

**UNITED STATES DISTRICT COURT  
DISTRICT OF RHODE ISLAND**

<b>TANER MUNSIF and ELIZABETH M. RYAN, individually and on behalf of other similarly situated individuals,</b>	:	
<b>Plaintiffs,</b>	:	<u><b>Collective Action</b></u>
	:	
v.	:	<b>C.A. NO.:</b>
	:	
<b>UTGR, INC., d/b/a LINCOLN PARK, alias,</b>	:	<u><b>Jury Trial Demanded</b></u>
<b>Defendant</b>	:	
	:	

**COMPLAINT**

**I. Introduction**

1. This is an action brought by Plaintiffs Taner Munsif (“Plaintiff Munsif”) and Elizabeth M. Ryan (“Plaintiff Ryan”) (collectively, “Plaintiffs”) against their current and former employer, UTGR, Inc., d/b/a Lincoln Park, alias (“Defendant”), seeking compensatory, liquidated, and punitive damages, counsel fees, costs and other equitable relief arising out of violations of the Fair Labor Standards Act (“FLSA”), 29 U.S.C. § 201, *et seq.* and the Rhode Island Payment of Wages Act (“RIPWA”), R.I. Gen. Laws § 28-12-1, *et seq.* and § 28-14-1, *et. seq.*

2. With respect to the claims brought under the FLSA, this action is brought pursuant to the opt-in collective action provisions of the FLSA, 29 U.S.C. § 216(b).

3. Additionally, and in the alternative, with respect to the FLSA and RIPWA claims, Plaintiffs bring this action as a multi-party action, separate and apart from the opt-in collective action claims set forth herein.

## **II. Parties**

4. Plaintiff Taner Munsif is a resident of the Town of West Warwick, County of Kent, and State of Rhode Island, and at all times relevant to this action was an employee—within the meaning of 29 U.S.C. § 203(e)(1) of the FLSA and R.I. Gen. Laws § 28-12-2(5) of the RIPWA—employed by Defendant in the State of Rhode Island.

5. Plaintiff Elizabeth M. Ryan is a resident of the Town of Plainville, County of Norfolk, and Commonwealth of Massachusetts, and at all times relevant to this action was an employee—within the meaning of 29 U.S.C. § 203(e)(1) of the FLSA and R.I. Gen. Laws § 28-12-2(5) of the RIPWA—employed by Defendant in the State of Rhode Island.

6. Defendant is a corporation duly organized under the laws of Delaware and doing business in the State of Rhode Island, with a principal place of business located at 100 Twin River Road in the Town of Lincoln, County of Providence, and State of Rhode Island.

## **III. Jurisdiction**

7. The United States District Court for the District of Rhode Island has federal subject matter jurisdiction over this case under the provisions of 28 U.S.C. § 1331 because Plaintiffs assert claims arising under federal law; specifically, the FLSA, 29 U.S.C. § 201, *et seq.* Supplemental jurisdiction over the state law claims set forth herein is predicated on 28 U.S.C. § 1367 as they arise out of the same case or controversy.

## **IV. Venue**

8. Venue is proper in this Court insofar as Defendant is doing business in Rhode Island and therefore is deemed to reside in the District of Rhode Island, in compliance with the requirements set forth in 28 U.S.C. § 1391. Moreover, a substantial part of the acts and/or omissions giving rise to the claims asserted herein occurred in the District of Rhode Island.

**V. Material Facts**

**A. FLSA Liability Allegations**

9. At all relevant times, Defendant was Plaintiffs' employer within the meaning of 29 U.S.C. § 203(d) of the FLSA and R.I. Gen. Laws § 28-12-2(6) of the RIPWA.

10. Defendant is, and at all relevant times was, engaged in related activities performed through unified operation or common control for a common business purpose, and is, and at all times hereinafter mentioned was, an enterprise within the meaning of 29 U.S.C. § 203(r).

11. At all relevant times, Defendant, on a regular, consistent and recurrent basis, as part of the Defendant's regular course of business of providing services and distributing product in interstate commerce, employed workers in the activities of said enterprise engaged in commerce or in the production of goods for commerce, including handling, selling or otherwise working on goods or materials that have been moved in or produced in commerce.

12. At all relevant times, Defendant's enterprise has had an annual gross volume of sales made or business done in the amount of not less than \$500,000.00.

13. Therefore, Defendant is, and at all relevant times was, an enterprise engaged in commerce or in the production of goods for commerce within the meaning of 29 U.S.C. § 203(s)(1)(A).

**B. FLSA Collective Action Allegations**

14. Plaintiffs bring this action on behalf of themselves and all other similarly situated current and former employees ("Class Plaintiffs") of Defendant, who were and/or are affected by the actions, pay schemes, policies, and procedures of Defendant as described herein.

15. In addition, Plaintiffs bring this action in their individual capacities, separate and apart from the collective action claims set forth herein.

16. With respect to the collective action claims under the FLSA, Plaintiffs and Class Plaintiffs seek relief on behalf of one class.

17. **The FLSA collective action class** is defined as follows:

All individuals employed as Floor Supervisors (or other comparable positions) who were employed by Defendant and were subject to the following common practices and/or policies of Defendant at any time from three (3) years before the filing of this Complaint to the present:

- a. Who were paid IRS Form W-2 compensation; and,
- b. Who worked more than forty (40) hours in a week, and,
- c. Were not paid at least one and one-half (1 ½) times their regular rate of pay for all hours worked in excess of forty (40) in a week.

18. Plaintiffs are similarly situated with other Floor Supervisors or other comparable positions (collectively referred to as “Floor Supervisors”) employed by Defendant in that they were all subject to the same payroll practices, policies and procedures of Defendant, performed similar work under similar working conditions, and were subject to the same unlawful practices alleged in this Complaint and sustained the same or similar damages as a result.

19. Plaintiffs and Class Plaintiffs reserve the right to amend said class definition consistent with information obtained through discovery.

**C. General Allegations Common to Plaintiffs and All Class Plaintiffs**

20. At all relevant times, Plaintiffs and Class Plaintiffs worked for Defendant as Floor Supervisors.

21. At all relevant times, Defendant typically scheduled Plaintiffs and Class Plaintiffs to work one eight-hour shift each day that Plaintiffs and Class Plaintiffs worked for Defendant.

