. & Prof. Code § 17500 <i>et seq</i> . False and Misleading Advertising
16	False and Misleading Advertising
16 17	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the
16 17 18	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein.
16 17 18 19	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading
16 17 18 19 20	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading advertising claim."
16 17 18 19 20 21	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading advertising claim." 117. As alleged herein, Unilever, in its labeling of Breyers Natural Vanilla
 16 17 18 19 20 21 22 	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading advertising claim." 117. As alleged herein, Unilever, in its labeling of Breyers Natural Vanilla Ice Cream, makes "false [and] misleading advertising claim[s]," as it deceives
 16 17 18 19 20 21 22 23 	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading advertising claim." 117. As alleged herein, Unilever, in its labeling of Breyers Natural Vanilla Ice Cream, makes "false [and] misleading advertising claim[s]," as it deceives consumers as to the flavor composition and amount of vanilla of Breyers Natural
 16 17 18 19 20 21 22 23 24 	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading advertising claim." 117. As alleged herein, Unilever, in its labeling of Breyers Natural Vanilla Ice Cream, makes "false [and] misleading advertising claim[s]," as it deceives consumers as to the flavor composition and amount of vanilla of Breyers Natural Vanilla Ice Cream.
 16 17 18 19 20 21 22 23 24 25 	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading advertising claim." 117. As alleged herein, Unilever, in its labeling of Breyers Natural Vanilla Ice Cream, makes "false [and] misleading advertising claim[s]," as it deceives consumers as to the flavor composition and amount of vanilla of Breyers Natural Vanilla Vanilla Ice Cream. 118. In reliance on these false and misleading advertising claims, Plaintiff
 16 17 18 19 20 21 22 23 24 25 26 	False and Misleading Advertising 115. Plaintiff incorporates by reference all allegations contained in the complaint as if fully set forth herein. 116. California's FAL prohibits "mak[ing] any false or misleading advertising claim." 117. As alleged herein, Unilever, in its labeling of Breyers Natural Vanilla Ice Cream, makes "false [and] misleading advertising claim[s]," as it deceives consumers as to the flavor composition and amount of vanilla of Breyers Natural Vanilla Ice Cream. 118. In reliance on these false and misleading advertising claims, Plaintiff and members of the Class purchased and used Breyers Natural Vanilla Ice Cream

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1	artificial sources.
2	119. Unilever knew or should have known that its labeling and marketing
3	was likely to deceive consumers.
4	120. As a result, Plaintiff and the Class Members seek injunctive and
5	equitable relief, restitution, and an order for the disgorgement of the funds by which
6	Unilever was unjustly enriched.
7	121. THEREFORE, Plaintiff prays for relief as set forth below.
8	FOURTH CLAIM
9	(ON BEHALF OF THE CALIFORNIA CLASS)
10	Violation of California's Consumers Legal Remedies Act,
11	Cal. Civ. Code § 1750 et seq.
12	122. Plaintiff incorporates by reference all allegations contained in the
13	complaint as if fully set forth herein.
14	123. The CLRA adopts a statutory scheme prohibiting various deceptive
15	practices in connection with the conduct of a business providing goods, property, or
16	services primarily for personal, family, or household purposes.
17	124. Unilever's policies, acts, and practices were designed to, and did,
18	result in the purchase and use of Breyers Natural Vanilla Ice Cream primarily for
19	personal, family, or household purposes, and violated and continue to violate the
20	following sections of the CLRA:
21	a. Section $1770(a)(2)$, which prohibits representing that goods
22	have a particular composition or contents that they do not have;
23	b. Section 1770(a)(5), which prohibits representing that goods
24	have characteristics, uses, benefits, or ingredients that they do not have;
25	c. Section $1770(a)(7)$, which prohibits representing that goods are
26	of a particular standard, quality, or grade if they are of another;
27	d. Section 1770(a)(9), which prohibits advertising goods with
28	intent not to sell them as advertised; and

