UNITED STATES DISTRICT COURT DISTRICT OF NEW JERSEY

STEVEN J. LINDNER, on behalf of himself and all others similarly situated,

Plaintiff,

Civil Action No.:

v.

CLASS ACTION COMPLAINT

OCCIDENTAL COLLEGE,

JURY TRIAL DEMANDED

Defendant.

Plaintiff Steven J. Lindner ("Plaintiff") brings this action on behalf of himself and all others similarly situated against Defendant Occidental College ("Occidental" or "Defendant"). Plaintiff makes the following allegations pursuant to the investigation of his counsel and based upon information and belief, except as to the allegations specifically pertaining to himself, which are based on personal knowledge.

NATURE OF THE ACTION AND FACTS COMMON TO ALL CLAIMS

- 1. This is a class action lawsuit on behalf of all people who paid tuition and fees for the Spring 2020 Semester at Occidental, and who, because of Defendant's response to the novel Coronavirus ("COVID-19") pandemic, lost the benefit of the education for which they paid, and/or the services for which their fees were paid, without having their tuition and fees refunded to them.
- Occidental is a private university with a total enrollment of approximately
 2,055 students. Occidental offers approximately thirty-four (34) undergraduate majors.
- 3. On March 12, 2020, Defendant, via Occidental President Jonathan Veitch, announced that the rest of the Spring Semester (following spring break) would be completed

remotely "using online methods [to] minimize the number of students living on campus." 1

- 4. Thus, Occidental has not held any in-person classes since March 6, 2020. Classes that have continued have only been offered in an online format, with no in-person instruction.
- 5. As a result of the closure of Defendant's facilities, Defendant has not delivered students the educational services, facilities, access and/or opportunities for which Mr. Lindner and the putative class contracted and paid. The online learning options being offered to Occidental students are subpar in practically every aspect, from the lack of facilities, materials, and access to faculty. Students have been deprived of the opportunity for collaborative learning and in-person dialogue, feedback, and critique. The remote learning options are in no way the equivalent of the in-person education for which Plaintiff and the putative class members contracted and paid.
- 6. Nonetheless, Defendant has not refunded any tuition or fees for the Spring 2020 Semester.
- 7. Plaintiff and the putative class are therefore entitled to a refund of tuition and fees for in-person educational services, facilities, access, and/or opportunities that Defendant has not provided. Even if Defendant claims it did not have a choice in cancelling in-person classes, it nevertheless has improperly retained funds for services it is not providing.
- 8. Plaintiff seeks, for himself and Class members, Defendant's disgorgement of the pro-rated portion of tuition and fees, proportionate to the amount of time that remained in the Spring 2020 Semester when classes moved online and campus services ceased being

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¹ https://www.oxy.edu/covid-19-coronavirus/community-messages/coronavirus-plan-rest-semester (last visited 5/21/20).

provided. Plaintiff seeks a return of these amounts on behalf of himself and the Class as defined below.

JURISDICTION AND VENUE

- 9. This Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1332(d)(2)(A), as amended by the Class Action Fairness Act of 2005 ("CAFA"), because at least one member of the Class, as defined below, is a citizen of a different state than Defendant, there are more than 100 members of the Class, and the aggregate amount in controversy exceeds \$5,000,000 exclusive of interest and costs.
- 10. This Court has personal jurisdiction over Defendant because many of the acts and transactions giving rise to this action occurred in this District, and because Defendant conducts substantial business in this District and has sufficient minimum contacts with New Jersey.

 Defendant has solicited students residing in New Jersey to attend its institution; has accepted money, including application fees, tuition, and other fees from students residing in New Jersey, has websites accessible to students in New Jersey, has entered into contracts with New Jersey residents, and generally has minimum contacts in New Jersey sufficient to satisfy the Due Process Clauses of the New Jersey and United States Constitutions.
- 11. Venue is proper in this Court pursuant to 28 U.S.C. § 1391 because many of the acts and transactions giving rise to this action occurred in this District, and because Plaintiff is a resident of this District. Specifically, the contract that is the subject of this action was formed in this District.