22. For each and every shift that Plaintiffs and Class Plaintiffs worked for Defendant, Plaintiffs and Class Plaintiffs were required by Defendant to report to work for a meeting fifteen (15) minutes before Plaintiffs’ and Class Plaintiffs’ shifts began (“pre-shift” meeting).

23. During this fifteen (15) minute pre-shift meeting, one or multiple managers for Defendant would provide Plaintiffs and Class Plaintiffs with instructions relating to Plaintiffs' and Class Plaintiffs' work-related duties.

24. For each and every shift that Plaintiffs and Class Plaintiffs worked for Defendant, Plaintiffs and Class Plaintiffs clocked-in when Plaintiffs and Class Plaintiffs reported to the pre-shift meeting, and Plaintiffs and Class Plaintiffs clocked-out when they ceased working that particular shift.

25. Defendant regularly required Plaintiffs and Class Plaintiffs to work at least six days per week from on or about June of 2013 until the present.

26. Defendant regularly and routinely required Plaintiffs and Class Plaintiffs to work in excess of forty (40) hours each week from on or about May of 2013 until the present for various reasons, including due to the frequent requirement that Plaintiffs and Class Plaintiffs work six days per week and because of the requirement that they attend daily pre-shift meetings.

27. From on or about May of 2013 until the present, Defendant paid Plaintiffs and Class Plaintiffs a flat amount for each day that Plaintiffs and Class Plaintiffs worked for Defendant, regardless of how many hours Plaintiffs and Class Plaintiffs actually worked for Defendant during that day.

28. At all relevant times, the employment arrangement between Plaintiffs and Class Plaintiffs and the Defendant did not provide a guaranteed minimum salary each week--Plaintiffs and Class Plaintiffs were paid by the day and only for those days actually worked.

29. From on or about May of 2013 until the present, Defendant regularly and routinely issued and continued to issue payroll checks to Plaintiffs and Class Plaintiffs that did not include pay at a rate of one and one-half (1 ½) times the regular rate at which Plaintiffs and

Class Plaintiffs were employed for hours worked by Plaintiffs and Class Plaintiffs in excess of forty (40) in a workweek.

**D. Primary Duties**

30. As “Floor Supervisors,” Plaintiffs’ and Class Plaintiffs’ primary job duties (“Primary Duties”) consisted of the following tasks.

- a. Reporting the actions of certain players to Plaintiffs’ and Class Plaintiffs’ superiors so that Plaintiffs’ and Class Plaintiffs’ superiors can determine whether to warn, discipline, and/or eject said players from Defendant’s premises;
- b. Greeting players;
- c. Approving dealers’ requests to exchange more than \$100.00 for chips;
- d. Ensuring that dealers’ racks are correct and accurate;
- e. Communicating to hosts if and when players became eligible for complimentary items so that hosts can arrange for complimentary items to be provided to said players;
- f. Assisting dealers in determining the amount of money to which players are entitled;
- g. Adding up the amount of money players won and lost and inputting this information in a computer, which Plaintiffs’ and Class Plaintiffs’ superiors (i) check and oversee for the purpose of penalizing Plaintiffs and Class Plaintiffs if said information is erroneous and (ii) report to authorities in accordance with law;
- h. Opening and closing tables;
- i. Stopping games when players win under certain circumstances, and notifying Plaintiffs’ and Class Plaintiffs’ superiors of such winnings so that Plaintiffs’ and

Class Plaintiffs' superiors can obtain certain information from players in accordance with law; and,

- j. Rating players' action on current gaming day by determining players' average bets versus time played.

**E. Allegations Specific to Plaintiff Munsif**

31. Plaintiff Munsif has been employed by Defendant in the capacity of a Floor Supervisor since on or about May 22, 2013.

32. Upon information and belief, from on or about June 1, 2013 until the present, Defendant regularly required Plaintiff Munsif to work in excess of forty (40) hours each workweek without the payment of overtime compensation for those hours in excess of forty (40).

33. More specifically, upon information and belief, from on or about June 1, 2013 until on or about December of 2014, Defendant regularly and routinely required Plaintiff Munsif to work at least six days per week.

34. From on or about May 22, 2013 until the present, Defendant regularly and routinely required Plaintiff Munsif to work in excess of eight (8) hours during each shift, because of the requirement that he attend daily pre-shift meetings.

35. Defendant regularly and routinely required Plaintiff Munsif to work in excess of forty (40) hours each week from on or about May 22, 2013 until the present for various reasons, including due to the frequent requirement that Plaintiff Munsif work six days per week and because of the requirement that he attend daily pre-shift meetings.

36. More specifically, upon information and belief, from on or about June 1, 2013 until on or about December of 2014, Defendant regularly and routinely required Plaintiff Munsif to work approximately forty-nine and one-half (49 1/2) hours each week.

37. From on or about May 22, 2013 until the present, Defendant paid Plaintiff Munsif a flat amount of approximately \$210.00 for each day that Plaintiff Munsif worked for Defendant regardless of how many hours he actually worked during that day.

38. At all relevant times, Defendant did not provide Plaintiff Munsif with a guaranteed minimum salary each week—Plaintiff Munsif was paid by the day and only for those days actually worked.

**E. Allegations Specific to Plaintiff Ryan**

39. Plaintiff Ryan was employed by Defendant in the capacity of a Floor Supervisor from on or about May 23, 2013 until on or about June 9, 2016.

40. Upon information and belief, from on or about June 1, 2013 until on or about June 9, 2016, Defendant regularly required Plaintiff Ryan to work in excess of forty (40) hours each workweek without the payment of overtime compensation for those hours in excess of forty (40).

41. More specifically, upon information and belief, from on or about June 1, 2013 until on or about June 9, 2016, Defendant regularly and routinely required Plaintiff Ryan to work at least six days per week.

42. From on or about May 23, 2013 until on or about June 9, 2016, Defendant regularly and routinely required Plaintiff Ryan to work in excess of eight (8) hours during each shift, because of the requirement that she attend daily pre-shift meetings.

43. Defendant regularly and routinely required Plaintiff Ryan to work in excess of forty (40) hours each week from on or about May 23, 2013 until on or about June 9, 2016 for various reasons, including due to the frequent requirement that Plaintiff Ryan work six days per week and because of the requirement that she attend daily pre-shift meetings.