1 e. Section 1770(a)(16), which prohibits representing that the subject of a transaction has been supplied in accordance with a previous 2 3 representation when it has not. 4 125. As a result, in accordance with California Civil Code section 1780(a)(2), Plaintiff and members of the Class have suffered irreparable harm and 5 6 seek equitable relief in the form of an order: Enjoining Unilever from continuing to engage in the deceptive 7 a. practices described above; 8 Requiring Unilever to make full restitution of all monies 9 b. wrongfully obtained as a result of the conduct described above; 10 11 c. Requiring Unilever to disgorge all ill-gotten gains flowing from the conduct described above; 12 d. Requiring Unilever to provide public notice of the true nature of 13 Breyers Natural Vanilla Ice Cream; and 14 Enjoining Unilever from such deceptive business practices in 15 e. the future. 16 17 126. Pursuant to California Civil Code sections 1752, 1780, and 1781, Plaintiff, on behalf of himself and other Class Members, seeks actual and punitive 18 19 damages in an amount to be determined at trial, distribution of notice to the Class, 20 an order of this Court enjoining Unilever from the unlawful practices described 21 herein and requiring Unilever to provide refunds, as well as an award of costs of 22 litigation and attorneys' fees. 23 127. Pursuant to California Civil Code section 1782, a CLRA pre-suit demand was sent to Unilever in writing of the particular violations of section 1770 24 25 of the CLRA via certified letter sent February 13, 2020, return receipts requested, to Amanda Sourry, Unilever's Chief Executive Officer, at 700 Sylvan Avenue, 26 Englewood Cliffs, New Jersey 07632, and to Unilever's registered agent in the 27 State of California. 28

1	128. The CLRA demand letter to Unilever included the legal basis for the
2	claim and informed Unilever that unless it complied with the demand, a Complaint
3	would be filed which would include claims for actual damages, punitive damages,
4	and all other damages permitted under the CLRA. Plaintiff's undersigned counsel
5	received electronic return receipts via U.S. Mail indicating that Plaintiff's CLRA
6	demand letters were received by Unilever on February 19, 2020. More than 30 days
7	have passed, and Unilever has failed to meaningfully respond to Plaintiff Nuñez's
8	CLRA demand letter.
9	129. THEREFORE, Plaintiff prays for relief as set forth below.
10	PRAYER FOR RELIEF
11	WHEREFORE, Plaintiff, on behalf of himself and the other members of the
12	proposed Class herein, prays for judgment and relief on all of the legal claims as
13	follows:
14	A. An order certifying that the action may be maintained as a class action
15	and requiring Unilever to bear the cost of class notice;
16	B. An order enjoining Unilever from pursuing the policies, acts, and
17	practices complained of herein;
18	C. An order compelling Unilever to destroy all misleading and deceptive
19	advertising materials and packaging;
20	D. An order requiring Unilever to pay restitution to Plaintiff and all
21	members of the Class;
22	E. An order requiring Unilever to pay actual damages to Plaintiff and all
23	members of the Class;
24	F. Punitive damages;
25	G. Pre-judgment interest from the date of filing suit;
26	H. Costs, expenses, and reasonable attorneys' fees; and
27	I. Such other and further relief as the Court may deem necessary or
28	appropriate.

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1	JURY TRIAL DEMAND
2	Plaintiff demands a jury trial on all causes of action so triable.
3	Date: April 27, 2020 Respectfully submitted,
4	REESE LLP
5	By: <u>/s/ Michael R. Reese</u> Michael R. Reese (SBN 206773)
6	<i>mreese@reesellp.com</i> 100 West 93rd Street, 16th Floor
7	New York, New York 10025 Telephone: (212) 643-0500
8	Facsimile: (212) 253-4272
9	REESE LLP George V. Granade (SBN 316050)
10	ggranade@reesellp.com 8484 Wilshire Boulevard, Suite 515
11	Los Angeles, California 90211 Telephone: (310) 393-0070
12	Facsimile: (212) 253-4272 SHEEHAN & ASSOCIATES, P.C.
13 14	Spencer Sheehan (<i>Pro Hac Vice</i> to be Submitted)
14	505 Northern Boulevard, Suite 311 Great Neck, New York 11021-5101
16	Telephone: (516) 303-0552 Email: spencer@spencersheehan.com
17	
18	Counsel for Plaintiff Steve Nuñez and the Proposed Class
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1	AFFIDAVIT OF MICHAEL R. REESE
2	PURSUANT TO CALIFORNIA CIVIL CODE SECTION 1780
3	Michael R. Reese declares:
4	1. I am an attorney duly admitted to practice before this Court. I am a
5	partner in the law firm of Reese LLP, attorneys of record for Plaintiff Steve Nuñez.
6	2. I am one of the attorneys principally responsible for the handling of
7	this matter. I am personally familiar with the facts set forth in this declaration, and
8	if called as a witness, I could and would competently testify to the matters stated
9	herein.
10	3. This action has been commenced in a county described in California
11	Civil Code section 1780 as a proper place for the trial of the action. The
12	transactions or a substantial portion thereof occurred in Los Angeles County,
13	California.
14	I declare under penalty of perjury under the laws of the United States of
15	America that the foregoing is true and correct.
16	Executed on April 27, 2020, at New York, New York.
17	/s/ Michael P. Peese
18	/s/ Michael R. Reese Michael R. Reese
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ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>Breyers Natural Vanilla Ice Cream Flavored Artificially, Class Action Claims</u>