THE PARTIES

12. Plaintiff Steven J. Lindner is a citizen of New Jersey who resides in Madison, New Jersey. Mr. Lindner's daughter, Chloe Lindner, is an undergraduate student at Occidental

majoring in Psychology. In-person classes are critical to Mr. Lindner's daughter's field of study, which requires in-person instruction, feedback, and a high level of collaboration, which is made more feasible through in-class instruction. Mr. Lindner paid approximately \$35,720 in tuition, fees, room, and board to Defendant for the Spring 2020 Semester. However, Mr. Lindner has received a refund of only \$3,519.54 due to Defendant's student residences being closed. Other than residence fees, Ms. Lindner has not received any refund for tuition or other fees, despite the fact that in-person classes have not been held since March 6, 2020.

13. Defendant Occidental College is a private institution of higher learning with its principal place of business at 1600 Campus Rd, Los Angeles, CA 90041.

FACTUAL ALLEGATIONS

Plaintiff And Class Members Paid Tuition And Fees For The Spring 2020 Semester

- 14. Plaintiff and Class members are individuals who paid the cost of tuition and other mandatory fees for the Spring 2020 Semester at Occidental.
- 15. Spring 2020 Semester classes at Occidental began on or around January 21, 2020, and final exams for the Semester were scheduled for May 1, 2020 to May 8, 2020.
- 16. Plaintiff and Class members paid the cost of tuition for the Spring 2020 Semester. They also paid other mandatory fees associated with the Spring 2020 Semester.
- 17. Approximate tuition costs at Occidental for the Spring 2020 Semester are \$27,990 (half of the yearly tuition of \$55,980) plus required fees of \$596.²
- 18. The tuition and fees described in the above paragraph are provided by way of example; total damage amounts which may include other fees that are not listed herein but that were not refunded will be proven at trial.

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² https://www.oxy.edu/admission-aid/costs-financial-aid (last visited 5/21/20).

<u>In Response To COVID-19, Occidental Closed Its Campus And All In-Person Classes Were</u> <u>Cancelled</u>

- 19. On March 12, 2020, Defendant, via Occidental President Jonathan Veitch, announced that the rest of the Spring Semester (following spring break) would be completed remotely "using online methods [to] minimize the number of students living on campus." As such, no in person classes have been held since approximately March 6, 2020.
- 20. As a result of the closure of Defendant's facilities, Defendant has not delivered the educational services, facilities, access and/or opportunities for which Plaintiff and the putative class contracted and paid. Plaintiff and the putative class are therefore entitled to a refund of all tuition and fees for services, facilities, access and/or opportunities that Defendant has not provided. Even if Defendant claims it did not have a choice in cancelling in-person classes, it nevertheless has improperly retained funds for services it is not providing.
- 21. Plaintiff and the Class did not choose to attend an online institution of higher learning, but instead chose to attend Defendant's institution and enroll on an in-person basis.
- 22. Defendant markets the on-campus experience at Occidental as a benefit of enrollment on Defendant's website, specifically advertising that its "exceptional faculty and the resources of one of the world's great urban centers." Defendant further advertises its strategic location in Los Angeles as a benefit of enrollment:

Occidental possesses the distinct privilege of being a liberal arts college in the heart of a major global city, one of the largest and most diverse metropolitan areas in the United States. Across divisions, our curriculum engages its local geographical resources (both the natural and the built environment), community-based organizations and institutions, and the cultural richness that represents the larger world. Through community-based learning and community-based research, geological and biological fieldwork, partnerships with cultural institutions and local industries, internship programs, Professors of the Practice, and a

³ https://www.oxy.edu/academics (last visited 5/21/20).

formal partnership with the City of Los Angeles, students' learning in the classroom is deeply connected to learning in the community.⁴