44. More specifically, upon information and belief, from on or about June 1, 2013 until on or about June 9, 2016, Defendant regularly and routinely required Plaintiff Ryan to work approximately forty-nine and one-half (49 1/2) hours each week.

45. From on or about May 23, 2013 until on or about May 1, 2016, Defendant paid Plaintiff Ryan a flat amount of approximately \$204.00 for each day that Plaintiff Ryan worked regardless of how many hours she actually worked for Defendant during that day.

46. From on or about May 2, 2016 until on or about June 9, 2016, Defendant paid Plaintiff Ryan a flat amount of approximately \$206.04 for each day that Plaintiff Ryan worked regardless of how many hours she actually worked for Defendant during that day.

47. At all relevant times, Defendant did not provide Plaintiff Ryan with a guaranteed minimum salary each week—Plaintiff Ryan was paid by the day and only for those days actually worked.

**G. Employer Obligation to Either Pay Overtime or Prove an Exemption Applies**

48. Both the FLSA and the RIPWA require employers to pay their employees at a rate not less than one and one-half (1 ½) times their regular rate of pay for all hours worked in excess of forty (40) in any one (1) workweek. 29 U.S.C. § 207(a)(1); R.I.G.L. § 28-12-4.1.

49. The FLSA and the RIPWA exempt, among others, certain “bona fide executive, administrative, or professional” employees from its overtime provisions. 29 U.S.C. § 213(a)(1); R.I.G.L. § 28-12-4.3(a)(4).

50. The aforementioned exemptions from the payment of overtime are “narrowly construed against the employers seeking to assert them and their application.” *Arnold v. Ben Kanowsky*, 361 U.S. 388, 392 (1960).

51. The burden of proving an exemption under the FLSA and the RIPWA is placed “upon the employer.” *Martin v. Cooper Electric Supply Co.*, 940 F.2d 896, 900 (3rd Cir. 1991) (citing *Idaho Sheet Metal Works, Inc. v. Wirtz*, 383 U.S. 190, 206 (1966)).

52. To meet this burden, an employer must “prove facts by a preponderance of the evidence that show the exemption is ‘plainly and unmistakably’ applicable.” *Keen v. DXP Enterprises, Inc.*, No. 5:15-CV-137-OLG, 2016 WL 3253895, at \*2 (W.D. Tex. June 6, 2016) (quoting *Meza v. Intelligent Mexican Mktg., Inc.*, 720 F.3d 577, 581 (5th Cir. 2013)).

53. The exempt or nonexempt status of any particular employee is determined on the basis of whether the employee’s **salary and duties** meet the requirements of the U.S. Department of Labor wage and hour regulations. 29 C.F.R. § 541.2, *et seq.*

54. A job title alone is insufficient to determine the exempt status of an employee. 29 C.F.R. § 541.2.

## **H. Plaintiffs and Class Plaintiffs Are Not Exempt Employees**

### **1. Compensation Does not Satisfy the Salary Requirement**

55. For an employee to qualify as exempt under either the “executive” or “administrative” exemption, the employee must be “[c]ompensated on a salary or fee basis at a rate of not less than \$455 per week [\$200 per week under the RIPWA] . . .” 29 C.F.R. §§ 541.100 and 541.200 and R.I.G.L. § 28-12-4.3(a)(4).

56. FLSA regulations provide that “[a]n employee will be considered to be paid on a “salary basis” . . . if the employee regularly **receives each pay period** on a **weekly, or less frequent basis, a predetermined amount** constituting all or part of the employee’s compensation, which amount is not subject to reduction because of variations in the quality or quantity of the work performed. Subject to [certain exemptions], an exempt employee must receive the full

salary for any week in which the employee performs any work without regard to the number of days or hours worked.” 29 C.F.R. § 541.602 (emphasis added).

57. The regulations further provide that “[a]n exempt employee's earnings may be computed on an hourly, a daily or a shift basis, without losing the exemption or violating the salary basis requirement, *if the employment arrangement also includes a guarantee of at least the minimum weekly required amount paid on a salary basis* regardless of the number of hours, days or shifts worked, and a reasonable relationship exists between the guaranteed amount and the amount actually earned.” 29 C.F.R. § 541.604 (emphasis added).

58. The “reasonable relationship” test is met “if the weekly guarantee is roughly equivalent to the employee's usual earnings at the assigned hourly, daily or shift rate for the employee's normal scheduled workweek.” *Id.*

59. Plaintiffs’ and Class Plaintiffs’ compensation fails to meet the definition of “salary or fee basis” because it is based on a daily fixed fee rate of payment, not a “weekly, or less frequent” basis. *Keen v. DXP Enterprises, Inc.*, 2016 WL 3253895, \*4 (“[Paying] Plaintiff a predetermined amount for *each day* that he worked, is plainly inconsistent with [the 29 C.F.R. § 541.602(a)] requirement that the predetermined amount correspond to a ‘weekly[ ] or less frequent basis,’ not a more frequent—*i.e.*, daily—basis.”)(emphasis added).

60. Plaintiffs’ and Class Plaintiffs’ compensation also fails to meet the definition of “salary or fee basis” because they did not “receive the full salary for [every] *week* in which [they] perform[ed] any work without regard to the *number of days* or hours worked.” 29 C.F.R. § 541.602(a) (emphasis added); *accord, Keen v. DXP Enterprises, Inc.*, 2016 WL 3253895, \*4.

61. Defendant’s compensation scheme fails the salary test even if Plaintiffs and Class Plaintiffs actually received pay each week in an amount that satisfies the reasonable relationship test minimum (which in this case would be slightly in excess of \$1,000.00 per week), because

they were never *guaranteed* such payment in any week. *Keen v. DXP Enterprises, Inc.*, 2016 WL 3253895, \*5 (“[A] claim that an employee actually was paid more than \$455 each week is distinct from a showing that they were *guaranteed* to receive that amount.”).