- 23. The online learning options offered to Occidental students are subpar in practically every aspect and pale in comparison to what they once were, from the lack of facilities and materials to access to faculty. Students have been deprived of the opportunity for collaborative learning and in-person dialogue, feedback, and critique. This is particularly true for students like Mr. Lindner's daughter, who is pursuing a Bachelor's Degree in Psychology, because she was unable receive in-person instruction, feedback, or critique. Under these circumstances, Mr. Lindner's daughter was also unable to collaborate or use on-campus resources in the manner necessary for success in her field of study. Moreover, office hours for professors were essentially non-existent given that professors are unable to see their students to provide in-person assistance. Email is simply no substitute for instances where additional teaching is required.
- 24. The remote learning options are in no way the equivalent of the in-person education for which Plaintiff and putative class members contracted and paid. The remote education being provided is not even remotely worth the amount charged class members for Spring 2020 Semester tuition. The tuition and fees for in-person instruction at Occidental are higher than tuition and fees for other online institutions because such costs cover not only the academic instruction at Occidental, but encompass an entirely different experience which includes but is not limited to:
 - Face to face interaction with professors, mentors, and peers;
 - Access to facilities such as libraries, laboratories, computer labs, and

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⁴ *Id*.

study room;

- Student governance and student unions;
- Extra-curricular activities, groups, intramural sports, etc.;
- Student art, cultures, and other activities;
- Social development and independence;
- Hands on learning and experimentation;
- Networking and mentorship opportunities.
- 25. Through this lawsuit Plaintiff seeks, individually and on behalf of the Class, Defendant's disgorgement of the pro-rated portion of the tuition and fees, proportionate to the amount of time that remained in the Spring 2020 Semester when classes moved online, and campus services ceased being provided. Plaintiff seeks return of these amounts on behalf of himself and the Class as defined below.

CLASS ACTION ALLEGATIONS

- 27. Plaintiff seeks to represent a class defined as all people who paid tuition and/or fees for the Spring 2020 Semester at Occidental College for in-person educational services that Defendant failed to provide, and whose tuition and fees have not been refunded (the "Class"). Specifically excluded from the Class are Defendant, Defendant's officers, directors, agents, trustees, parents, children, corporations, trusts, representatives, employees, principals, servants, partners, joint ventures, or entities controlled by Defendant, and their heirs, successors, assigns, or other persons or entities related to or affiliated with Defendant and/or Defendant's officers and/or directors, the judge assigned to this action, and any member of the judge's immediate family.
 - 28. Plaintiff also seeks to represent a subclass consisting of Class members who

reside in New Jersey (the "Subclass").

- 29. Subject to additional information obtained through further investigation and discovery, the foregoing definition of the Class and Subclass may be expanded or narrowed by amended complaint, or narrowed at class certification.
- 30. **Numerosity.** The members of the Class and Subclass are geographically dispersed throughout the United States and are so numerous that individual joinder is impracticable. Upon information and belief, Plaintiff reasonably estimates that there are thousands of members in the Class, and more than forty members in the Subclass. Although the precise number of Class members is unknown to Plaintiff, the true number of Class members is known by Defendant and may be determined through discovery. Class members may be notified of the pendency of this action by mail and/or publication through the enrollment records of Defendant.
- 31. **Existence and predominance of common questions of law and fact.** Common questions of law and fact exist as to all members of the Class and predominate over any questions affecting only individual Class members. These common legal and factual questions include, but are not limited to, the following:
 - (a) whether Defendant accepted money from Plaintiff and Class and Subclass members in exchange for the promise to provide services, namely in-person educational services and access to its facilities;
 - (b) whether Defendant has provided the services for which Class and Subclass members contracted; and
 - (c) whether Class and Subclass members are entitled to a refund for that portion of the tuition and fees that was contracted for services that Defendant did not provide.
 - (d) whether Defendant has unlawfully converted money from Plaintiff, the Class, and the Subclass; and
 - (e) whether Defendant is liable to Plaintiff and the Class and Subclass for unjust

enrichment.