**2. Primary Duties Do not Satisfy the “Executive” Exemption**

62. Pursuant to 29 C.F.R. § 541.100 and R.I.G.L. § 28-12-4.3(a)(4), in order for Plaintiffs and Class Plaintiffs to have been employed by Defendant in a *bona fide* executive capacity, in addition to satisfying the salary test, the following must have been true:

- a. Plaintiffs’ and Class Plaintiffs’ “primary duty” must have been “management of the enterprise in which the [Plaintiffs and Class Plaintiffs were] employed or of a customarily recognized department or subdivision thereof;”
  - b. Plaintiffs and Class Plaintiffs must have “customarily and regularly direct[ed] the work of two or more other employees; and”
  - c. Plaintiffs and Class Plaintiffs must have had “the authority to hire or fire other employees” or Plaintiffs’ and Class Plaintiffs’ “suggestions and recommendations as to the hiring, firing, advancement, promotion or any other change of status of other employees” must have been “given particular weight.”
- a. **No “management” of Defendant’s enterprise “or of a customarily recognized department or subdivision thereof.”**

63. Plaintiffs’ and Class Plaintiffs’ Primary Duties never involved any of the following activities recognized under 29 C.F.R. § 541.102 as indicia of management functions:

- a. Interviewing, selecting, and/or training any of Defendant’s employees;
- b. Setting and/or adjusting any rate of pay and/or hours of work for any of Defendant’s employees;
- c. Maintaining production or sales records for use in supervision or control;

- d. Appraising any of Defendant's employees' productivity and efficiency for the purpose of recommending promotions or other changes in status;
- e. Handling complaints and grievances made by any of Defendant's employees;
- f. Disciplining any of Defendant's employees;
- g. Planning the work for any of Defendant's employees;
- h. Determining the techniques to be used by any of Defendant's employees;
- i. Apportioning the work among any of Defendant's employees.
- j. Determining the type of materials, supplies, machinery, equipment and/or tools to be used and/or merchandise to be bought, stocked and/or sold.
- k. Controlling the flow and distribution of Defendant's materials, merchandise, and/or supplies.
- l. Planning and/or controlling Defendant's budget; or
- m. Monitoring and/or implementing legal compliance measures.

64. Accordingly, Plaintiffs' and Class Plaintiffs' primary duty was neither to manage Defendant's enterprise nor a customarily recognized department or subdivision thereof ("management prong").

**b. No "authority to hire or fire other employees" nor were "suggestions and recommendations" as to the hiring, firing, or other change of status given particular weight.**

65. Plaintiffs and Class Plaintiffs were not expected by Defendant to, nor did Plaintiffs and Class Plaintiffs ever, review resumes submitted by individuals who had applied to work for Defendant.

66. Plaintiffs and Class Plaintiffs were not expected by Defendant to, nor did Plaintiffs ever interview individuals who applied to work for Defendant.

67. Plaintiffs and Class Plaintiffs have never had any authority to hire any of Defendant's employees.

68. Plaintiffs and Class Plaintiffs have never had any authority to fire any of Defendant's employees.

69. Plaintiffs and Class Plaintiffs were not expected by Defendant to, nor did Plaintiffs and Class Plaintiffs ever, make suggestions and/or recommendations as to the hiring, firing, advancement, promotion or any other change of status for any of Defendant's employees.

70. Accordingly, Plaintiffs and Class Plaintiffs primary duties did not include "the authority to hire or fire other employees" nor were their "suggestions and recommendations" as to the hiring, firing, or any other change of status "given particular weight" ("supervisory prong").

71. Insofar as Plaintiffs and Class Plaintiffs primary duties do not satisfy either the management or supervisory prongs of the requirements set forth under 29 C.F.R. § 541.100 and R.I.G.L. § 28-12-4.3(a)(4), they do not qualify as exempt employees under the "executive" exemption.

**3. *Primary Duties Do not Satisfy the "Administrative" Exemption***

72. Pursuant to 29 C.F.R. § 541.200 and R.I.G.L. § 28-12-4.3(a)(4), in order for Plaintiffs and Class Plaintiffs to have been employed by Defendant in a *bona fide* administrative capacity, in addition to satisfying the salary test, the following must have been true:

- a. Plaintiffs' and Class Plaintiffs' "primary duty" must have been "the performance of office or non-manual work directly related to the management or general business operations of [Defendant] or [Defendant's] customers; and"
- b. Plaintiffs' and Class Plaintiffs' "primary duty" must have included "the exercise of discretion and independent judgment with respect to matters of significance."

a. **No performance of work “directly related to the management of general business operations” of Defendant or Defendant’s customers.**

73. Any decision-making authority exercised by Plaintiffs and Class Plaintiffs related exclusively to production and/or the producing of Defendant’s product, in this case recreational gaming services—not to management of the general business operations of the Defendant.<sup>1</sup>

74. Plaintiffs’ and Class Plaintiffs’ Primary Duties related exclusively to the day-to-day provision of gaming services by the Defendant—specifically table games—at the customer level, literally the “ground floor” of service provision as is evident by their job title of “Floor Supervisors.”<sup>2</sup>

75. Plaintiffs and Class Plaintiffs were not expected by Defendant to, nor did Plaintiffs and Class Plaintiffs ever, work in functional areas such as tax; finance; accounting; budgeting; auditing; insurance; quality control; purchasing; procurement; advertising; marketing; research; safety and health; personnel management; human resources; employee benefits; labor relations; public relations, government relations; computer network, internet and database administration; legal and regulatory compliance; and/or similar activities. 29 C.F.R. § 541.201(b).

---

<sup>1</sup> See *Dalheim v. KDFW-TV*, 706 F.Supp. 493, 506 (N.D. Tex. 1988) *aff’d*, 918 F.2d 1220 (5th Cir. 1990) (In determining whether plaintiffs’ primary duties consisted of office or non-manual work directly related to the management or general business operations of the employer or the employer’s customers, “[t]he key factor . . . is whether the employee’s activities focus on administrative-type work or on the production process itself. Production work is generally not considered to be directly related to management policies or general business operations, and thus fails to meet the administrative exemption.”) See also *Cooke v. Gen. Dynamics Corp.*, 993 F.Supp. 61 (D. Conn. 1997) (“[T]he administrative/production dichotomy focuses not on whether an employee worked for an enterprise engaged in production activity, but whether the employee’s primary duty was producing the product in question.”)

<sup>2</sup> See *Ruggeri v. Boehringer Ingelheim Pharm., Inc.*, 585 F. Supp. 2d 254, 264 (D. Conn. 2008) (finding that the types of activities in which an employee must engage when performing his/her primary duty for the purposes of assessing whether such activities constitute “work directly related to the management or general business operations of the employer or the employer’s customers” are not activities which involve the day-to-day business that the employer specifically sells or provides, but instead, tasks, such as accounting, budgeting and the like, which every business must undertake in order to function; See also *Bratt v. County of Los Angeles*, 912 F.2d 1066, 1070 (9th Cir. 1990) (holding that the “essence” of whether an employee’s activities are directly related to the management or general business operations of the employer or the employer’s customers means “the running of a business” or “determining its overall course or policies” and “not merely . . . the day-to-day carrying out of its affairs.”).

76. Moreover, Plaintiffs' and Class Plaintiffs' Primary Duties never consisted of performing work directly related to the management or general business operations of Defendant's customers. 29 C.F.R. § 541.201(c).

77. Therefore, when carrying out their Primary Duties, Plaintiffs and Class Plaintiffs were not required to perform office or non-manual work directly related to the management or general business operations of Defendant or Defendant's customers.

***b. No "exercise of discretion and independent judgment with respect to matters of significance."***

78. In performing their Primary Duties, Plaintiffs' and Class Plaintiffs' work activities related exclusively to customer table game play.

79. Plaintiffs and Class Plaintiffs possessed no authority to formulate, affect, or interpret, Defendant's management policies or operating practices. 29 C.F.R. § 541.202(b).

80. Plaintiffs' and Class Plaintiffs' Primary Duties consisted of carrying out routine activities bearing no significance to Defendant's general business operations.

81. Plaintiffs' and Class Plaintiffs' Primary Duties did not involve carrying out major assignments affecting or concerning Defendant's general business operations. 29 C.F.R. § 541.202(b).

82. Plaintiffs' and Class Plaintiffs' Primary Duties did not involve making decisions for or on behalf of Defendant, at least not with respect to matters of significance.

83. Plaintiffs and Class Plaintiffs possessed no authority to negotiate and bind Defendant on significant matters. 29 C.F.R. § 541.202(b).

84. Plaintiffs and Class Plaintiffs never provided consultation or expert advice to Defendant's management. 29 C.F.R. § 541.202(b).



85. Plaintiffs and Class Plaintiffs were never involved in planning Defendant's long-term or short-term business objectives. 29 C.F.R. § 541.202(b).

86. Plaintiffs and Class Plaintiffs carried out requests and acted at the direction of supervisors with respect to any matters of significance.

87. Moreover, in performing their Primary Duties, Plaintiffs and Class Plaintiffs had no authority to make an independent choice, free from immediate direction or supervision, at least not with respect to any matters of significance or with more than nominal discretion. 29 C.F.R. § 541.202(c).

88. Plaintiffs and Class Plaintiffs shared information with their superiors pursuant to rules, standards, policies, and/or procedures espoused by Defendant—and their superiors would then make decisions based on this information on all significant matters.

89. Plaintiffs' and Class Plaintiffs' Primary Duties did not involve the comparison and the evaluation of possible courses of conduct, and/or acting or making a decision after the various possibilities have been considered, at least not with respect to matters of significance or with more than nominal discretion. 29 C.F.R. § 541.202(a).

90. To the contrary, Plaintiffs' and Class Plaintiffs' use of skill amounted to no more than applying well-established techniques, procedures or specific standards or criteria described in Defendant's operational manuals or other sources. 29 C.F.R. § 541.202(e).

91. Indeed, for each of the duties comprising the Primary Duties of Plaintiffs and Class Plaintiffs described in ¶30 above, there is a specific procedure, standard, formula, and/or objective factors established by Defendant which essentially dictate any action or determination Plaintiffs and Class Plaintiffs are required to make, including when a matter is to be reported to a superior.

92. The mere fact that Defendant could experience financial losses if Plaintiffs and Class Plaintiffs performed their job improperly does not mean that Plaintiffs and Class Plaintiffs exercised discretion and independent judgment with respect to matters of significance. 29 C.F.R. § 541.202(f).

93. Consequently, the Primary Duties performed by Plaintiffs and Class Plaintiffs did not and do not involve the management or administration of Defendant or the general business operations of Defendant nor did they or do they require the exercise of discretion and independent judgment with respect to matters of significance relative thereto.

94. Accordingly, Plaintiffs and Class Plaintiffs were not employed by Defendant in a bona fide administrative capacity and therefore are not exempt from overtime requirements under the FLSA and the RIPWA.

#### **I. Failure to Pay Overtime Wages**

95. Throughout their employment with Defendant, Plaintiffs and Class Plaintiffs regularly worked more than forty (40) hours each workweek.

96. Despite the fact that Plaintiffs and Class Plaintiffs are non-exempt employees, Defendant failed or refused to pay Plaintiffs and Class Plaintiffs overtime pay on numerous workweeks as required by the FLSA and the RIPWA.

97. Defendant willfully and repeatedly violated the FLSA as well as the RIPWA by employing Plaintiffs and Class Plaintiffs for more than forty (40) hours per workweek without compensating them at a rate not less than one and one-half (1 ½) times the regular rate at which they were employed.

#### **J. Work Suffered or Permitted**

98. Defendant is liable for the payment of overtime wages for all hours over forty (40) that Plaintiffs and Class Plaintiffs were “suffered or permitted to work” in any one

workweek—regardless of whether the work was requested, authorized or needed—whenever Defendant knew or had constructive knowledge that the work was being performed.<sup>3</sup>

99. Defendant knew or had reason to believe that Plaintiffs and Class Plaintiffs were performing overtime work for which they were not being compensated at a rate not less than one and one-half times the regular rate at which they were employed.

100. Moreover, Defendant knew or had reason to believe that Plaintiffs and Class Plaintiffs were working in excess of forty (40) hours per week on a regular basis.

101. Accordingly, Defendant had constructive, if not actual, knowledge that Plaintiffs and Class Plaintiffs regularly performed overtime work for which they were not compensated.<sup>4</sup>

102. Nevertheless, Defendant failed or refused to pay Plaintiffs and Class Plaintiffs the compensation to which Plaintiffs and Class Plaintiffs were legally entitled for all overtime hours worked.<sup>5</sup>

## V. Claims for Relief

103. Plaintiffs and Class Plaintiffs incorporate the allegations in ¶¶1 through 102 above in each of the counts set forth below.