- 32. **Typicality.** Plaintiff's claims are typical of the claims of the other members of the Class in that, among other things, all Class and Subclass members were similarly situated and were comparably injured through Defendant's wrongful conduct as set forth herein. Further, there are no defenses available to Defendant that are unique to Plaintiff.
- 33. **Adequacy of Representation.** Plaintiff will fairly and adequately protect the interests of the Class and Subclass. Plaintiff has retained counsel that is highly experienced in complex consumer class action litigation, and Plaintiff intends to vigorously prosecute this action on behalf of the Class and Subclass. Furthermore, Plaintiff has no interests that are antagonistic to those of the Class or Subclass.
- 34. **Superiority.** A class action is superior to all other available means for the fair and efficient adjudication of this controversy. The damages or other financial detriment suffered by individual Class and Subclass members are relatively small compared to the burden and expense of individual litigation of their claims against Defendant. It would, thus, be virtually impossible for the Class or Subclass on an individual basis, to obtain effective redress for the wrongs committed against them. Furthermore, even if Class or Subclass members could afford such individualized litigation, the court system could not. Individualized litigation would create the danger of inconsistent or contradictory judgments arising from the same set of facts. Individualized litigation would also increase the delay and expense to all parties and the court system from the issues raised by this action. By contrast, the class action device provides the benefits of adjudication of these issues in a single proceeding, economies of scale, and comprehensive supervision by a single court, and presents no unusual management difficulties under the circumstances.
 - 35. In the alternative, the Class may also be certified because:

- (a) the prosecution of separate actions by individual Class and Subclass members would create a risk of inconsistent or varying adjudications with respect to individual Class members that would establish incompatible standards of conduct for Defendant;
- (b) the prosecution of separate actions by individual Class and Subclass members would create a risk of adjudications with respect to them that would, as a practical matter, be dispositive of the interests of other Class members not parties to the adjudications, or substantially impair or impede their ability to protect their interests; and/or
- (c) Defendant has acted or refused to act on grounds generally applicable to the Class as a whole, thereby making appropriate final declaratory and/or injunctive relief with respect to the members of the Class as a whole.

CLAIMS FOR RELIEF

COUNT I Breach Of Contract (On Behalf Of The Class And Subclass)

- 36. Plaintiff hereby incorporates by reference the allegations contained in all preceding paragraphs of this complaint.
- 37. Plaintiff brings this claim individually and on behalf of the members of the proposed Class and Subclass against Defendant.
- 38. Through the admission agreement and payment of tuition and fees, Plaintiff and each member of the Class and Subclass entered into a binding contract with Defendant.
- 39. As part of the contract, and in exchange for the aforementioned consideration,
 Defendant promised to provide certain services, all as set forth above. Plaintiff and members of
 the Class and Subclass fulfilled their end of the bargain when they paid monies due for Spring
 2020 Semester tuition. Tuition for Spring 2020 Semester was intended to cover in-person
 educational services from January through May 2020. In exchange for tuition monies paid,

members of the Class and Subclass were entitled to in-person educational services through the end of the Spring 2020 Semester.

- 40. Defendant has failed to provide the contracted for services and has otherwise not performed under the contract as set forth above. Defendant has retained monies paid by Plaintiff and the Class for their Spring 2020 Semester tuition and fees, without providing them the benefit of their bargain.
- 41. Plaintiff and members of the Class and Subclass have suffered damage as a direct and proximate result of Defendant's breach, including but not limited to being deprived of the education, experience, and services to which they were promised and for which they have already paid.
- 42. As a direct and proximate result of Defendant's breach, Plaintiff and members of the Class and Subclass are entitled to damages, to be decided by the trier of fact in this action, to include but not be limited to reimbursement of certain tuition, fees, and other expenses that were collected by Defendant for services that Defendant has failed to deliver. Defendant should return the pro-rated portion of any Spring 2020 Semester tuition and fees for education services not provided since Occidental shut down on March 12, 2020.
- 43. Defendant's performance under the contract is not excused due to COVID-19. Indeed, Defendant should have refunded the pro-rated portion of any education services not provided. Even if performance was excused or impossible, Defendant would nevertheless be required to return the funds received for services it will not provide.

Unjust Enrichment (On Behalf Of The Class And Subclass)

44. Plaintiff hereby incorporates by reference the allegations contained in all

preceding paragraphs of this complaint.

- 45. Plaintiff brings this claim individually and on behalf of the members of the proposed Class and Subclass against Defendant.
- 46. Plaintiff and members of the Class and Subclass conferred a benefit on Defendant in the form of monies paid for Spring 2020 Semester tuition and other fees in exchange for certain services and promises. Tuition for the Spring 2020 Semester was intended to cover inperson educational services from January through May 2020. In exchange for tuition monies paid, Class members were entitled to in-person educational services through the end of the Spring Semester.
 - 47. Defendant voluntarily accepted and retained this benefit by accepting payment.
- 48. Defendant has retained this benefit, even though Defendant has failed to provide the education, experience, and services for which the tuition and fees were collected, making Defendant's retention unjust under the circumstances. Accordingly, Defendant should return the pro-rated portion of any Spring 2020 Semester tuition and fees for education services not provided since Occidental shut down on March 12, 2020.
- 49. It would be unjust and inequitable for Defendant to retain the benefit, and Defendant should be required to disgorge this unjust enrichment.

COUNT III Conversion (On Behalf Of The Class And Subclass)

- 50. Plaintiff hereby incorporates by reference the allegations contained in all preceding paragraphs of this complaint.
- 51. Plaintiff brings this claim individually and on behalf of the members of the proposed Class and Subclass against Defendant.

- 52. Plaintiff and members of the Class and Subclass have an ownership right to the in-person educational services they were supposed to be provided in exchange for their Spring 2020 Semester tuition and fee payments to Defendant.
- 53. Defendant intentionally interfered with the rights of Plaintiff and members of the Class and Subclass when it moved all classes to an online format and discontinued in-person educational services for which tuition and fees were intended to pay.
- 54. Plaintiff and members of the Class and Subclass demand the return of the prorated portion of any Spring 2020 Semester tuition and fees for education services not provided since Occidental shut down on March 12, 2020.
- 55. Defendant's retention of the fees paid by Plaintiff and members of the Class and Subclass without providing the educational services for which they paid, deprived Plaintiff and Class and Subclass members of the benefits for which the tuition and fees paid.
- 56. This interference with the services for which Plaintiff and members of the Class and Subclass paid damaged Plaintiff and Class and Subclass members in that they paid tuition and fees for services that will not be provided.
- 57. Plaintiff and members of the Class and Subclass are entitled to the return of prorated portion of any Spring 2020 Semester tuition and fees for education services not provided since Occidental shut down on March 12, 2020.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff, individually and on behalf of all others similarly situated, seeks judgment against Defendant, as follows:

(a) For an order certifying the Class and Subclass under Rule 23 of the Federal Rules of Civil Procedure and naming Plaintiff as the representative of the Class and Subclass and Plaintiff's attorneys as Class Counsel to represent the Class and Subclass members;

- (b) For an order finding in favor of Plaintiff and the Class and Subclass on all counts asserted herein;
- For compensatory and other damages in amounts to be determined by the (c) Court and/or jury;
- For prejudgment interest on all amounts awarded; (d)
- (e) For an order of restitution and all other forms of equitable monetary relief;
- (f) For injunctive relief as pleaded or as the Court may deem proper; and
- For an order awarding Plaintiff and the Class and Subclass their (g) reasonable attorneys' fees and expenses and costs of suit.

JURY DEMAND

Pursuant to Federal Rule of Civil Procedure 38(b), Plaintiff demands a trial by jury of any and all issues in this action so triable of right.

Dated: July 6, 2020 Respectfully submitted,

BURSOR & FISHER, P.A.

By: /s/ Yitzchak Kopel Yitzchak Kopel

Yitzchak Kopel Andrew Obergfell 888 Seventh Avenue New York, NY 10019 Telephone: (646) 837-7150 Facsimile: (212) 989-9163 Email: ykopel@bursor.com

aobergfell@bursor.com

Attorneys for Plaintiff

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The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as

provided by local rules of court purpose of initiating the civil de				974, is required for the use of	the Clerk of Court for the
I. (a) PLAINTIFFS STEVEN J. LINDER, on	behalf of himself and a	all others similarly situa	ated OCCIDENTAL CO	LLEGE	
(b) County of Residence of First Listed Plaintiff Morris (EXCEPT IN U.S. PLAINTIFF CASES) (c) Attorneys (Firm Name, Address, and Telephone Number) Bursor & Fisher, P.A., 888 Seventh Ave., New York, NY 10019			County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED. Attorneys (If Known)		
II. BASIS OF JURISDI	ICTION (Place an "X" in O	ne Box Only)	. CITIZENSHIP OF P	RINCIPAL PARTIES	(Place an "X" in One Box for Plaintif
□ 1 U.S. Government Plaintiff	☐ 3 Federal Question (U.S. Government Not a Party)		(For Diversity Cases Only) PTF DEF Citizen of This State ↑ 1 □ 1 Incorporated or Principal Place of Business In This State ↑ 4 □ 4		
☐ 2 U.S. Government Defendant			Citizen of Another State		
			Citizen or Subject of a Foreign Country	3	□ 6 □ 6
IV. NATURE OF SUIT		aly) ORTS	FORFEITURE/PENALTY	Click here for: Nature of BANKRUPTCY	of Suit Code Descriptions. OTHER STATUTES
□ 110 Insurance □ 120 Marine □ 130 Miller Act □ 140 Negotiable Instrument □ 150 Recovery of Overpayment & Enforcement of Judgment □ 151 Medicare Act □ 152 Recovery of Defaulted Student Loans (Excludes Veterans) □ 153 Recovery of Overpayment of Veteran's Benefits □ 160 Stockholders' Suits ▼ 190 Other Contract □ 195 Contract Product Liability □ 196 Franchise ■ REAL PROPERTY □ 210 Land Condemnation □ 220 Foreclosure □ 230 Rent Lease & Ejectment □ 240 Torts to Land □ 245 Tort Product Liability □ 290 All Other Real Property	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle Product Liability 360 Other Personal Injury 362 Personal Injury Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities - Employment 446 Amer. w/Disabilities - Other 448 Education	PERSONAL INJURY 365 Personal Injury - Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage Product Liability PRISONER PETITIONS Habeas Corpus: 463 Alien Detainee 510 Motions to Vacate Sentence 530 General 535 Death Penalty Other: 540 Mandamus & Other 550 Civil Rights 555 Prison Condition 560 Civil Detainee - Conditions of Confinement	□ 625 Drug Related Seizure of Property 21 USC 881 □ 690 Other □ 710 Fair Labor Standards Act □ 720 Labor/Management Relations □ 740 Railway Labor Act □ 751 Family and Medical Leave Act □ 790 Other Labor Litigation □ 791 Employee Retirement Income Security Act □ IMMIGRATION □ 462 Naturalization Application □ 465 Other Immigration Actions	□ 422 Appeal 28 USC 158 □ 423 Withdrawal 28 USC 157 PROPERTY RIGHTS □ 820 Copyrights □ 830 Patent □ 835 Patent - Abbreviated New Drug Application □ 840 Trademark SOCIAL SECURITY □ 861 HIA (1395ff) □ 862 Black Lung (923) □ 863 DIWC/DIWW (405(g)) □ 864 SSID Title XVI □ 865 RSI (405(g)) FEDERAL TAX SUITS □ 870 Taxes (U.S. Plaintiff or Defendant) □ 871 IRS—Third Party 26 USC 7609	□ 375 False Claims Act □ 376 Qui Tam (31 USC
X 1 Original Proceeding					
VI. CAUSE OF ACTIO	i 28 U.S.C. 1332(d	l) iuse:	61-2 ene jarronicional sitti	ar viving).	
VII. REQUESTED IN CHECK IF THIS IS A CLASS ACTION DEMAND \$ CHECK YES only if demanded in compound to the compound of the compo					-
VIII. RELATED CASE(S) IF ANY (See instructions): JUDGE DOCKET NUMBER					
DATE 07/06/2020	signature of attorney of record /s/ Yitzchak Kopel				
FOR OFFICE USE ONLY RECEIPT # AM	MOUNT	APPLYING IFP	JUDGE	MAG. JUD	oge

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INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)

- III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: Nature of Suit Code Descriptions.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.

Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket.

PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statue.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

 Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.

 Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>Class Action Against Occidental College Seeks Tuition</u>, <u>Fee Refunds in Wake of COVID-19 Campus Closure</u>