---

<sup>3</sup> As long as the employer knows or has reason to believe that its employee is continuing to work, that time is “work time,” and the employee is entitled to the payment of wages from the employer for said time. *See* 29 C.F.R. § 785.11.

<sup>4</sup> An employer is said to have “constructive knowledge” of its employee's overtime work when it has reason to believe that its employee is working beyond his shift. *Allen v. Bd. of Pub. Educ. for Bibb Cnty.*, 495 F.3d 1306 (11th Cir. 2007).

<sup>5</sup> Once an employer knows or has reason to know that an employee is working overtime, ***it cannot deny compensation even where the employee fails to claim overtime hours or the work was not requested.*** *Holzapfel v. Town of Newburgh, N.Y.*, 145 F.3d 516 (2d Cir. 1998); *Johnson v. RGIS Inventory Specialists*, 554 F. Supp. 2d 693 (E.D. Tex. 2007).

**Count One**  
*Fair Labor Standards Act,*  
*29 U.S.C. § 201, et seq.*

104. Defendant, by its acts and/or omissions, including, but not limited to, those described herein, violated the FLSA by failing to pay overtime wages as provided therein, thereby causing Plaintiffs and Class Plaintiffs to suffer damages as aforesaid, for which they are entitled to relief pursuant to 29 U.S.C. § 216(b).

**Count Two**  
*Rhode Island Payment of Wages Act,*  
*R.I. Gen. Laws § 28-12-1, et seq., and*  
*R.I. Gen. Laws § 28-14-1, et seq.*

105. Defendant, by its acts and/or omissions, including, but not limited to, those described herein, violated the RIPWA by failing to pay overtime wages as provided therein, thereby causing Plaintiffs and Class Plaintiffs to suffer damages as aforesaid, for which they are entitled to relief pursuant to R.I. Gen. Laws § 28-14-19.2.

**VI. Prayers for Relief**

**WHEREFORE**, Plaintiffs pray that this Honorable Court grant the following relief:

1. A declaratory judgment declaring that the acts and/or omissions of Defendant, including, but not limited to those complained of herein, are in violation of the FLSA and the RIPWA.
2. An injunction directing Defendant to take such affirmative action as is necessary to refrain from such conduct as is necessary to ensure that the effects of these unlawful employment practices are eliminated and not repeated.
3. An award of compensatory damages.
4. An award of punitive damages.
5. An award of liquidated damages pursuant to 29 U.S.C. § 216(b).

6. An award of liquidated damages in an amount up to two times the amount of wages and and/or benefits owed pursuant to R.I. Gen. Laws § 28-14-19.2.
7. An award of reasonable attorney's fees and costs of litigation pursuant to 29 U.S.C. § 216(b).
8. An award of reasonable attorney's fees and costs pursuant to R.I. Gen. Laws §§ 28-14-19.2.
9. An award of other appropriate equitable relief pursuant to 29 U.S.C. § 216(b).
10. An award of other appropriate equitable relief or penalties pursuant to R.I. Gen. Laws § 28-14-19.2.
11. An award of such other and further relief as this Honorable Court deems just and proper.

**VII. Demand for Jury Trial**

Plaintiffs hereby demand a trial by jury on all counts so triable.

**VIII. Designation of Trial Counsel**

Plaintiffs hereby designate Richard A Sinapi, Esquire, as trial counsel.

Plaintiffs and Class Plaintiffs  
By their attorneys,  
**SINAPI LAW ASSOCIATES, LTD.**

**Dated: July 5, 2016**

/s/ Richard A. Sinapi, Esq.  
**Richard A. Sinapi, Esq. (#2977)**  
2374 Post Road Suite 201  
Warwick, RI 02886  
Phone: (401) 739-9690; FAX: (401) 739-9490  
Email: [ras@sinapilaw.com](mailto:ras@sinapilaw.com)

**CIVIL COVER SHEET**

<p><b>I. (a) PLAINTIFFS</b></p> <p><b>(b)</b> County of Residence of First Listed Plaintiff _____ (EXCEPT IN U.S. PLAINTIFF CASES)</p> <p><b>(c)</b> Attorney's (Firm Name, Address, and Telephone Number)</p>	<p style="text-align: center;"><b>DEFENDANTS</b></p> <p>County of Residence of First Listed Defendant _____ (IN U.S. PLAINTIFF CASES ONLY)</p> <p style="text-align: center;">NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED.</p> <p>Attorneys (If Known)</p>
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

<p><b>II. BASIS OF JURISDICTION</b> (Place an "X" in One Box Only)</p> <p><input type="checkbox"/> 1 U.S. Government Plaintiff      <input type="checkbox"/> 3 Federal Question (U.S. Government Not a Party)</p> <p><input type="checkbox"/> 2 U.S. Government Defendant      <input type="checkbox"/> 4 Diversity (Indicate Citizenship of Parties in Item III)</p>	<p><b>III. CITIZENSHIP OF PRINCIPAL PARTIES</b> (Place an "X" in One Box for Plaintiff and One Box for Defendant)</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">PTF</th> <th style="text-align: center;">DEF</th> <th></th> <th style="text-align: center;">PTF</th> <th style="text-align: center;">DEF</th> </tr> </thead> <tbody> <tr> <td>Citizen of This State</td> <td style="text-align: center;"><input type="checkbox"/> 1</td> <td style="text-align: center;"><input type="checkbox"/> 1</td> <td>Incorporated <i>or</i> Principal Place of Business In This State</td> <td style="text-align: center;"><input type="checkbox"/> 4</td> <td style="text-align: center;"><input type="checkbox"/> 4</td> </tr> <tr> <td>Citizen of Another State</td> <td style="text-align: center;"><input type="checkbox"/> 2</td> <td style="text-align: center;"><input type="checkbox"/> 2</td> <td>Incorporated <i>and</i> Principal Place of Business In Another State</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> </tr> <tr> <td>Citizen or Subject of a Foreign Country</td> <td style="text-align: center;"><input type="checkbox"/> 3</td> <td style="text-align: center;"><input type="checkbox"/> 3</td> <td>Foreign Nation</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> </tr> </tbody> </table>		PTF	DEF		PTF	DEF	Citizen of This State	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated <i>or</i> Principal Place of Business In This State	<input type="checkbox"/> 4	<input type="checkbox"/> 4	Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated <i>and</i> Principal Place of Business In Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5	Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6
	PTF	DEF		PTF	DEF																				
Citizen of This State	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated <i>or</i> Principal Place of Business In This State	<input type="checkbox"/> 4	<input type="checkbox"/> 4																				
Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated <i>and</i> Principal Place of Business In Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5																				
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6																				

**IV. NATURE OF SUIT (Place an "X" in One Box Only)**

<p><b>Admiralty</b></p> <p><input type="checkbox"/> 120 Marine (Other)</p> <p><input type="checkbox"/> 340 Marine (Injury to Seamen)</p> <p><b>Admin/Agency Appeals Other</b></p> <p><input type="checkbox"/> 890 Other Statutory Actions (APA Appeals, IDEA Appeals, Other)</p> <p><b>Bankruptcy</b></p> <p><input type="checkbox"/> 422 Appeal 28 U.S.C. § 158</p> <p><input type="checkbox"/> 423 Withdrawal 28 U.S.C. § 157</p> <p><b>Banks and Banking</b></p> <p><input type="checkbox"/> 140 Negotiable Instruments</p> <p><input type="checkbox"/> 430 Banks and Banking</p>	<p><b>Constitutional Law</b></p> <p><input type="checkbox"/> 950 Constitutionality of State Statutes</p> <p><input type="checkbox"/> 440 Other Civil Rights (Constitutionality of Federal Statutes)</p> <p><b>Elections and Voting</b></p> <p><input type="checkbox"/> 400 State Reapportionment</p> <p><input type="checkbox"/> 441 Voting</p> <p><b>Other - Civil Rights</b></p> <p><input type="checkbox"/> 440 Other Civil Rights</p> <p><input type="checkbox"/> 443 Housing/Accommodations</p> <p><input type="checkbox"/> 446 Americans with Disabilities</p> <p><input type="checkbox"/> 448 Civil Rights-Education</p>	<p><b>Environmental Law</b></p> <p><input type="checkbox"/> 893 Environmental Matters</p> <p><b>Immigration</b></p> <p><input type="checkbox"/> 462 Naturalization App.</p> <p><input type="checkbox"/> 465 Other Immigration Actions</p> <p><b>Indian Law</b></p> <p><input type="checkbox"/> 890 Other Statutory Actions</p> <p><b>Insurance</b></p> <p><input type="checkbox"/> 110 Insurance Contract</p> <p><b>Labor and Occupational Safety</b></p> <p><input type="checkbox"/> 710 Fair Labor Standards Act</p> <p><input type="checkbox"/> 720 Labor/Management Relations Act</p> <p><input type="checkbox"/> 740 Railway Labor Act</p> <p><input type="checkbox"/> 751 Labor: Family and Medical Leave Act</p> <p><input type="checkbox"/> 790 Other Labor Litigation</p>	<p><b>Miscellaneous Civil Cases Continued</b></p> <p><input type="checkbox"/> 690 Other (Forfeiture/Penalty)</p> <p><input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant)</p> <p><input type="checkbox"/> 871 IRS-Third Party (26 U.S.C. § 7609)</p> <p><input type="checkbox"/> 890 Other Statutory Actions</p> <p><input type="checkbox"/> 891 Agricultural Acts</p> <p><input type="checkbox"/> 895 Freedom of Information Act</p> <p><input type="checkbox"/> 896 Other Statutes: Arbitration</p> <p><input type="checkbox"/> 899 Other Statutes: Admin. Procedures Act/Review/ Appeal of Agency Decision</p> <p><b>Patents (Intellectual Property)</b></p> <p><input type="checkbox"/> 830 Patents</p> <p><b>Prisoner Petitions</b></p> <p><b>HABEAS CORPUS</b></p> <p><input type="checkbox"/> 463 Alien Detainee (Habeas)</p> <p><input type="checkbox"/> 510 Motions to Vacate Sentence (Habeas)</p> <p><input type="checkbox"/> 530 General (Habeas)</p> <p><input type="checkbox"/> 535 Death Penalty (Habeas)</p> <p><b>OTHER</b></p> <p><input type="checkbox"/> 540 Mandamus and Other</p> <p><input type="checkbox"/> 550 Civil Rights (1983)</p> <p><input type="checkbox"/> 555 Prison Conditions (1983)</p> <p><input type="checkbox"/> 560 Civil Detainee-Cond. of Confinement</p> <p><b>Real and Personal Property</b></p> <p><input type="checkbox"/> 210 Land Condemnation</p> <p><input type="checkbox"/> 220 Foreclosure</p> <p><input type="checkbox"/> 230 Rent, Lease and Ejectment</p> <p><input type="checkbox"/> 240 Torts to Land</p> <p><input type="checkbox"/> 290 All other Real Property</p> <p><input type="checkbox"/> 380 Other Personal Property Damage</p> <p><b>RICO</b></p> <p><input type="checkbox"/> 470 RICO</p> <p><b>Social Security</b></p> <p><input type="checkbox"/> 861 HIA (1395ff)</p> <p><input type="checkbox"/> 862 Black Lung (923)</p> <p><input type="checkbox"/> 863 DIWC/DIWW (405(g))</p> <p><input type="checkbox"/> 864 SSID Title XVI</p> <p><input type="checkbox"/> 865 RSI (405(g))</p>	<p><b>Mass Torts</b></p> <p><input type="checkbox"/> 360 Other Personal Injury</p> <p><b>Products Liability</b></p> <p><input type="checkbox"/> 195 Contract Product Liability</p> <p><input type="checkbox"/> 245 Tort Product Liability</p> <p><input type="checkbox"/> 315 Airplane Product Liability</p> <p><input type="checkbox"/> 345 Marine Product Liability</p> <p><input type="checkbox"/> 355 Motor Vehicle Product Liability</p> <p><input type="checkbox"/> 365 Personal Injury Product Liability</p> <p><input type="checkbox"/> 385 Property Damage Product Liability</p> <p><b>Professional Malpractice</b></p> <p><input type="checkbox"/> 362 Personal Injury - Med. Malpractice</p> <p><b>Motor Vehicle Accidents/Slip and Fall</b></p> <p><input type="checkbox"/> 350 Motor Vehicles</p> <p><input type="checkbox"/> 360 Other Personal Injury</p> <p><b>Other Torts and Personal Injury incl. Asbestos cases</b></p> <p><input type="checkbox"/> 310 Airplane</p> <p><input type="checkbox"/> 320 Assault, Libel and Slander</p> <p><input type="checkbox"/> 330 Federal Employers' Liability</p> <p><input type="checkbox"/> 360 Other Personal Injury (Fed. Tort Claims Act)</p> <p><input type="checkbox"/> 360 Other Personal Injury</p> <p><input type="checkbox"/> 367 Personal Injury: HealthCare/Pharmaceutical Personal Injury Product Liability</p> <p><input type="checkbox"/> 368 Asbestos Personal Injury</p>
<p><b>Anti-trust</b></p> <p><input type="checkbox"/> 410 Anti-Trust</p> <p><b>Construction Contracts</b></p> <p><input type="checkbox"/> 130 Miller Act</p> <p><input type="checkbox"/> 190 Other Contracts</p> <p><b>Securities Law &amp; Stockholder Suits</b></p> <p><input type="checkbox"/> 160 Stockholders' Suits</p> <p><input type="checkbox"/> 850 Securities/Commodities/Exchange</p> <p><b>Other - Business/Comm.</b></p> <p><input type="checkbox"/> 196 Franchise</p> <p><input type="checkbox"/> 490 Cable/Satellite TV</p> <p><b>Consumer Credit</b></p> <p><input type="checkbox"/> 190 Other Contracts</p> <p><input type="checkbox"/> 371 Truth in Lending</p> <p><input type="checkbox"/> 480 Consumer Credit</p>	<p><b>Copyright &amp; Trademark (Intellectual Property)</b></p> <p><input type="checkbox"/> 820 Copyright</p> <p><input type="checkbox"/> 840 Trademark</p> <p><b>Employment Discrimination</b></p> <p><input type="checkbox"/> 442 Employment</p> <p><input type="checkbox"/> 445 Americans with Disabilities-Employment</p> <p><input type="checkbox"/> 440 Other -Civil Rights</p> <p><b>ERISA</b></p> <p><input type="checkbox"/> 791 Employment Ret. Inc. Security Act</p> <p><b>Other Employment Benefits</b></p> <p><input type="checkbox"/> 190 Other - Contract</p> <p><input type="checkbox"/> 442 Other - Employment</p>	<p><b>Miscellaneous Civil Cases</b></p> <p><input type="checkbox"/> 150 Recovery of Overpayment and Enforcement of Judgment (Collections)</p> <p><input type="checkbox"/> 151 Medicare Act</p> <p><input type="checkbox"/> 152 Recovery of Defaulted Student Loans (excluding Veterans)</p> <p><input type="checkbox"/> 153 Recovery of Overpayment of Veterans' Benefits</p> <p><input type="checkbox"/> 370 Other Fraud</p> <p><input type="checkbox"/> 375 Other Statutes: False Claims Act</p> <p><input type="checkbox"/> 440 Other Civil Rights (Immigration/Deportation)</p> <p><input type="checkbox"/> 450 Commerce</p> <p><input type="checkbox"/> 460 Deportation</p> <p><input type="checkbox"/> 625 Drug related seizure of property</p>	<p style="text-align: center;"><b>CONTINUED ON REVERSE SIDE</b></p>	<p style="writing-mode: vertical-rl; transform: rotate(180deg);"><b>Torts and Personal Injury</b></p>

<b>V. ORIGIN (Place an "X" In One Box Only)</b>						
<input type="checkbox"/> 1 Original Proceeding	<input type="checkbox"/> 2 Removed from State Court	<input type="checkbox"/> 3 Remanded from Appellate Court	<input type="checkbox"/> 4 Reinstated or Reopened	<input type="checkbox"/> 5 Transferred from another district (Specify)	<input type="checkbox"/> 6 Multidistrict Litigation	<input type="checkbox"/> 7 Appeal to District Judge From Magistrate Judgment
<b>VI. CAUSE OF ACTION</b>	Cite the U.S. Civil Statute under which you are filing ( <b>Do not cite jurisdictional statutes unless diversity</b> ):					
	Brief description of cause:					
<b>VII. REQUESTED IN COMPLAINT</b>	<input type="checkbox"/> Check if this is a Class Action Under F.R.C.P. 23			DEMAND \$	JURY DEMAND: <input type="checkbox"/> Yes <input type="checkbox"/> No (Check YES <u>only</u> if demanded in complaint)	
<b>VIII. RELATED CASE(S) IF ANY</b>	JUDGE _____			DOCKET NUMBER _____		

Because of the need for accurate and complete information, you should ensure the accuracy of the information provided prior to signing the form.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Attorney of Record

**INSTRUCTIONS FOR COMPLETING CIVIL COVER SHEET JS-44**  
Authority for Civil Cover Sheet

The JS-44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. Listed below are tips for completing the civil cover sheet. These tips coincide with the Roman Numerals on the Cover Sheet.

- I. COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF/DEFENDANT (b) County of residence: For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- III. CITIZENSHIP OF PRINCIPAL PARTIES: This section is completed only if diversity of citizenship was selected as the Basis of Jurisdiction under Section II.
- IV. NATURE OF SUIT: Place an X in the appropriate box. Make sure to select the Nature of Suit from the category which best describes the primary cause of action found in your complaint. You must select only one nature of suit.
- VIII. RELATED CASES, IF ANY: This section of the JS-44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

FOR OFFICE USE ONLY

Receipt # \_\_\_\_\_ Amount \_\_\_\_\_ Applying IFP \_\_\_\_\_ Judge \_\_\_\_\_ Mag. Judge \_\_\_\_\_





Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
was received by me on *(date)* \_\_\_\_\_ .

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_ ; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_ , and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_ , who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_ ; or

I returned the summons unexecuted because \_\_\_\_\_ ; or

Other *(specify)*: \_\_\_\_\_ .

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_ .

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc: