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26 *others similarly situated*

27 **IN THE UNITED STATES DISTRICT COURT**
28 **FOR THE NORTHERN DISTRICT OF CALIFORNIA**

MELISSA KLEIN and VALERIE
GRIFFETH, individually and on behalf of all
others similarly situated,

Plaintiffs,

v.

NATERA, INC.,

Defendant.

CASE NO.

CLASS ACTION COMPLAINT FOR:

1. **VIOLATIONS OF NEW YORK CONSUMER PROTECTION GBL § 349, et seq.**
2. **VIOLATIONS OF NEW YORK CONSUMER PROTECTION GBL § 350**
3. **VIOLATIONS OF ILLINOIS' CONSUMER FRAUD AND**

**DECEPTIVE BUSINESS
PRACTICES ACT, 815 ILL. COMP.
STAT. 505/2; AND UNIFORM
DECEPTIVE TRADE PRACTICES
ACT, 815 ILL. COMP. STAT. 510/2**

**4. FRAUD
5. FRAUD BY CONCEALMENT
6. UNJUST
ENRICHMENT/RESTITUTION**

DEMAND FOR JURY TRIAL

Plaintiffs Melissa Klein, and Valerie Griffeth (“Plaintiffs”), individually and on behalf of all others similarly situated, through their undersigned attorneys, allege as follows based upon personal knowledge as to the individual allegations pertaining to each of them, and the investigation of their counsel, against Defendant Natera, Inc. (“Natera” or “Defendant”).

NATURE OF THE ACTION

1. Plaintiffs bring this class action lawsuit to recover economic losses suffered by Plaintiffs and Class members (defined below) as a result of the false, deceptive, unfair, and misleading advertising, marketing, and promotion of Defendant’s preimplantation genetic testing for aneuploidy (“PGT-A” or “PGT-A testing”). Plaintiffs and Class members each spent thousands of dollars for PGT-A based on Defendant’s material misrepresentations and omissions.

2. Plaintiffs file this lawsuit to remedy Defendant’s unfair and deceptive business practices arising from its marketing and sale of PGT-A testing as a proven, accurate, and reliable method to decrease the chance of miscarriage and increase the chance of giving birth to a healthy baby when science does not support this. In addition to making misrepresentations as detailed herein, there are circumstances in which non-disclosure or concealment may constitute actionable fraud, and the facts set forth below demonstrate that in marketing to Plaintiffs and Class members, Defendant made partial representations while suppressing material facts. Defendant’s misleading statements and omissions as described in detail below are false and misleading to any reasonable consumer because PGT-A is unproven, inaccurate, and unreliable.

1 **INTRODUCTION**

2 3. According to the World Health Organization in April 2023, one in six people
3 worldwide experience infertility. One-third of the people in the United States have sought or know
4 someone who has sought fertility treatments or assisted reproductive technology (“ART”) to assist
5 them in becoming pregnant.

6 4. According to the United States Centers for Disease Control (“CDC”), as of 2021,
7 approximately 2.3% of all infants born in the United States each year are conceived using ART,
8 and that percentage is growing.

9 5. According to The American Society of Reproductive Medicine (“ASRM”) in 2022,
10 the number of babies in America born from *in vitro* fertilization (“IVF”) increased from 89,208 in
11 2021 to 91,771 in 2022, indicating that 2.5% of all births in the United States are a result of
12 successful ART cycles. The total number of IVF cycles performed increased by over 6% from
13 2021, from 368,502 in 2021 to 389,993 in 2022.

14 6. The demand for IVF is growing, thus providing economic opportunity for investors
15 wishing to take advantage of this increasing market.

16 7. There are now approximately 450 fertility clinics in the United States performing
17 IVF and a huge majority of these procedures are not covered by insurance, as many states do not
18 mandate insurance for IVF.

19 8. The IVF process begins with medication taken by women to stimulate the follicles
20 to create several mature eggs for collection. Once the eggs are retrieved from the ovaries, they are
21 then fertilized by the fertility clinic with sperm to create embryos. If the embryos reach the
22 blastocyst stage, they are then ready for implantation to see if they will result in a pregnancy.

23 9. PGT-A testing is marketed and sold by Defendant as an add-on to the IVF process
24 and purports to screen embryos for chromosomal abnormalities.

25 10. Defendant markets its PGT-A testing product directly to individual consumers.

26 11. Defendant also markets its PGT-A testing product to clinics and clinicians.
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1 12. Individual consumers going through the IVF process must decide whether to
2 purchase PGT-A testing prior to the testing being performed.

3 13. If PGT-A testing is purchased by individual consumers as an add-on to the IVF
4 process from Defendant, the IVF clinic performs a biopsy and sends a small number of cells from
5 the embryo to one of Defendant’s laboratories who performs the PGT-A testing and provides
6 results to the customer and their clinic.

7 14. The results purport to determine which embryos are “euploid” or best suited for
8 implantation and which embryos are “aneuploid” or abnormal and not suited for implantation.

9 15. PGT-A testing is marketed and sold by Defendant to people pursuing IVF as
10 increasing the chance of embryo implantation, decreasing the chance of miscarriage, reducing the
11 time to pregnancy, increasing the rate of pregnancy, increasing live birth rates, improving the
12 chance of a healthy pregnancy, and improving pregnancy rates for all ages, especially those of
13 advanced maternal age which Defendant identifies as over 35 years old. Defendant also markets
14 PGT-A as being 99% accurate. Based on these material representations and the material omissions
15 that underlay them as detailed below, Plaintiffs and Class members choose to purchase PGT-A
16 testing from Defendants as an add-on to their IVF treatment.

17 16. PGT-A testing does not occur as part of the IVF process until after it is purchased
18 from Defendant by the Plaintiffs and Class members.

19 17. The above representations by Defendant are false and/or misleading and deceptive
20 based upon the omission of material information. Studies show that when looking at clinic
21 pregnancy, miscarriage, or live-birth rates, there is no difference between cycles utilizing PGT-A
22 and cycles not utilizing PGT-A. Studies also show the accuracy rating for PGT-A is significantly
23 lower than advertised or disclosed.

24 18. Defendant’s false and misleading statements have severe consequences, including
25 causing ascertainable economic losses in the thousands of dollars suffered by Plaintiffs and Class
26 members.

27 19. Insurance companies have independently determined that there is insufficient basis
28

1 to support the use of PGT-A. Thus, PGT-A testing is rarely covered by insurance and is primarily
2 sold to consumers as an additional out-of-pocket expense in addition to the expensive cost of IVF.

3 20. The largest health insurance company in America, United Healthcare, has noted
4 that PGT-A is unproven and not medically necessary due to “insufficient evidence of efficacy.”
5 United Healthcare further states with respect to PGT-A that “[t]here is insufficient evidence to
6 support the use of PGT for aneuploidy screening at this time.”¹

7 21. Likewise, another large health insurance company, Aetna, states that PGT-A testing
8 is “experimental, investigational, or unproven.”²

9 22. As detailed below, these conclusions by United Healthcare, Aetna, and other
10 insurance companies are in line with conclusions reached by major professional health
11 organizations in the area of women’s health.

12 23. Embryos that are assigned an “abnormal” or “aneuploid” testing result (*i.e.*,
13 embryos that are designated as having an abnormal number of chromosomes) by Defendant are
14 typically not transferred and are often discarded due to customers being told that “abnormal”
15 embryos as determined by Defendants’ PGT-A testing are unsuitable for transfer.

16 24. Despite scientific research and studies showing insufficient evidence of efficacy,
17 the use of PGT-A has spiked in recent years due to Defendant’s marketing and advertising. For
18 example, from 2014 to 2021, the use of PGT-A testing increased from being utilized in 13% of
19 IVF cycles to approximately 40% of IVF cycles.

20 25. The PGT-A testing industry now generates an estimated revenue of between \$300
21 million to \$400 million dollars per year.

22 26. Defendant has known for years that there is insufficient evidence of efficacy of
23 PGT-A, and that PGT-A does not improve pregnancy rates, reduce the chance of miscarriage,
24 increase the success of IVF, or increase the chances of a healthy baby. Despite that, Defendant has
25

26 ¹ United Healthcare Commercial and Individual Exchange Medical Policy, Preimplantation Genetic Testing
27 and Related Services, effective date June 1, 2024.

28 ² See https://www.aetna.com/cpb/medical/data/300_399/0358.html.

1 to aggressively promote PGT-A to vulnerable and unsuspecting consumers.

2 27. Defendant has known for years that its PGT-A testing is not 99% accurate.

3 28. Defendant has acted to mislead customers with its false and deceptive marketing
4 and advertising statements while at the same time suppressing material facts and engaging in
5 material omissions, in exchange for the opportunity to reap millions of dollars in profit each year
6 from selling PGT-A testing.

7 29. Plaintiffs and Class members have relied on Defendant's false and deceptive
8 marketing and advertising statements, and material omissions in purchasing PGT-A testing, and
9 have suffered economic losses as a direct result.

10 30. Plaintiffs and Class members would not have purchased PGT-A testing from
11 Defendant had they known the truth as detailed below, and seek all available damages, equitable
12 relief, and other remedies from Defendant as alleged herein.

13 **PARTIES**

14 31. Plaintiff Melissa Klein is a resident of Staten Island, New York and received
15 fertility treatment fertility in Staten Island, New York.

16 32. Plaintiff Valerie Griffeth is a resident of Chicago, Illinois and received fertility
17 treatment fertility in Chicago, Illinois.

18 33. Defendant Natera, Inc. is a company incorporated in Delaware with a principal
19 executive office at 13011 McCallen Pass, Building A, Suite 100, Austin, Texas 78753.

20 34. According to its SEC filings, Defendant considers itself a "diagnostics company
21 with proprietary molecular and bioinformatics technology" and since 2009, has "launched a
22 comprehensive suite of products to improve patient care outcomes."³

23 35. One laboratory is located at 201 Industrial Road, Suite 410, San Carlos, California.

24 36. The San Carlos laboratory holds a Clinical and Public Health Laboratory License
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26

27 ³ Natera Inc., SEC Form 10-K. April 24, 2025.
28 <https://www.sec.gov/Archives/edgar/data/1604821/000155837025005447/ntra-20241231xars.pdf>.

1 which lists the owners as the Vanguard Group, Inc. and Blackrock Fund Advisors.

2 37. The San Carlos laboratory holds a certificate of accreditation with Clinical
3 Laboratory Improvement Amendments (“CLIA”) under the name Natera, Inc.

4 38. The second laboratory is located at 13011 McAllen Pass, Building A, Suite 100,
5 Austin, Texas.

6 39. The Austin laboratory holds a Clinical and Public Health Laboratory License which
7 lists the owner as Natera, Inc.

8 40. The Austin laboratory holds a certificate of accreditation with Clinical Laboratory
9 Improvement Amendments (“CLIA”) under the name NSTX, Inc.

10 41. Defendant markets, advertises, and promotes the sale of its PGT-A testing product
11 in California and throughout the United States.

12 **JURISDICTION AND VENUE**

13 42. This Court has subject matter jurisdiction over this action pursuant to the Class
14 Action Fairness Act, 28 U.S.C. Section 1332(d)(3)(B) and (D) because: (i) there are 100 or more
15 Class members; (ii) there is an aggregate amount in controversy exceeding \$5,000,000, exclusive
16 of interest and costs; and (iii) some Plaintiffs and Class members and Defendant are residents of
17 different states.

18 43. This Court has supplemental jurisdiction over Plaintiffs’ state law claims pursuant
19 to 28 U.S.C. § 1367.

20 44. The injuries, damages and/or harm upon which this action is based occurred or
21 arose out of activities engaged in by Defendant within, affecting, and emanating from, the State of
22 California. Defendant regularly conducts and/or solicits business in, engages in other persistent
23 courses of conduct in, and/or derives substantial revenue from services provided to persons in the
24 State of California. Defendant has engaged, and continues to engage, in substantial and continuous
25 business practices in the State of California and across the country.

26 45. Venue is proper in this District pursuant to 28 U.S.C. § 1391(b)(2) because a
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28

1 substantial part of the events or omissions giving rise to the claims occurred in the State of
2 California, including within this District.

3 SUBSTANTIVE ALLEGATIONS

4 **A. Background Concerning IVF**

5 46. IVF is a process of fertilization in which an egg is combined with sperm in vitro
6 (“in glass”).

7 47. To prepare for egg retrieval, certain drugs and hormone therapies are taken orally
8 and by injection over several weeks to stabilize the uterine lining, stimulate the ovaries into
9 producing follicles, and stop the ovary follicles from releasing eggs. The injections often result in
10 bruising, swelling, and discomfort. The drugs and hormones often also trigger side effects
11 including fatigue, nausea, headaches, allergic reactions, and blood clots, as well as negative
12 emotions and mood swings.

13 48. After eggs are determined to be ready for retrieval, an ovulation trigger injection is
14 performed. The patient then proceeds to an operating room for egg retrieval, where she is sedated
15 or placed under general anesthesia and undergoes insertion of a needle through the vaginal wall
16 and into each follicle in the ovary to drain the follicles of their fluid. The fluid in the follicle is
17 then extracted into a test tube and studied under a microscope to look for eggs.

18 49. Residual pain from the egg retrieval procedure can last for several days. Some
19 patients suffer significant side effects such as ovarian hyperstimulation syndrome that causes the
20 ovaries to painfully swell and can lead to hospitalization.

21 50. The extracted eggs are then fertilized with sperm in a laboratory to create embryos.

22 51. If PGT-A testing is not performed on the embryos, after the fertilized egg (zygote)
23 undergoes embryo culture for 2-6 days, it may then be transferred by catheter into the uterus with
24 the intention of establishing a successful pregnancy.

25 52. If the PGT-A testing product is purchased from Defendant, then a biopsy is taken
26 from the trophoctoderm component of the embryo (meaning the outer layer of the blastocyst) after
27 the embryo reaches the blastocyst stage of development.

1 53. During the biopsy, the embryologist creates a hole in the embryo’s zona pellucida
2 which allows for the removal of five to ten cells from the trophectoderm component of the embryo.

3 54. The biopsy is sent to one of Defendant’s laboratories for PGT-A testing to be
4 performed.

5 55. Meanwhile, the embryos are frozen and stored with the IVF clinic while PGT-A
6 testing is performed by Defendant.

7 56. Test results are then provided by Defendant to the IVF clinic and to the patient.

8 57. If Plaintiffs and Class members were aware of the true efficacy and accuracy rates
9 of PGT-A testing, they would have forgone such testing.

10 58. Defendant is aware of the lengths to which individuals undergoing IVF go to create
11 embryos, the emotional and financial investment in assuring the viability of embryos, and the
12 expectation that any genetic testing product they are selling be accurate and non-misleading.

13 59. In some cases, additional procedures with additional costs may be purchased by
14 those undergoing IVF, including (a) intracytoplasmic sperm injection (“ICSI”) to increase the
15 chance for fertilization; (b) assisted hatching of embryos to potentially increase the chance of
16 embryo attachment (“implantation”); and (c) cryopreservation (freezing) of eggs or embryos.

17 60. Embryos are precious and irreplaceable. Human eggs, also known as oocytes, are a
18 limited resource. A woman has about one million eggs at birth and this supply diminishes at a rate
19 of about 1,000 eggs per month as part of the natural aging process.

20 61. The loss of oocytes from the ovaries continues in the absence of menstrual cycles,
21 and even during pregnancy, nursing, or taking of oral contraceptives.

22 62. Egg quality, too, diminishes with time, with miscarriages and chromosomal
23 abnormalities occurring more frequently for older women than for younger women.

24 63. Defendant’s PGT-A testing sold to Plaintiffs and Class members has substantial
25 ramifications including, without limitation, the costs that are paid for such testing, and the
26 additional costs of related procedures.

27 64. Defendant promotes its PGT-A product as an add-on to the IVF process and
28

1 strongly encourages consumers undergoing IVF to purchase its PGT-A testing to determine which
2 embryos are suitable to transfer.

3 65. PGT-A testing can and does result in the unnecessary loss of embryos.

4 66. PGT-A testing can and does result in embryos that could result in live births not
5 being transferred.

6 67. PGT-A testing can and does result in embryos that could result in live births being
7 discarded.

8 68. PGT-A testing can and does result in additional egg retrievals.

9 69. PGT-A testing can and does provide false positives and false negatives.

10 70. PGT-A testing can and does result in important decisions being made during IVF
11 based upon inaccurate information.

12 71. PGT-A testing can and does result in embryos being unable to be transferred.

13 72. Inaccurate PGT-A testing can and does result in healthy babies being born from
14 embryos deemed “abnormal” and “unsuitable for transfer.”

15 73. In selling PGT-A to consumers, Defendant represents that its PGT-A testing
16 product produces results that: (a) are 99% accurate; (b) increase the chance of embryo
17 implantation, (c) decrease the chance of miscarriage, (d) reduce the time to pregnancy, (e) increase
18 the rate of pregnancy, (f) increase the rate of live birth, (g) improve the chance of a healthy
19 pregnancy, (h) increase IVF success, and (i) improve pregnancy rates for all ages, especially those
20 of advanced maternal age which Defendant identifies as above 35.

21 74. These representations are false and misleading, and Plaintiffs and Class members
22 would not have purchased PGT-A testing from Defendant had they known the truth about PGT-
23 testing, which Defendant misrepresented and materially omitted. Defendant further made these
24 misrepresentations while suppressing material facts as set forth in detail below.

25 **B. History of PGT-A Testing**

26 75. Preimplantation genetic testing was pioneered by Yuri Verlinsky and his colleagues
27 beginning in the late 1980s.

1 76. In 1996, the hypothesis was first proposed that preimplantation genetic screening
2 (“PGS”) that eliminated aneuploid embryos prior to transfer would improve implantation rates of
3 remaining embryos in IVF, increase pregnancy and live birth rates, and reduce miscarriages.⁴

4 77. In reaching this hypothesis, the authors made at least five assumptions: (a) most
5 IVF cycles fail because of aneuploid embryos; (b) their elimination prior to embryo transfer will
6 improve IVF outcomes; (c) a single trophectoderm biopsy (“TEB”) at blastocyst stage is
7 representative of the whole trophectoderm (“TE”); (d) TE ploidy reliably represents the inner cell
8 mass (“ICM”); and (e) ploidy does not self-correct downstream from blastocyst stage.

9 78. Based upon these assumptions, PGS began to be marketed as an add-on to IVF
10 treatments, with promises of improved outcomes and reduced miscarriage rates.

11 79. In fact, as of 2024, there have been no randomized, properly structured, non-
12 commercial trials to support the basis of its marketing.

13 80. Initially, PGS was proposed by polar body biopsy, and eventually, technology was
14 implemented to a more invasive cleavage state embryo biopsy.

15 81. This method, described as PGS 1.0, became increasingly popular despite that
16 researchers in 2005 were still unable to demonstrate outcome benefits.⁵

17 82. In 2008, a randomized clinical trial sought to study one of the above-stated
18 hypotheses: whether the effect of PGS on live births rates differs in women of advanced maternal
19 age with variable risks for embryonic aneuploidy, and weighed these effects against the results
20
21

22
23 ⁴ Verlinsky, Y. and Kuliev, A., *Preimplantation diagnosis of common aneuploidies in infertile couples of advanced maternal age*. Hum. Reprod. 1996, 11:2076-7.

24 ⁵ Staessen C, Platteau P, Van Assche E, Miciels A, Tournaye H, Camus M, Devroey P, Liebaers I, van
25 Steirteghem A. *Comparison of blastocyst transfer with and without preimplantation genetic diagnosis for*
26 *aneuploidy screening in women of advanced maternal age: a prospective randomized controlled trial*. Hum
27 *Reprod.* 2005;19:2849–58. 16. Platteau P, Staessen C, Michiels A, Van Steirteghem A, Liebaers I, Devroey
28 *P. Preimplantation genetic diagnosis for eueuploidy screening in women older than 37 years. Fertil Steril.*
2005;84:319–24. 17. Platteau P, Staessen C, Michiels A, Van Steirteghem A, Liebaers I, Devroey P.
Preimplantation genetic diagnosis for aneuploidy screening in patients with unexplained recurrent
miscarriages. Fertil Steril. 2005;83:393–7.

1 obtained after IVF without PGS.⁶

2 83. The authors of this study concluded that PGS had no clinical benefit over standard
3 IVF in women of advanced maternal age regardless of their risk for embryonic aneuploidy.⁷

4 84. In 2009, Defendant originated its PGT-A product which it markets as “Spectrum.”⁸

5 85. In 2011, researchers conducted a meta-analysis of randomized control trials on the
6 effect of PGS on the probability of live birth after IVF.⁹

7 86. The authors of this meta-analysis found that there is no evidence of a beneficial
8 effect of PGS as currently applied on the live birth rate after IVF.¹⁰

9 87. In addition, the authors determined that PGS significantly *lowers* the live birth rate
10 for women of advanced maternal age. The authors noted that technical drawbacks underlied the
11 inefficiency of PGS.¹¹

12 88. The authors cautioned that new approaches in the application of PGS should be
13 carefully evaluated before introduction into clinical practice.¹²

14 89. In a 2013 paired randomized clinical trial on 116 patients, scientists sought to
15 evaluate if cleavage¹³ or blastocyst stage embryo biopsy affects reproductive competence.¹⁴

19
20 ⁶ Twisk, M., Mastenbroek, S., et al., *No beneficial effect of preimplantation genetic screening in women of advanced maternal age with a high risk for embryonic aneuploidy*. Human Reproduction, Vol,23, No. 12 pp. 2813-2817 (2008).

21 ⁷ *Id.*

22 ⁸ Natera Company Fact Sheet located at https://www.natera.com/wp-content/uploads/2020/12/NAT_FS_2019_11_21_NAT-801958_DWNLD.pdf (last visited October 8, 2024).

23 ⁹ Mastenbroek, S. *Preimplantation genetic screening: a systemic review and meta-analysis of RCTs*. Human Reproduction Update, Vol.17, No.4, 454-466 (2011).

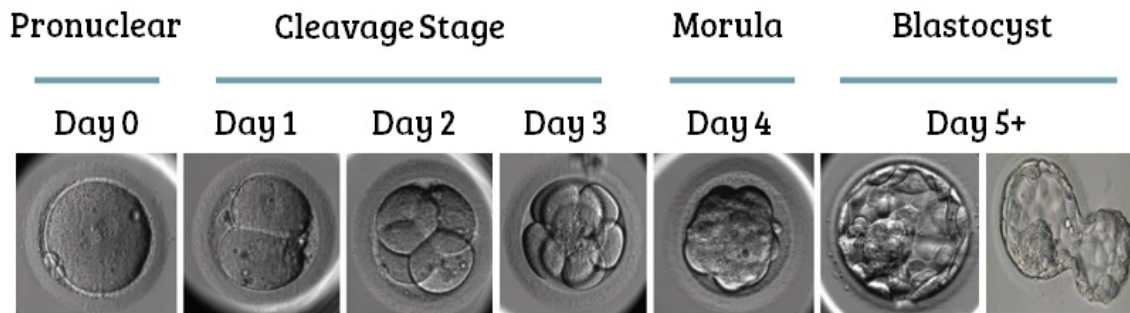
24 ¹⁰ *Id.*

25 ¹¹ *Id.*

26 ¹² *Id.*

27 ¹³ Cleavage stage refers to embryos at day 2-3 while blastocyst refers to embryos at day 5-6.

28 ¹⁴ Scott, R., et al., *Cleavage-stage biopsy significantly impairs human embryonic implantation potential while blastocyst biopsy does not: a randomized and paired clinical trial*, Fertility and Sterility Vol. 100, No. 3, September 2013 0015-0282.



90. Until this time, most biopsies for PGS were performed at the cleavage stage of embryogenesis, whereas less than one percent (1%) were being performed on blastocyst stage.

91. The authors concluded that cleavage-stage biopsy markedly reduced embryonic reproductive potential.¹⁵

92. They further concluded that until laboratories demonstrated safety by applying a similar powerful study design, there remained insufficient evidence that biopsy at the blastocyst stage could be safely performed without impacting the reproductive potential of human embryos.¹⁶

93. Soon thereafter, however, the PGS testing labs began trophectoderm biopsy at the blastocyst stage without conducting further appropriate studies.

94. To perform PGT-A, DNA must be obtained from embryos for analysis.

95. The approach most widely adopted in practice today to obtain DNA is by performing a biopsy from a blastocyst 5 to 6 days after conception.

96. The blastocyst is made up of embryonic cells and extraembryonic cells.

97. The embryonic cells form the inner cell mass (“ICM”) of the blastocyst, which will lead to the development of the fetus, and the extraembryonic cells form the trophectoderm of the blastocyst which will form the placenta.

98. The biopsy is taken from the trophectoderm which is made up of extraembryonic cell lineage cells. This extraembryonic cell DNA is then analyzed to determine if the embryo contains a normal or abnormal number of chromosomes.

¹⁵ *Id.*

¹⁶ *Id.*

1 99. For PGS testing results, the number of chromosomes detected from the biopsied
2 cells, taken from the trophoctoderm, are interpreted to be representative of the entire embryo
3 including the inner cell mass.

4 100. Laboratories performing preimplantation genetic testing proclaim that if testing
5 results show a normal number of chromosomes in the biopsy, then the embryo should be
6 considered euploidy (the word comes from the Greek word *eu*, which means true or even), which
7 means it has a higher chance of successful implantation and live birth. In contrast, if testing shows
8 an abnormal number of chromosomes in the biopsy, then the embryo should be considered
9 aneuploid.

10 101. The trophoctoderm biopsy at blastocyst stage, referred to as PGS 2.0, was
11 considered by PGS proponents as more accurate than PGS 1.0, and quickly replaced the earlier
12 method.

13 102. There were, however, no properly conducted studies to assess PGS 2.0 accuracy
14 and whether the new method increased implantation and reduced miscarriage rates.

15 103. When embryo biopsy moved from cleavage to blastocyst stage, and selected
16 chromosome investigations went to full chromosomal analyses with a newly developed diagnostic
17 platform for conducting PGS 2.0, the assumption was that PGS would finally show its
18 effectiveness. This, however, did not happen.

19 104. Thus, genetic laboratories questioned whether other platforms could more
20 accurately determine embryo ploidy.

21 105. In a 2016 study, researchers tested embryos that had previously been tested and
22 deemed aneuploid.¹⁷ Six out of eleven embryos upon retesting were determined to be either
23 definitively normal or mosaic with the potential to be normal, thus offering a chance for pregnancy
24 if transferred.¹⁸

26 ¹⁷ Gleicher, N., et al., *Accuracy of preimplantation genetic screening (PGS) is compromised by degree of*
27 *mosaicism of human embryos*, *Reproductive Biology and Endocrinology* (2016) 14:54.

28 ¹⁸ *Id.*

1 106. The authors of this 2016 study concluded that while the study was small, it
2 suggested a potential false positive rate of almost 55% and an intra-embryo discrepancy of almost
3 50%.¹⁹

4 107. Further, of the eleven embryos originally deemed abnormal, eight patients decided
5 to undergo a transfer, and five of those eight transfers resulted in the delivery of healthy
6 newborns.²⁰

7 108. Based upon their findings, the authors urged careful reassessment of PGS
8 considering its increasing use.²¹

9 109. In another 2016 study, researchers analyzed assisted reproductive technology in the
10 United States from 2011 to 2012 and found that overall PGS was associated with a decreased live
11 birth rate when compared to IVF without PGS.²²

12 110. In yet another study in 2016, researchers re-biopsied 37 embryos determined to be
13 “abnormal” and found that 33% of embryos originally reported to be “aneuploid” were found to
14 be “euploid” upon repeat assessment.²³ This study further demonstrated PGS testing’s inability to
15 accurately differentiate between euploidy and aneuploidy of any given embryo.

16 111. Furthermore, in 2016, researchers in a mouse study found that mosaic embryos
17 were able to self-correct and that aneuploid cells were progressively depleted from the blastocyst
18 stage on.²⁴

22 ¹⁹ *Id.*

23 ²⁰ *Id.*

24 ²¹ *Id.*

25 ²² Kushnir, VA, et al., *Effectiveness of in vitro fertilization with preimplantation genetic screening: a
reanalysis of Unites States assisted reproductive technology data 2011-2012*. *Fert Steril*, 2016; 106(1):
75-9.

26 ²³ Tortoriello D., et al., *Reanalysis of human blastocysts with different molecular genetic screening
platforms reveals significant discordance in ploidy status*. *Fert Steril*, 2016; 106(1).

27 ²⁴ Bolton, H., et al., *Mouse model of chromosome mosaicism reveals lineage-specific depletion of
aneuploid cells and normal development potential*. *Nat Commun* 7, 11165 (2016).
28 <https://doi.org/10.1038/ncomms11165>.

1 112. The findings suggested that it may be biologically impossible to accurately assess
2 an embryo’s viability with a single trophoctoderm biopsy at blastocyst stage.²⁵

3 113. By this time, proponents of PGS were aware of the above scientific literature that a
4 problem existed with the results of PGS and that there was a problem with strictly defining
5 embryos as either euploid or aneuploid, with the known resulting consequences of delivering
6 aneuploid test results to patients.

7 114. Defendant, however, did not incorporate this knowledge into its marketing and
8 advertising to inform its customers about the problems and issues inherent in PGS testing.

9 115. Despite the mounting research as of 2016, the Preimplantation Genetic Diagnosis
10 International Society (“PGDIS”) published practice guidance for PGS on its website for the first
11 time in July 2016.

12 116. At the same time, PGDIS announced a name change from PGS to PGT-A. Notably,
13 this change replaced the term “screening” with the term “testing.”

14 117. PGDIS is heavily influenced by and comprised of influential members of the
15 genetic testing industry and has its headquarters located at a genetic testing laboratory.

16 118. PGDIS was cofounded by Yuri Verlinsky, who created a genetic testing company,
17 Reproductive Genetic Innovations, Inc. (“RGI”), and Santiago Munne, who also co-founded the
18 genetic testing companies, Reprogenetics and Recombine and worked as the Chief Scientific
19 Officer of CooperGenomics in 2016 and 2017.

20 119. In fact, PGDIS has its headquarters at the same location as RGI, another genetic
21 testing laboratory that markets and sells PGT-A.

22 120. The PGDIS guidelines contained no references to valid scientific literature and
23 were published without being subject to peer review.

24 121. Research conducted the following year in 2017 shed even more light on the issues
25 with PGS testing, now known as PGT-A. Specifically, the authors conducted a review of 455
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27 ²⁵ *Id.*
28

1 publications related to testing and concluded that all five assumptions made in 1996 are
2 scientifically unsupportable and the hypotheses of PGS were discredited.²⁶

3 122. The authors of the 2017 review urged testing for the purpose of research and
4 acknowledged that not one properly analyzed study had been able to demonstrate clinical outcome
5 benefits and, indeed, increasing evidence suggested that at least in unfavorable patient populations
6 (*i.e.*, older patients) who were considered the best candidates for the test, testing may instead
7 reduce pregnancy and live birth chances.²⁷

8 123. Instead of undertaking randomized and properly structured studies, Defendant
9 continued to falsely promote and tout the benefits of PGS testing and PGT-A testing to IVF patients
10 without appropriate validation or scientific support.

11 124. Thereafter, PGT-A testing proponents pivoted yet again, and suggested that
12 aneuploid embryos would now be divided into two diagnostic categories, mosaic and aneuploid.
13 However, the thresholds of classification for euploid, mosaic, and aneuploid embryos were not
14 based on appropriate peer reviewed scientific research.

15 125. In another study in 2017, a researcher sought to analyze the clinical reliability of
16 PGT-A results and the resulting loss of what may be viable embryos.²⁸ The author estimated that
17 the proportion of normal embryos that are discarded based upon faulty results may be as high as
18 40%. The author noted that this would lead to an overall decrease in the cumulative pregnancy rate
19 achievable.²⁹

25 ²⁶ Gleicher, N, Orvieto, R. *Is the hypothesis of preimplantation genetic screening (PGS) still supportable?*
26 *A review.* Journal of Ovarian Research (2017) 10:21

27 ²⁷ *Id.*

28 ²⁸ Paulson, R., *Preimplantation genetic screening: what is the clinical efficiency?* Fert. Ster. Vo. 108 No.
29 2, August 2017.

30 ²⁹ *Id.*

1 126. In 2018, an abstract titled *The Emperor Still Looks Naked* was published in
2 Reproductive Biomedicine criticizing PGS/PGT-A as a novel technology that has seen widespread
3 implementation without scientific support.³⁰

4 127. The author commented, “I have been appalled at the implementation into clinical
5 practice of novel technology without the appropriate underpinning science. Saddest of all is the
6 peddling, not infrequently for substantial pecuniary gain, of these unproven techniques to
7 vulnerable people – older age women, or those with repeated IVF failure or recurrent miscarriage
8 – as miracle treatments that will change their blighted lives.”³¹ The author called for registered,
9 randomized, properly structured, non-commercial trials before clinical application of a technology
10 that can lead to such devastating consequences like viable embryo destruction.

11 128. Subsequently, no such study was conducted, and no such study was sponsored or
12 proposed by Defendant.

13 129. Instead, Defendant continued its marketing efforts to obtain greater market share in
14 the PGT-A industry and continued not to disclose the truth about PGT-A to its vulnerable
15 customers.

16 130. In 2018, the American Society for Reproductive Medicine (“ASRM”) and the
17 Society for Assisted Reproductive Technology (“SART”) issued a committee opinion on
18 PGS/PGT-A, concluding that “the value of PGS/PGT-A as a screening test for IVF patients has
19 yet to be determined.”³²

20 131. Defendant, however, materially omitted to inform its customers and potential
21 customers of this important pronouncement by the leading professional organization for
22 reproductive medicine.

23 132. Instead, Defendant issued a press release on August 2, 2018 which “announced the
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25 ³⁰ Braude P. *The Emperor Still Looks Naked*. Reprod Biomed Online. 2018 Aug;37(2):133-135. doi:
26 10.1016/j.rbmo.2018.06.018. PMID: 30075840.

27 ³¹ *Id.*

28 ³² Penzias, A., et al., *The use of preimplantation genetic testing for aneuploidy (PGT-A): A committee
opinion*. Fertility and Sterility, Vol. 109, No. 3, March 2018.

1 publication of a study demonstrating the value of the company’s Spectrum® preimplantation
2 genetic screening for aneuploidy (PGT-A) to improve in vitro fertilization (IVF) results for all
3 women, including those of advanced maternal age.”³³ The press release was titled, “Study Shows
4 Natera's Spectrum Preimplantation Genetic Testing for Aneuploidy Improves IVF Outcomes for
5 All Women, Regardless of Maternal Age” and touted that “Spectrum’s patented SNP-based
6 technology with Parental Support provides a highly comprehensive 24-chromosome PGT-A *with*
7 *an accuracy greater than 99 percent per chromosome call.*” (emphasis added.)

8 133. In 2019, Santiago Munne, conducted a randomized controlled trial to evaluate the
9 benefit of PGT-A for embryo selection in frozen-thawed embryo transfer.³⁴

10 134. Mr. Munne and his fellow researchers found that PGT-A did not improve overall
11 pregnancy outcomes, did not improve live birth rates, and did not reduce miscarriage rates.³⁵

12 135. Commentary published following this study included the following: “Considering
13 all presented evidence, it is difficult to understand what further argument can be made for the
14 continuous routine clinical utilization of PGT-A to improve IVF outcomes.”³⁶

15 136. In 2020, Dr. Richard Paulson cautioned about PGT-A being actively marketed as a
16 mature technology by overstating its benefits and underestimating its losses.³⁷

17 137. Dr. Paulson noted that the marketing of PGT-A as accurate, having minimal errors,
18 and applicable to IVF patients generally was not supported with evidence-based science and that
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22 ³³[https://www.natera.com/company/news/study-shows-nateras-spectrum-preimplantation-genetic-testing-
for-aneuploidy-improves-ivf-outcomes-for-all-women-regardless-of-maternal-age-2/](https://www.natera.com/company/news/study-shows-nateras-spectrum-preimplantation-genetic-testing-for-aneuploidy-improves-ivf-outcomes-for-all-women-regardless-of-maternal-age-2/)(last visited October
23 8, 2024).

24 ³⁴ Munne, S., et al., *Preimplantation genetic testing for aneuploidy versus morphology as selection criteria
for single frozen-thawed embryo transfer in good-prognosis patients: a multicenter randomized clinical
trial*. Fertility and Sterility, Vol. 112, No. 6, December 2019.

25 ³⁵ *Id.*

26 ³⁶ Orvieto, R., *Preimplantation genetic testing for aneuploidy (PGT-A- finally revealed*. Journal of Assisted
Reproduction and Genetics (2020) 37-669-672.

27 ³⁷ Paulson, R. *Hidden in plain sight: the overstated benefits and underestimated losses of potential
implantations associated with advertised PGT-A success rates*. Human Reproduction, Vol. 35, Issue 3, p.
28 490-493 (March 2020).

1 the losses of potential implantations are evident. Dr. Paulson called for scientific scrutiny of the
2 available PGT-A data.³⁸

3 138. The American College of Obstetricians and Gynecologists’ (ACOG) Committee
4 Opinion released in March 2020 raised similar concerns. Notably, ACOG noted that the three
5 randomized control studies on the clinical effectiveness of PGT-A that reported higher pregnancy
6 rates in younger patients with no previous failed IVF attempts were small studies with substantial
7 limitations.³⁹

8 139. ACOG also determined that a randomized control study which found women aged
9 38 to 41 had higher birth rates and lower miscarriage rates after PGT-A was problematic because
10 32% of the patients in the PGT-A group did not have an embryo to transfer.⁴⁰

11 140. ACOG cited to ASRM’s 2018 determination that “there is insufficient evidence to
12 recommend the routine use of preimplantation genetic testing-aneuploidy in all infertile women”
13 and concluded that the ideal genetic platform to analyze all chromosomes had not yet been
14 established.⁴¹

15 141. ACOG also stated that worldwide randomized controlled trials are needed to
16 determine which patient cohorts, if any, may benefit from PGT-A and that, in concordance with
17 ASRM, there is insufficient evidence to recommend routine use of PGT-A in all infertile women.⁴²

18 142. In conclusion, ACOG determined future research is necessary to establish the
19 overall clinical utility for PGT-A, the subset of patients that may benefit from PGT-A, the clinical
20 significance of mosaicism, and the residual risk for aneuploidy in PGT-A screened embryos.⁴³

21 143. In addition, an assessment was done of IVF and PGT-A patient education materials,
22 which also raised concerns.

24 ³⁸ *Id.*

25 ³⁹ Committee on Genetics of the American College of Obstetricians and Gynecologists. *ACOG Committee
Opinion – Preimplantation Genetic Testing*. Number 799. March 2020.

26 ⁴⁰ *Id.*

27 ⁴¹ *Id.*

28 ⁴² *Id.*

⁴³ *Id.*

1 144. The United States Centers for Disease Control and Prevention (“CDC”) requires
2 that patient education materials be written at or below a fifth-grade reading level, but researchers
3 found that among the educational materials examined, none met the CDC standard.⁴⁴

4 145. These findings suggested that patient educational materials concerning PGT-A may
5 not always be comprehensible or clear to all patients. Lack of appropriate educational materials
6 that present truthful and complete information about PGT-A in an accessible and comprehensible
7 manner may lead to disparities in the use of PGT-A because patient educational materials have
8 exceeded the average literacy skills of U.S. residents.⁴⁵

9 146. Additional research in 2020 also continued to show that live birth rates for PGT-A
10 should be calculated per cycle, instead of per transfer.⁴⁶ The authors of the 2020 study found that
11 PGT-A resulted in a lower chance of live birth in all age groups compared to transfer of embryos
12 without PGT-A.⁴⁷

13 147. In November 2021, the preeminent New England Journal of Medicine published
14 the results of a randomized controlled trial to assess whether PGT-A improves the cumulative life-
15 birth rate as compared with conventional IVF.⁴⁸

16 148. The authors concluded that “conventional IVF treatment was noninferior to PGT-
17 A and resulted in a higher cumulative live-birth rate in women with a good prognosis for a live
18 birth.”⁴⁹

22 ⁴⁴ Early, M., et al., *Literary assessment of preimplantation genetic patient education materials exceed*
23 *national reading levels*, Journal of Assisted Reproduction and Genetics, Vol.37, p. 1913-1922, (2020).

24 ⁴⁵ Yang, H., et al., *Preimplantation genetic testing for aneuploidy: Challenges in clinical practice*, Human
25 Genomics, article 69 (2022).

26 ⁴⁶ Doody, K. *Live Birth Rate Following PGT Results in Lower Live Birth Rate Compared to Untested*
27 *Embryos Transferred at Day 5/6*. Fertility and Sterility. Vol. 114, Issue 3, Supplement E419 (September
28 2020).

⁴⁷ *Id.*

⁴⁸ Yan, J., et al., *Live Birth with or without Preimplantation Genetic Testing for Aneuploidy*, N. Engl. J.
Med. 385;22, November 25, 2021.

⁴⁹ *Id.*

1 149. The authors also noted that “the results of trophoctoderm biopsy may not totally
2 represent the genetic composition of the inner cell mass of the blastocyst that is the precursor to
3 the embryo, and subsequent cell division may also eliminate a genetically abnormal cell line.”⁵⁰

4 150. The authors of the study concluded, among other things:

- 5 a. No benefit for PGT-A regardless of age on cumulative live-birth rate;⁵¹ and
- 6 b. No benefit for PGT-A for ongoing pregnancy and live birth rates after first
7 frozen embryo transfer.⁵²

8 151. Also in 2021, researchers reviewed the literature on PGT-A as a precursor to the
9 possibility of advancing technology to a non-invasive test for aneuploidy. In their analysis, the
10 authors recognized:

- 11 a. That it is possible for normal embryos to be misdiagnosed as mosaic thus
12 unsuitable for transfer, that ultimately will self-correct and lead to a live birth;
- 13 b. Studies do not support the use of PGT-A for all couples who undergo IVF, even
14 in women on the older end of the age spectrum (35-40), who theoretically have
15 the most to gain;
- 16 c. Improved live birth rates with PGT-A have not been consistently reported; and
- 17 d. Whether PGT-A improves live birth outcomes has yet to be proven.⁵³

18 152. Despite these findings, Defendant continued to advertise and misrepresent non-
19 existent benefits of PGT-A that are not supported by science to vulnerable consumers, while at the
20 same time omitting material information concerning the efficacy of PGT-A.

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25 ⁵⁰ *Id.* at 2054.

26 ⁵¹ *Id.*

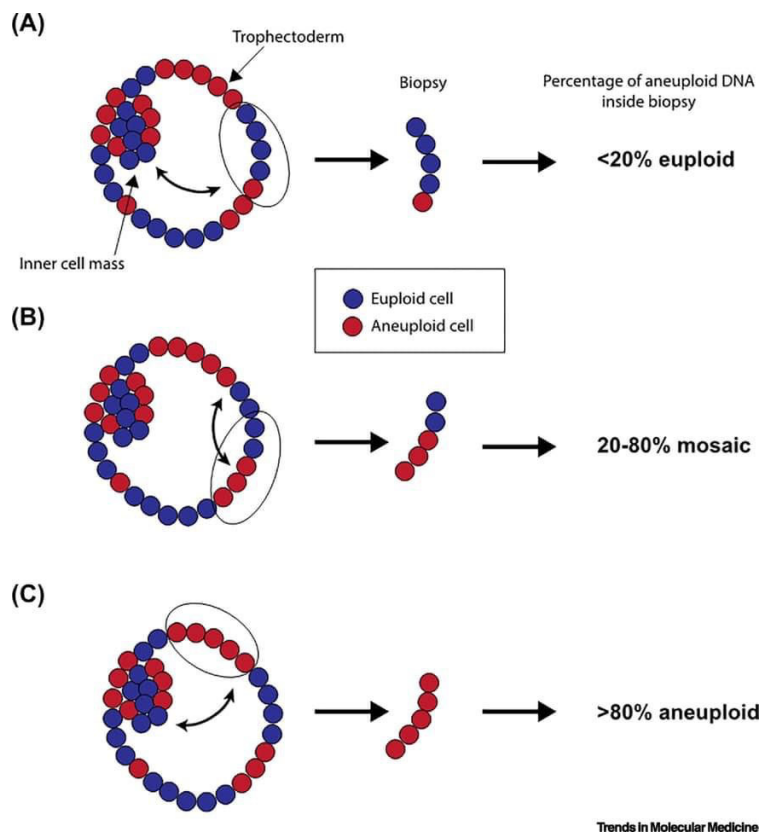
27 ⁵² *Id.*

28 ⁵³ Burks, C., et al., *The Technological Advances in Embryo Selection and Genetic Testing: A Look Back at the Evolution of Aneuploidy Screening and the Prospects of Non-Invasive PGT*, *Reprod. Med.* 2021, 2, 26-34.

1 153. Another study in 2021 also reconfirmed a known observation that term placentas,
2 which are what the trophoctoderm becomes, are inherently mosaic, characterized by a substantial
3 number of chromosomal abnormalities, even if the fetus is completely euploid.⁵⁴

4 154. The results of the 2021 study conflict with and further undermine Defendant's
5 position in promulgating PGT-A that a trophoctoderm biopsy at blastocyst stage can adequately
6 predict the entire embryo and what will develop from the inner cell mass.

7 155. For this reason, where the trophoctoderm biopsy is taken from may alter the results
8 of PGT-A such that the test does not accurately predict the entire trophoctoderm or the inner cell
9 mass, as shown in the following illustration:⁵⁵



54 Coorens, et al., *Inherent mosaicism and extensive mutation of human placentas*. Nature 592, 80-85 (2021).

55 Gleicher, N., et al., *Preimplantation Genetic Testing for Aneuploid – a Castle built on sand*. Trends in Molecular Medicine, Opinion I Special Issue: Reproductive and Sexual Health, Vol. 27, Issue 8, pp 731-742 (August 2021).

1 156. In March 2022, an opinion based upon a review of the recent scientific literature
2 was published in Human Reproduction, urging that PGT-A be restricted to only research
3 protocols.⁵⁶

4 157. However, since at least as early as April 2022, Defendant continued to promote
5 PGT-A by making the specific affirmative misrepresentation that PGT-A improves pregnancy
6 rates for all ages and the other representations stated above, including that it increases the chance
7 of implantation, decreases the chance of miscarriage, increases IVF success, and increases the rate
8 of pregnancy and live birth, all while omitting to inform customers concerning the truth about
9 PGT-A.⁵⁷

10 158. Also in 2022, a retrospective cohort study was published comparing cumulative
11 live birth rates between embryo transfers with or without PGT-A.⁵⁸ The authors noted that an
12 improvement in cumulative live birth rates with PGT-A utilization, calculated per cycle start,
13 cannot be assumed because simply testing embryos for aneuploidy does not increase the number
14 of euploid embryos, nor does it decrease the number of aneuploid embryos.⁵⁹

15 159. The authors concluded that there is no clear improvement to cumulative live birth
16 rates with PGT-A. In fact, “amongst the youngest patients (age <35), not only does there appear
17 to be no benefit to PGT-A, but there appears to be a considerable reduction in cumulative live birth
18 rates per cycle start.”⁶⁰

23 ⁵⁶ Gleicher, N., et al., *We have reached a dead end for preimplantation genetic testing for aneuploidy*,
24 Human Reproduction, Vol. 37, No. 12, pp. 273002734 (2022).

25 ⁵⁷ <https://www.natera.com/resource-library/spectrum/what-is-pgt-a-and-how-does-it-support-ivf/> (last
26 visited October 8, 2024).

27 ⁵⁸ Kucherov, A., et al., *PGT-A is associated with reduced cumulative live birth rate in first reported IVF*
28 *stimulation cycles age ≤: an analysis of 133,494 autologous cycles reported by SART CORS*, Journal of
Assisted Reproduction and Genetics (2023) 40:137-149.

⁵⁹ *Id.*

⁶⁰ *Id.*

1 160. The authors further recognized calls for reevaluation or even repeal of widespread
2 PGT-A usage and concluded with an advocacy for “responsible innovation supported by high-
3 quality data, which is not the case for PGT-A.”⁶¹

4 161. Defendants, however, continued to advertise and market PGT-A based upon live
5 birth rates per embryo transfer thereby excluding from analysis any IVF cycles without
6 transferrable embryos. As a result, Defendants artificially and materially inflated and
7 misrepresented the utility of PGT-A on increasing the chance of pregnancy, increasing live birth
8 rates across all age groups, and increasing the chance of implantation.

9 162. Another article published in Human Genomics called for regulatory oversight,
10 recognizing that PGT-A had regrettably become a routine add-on for IVF to improve clinical
11 outcomes, and noted the following:

- 12 a. There are significant knowledge gaps in PGT-A;
- 13 b. PGT-A is a screening tool, not a diagnostic test;
- 14 c. Mosaicism is much higher in the blastocyst stage from PGT-A than recognized
15 by industry;
- 16 d. Mosaic embryos may not accurately represent future fetal viability;
- 17 e. PGT-A has not been validated;
- 18 f. High false positive rates are extremely concerning;
- 19 g. Use in particular age groups is uncertain;
- 20 h. Routine use of PGT-A should not be recommended;
- 21 i. Evidence-based data are needed to evaluate the risks and benefits for patients;
- 22 and
- 23 j. Industry self-regulation has shown to be insufficient.⁶²

26 ⁶¹ *Id.*

27 ⁶² Yang, H., et al., *Preimplantation genetic testing for aneuploidy: challenges in clinical practice*, Human
28 Genomics (2022)16.69.

1 163. As further proof of the concern raised by the authors in Human Genomics regarding
2 the high false positive rates, a re-biopsy and repeat of PGT-A testing on fifty-eight embryos that
3 were originally determined to be chaotically abnormal concluded that twenty-two of the embryos
4 had a euploid result.⁶³

5 164. The researchers noted that the euploid rate suggested that chaotic abnormal results
6 on PGT-A have “reduced predictive value.”⁶⁴

7 165. These findings were further supported a year later when researchers re-biopsied
8 sixty-four embryos reported as “chaotic”, which they defined as an embryo with a PGT-A result
9 of more than six chromosome aneuploidies and found concordance of only 67%.⁶⁵

10 166. Then in April 2023, Dr. Robert Casper determined that when the research data
11 utilized all IVF cycles, and not just the ones where there was a transferrable embryo following
12 PGT-A, there was actually a threefold increase in live birth rates for the group that did not have
13 PGT-A testing performed, and a reduction in live birth rates for the group where PGT-A was
14 utilized.⁶⁶

15 167. Based upon his findings, Dr. Casper raised concerns that PGT-A caused irreparable
16 harm to patients with diminished ovary reserve who lost their only chance to have a baby from
17 their cycle of IVF.⁶⁷

18 168. The European Society of Human Reproduction and Embryology (“ESHRE”) add-
19 ons working group released its good practice recommendations on add-ons in reproductive
20

24 ⁶³ Rabkina, L., et al., *Concordance of Chromosomes Within Re-Biopsy Samples of Embryos Following*
Initial Chaotic Results. Fertility and Sterility, Vol. 118, Issue 4. October 2022.

25 ⁶⁴ *Id.*

26 ⁶⁵ Lim, Joshua, et al., *Concordance of Repeat Biopsy Results Among Embryos with 6 or More Aneuploidies*.
Fertility and Sterility. Vol. 120, Issue 4. October 2023.

27 ⁶⁶ Casper, R. *PGT-A in patients with a single blastocyst*. Journal of Assisted Reproduction and Genetics, v.
40, p. 1227 (2023).

28 ⁶⁷ *Id.*

1 medicine in September of 2023 in which it was determined that PGT-A was not currently
2 recommended for routine clinical use.⁶⁸

3 169. In support of this recommendation, ESHRE noted that random control test studies
4 did not report benefits on live birth rates and caused disposal of viable embryos.

5 170. Then in October 2023, it was recognized in the scientific literature that “there is
6 currently insufficient evidence to prove the effectiveness of PGT-A in patients with unexplained
7 recurrent implantation failure.”⁶⁹

8 171. Patients with unexplained recurrent implantation failure are precisely the type of
9 vulnerable and unsuspecting consumers that Defendant is targeting and marketing to with its
10 misleading statements that PGT-A reduces miscarriage rates and increases the chances of a live
11 birth.

12 172. For example, Defendant’s marketing has included the following on its website from
13 2021 to present:

14 **Who could benefit from 24-chromosome preimplantation genetic testing for
15 aneuploidy (PGT-A)?**

16 24-chromosome PGT-A can be beneficial in the following scenarios:

- 17 ▶ Advanced maternal age (women 35 years of age or greater)
- 18 ▶ Embryo sex determination (sex selection) because of risk for X-linked conditions
- 19 ▶ Prior pregnancy or child with a chromosomal abnormality
- 20 ▶ Repeated unsuccessful IVF cycles
- 21 ▶ Recurrent pregnancy loss
- 22 ▶ Single-embryo transfer
- 23 ▶ Screening of previously untested and frozen embryos

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24 173. The authors of the October 2023 retrospective cohort study noted:

25 ⁶⁸ Lundin, K., et al., *Good Practice Recommendations on Add-Ons in Reproductive Medicine*. Human
26 Reproduction. Vol, 38, Issue 11. November 2023.

27 ⁶⁹ Lui, Y., et al., *Preimplantation Genetic Testing for Aneuploidy Could Not Improve Cumulative Live Birth
28 Rate Among 705 Couples with Unexplained Recurrent Implantation Failure*, *The Application of Clinical
Genetics* 2024:17 1-13.

⁷⁰ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/faq/#pg-menu-tabs> (last
visited October 8, 2024).

- 1 a. The ineffectiveness of PGT-A may be due to the high mosaicism and
2 unavoidable false-positive results from trophectoderm biopsies, “which led to
3 much waste of viable embryos”;
- 4 b. The effectiveness of PGT-A in ≥38-year-old group is significantly undermined
5 by low egg retrieval, high aneuploidy and mosaicism rate, resulting in a lot of
6 women with no embryos to transfer;
- 7 c. Trials targeting older women found no improvement in the cumulative live birth
8 rate after PGT-A.⁷¹

9 174. Again, researchers determined that high quality randomized clinical trials are
10 needed to find patients with indications that would benefit from PGT-A.

11 175. Defendant has not conducted such studies.

12 176. Notably, Defendant’s researchers stated that a limitation of their 2018 study on their
13 own PGT-A product to demonstrate the value of the company’s Spectrum® preimplantation
14 genetic screening for aneuploidy (PGT-A) was that it was not randomized.⁷²

15 177. In addition, the researchers stated that “the data presented in this study are not
16 sufficient to demonstrate the absolute impact of SNP-based PGT-A on IVF outcomes”.⁷³

17 178. Thus, Defendant’s own study did not support or prove the representations it makes
18 to sell its PGT-A testing product.

19 179. Instead, Defendant has continued to falsely and misleadingly market and advertise
20 the purported benefits of PGT-A as described herein without a valid and proven scientific basis to
21 do so, and while suppressing material facts.

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25 ⁷¹ *Id.*

26 ⁷² Simon, A., et al., *Pregnancy outcomes from more than 1,800 in vitro fertilization cycles with the use of*
27 *24-chromosome single-nucleotide polymorphism-based preimplantation genetic testing for aneuploidy.*
Fertility and Sterility. Vol. 110, Issue 1. July 2018.

28 ⁷³ *Id.*

1 180. In November 2023, ASRM again stated emphatically and clearly that the “value of
2 preimplantation genetic testing for aneuploidy (PGT-A) as a universal screening test for all patients
3 undergoing in vitro fertilization (IVF) **has not been established.**” (emphasis added).⁷⁴

4 181. Defendant omitted this material fact in its advertising and marketing materials,
5 including those received, reviewed, and relied upon by Plaintiffs and Class Members.

6 182. ASRM further noted that two randomized controlled trials have been conducted
7 which showed no benefit of PGT-A in improving live birth rates, particularly in women less than
8 38 years of age.⁷⁵

9 183. An article published in March of 2024 noted that it was imperative to acknowledge
10 the inherent risks associated with PGT-A, including the potential for misdiagnosis among other
11 issues.⁷⁶

12 184. In support of the importance of acknowledging the risks associated with PGT-A,
13 the authors cited to the Human Fertilisation & Embryology Authority (“HFEA”), which is the
14 United Kingdom’s government’s independent regulator of fertility treatment and research
15 involving human embryos.⁷⁷

16 185. The HFEA states that there is limited evidence to show that PGT-A improves the
17 chances of having a baby for women over 37, individuals with a history of or chromosomal
18 problems, and those with several miscarriages or failed IVF attempts.⁷⁸

19 186. For this reason, the HFEA cautions that “Until larger trials have been run and we
20 have more evidence, there’s no guarantee that PGT-A can improve your chances of a successful
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23 ⁷⁴ Practice Committee of the American Society for Reproductive Medicine and the Genetic Counseling
24 Professional Group. *Clinical management of mosaic results from preimplantation genetic testing for*
25 *aneuploidy of blastocysts: a committee opinion*. Fertility and Sterility. Vol. 120, No. 5. November 2023.

⁷⁵ *Id.*

⁷⁶ Gudapati, S. Advancements and Applications of Preimplantation Genetic Testing in In Vitro Fertilization:
26 A Comprehensive Review. *Cureus* 16(3): e57357, doi: 10.7759/cureus.57357. March 2024.

⁷⁷ *Id.*

⁷⁸ <https://www.hfea.gov.uk/treatments/explore-all-treatments/frequently-asked-questions-about-pre-implantation-genetic-testing-for-aneuploidy-pgt-a/> (last visited September 26, 2024).

1 pregnancy.”⁷⁹

2 187. Further, the HFEA cautions that PGT-A can cause damage to the embryo thereby
3 preventing it from developing once transferred to the womb, and that PGT-A has the possibility
4 of misdiagnosis.⁸⁰

5 188. In looking at the evidence for PGT-A, the HFEA also noted the following:

- 6 a. There is no evidence from randomized controlled trials that PGT-A carried out
7 at the blastocyst stage on day 5 or 6 is effective at improving your chances of
8 having a baby for most patients undergoing IVF.
- 9 b. PGT-A may decrease the chance of having a baby as it often reduces the number
10 of embryos available for transfer.
- 11 c. Although current PGT-A techniques are mostly very accurate, the test may give
12 the wrong result.
- 13 d. If a test result is not accurate, healthy embryos may be discarded.
- 14 e. Embryos can continue to develop successfully after a few cells have been
15 removed, however, removing cells from the embryo may damage it and prevent
16 it from successfully developing.⁸¹

17 189. Further research conducted in 2024 supported HFEA’s position that PGT-A testing
18 may give the wrong result. A re-biopsy and PGT-A testing of 69 embryos previously determined
19 as abnormal with a result of more than five abnormal chromosomes revealed that 24.6 percent of
20 those embryos were in fact euploid or “normal”.⁸²

21 190. In addition, a review of 552 pregnancies of mosaic embryo transfers found that only
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25 ⁷⁹ *Id.*

26 ⁸⁰ *Id.*

27 ⁸¹ <https://www.hfea.gov.uk/treatments/treatment-add-ons/pre-implantation-genetic-testing-for-aneuploidy-pgt-a/> (last visited September 26, 2024).

28 ⁸² Bago, A., et al., *Chaotic blastocysts in preimplantation genetic testing for aneuploidies: prevalence, characterization and re-biopsy results*. Human Reproduction, Vol. 39, Issue Supplement_1. July 2024.

1 7 of the 552 pregnancies revealed the mosaicism that had been detected in the PGT-A testing.⁸³

2 191. This agreed with prior studies where prenatal testing determined that the pregnancy
3 did not have the same mosaic result as the PGT-A testing.

4 192. In 2021, research revealed no instances of mosaicism in pregnancies or newborns
5 born from 282 embryos deemed “low-grade mosaic”, and 131 embryos deemed “medium-grade
6 mosaic” by PGT-A testing.⁸⁴

7 193. Also in 2023, prenatal testing determined that out of 250 pregnancies, only 3 had
8 the same mosaic abnormality as the PGT-A testing result.⁸⁵

9 194. In May 2024 and then in September 2024, ASRM and SART issued another
10 committee opinion to replace their prior committee opinion of the same name published in 2018
11 and discussed above. ASRM and SART reiterated that the value of PGT-A as a universal screening
12 test for all patients undergoing IVF had not been demonstrated.⁸⁶

13 195. ASRM noted that despite early single-center studies reporting higher birth rates
14 after PGT-A in the primary embryo transfer of favorable-prognosis patients, two recent,
15 multicenter, randomized control trials concluded that overall pregnancy outcomes in frozen
16 embryo transfers were similar between conventional IVF and PGT-A.⁸⁷

17 196. According to ASRM, there have been few well-designed studies providing high-
18 quality evidence regarding IVF pregnancy outcomes in select populations with PGT-A.⁸⁸

21
22 ⁸³ Spinella, F, et al., *Chromosomal, gestational, and neonatal outcomes of mosaic embryos: analysis of
23 3074 cases from the international registry of mosaic embryo*, *Human Reproduction*, Volume 39, Issue
24 Supplement_1. July 2024

24 ⁸⁴ Capalbo, A., et al., *Mosaic human preimplantation embryos and their developmental potential in a
25 prospective, non-selection clinical trial*. *Am. J. Hum. Genet.* Vol. 108, Issue 2. December 2021.

25 ⁸⁵ Viotti, M, et al., *Chromosomal, gestational, and neonatal outcomes of embryos classified as a mosaic by
26 preimplantation genetic testing for aneuploidy*. *Fertility and Sterility*. Vol. 120, Issue 5. November 2023.

26 ⁸⁶ Practice Committee of the American Society for Reproductive Medicine and the Society for Assisted
27 Reproductive Technology, *The use of preimplantation genetic testing for aneuploidy: a committee opinion*.
28 *Fertility and Sterility*. Vol. 122, Issue 3. September 2024.

27 ⁸⁷ *Id.*

28 ⁸⁸ *Id.*

1 197. This position was supported by Dr. Viville and Dr. Aboulghar who reviewed the
2 studies supporting PGT-A testing and determined that all of the studies were based upon criterion
3 which implied the exclusion of a large number of attempts.⁸⁹

4 198. The doctors noted the several studies, on the other hand, concluded that overall
5 pregnancy outcomes per one cycle were similar between PGT-A and conventional IVF and that
6 PGT-A is actually associated with lower live birth rates.⁹⁰

7 199. ASRM also indicated that the value of PGT-A to lower the risk of miscarriage is
8 unclear.⁹¹

9 200. ASRM concluded that the studies had important limitations and questions remained
10 about appropriate patient selection and testing platforms.⁹²

11 201. Defendant omitted these material facts in its advertising materials.

12 202. ASRM stated that the value of PGT-A to lower the risk of clinical miscarriage was
13 unclear and raised concerns about the studies and trials performed. ASRM cautioned that large,
14 prospective, well-controlled studies in a more inclusive patient population are needed.⁹³

15 203. ASRM concluded, as it had in 2018, that PGT-A in all infertile patients undergoing
16 IVF cannot be recommended.⁹⁴

17 204. Still, Defendant continues to promote widespread use and sale of its PGT-A
18 product.

20
21 ⁸⁹ Viville, S. and Aboulghar, M., *PGT-A: what's it for, what's wrong?* Journal of Assisted Reproduction
and Genetics. Vol. 42, pp. 63-69 (2025).

22 ⁹⁰ *Id. citing* Viotti M, Victor AR, Barnes FL, Zouves CG, Besser AG, Grifo JA, et al. *Using outcome data*
23 *from one thousand mosaic embryo transfers to formulate an embryo ranking system for clinical use.*
Fertility Sterility. 2021;115:1212–24; Viotti M, Greco E, Grifo JA, Madjunkov M, Librach C, Cetinkaya
24 M, et al. *Chromosomal, gestational, and neonatal outcomes of embryos classified as a mosaic by*
preimplantation genetic testing for aneuploidy. Fertility Sterility. 2023;120:957–66; Cornelisse S, Zagers
25 M, Kostova E, Fleischer K, van Wely M, Mastenbroek S. *Preimplantation genetic testing for aneuploidies*
(abnormal number of chromosomes) in in vitro fertilisation. Cochrane Database Syst Rev.
2020;9:CD005291.

26 ⁹¹ *Id.*

27 ⁹² *Id.*

28 ⁹³ *Id.*

⁹⁴ *Id.*

1 205. Following the 2024 committee opinion by ASRM and SART, researchers re-
2 examined the PGT-A results of embryos that were determined to be abnormal by PGT-A testing
3 and again found a low rate of concordance between the initial PGT-A testing result and PGT-A
4 testing result of the re-biopsy.⁹⁵

5 206. Specifically, the researchers found that the re-biopsy was concordant with only
6 47.7% of the PGT-A testing results. They also found that 15.8% of the re-biopsies revealed a
7 partially concordant result and 36.8% revealed totally discordant results.⁹⁶

8 207. Despite the lack of supporting research and scientific basis as well as the
9 recommendations of ASRM and SART, Defendant has continued to aggressively market and
10 promote PGT-A as having benefits and properties that it does not have and has omitted the
11 disclosure of material and relevant information to consumers.

12 208. Despite the lack of supporting research and scientific basis as well as the
13 recommendations of ASRM and SART, Defendant continued to aggressively market and promote
14 PGT-A as having benefits and properties that it does not have while suppressing material facts and
15 omitting material and relevant information from consumers:⁹⁷

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25 ⁹⁵ Tikhonov, A., et al., *Re-Examination of PGT-A Detected Genetic Pathology in Compartments of Human*
26 *Blastocysts: A Series of 23 Cases*. Journal of Clinical Medicine. 2024; 13(11):3289.
<https://doi.org/10.3390/jcm13113289>.

27 ⁹⁶ *Id.*

28 ⁹⁷ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/> (last visited October 8,
2024).

Why choose Spectrum?

Spectrum provides comprehensive preimplantation genetic testing (PGT).

Spectrum PGT can:

- ▶ Increase the chance of embryo implantation
- ▶ Decrease the chance of miscarriage
- ▶ Reduce the time to pregnancy
- ▶ Reduce the chance of having a child with a chromosomal abnormality or single gene condition

209. As of December 2025, Defendant no longer offers its Spectrum PGT-A testing.⁹⁸

210. According to Defendant, “after many years of offering Spectrum™ preimplantation genetic testing, it has discontinued Spectrum™ PGT.”⁹⁹

211. Prior to the discontinuation of the sale of its PGT-A testing, Plaintiffs and Class members relied on Defendant’s material misstatements and omissions to their detriment by purchasing an expensive test that they would not have purchased if the facts had been disclosed at the time of sale.

C. Defendants Have Utilized False And Misleading Statements To Increase Sales Of PGT-A

212. As a result of Defendant’s aggressive advertising and marketing, PGT-A testing is now purchased by consumers as an add-on in an estimated 40% of IVF cycles in the United States.

213. Despite the increase in PGT-A testing use, live birth rates among individuals undergoing IVF have declined.

214. Defendant’s false and misleading statements concerning its PGT-A, include, without limitation, the following:

- a. PGT-A testing increases IVF success;

⁹⁸ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/faq/> (last visited May 4, 2026).

⁹⁹ *Id.*

- b. PGT-A testing is 99% accurate;
- c. PGT-A testing increases the chance of implantation;
- d. PGT-A testing decreases the chance of miscarriage;
- e. PGT-A testing reduces the time to pregnancy;
- f. PGT-A testing increases the rate of pregnancy;
- g. PGT-A testing increases the rate of live birth;
- h. PGT-A testing improves the chance of a healthy pregnancy; and
- i. PGT-A testing improves pregnancy rates for all ages, especially those of advanced maternal age.

215. In making these claims to sell, promote and market its PGT-A testing product, Defendant has provided no valid studies or support for its claims.

216. Further, Defendant has suppressed material facts and concealed and omitted material information from consumers, including, without limitation:

- a. By failing to disclose an accurate assessment of the state of scientific study and knowledge concerning PGT-A, of which Defendant is aware;
- b. By failing to disclose that the value of PGT-A as a screening test for IVF patients has not been demonstrated by science;
- c. By failing to have the above statements supported by properly designed research studies;
- d. By failing to tell consumers that PGT-A is experimental;
- e. By failing to tell consumers that PGT-A is unproven;
- f. By failing to tell consumers that PGT-A results have a substantial degree of inaccuracy; and
- g. By failing to tell consumers that PGT-A has a substantial degree of unreliability.

217. Defendant's false and misleading advertising and marketing statements, which include the following, have played a key role in driving up the use of PGT-A testing in the United States.

1 **1. Defendant Falsely States That Its PGT-A Testing Is 99% Accurate**

2 218. Since at least as early as August 2, 2018, Defendant has claimed that Spectrum’s
3 PGT-A results are greater than 99% accurate.¹⁰⁰

4
5 Spectrum preimplantation genetic testing evaluates the number of chromosomes in embryos to detect extra or missing
6 chromosomes and screens for inherited genetic disorders. Spectrum’s patented SNP-based technology with Parental
7 Support provides a highly comprehensive 24-chromosome PGT-A with an accuracy greater than 99 percent per chromosome
8 call, helping provide the best chance of transferring an embryo with the correct number of chromosomes. Identifying the

9 219. Also in its consent form, Defendant misleadingly states that its PGT-A testing is
10 99% accurate.

11 220. Not only does Defendant fail to provide support for this assertion but it is belied by
12 the scientific literature which has found concordance rates of reanalysis with original PGT-A
13 results as 93.8% for euploid results, 81.4% for aneuploid results and 42.6% for mosaic aneuploid
14 results.¹⁰¹

15 221. Another scientific study suggested a potential false positive PGT-A rate of almost
16 55% and an intra-embryo discrepancy of almost 50%.¹⁰²

17 222. The only non-selection study performed on next-generation sequencing PGT-A
18 was on a testing assay which differs from the one utilized by Defendant and specifically stated that
19 validation needs to be performed on each assay.¹⁰³

20 223. Defendant’s assay has never been properly validated to determine its accuracy, but
21 this fact has been suppressed by Defendant and not disclosed to Plaintiffs and Class members.

22 ¹⁰⁰ [https://www.natera.com/company/news/study-shows-nateras-spectrum-preimplantation-genetic-](https://www.natera.com/company/news/study-shows-nateras-spectrum-preimplantation-genetic-testing-for-aneuploidy-improves-ivf-outcomes-for-all-women-regardless-of-maternal-age-2/)
23 [testing-for-aneuploidy-improves-ivf-outcomes-for-all-women-regardless-of-maternal-age-2/](https://www.natera.com/company/news/study-shows-nateras-spectrum-preimplantation-genetic-testing-for-aneuploidy-improves-ivf-outcomes-for-all-women-regardless-of-maternal-age-2/) (last visited
24 October 8, 2024).

25 ¹⁰¹ Marin, D., et al., *Preimplantation genetic testing for aneuploidy: A review of published blastocyst*
26 *reanalysis concordance data*. Prenatal Diagnosis. Vol. 4, Issue 5. Pp. 545-553. April 2021.

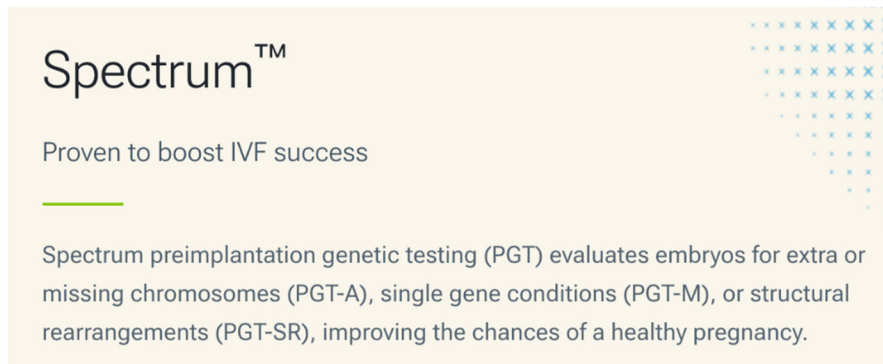
27 ¹⁰² Gleicher, N., et al., *Accuracy of preimplantation genetic screening (PGS) is compromised by degree of*
28 *mosaicism of huma embryos*, Reproductive Biology and Endocrinology (2016) 14:54.

¹⁰³ Tiegs, A.W., et al., *A multicenter, prospective, blinded, nonselection study evaluating the predictive*
value of an aneuploid diagnosis using a targeted next-generation sequencing–based preimplantation
genetic testing for aneuploidy assay and impact of biopsy. Fertility and Sterility, Vol. 115, Issue 3. March
2021.

1 224. Rather, Defendant improperly relies on research which specifically states that it
2 does not apply to other assays.¹⁰⁴

3 **2. Defendant Falsely States That Its PGT-A Increases The Success of**
4 **IVF in All Age Groups**

5 225. From 2020 to present, the PGT-A section of Defendant’s website has proclaimed
6 that PGT-A performed by Natera’s “SpectrumTM is proven to boost or improve IVF success.”¹⁰⁵



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13 226. Defendant has conducted no studies to prove its Spectrum boosts IVF success and
14 so it boasts unproven claims. Again, Defendant has suppressed this material fact from Plaintiffs
15 and Class members while at the same time making the misrepresentations set forth herein.

16 227. Defendant makes knowingly false statements and omits material information from
17 consumers, as there is no valid and scientifically supportable evidence to show that PGT-A
18 improves the success of IVF, and in light of all the studies described above.¹⁰⁶

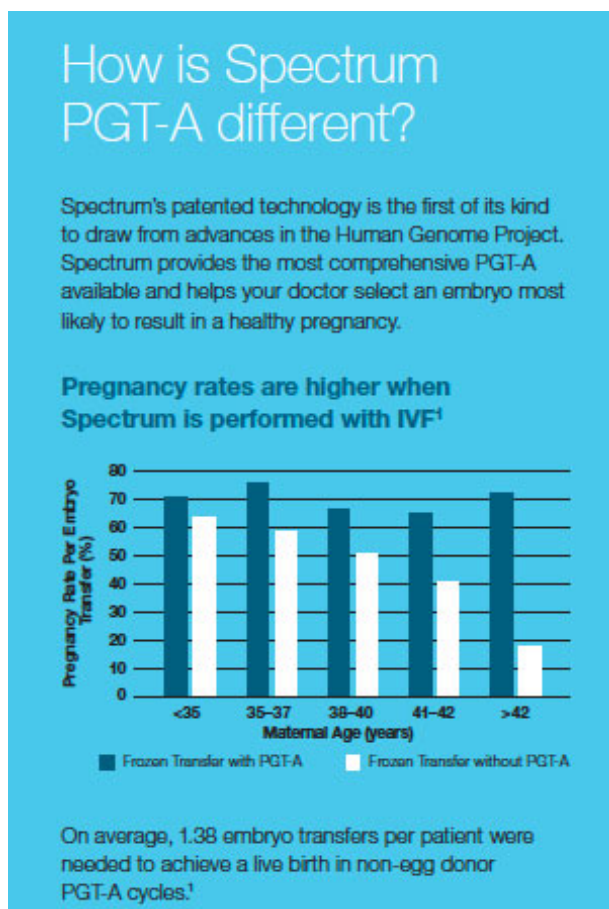
19 228. In the brochure provided to consumers, including the Plaintiffs, from as early as
20 January 2021 to late 2024, Defendant promoted its Spectrum PGT-A testing as increasing
21
22
23

24
25 ¹⁰⁴ *Id.*

26 ¹⁰⁵ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/> (last visited October 8, 2024).

27 ¹⁰⁶ Paulson, R. *Hidden in plain sight: the overstated benefits and underestimated losses of potential*
28 *implantations associated with advertised PGT-A success rates.* Human Reproduction, Vol. 35, Issue 3, p. 490-493 (March 2020).

1 pregnancy rates.¹⁰⁷ A copy of the brochure provided prior to 2025 is attached hereto as Exhibit
2 “A”.



17
18 229. However, Defendant’s own research, which served as the basis for Defendant’s
19 chart above and claims that pregnancy rates are higher with its PGT-A testing, specifically noted
20 that “the data presented in this study are not sufficient to demonstrate the absolute impact of SNP-
21 based PGT-A on IVF outcomes”.¹⁰⁸

22 230. Thus, it does not support or prove Defendant’s claim of an increase in pregnancy
23 or live birth rate.

24
25 ¹⁰⁷ Spectrum PGT-A Patient Brochure [https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-](https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-patient-brochure/)
26 patient-brochure/ (last visited July 7, 2023).

27 ¹⁰⁸ Simon, Alexander, et al. *Pregnancy outcomes from more than 1,800 in vitro fertilization cycles with the*
28 *use of 24-chromosome single-nucleotide polymorphism-based preimplantation genetic testing for*
aneuploidy. *Fertility and Sterility*. Vol. 110, No. 1. July 2018.

1 231. As an additional example of a false and misleading statement, and material
2 omission of the scientific knowledge detailed above of which Defendant is certainly aware,
3 Defendant suggests with its graph that women under 35 years of age who used PGT-A were far
4 more successful in achieving live birth than women who did not utilize PGT-A (~62% to 71%).

5 232. Published scientific results, however, have reported no benefit of PGT-A to live
6 birth rates for women under 35 and unchanged ongoing embryo implantation rates of ~50% for
7 PGT-A and non-PGT-A.¹⁰⁹

8 233. Defendant's false and misleading claim is contradicted by scientific research that
9 concluded that PGT-A use in older patients may instead reduce pregnancy and live birth
10 chances.¹¹⁰

11 234. Further, scientists have found that "amongst the youngest patients (age <35), not
12 only does there appear to be no benefit to PGT-A, but there appears to be a considerable reduction
13 in cumulative birth rate per cycle start."¹¹¹

14 235. Researchers looking across age groups have further found no benefit for PGT-A
15 regardless of age on cumulative live-birth rate.¹¹²

16 236. Defendant's false and misleading statements promoting the use of PGT-A are also
17 in direct contradiction to the ASRM which has concluded that PGT-A has showed no improvement
18 in live birth rates.¹¹³

21 ¹⁰⁹ Paulson, R. *Hidden in plain sight: the overstated benefits and underestimated losses of potential*
22 *implantations associated with advertised PGT-A success rates*. Human Reproduction, Vol. 35, Issue 3, p.
490-493 (March 2020).

23 ¹¹⁰ Gleicher, N, Orvieto, R. *Is the hypothesis of preimplantation genetic screening (PGS) still supportable?*
24 *A review*. Journal of Ovarian Research (2017) 10:21.

25 ¹¹¹ Kucherov, A., et al., *PGT-A is associated with reduced cumulative live birth rate in first reported IVF*
26 *stimulation cycles age ≤: an analysis of 133,494 autologous cycles reported by SART CORS*, Journal of
Assisted Reproduction and Genetics (2023) 40:137-149.

27 ¹¹² Yan, J., et al., *Live Birth with or without Preimplantation Genetic Testing for Aneuploidy*, N. Engl. J.
28 Med. 385;22, November 25, 2021.

¹¹³ Practice Committee of the American Society for Reproductive Medicine and the Genetic Counseling
Professional Group. *Clinical management of mosaic results from preimplantation genetic testing for*
aneuploidy of blastocysts: a committee opinion. Fertility and Sterility. Vol. 120, No. 5. November 2023.

1 237. In fact, research in 2016 had already shown that PGT-A *decreased* live birth rates
2 when compared to IVF without testing.¹¹⁴

3 238. Notably, the brochure now provided to IVF patients, which was altered sometime
4 in 2025 by Defendant, no longer includes the misleading graph in paragraph 229.¹¹⁵

5 **3. Defendant Falsely States That Its PGT-A Decreases The Chance Of**
6 **Miscarriage**

7 239. Since January 2021, Defendant has falsely claimed in its advertising materials and
8 statements to consumers that its PGT-A decreases the chance of miscarriage.¹¹⁶

9 **I've had multiple miscarriages and am now considering IVF – how do I know if PGT-**
10 **A will help me?**

11 In some studies, couples with two or more miscarriages have been found to have a higher
12 number of embryos with chromosome abnormalities. Some studies have shown a higher
13 rate of pregnancy, a lower chance for miscarriage, and a higher rate of live birth for
14 couples who used PGT-A.

15 240. Also, since January 2021, Defendant's website has included the same statements
16 regarding a decrease in the chance of miscarriage in the clinician information section.¹¹⁷

17 **Spectrum, designed to improve the chance of a healthy**
18 **pregnancy**

19 Spectrum helps identify the healthiest embryos during an IVF cycle. This helps reduce time to
20 pregnancy and improve the chance of a successful pregnancy, while decreasing the chance of
21 miscarriage or having a child with a genetic condition.

23 ¹¹⁴ Kushnir, VA, et al., *Effectiveness of in vitro fertilization with preimplantation genetic screening: a*
24 *reanalysis of United States assisted reproductive technology data 2011-2012*. *Fert Steril*, 2016; 106(1): 75-
25 9.

26 ¹¹⁵ <https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-patient-brochure/> (last visited
27 August 25, 2025).

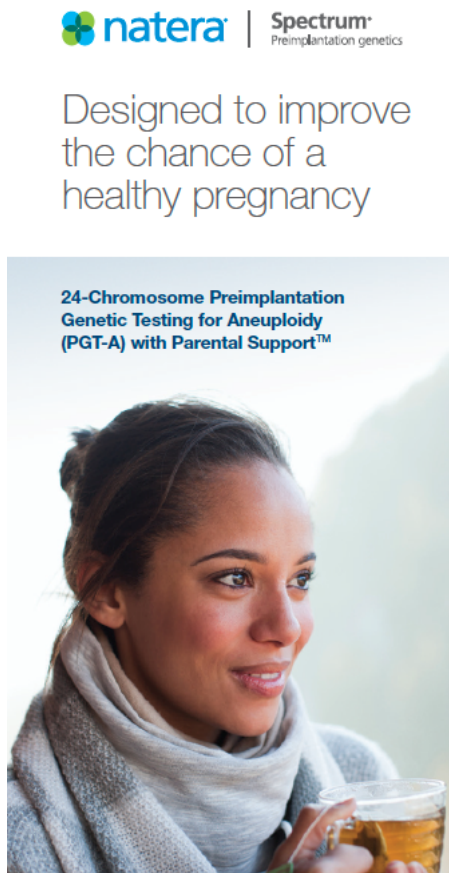
28 ¹¹⁶ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/faq/> (last visited October 8,
2024).

¹¹⁷ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/clinicians/> (last visited
October 8, 2024).

1 241. Defendant knows these statements and material omissions in light of the scientific
2 research set forth above are false and misleading to consumers as there is no clear evidence
3 resulting from valid scientific studies to show that PGT-A decreases the chance of miscarriage.

4 **4. Defendant Falsely States That Its PGT-A Leads To A Higher Chance**
5 **Of Pregnancy**

6 242. In the patient brochure provided to patients from 2021 to 2024, Defendant states
7 directly to consumers that PGT-A leads to a higher chance of a healthy pregnancy.¹¹⁸ *See also*
8 Exhibit “A”.



26 _____
27 ¹¹⁸ <https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-patient-brochure/> (last visited
28 October 8, 2024).

1 243. A similar claim has been on Defendant's website since 2020.¹¹⁹

3 What is PGT-A?

5 PGT-A can improve the chance of a healthy pregnancy

6 244. Defendant makes this claim even though no valid scientific research supports the
7 accuracy of this claim. This is a material fact that was suppressed by Defendant.

8 245. In fact, ASRM has repeatedly noted that trials concluded that overall pregnancy
9 outcomes in frozen embryo transfers were similar between conventional IVF and PGT-A.¹²⁰

11 5. Defendant Falsely States That Its PGT-A Reduces The Time To Pregnancy

12 246. Defendant's website since 2021 has stated that its PGT-A testing potentially
13 reduces the time to pregnancy.¹²¹

15 Why choose Spectrum?

16 Spectrum provides comprehensive preimplantation genetic
17 testing (PGT).

18 Spectrum PGT can:

- 19 ▶ Increase the chance of embryo implantation
- 20 ▶ Decrease the chance of miscarriage
- 21 ▶ Reduce the time to pregnancy
- 22 ▶ Reduce the chance of having a child with a chromosomal abnormality or single gene condition

24 ¹¹⁹ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/> (last visited August 25,
25 2025).

26 ¹²⁰ Practice Committee of the American Society for Reproductive Medicine and the Genetic Counseling
Professional Group. *Clinical management of mosaic results from preimplantation genetic testing for
aneuploidy of blastocysts: a committee opinion*. Fertility and Sterility. Vol. 120, No. 5. November 2023.

27 ¹²¹ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/> (last visited October 8,
28 2024).

1 247. Defendant makes this claim even though no valid scientific research supports the
2 accuracy of this claim, which again shows that Defendant suppressed a material fact.

3 248. In fact, research shows that utilizing PGT-A does not decrease time to pregnancy.¹²²

4 **6. Defendant Falsely States That Its PGT-A Increases The Chance Of**
5 **Implantation And Pregnancy**

6 249. Defendant has misled consumers since at least April 2021 by stating that PGT-A
7 can increase the chance of implantation and pregnancy.¹²³

8 **Why choose Spectrum?**

9
10
11 Spectrum provides comprehensive preimplantation genetic
12 testing (PGT).

13 Spectrum PGT can:

- 14  Increase the chance of embryo implantation

15 250. As previously discussed above, the available science does not support this claim.
16 To the contrary, pregnancy outcomes were similar between conventional IVF and PGT-A, but this
17 material fact is omitted to consumers by Defendants.¹²⁴

18 251. Despite this, Defendant has continued to promote PGT-A testing to IVF consumers
19 as follows since as early as May of 2021:¹²⁵

20
21
22
23 ¹²² Palmer, M., et al., *Preimplantation Genetic Testing For Aneuploidy and Time to Pregnancy*. Fertility
24 and Sterility. Vol. 114, Issue 3. September 2020.

25 ¹²³ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/> (last visited October 8,
26 2024).

27 ¹²⁴ Practice Committee of the American Society for Reproductive Medicine and the Genetic Counseling
28 Professional Group. *Clinical management of mosaic results from preimplantation genetic testing for
aneuploidy of blastocysts: a committee opinion*. Fertility and Sterility. Vol. 120, No. 5. November 2023.

¹²⁵ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/faq/#pg-menu-tabs> (last
visited August 25, 2025).

1 **Who could benefit from 24-chromosome preimplantation genetic testing for aneuploidy (PGT-A)?**

2 24-chromosome PGT-A can be beneficial in the following scenarios:

- 3
- 4 • Advanced maternal age (women 35 years of age or greater)
 - 5 • Embryo sex determination (sex selection) because of risk for X-linked conditions
 - 6 • Prior pregnancy or child with a chromosomal abnormality
 - 7 • Repeated unsuccessful IVF cycles
 - 8 • Recurrent pregnancy loss
 - 9 • Single-embryo transfer
 - 10 • Screening of previously untested and frozen embryos

11 **7. Defendant Falsely States That Its PGT-A Reduces the Time to Pregnancy**

12 252. Defendant is aware that they are advertising, marketing, and selling their product
13 to vulnerable consumers pursuing IVF.

14 253. Despite knowing this, in prioritizing sales of PGT-A over consumers, Defendant
15 has utilized the emotional, physical, and financial impact of IVF to mislead consumers.

16 254. On its website since April 2021, Defendant states that its PGT-A testing can reduce
17 the time to pregnancy.¹²⁶

18  **Reduce the time to pregnancy**

19 255. Since June 2021, Defendant also markets its PGT-A testing to clinicians as reducing
20 the time pregnancy.¹²⁷

21 **Spectrum, designed to improve the chance of a healthy pregnancy**

22 Spectrum helps identify the healthiest embryos during an IVF cycle. This helps reduce time to
23 pregnancy and improve the chance of a successful pregnancy, while decreasing the chance of
24 miscarriage or having a child with a genetic condition.

25 _____
26 ¹²⁶ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/faq/#pg-menu-tabs> (last
visited October 8, 2024).

27 ¹²⁷ <https://www.natera.com/womens-health/spectrum-preimplantation-genetics/clinicians/> (last visited
28 October 8, 2024).

1 256. There is no valid scientific research to support this false and misleading statement,
2 and in fact, research shows that utilizing PGT-A does not decrease time to pregnancy.¹²⁸

3 257. Research has shown that there is a threefold increase in live birth rates for those
4 that did not have PGT-A testing performed and a reduction in live birth rates for the group where
5 PGT-A was utilized.¹²⁹

6 **8. Defendant Falsely States That Its PGT-A Improves Pregnancy Rates**
7 **for All Ages Undergoing IVF, Especially Individuals of Advanced**
8 **Maternal Age**

9 258. Since April 2022, Defendant has stated on its website that PGT-A is a test for all
10 ages of individuals undergoing IVF, which is a false and misleading statement, and material
11 omission of the known scientific knowledge detailed above.¹³⁰

12 Spectrum™ PGT-A from Natera has been studied to learn whether it helped people achieve their goal of a healthy pregnancy. Study results
13 showed that PGT-A improves pregnancy rates for parents of all ages.⁷ Spectrum uses advanced genetic technology to screen all 24
14 chromosomes in a cell (22 chromosome pairs and the sex chromosomes X and Y).

15 259. Defendant further states that PGT-A is especially useful for egg providers of
16 advanced maternal age, which Defendant indicates is over 35 years old.¹³¹

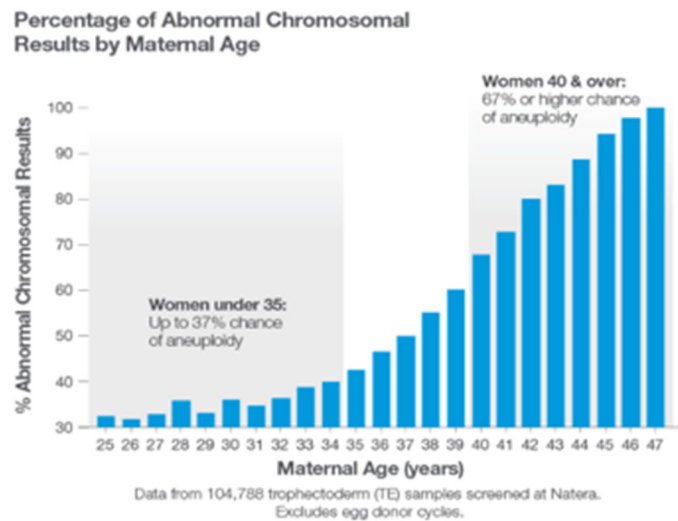
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24 ¹²⁸ Palmer, M., et al., *Preimplantation Genetic Testing For Aneuploidy and Time to Pregnancy*. Fertility
25 and Sterility. Vol. 114, Issue 3. September 2020.

26 ¹²⁹ Casper, R. *PGT-A in patients with a single blastocyst*. Journal of Assisted Reproduction and Genetics,
27 v. 40, p. 1227 (2023).

28 ¹³⁰ <https://www.natera.com/resource-library/spectrum/what-is-pgt-a-and-how-does-it-support-ivf/> (last
visited October 8, 2024).

¹³¹ *Id.*

1 Clinical studies suggest that PGT-A is especially useful if the egg provider is over 35 years old.⁷ The following graph illustrates how the rate of
2 chromosomal anomalies increases with the age of the biological mother.⁷ These embryos were tested with Spectrum™, a PGT-A from
Natera.



10
11
12 More than half of embryos provided by a biological female older than 37 had a chromosomal anomaly.⁷ For this reason, PGT-A is more likely
13 to be recommended when the egg provider is older.

14 260. Defendant’s false and misleading claims contradict evidence and scientific
15 research. Researchers have found no benefit for PGT-A regardless of age on cumulative live-birth
16 rate.¹³²

17 261. In addition, research has concluded that PGT-A use in older patients may instead
18 reduce pregnancy and live birth chances.¹³³

19 262. Furthermore, scientists have found that “amongst the youngest patients (age <35),
20 not only does there appear to be no benefit to PGT-A, but there appears to be a considerable
21 reduction in cumulative birth rate per cycle start.”¹³⁴

22
23
24 ¹³² Yan, J., et al., *Live Birth with or without Preimplantation Genetic Testing for Aneuploidy*, N. Engl. J.
25 Med. 385;22, November 25, 2021.

26 ¹³³ Gleicher, N, Orvieto, R. *Is the hypothesis of preimplantation genetic screening (PGS) still supportable?*
27 *A review.* Journal of Ovarian Research (2017) 10:21.

28 ¹³⁴ Kucherov, A., et al., *PGT-A is associated with reduced cumulative live birth rate in first reported IVF
stimulation cycles age ≤; an analysis of 133,494 autologous cycles reported by SART CORS*, Journal of
Assisted Reproduction and Genetics (2023) 40:137-149.

1 263. Defendant’s false and misleading statements promoting the use of PGT-A for all
2 couples is also in direct contradiction to the ASRM which has concluded that PGT-A has showed
3 no improvement in live birth rates.¹³⁵

4 264. ASRM also noted that the only two randomized controlled trials that have been
5 conducted on PGT-A showed no benefit in improving live birth rates particularly in women under
6 38 years of age,¹³⁶ but Defendant suppressed and did not disclose this material fact.

7 **D. Defendant’s Additional Material Omissions**

8 265. As detailed above, Defendant aggressively markets PGT-A via misleading and
9 unsupported statements while suppressing material facts and omitting critical information from
10 consumers prior to their payment for PGT-A.

11 266. Defendant has failed to inform consumers concerning the numerous scientific
12 studies and opinions of professional organizations detailed above.

13 267. Defendant informs consumers that a PGT-A biopsy is taken from the trophectoderm
14 but does not inform consumers that science shows that the inner cell mass is more effective in self-
15 correcting than the trophectoderm. Chromosomal abnormal embryos may self-correct
16 downstream, which renders earlier biopsy results irrelevant, but Defendant suppresses and omits
17 this from consumers.

18 268. The trophectoderm – from which the placenta develops – has been known to contain
19 aneuploid cells even in chromosomally normal pregnancies, while the fetus, arising from the inner
20 cell mass, remains chromosomally normal. Defendant omits this from consumers.

21 269. Because of the complexity introduced by mosaicism when testing an extremely
22 small sample of cells that may or may not represent the whole embryo, there is a substantial
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26 ¹³⁵ Practice Committee of the American Society for Reproductive Medicine and the Genetic Counseling
27 Professional Group. *Clinical management of mosaic results from preimplantation genetic testing for
aneuploidy of blastocysts: a committee opinion*. Fertility and Sterility. Vol. 120, No. 5. November 2023.

28 ¹³⁶ *Id.*

1 probability that an embryo may be misdiagnosed, and the test results inaccurate, but Defendant
2 omits this from consumers.

3 270. Further, with respect to self-correction that occurs in human embryos, Defendant
4 fails to inform consumers that biopsy at the blastocyst stage may not accurately reflect the final
5 chromosomal outcome of embryos.

6 271. Defendant also omits to inform consumers concerning the false positives and false
7 negatives that occur with PGT-A, and the actual rates of false positives and false negatives shown
8 through scientific study.

9 272. Scientific research has found concordance rates of reanalysis with original PGT-A
10 results as 93.8% for euploid results, 81.4% for aneuploid results, and 42.6% for mosaic aneuploid
11 results.¹³⁷

12 273. Another scientific study suggested a potential false positive PGT-A rate of almost
13 55% and an intra-embryo discrepancy of almost 50%.¹³⁸

14 **E. PGT-A Has Enriched Defendant**

15 274. The average cost of PGT-A is approximately \$5,000 per IVF cycle and is an “add-
16 on” expense to IVF usually not covered by insurance.

17 275. The global preimplantation genetic testing market was estimated to be worth \$0.7
18 billion in 2023 and is poised to reach \$1.2 billion by 2028.

19 276. The PGT-A segment is expected to dominate the global preimplantation genetic
20 testing market within the next several years.

21 277. The use of PGT-A now encompasses an estimated 40% of IVF cycles in the United
22 States.

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26 ¹³⁷ Marin, D., et al., *Preimplantation genetic testing for aneuploidy: A review of published blastocyst*
reanalysis concordance data. Prenatal Diagnosis. Vol. 4, Issue 5. Pp. 545-553. April 2021.

27 ¹³⁸ Gleicher, N., et al., *Accuracy of preimplantation genetic screening (PGS) is compromised by degree of*
mosaicism of huma embryos, Reproductive Biology and Endocrinology (2016) 14:54.
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1 278. Despite all the scientific literature concerning PGT-A set forth above, Defendant
2 has continued to advertise and market PGT-A to consumers as 99% accurate, increasing the chance
3 of embryo implantation, decreasing the chance of miscarriage, reducing the time to pregnancy,
4 increasing the rate of pregnancy, increasing live birth rates, improving the chance of a healthy
5 pregnancy, and improving pregnancy rates for all ages, especially those of advanced maternal age
6 which Defendant identifies as over 35 years old. Each of these claims are false and misleading,
7 unsupported by scientific evidence, and made while Defendant omitted and withheld material
8 information.

9 **F. Plaintiffs' Experiences With Defendant's PGT-A**

10 279. Plaintiffs and Class members were harmed by paying for an unproven and
11 unreliable test sold utilizing false statements and omissions.

12 280. Plaintiffs and Class members were injured at the time of sale and would not have
13 purchased PGT-A from Defendant had they been told the truth at the time of sale concerning the
14 body of scientific knowledge about PGT-A and each of the misstatements and omissions detailed
15 above. Each separate misstatement and omission by Defendant separately and independently gives
16 rise to the causes of action alleged below.

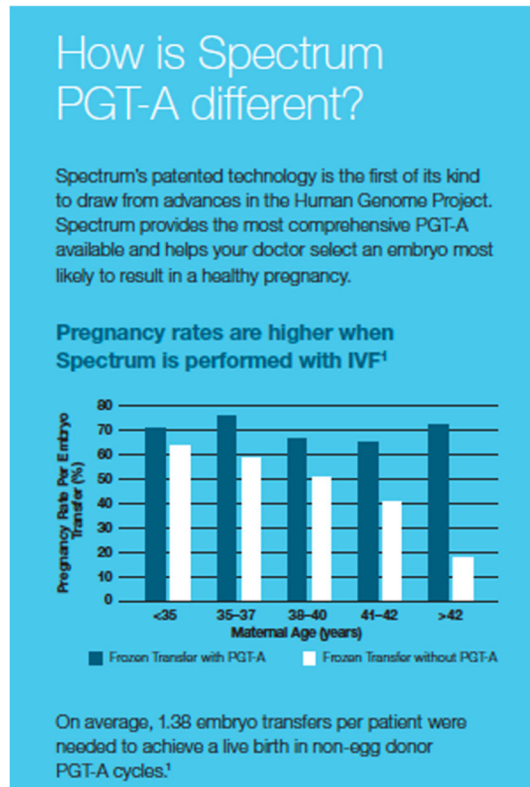
17 281. Plaintiffs and Class members suffered direct economic losses because of their
18 purchase of PGT-A testing from Defendant, including but not limited to the out-of-pocket
19 payments that each paid to Defendant for their PGT-A testing as well as additional costs associated
20 with their PGT-A testing.

21 **1. Plaintiff Melissa Klein's Purchase of PGT-A Testing**

22 282. Plaintiff Klein underwent IVF and purchased PGT-A testing from Defendant based
23 upon Defendant's statements and omissions of material information that PGT-A testing is greater
24 than 99% accurate, increases the success of IVF, decreases the chance of miscarriage, leads to a
25 higher chance of pregnancy, reduces the time to pregnancy, and increases the chance of
26 implantation and pregnancy.

1 283. Prior to purchasing PGT-A testing from Defendant, and specifically, on or about
2 February 1, 2023, Plaintiff Klein was provided with Defendant’s patient brochure which at the
3 time stated that Spectrum provided the most comprehensive PGT-A available and was designed to
4 improve the chance of a healthy pregnancy and increase pregnancy rates.¹³⁹

5 284. The brochure also included a chart in which Defendant claimed that its Spectrum
6 PGT-A testing increased pregnancy rates.¹⁴⁰ See also Exhibit “A”.



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20 285. Defendant’s patient brochure was the same from 2019 to 2024. It changed
21 sometime in 2025 to remove the chart. The other claims remained the same.

22 286. On or about February 1, 2023, Plaintiff Klein viewed Defendant’s website which
23 stated that Defendant’s Spectrum increases the success of IVF, increases the chance of embryo
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26 ¹³⁹ Defendant’s patient brochure was the same from 2019 to 2024. It changed sometime in 2025 to remove
the chart discussed in paragraph 229.

27 ¹⁴⁰ Spectrum PGT-A Patient Brochure [https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-](https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-patient-brochure/)
28 [patient-brochure/](https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-patient-brochure/) (dated July 7, 2023).

1 implantation, decreases the chance of miscarriage, reduces the time to pregnancy, increases the
2 rate of pregnancy, and is the most comprehensive preimplantation genetic testing available.¹⁴¹

3 287. On February 8, 2023, in order to purchase PGT-A testing from Defendant, Plaintiff
4 Klein signed Defendant's consent form, which stated that Defendant's PGT-A testing has a 98 to
5 99% accuracy rate and increases the chance for a successful pregnancy and live birth.

6 288. Following the viewing of the website, the brochure and the consent form, which
7 collectively led to Plaintiff Klein's purchase of PGT-A testing from Defendant, biopsies were
8 collected from her embryos on or about February 19, 2023, and provided to Defendant on or about
9 February 20, 2023.

10 289. Test results were delivered by Defendant in February 2023.

11 290. Plaintiff Klein relied upon Defendant's false and misleading misrepresentations
12 and omissions and paid approximately \$2,850.00 plus additional costs for her PGT-A testing which
13 she would not have purchased absent Defendant's false and misleading misrepresentations and
14 omissions.

15 **2. Plaintiff Valerie Griffeth's Purchase of PGT-A Testing**

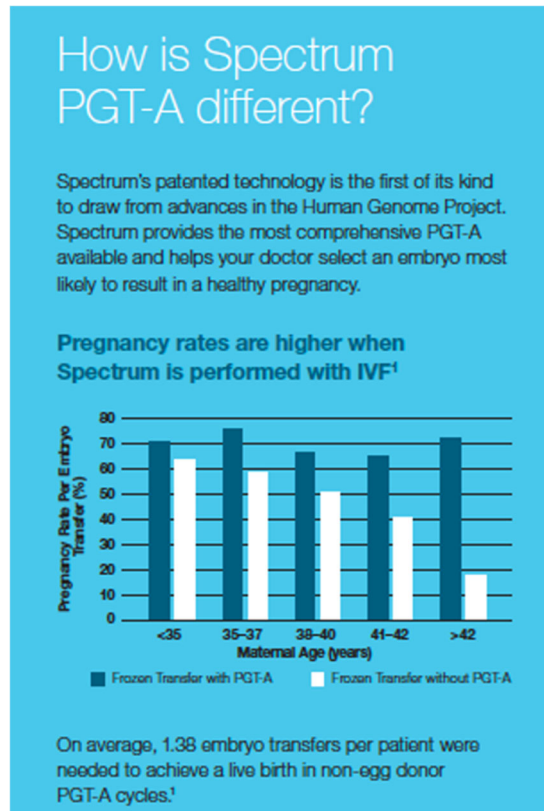
16 291. Plaintiff Griffeth underwent IVF and purchased PGT-A testing from Defendant
17 based upon Defendant's statements and omissions of material information that PGT-A testing is
18 greater than 99% accurate, increases the success of IVF, decreases the chance of miscarriage, leads
19 to a higher chance of pregnancy, reduces the time to pregnancy, and increases the chance of
20 implantation and pregnancy.

21 292. Prior to purchasing PGT-A testing from Defendant, and specifically, on or about
22 May 5, 2023, Plaintiff Griffeth was provided with frequently asked questions about Defendant's
23 PGT-A and Defendant's patient brochure which at the time stated that Spectrum provided the most
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27 ¹⁴¹ [https://web.archive.org/web/20230329121919/https://www.natera.com/womens-health/spectrum-](https://web.archive.org/web/20230329121919/https://www.natera.com/womens-health/spectrum-preimplantation-genetics/)
28 [preimplantation-genetics/](https://web.archive.org/web/20230329121919/https://www.natera.com/womens-health/spectrum-preimplantation-genetics/) (version of the site on February 1, 2023).

1 comprehensive PGT-A available, and was designed to improve the chance of a healthy pregnancy
2 and increase pregnancy rates.¹⁴²

3 293. The brochure also included a chart in which Defendant claimed that its Spectrum
4 PGT-A testing increased pregnancy rates.¹⁴³ See also Exhibit “A”.



19 294. Defendant’s patient brochure was the same from 2019 to 2024. It changed
20 sometime in 2025 to remove the chart. The other claims remained the same.

21 295. On or about May 5, 2023, Plaintiff Griffeth viewed Defendant’s website which
22 stated that Defendant’s Spectrum increases the success of IVF, increases the chance of embryo

26 ¹⁴² Defendant’s patient brochure was the same from 2019 to 2024. It changed sometime in 2025 to remove
the chart discussed in paragraph 229.

27 ¹⁴³ Spectrum PGT-A Patient Brochure [https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-](https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-patient-brochure/)
28 [patient-brochure/](https://www.natera.com/resource-library/spectrum/spectrum-pgt-a-patient-brochure/) (dated July 7, 2023).

1 implantation, decreases the chance of miscarriage, reduces the time to pregnancy, increases the
2 rate of pregnancy, and is the most comprehensive preimplantation genetic testing available.¹⁴⁴

3 296. In May 2023, in order to purchase PGT-A testing from Defendant, Plaintiff Griffeth
4 signed Defendant's consent form, which stated that Defendant's PGT-A testing has a 98 to 99%
5 accuracy rate and increases the chance for a successful pregnancy and live birth.

6 297. Following the viewing of the website, the brochure and the consent form, which
7 collectively led to Plaintiff Griffeth's purchase of PGT-A testing from Defendant, a biopsy was
8 collected from their embryo on or about July 18, 2023 and provided to Defendant on or about July
9 22, 2023.

10 298. Test results were delivered by Defendant on or about August 2, 2023.

11 299. Plaintiff Griffeth relied upon Defendant's false and misleading misrepresentations
12 and omissions and paid approximately \$474 plus additional costs for their PGT-A testing which
13 they would not have purchased absent Defendant's false and misleading misrepresentations and
14 omissions.

15 CLASS ALLEGATIONS

16 300. Plaintiffs bring this lawsuit individually and, pursuant to Rule 23(a), (b)(2), and
17 (b)(3) of the Federal Rules of Civil Procedure, for economic losses, injunctive relief, and
18 declaratory relief on behalf of all persons in the United States who have purchased PGT-A testing
19 from Defendants (the "Nationwide Class").

20 301. In addition, Plaintiff Klein brings this lawsuit on behalf of a class of all residents
21 of the State of New York who purchased PGT-A testing from Defendants (the "New York Class").

22 302. In addition, Plaintiff Griffeth brings this lawsuit on behalf of a class of all residents
23 of the State of Illinois who purchased PGT-A testing from Defendants (the "Illinois Class").

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27 ¹⁴⁴ [https://web.archive.org/web/20230329121919/https://www.natera.com/womens-health/spectrum-
preimplantation-genetics/](https://web.archive.org/web/20230329121919/https://www.natera.com/womens-health/spectrum-preimplantation-genetics/) (version of the site on February 1, 2023).

1 303. The Nationwide Class and each state-wide Class defined above are referred to
2 collectively herein as the “Class.”

3 304. Excluded from each Class are Defendants, its affiliates, employees, officers, and
4 directors, and the Judge(s) assigned to this case.

5 305. Plaintiffs reserve the right to modify, change, or amend the Class definitions set
6 forth above based on discovery and further investigation.

7 306. **Numerosity**. Each defined Class is so numerous that the joinder of all Class
8 members is impracticable and the disposition of their claims in a class action rather than in
9 individual actions will benefit the parties and the courts. Plaintiffs do not presently know the exact
10 size of each Class, but this information is in Defendant’s possession and will be obtained in
11 discovery.

12 307. **Common Questions Predominate**. This action involves common questions of law
13 and fact to each Class because each member’s claim derives from Defendant’s false, deceptive,
14 and misleading statements and omissions as alleged above. Common questions of law and fact
15 include but are not limited to:

- 16 • Defendant’s misstatements and omissions to Class members regarding PGT-A;
- 17 • Whether a reasonable consumer would consider the misstatements and omissions
18 to be material;
- 19 • Whether a reasonable consumer would be misled by Defendant’s advertising and
20 marketing regarding PGT-A;
- 21 • Whether a reasonable consumer would rely upon Defendant’s misstatements and
22 omissions concerning PGT-A;
- 23 • Defendant’s knowledge of its misstatements and omissions;
- 24 • The date of Defendant’s knowledge;
- 25 • Whether each of the alleged advertising misstatements described in detail above
26 was false or misleading;
- 27 • Whether Defendants conduct violates each of the laws set forth in the causes of
28 action below;

- 1 • Whether Plaintiffs and the Class were harmed at the point of sale by Defendant's
- 2 conduct;
- 3 • Whether Defendants violated express and/or implied promises or warranties
- 4 concerning the sale of PGT-A; and
- 5 • Whether Defendants were unjustly enriched as a result of its conduct.

6 308. These common questions of law and fact predominate over individual questions, as
7 proof of a common or single set of facts will establish the right of each member of the Class to
8 recover.

9 309. **Typicality.** Plaintiffs' claims are typical of the claims of other Class members they
10 seek to represent because, among other things, all such claims arise out of the same unlawful course
11 of conduct by Defendants as alleged herein. Plaintiffs and Class members each purchased PGT-A
12 based on Defendant's misrepresentations and omissions and they all suffered economic damages
13 as a result.

14 310. **Adequacy of Representation.** Plaintiffs will fairly and adequately protect the
15 interests of all Class members. Plaintiffs have no interests in conflict with the interests of Class
16 members. Plaintiffs have retained highly competent and experienced class action attorneys to
17 represent their interests and those of the Class. By prevailing on their own claims, Plaintiffs will
18 establish Defendant's liability to all Class members. Plaintiffs and their counsel have the necessary
19 financial resources to adequately and vigorously litigate this class action and Plaintiffs and their
20 counsel are aware of their fiduciary responsibilities to the Class members and will diligently
21 discharge those duties.

22 311. **Superiority.** There is no plain, speedy, or adequate remedy other than by
23 maintenance of this class action. The prosecution of individual remedies by Class members will
24 tend to establish inconsistent standards of conduct for Defendant and result in the impairment of
25 Class members' rights and the disposition of their interests through actions to which they were not
26 parties. Class action treatment will permit a large number of similarly situated persons to prosecute
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1 their common claims in a single forum simultaneously, efficiently, and without the unnecessary
2 duplication of effort and expense that numerous individual actions would engender. Furthermore,
3 an important public interest will be served by addressing the matter as a class action.

4 312. Plaintiffs are unaware of any difficulties that are likely to be encountered in the
5 management of this action that would preclude its maintenance as a class action.

6 313. **Injunctive Relief**. Class certification is also appropriate under Rule 23(b)(2) of the
7 Federal Rules of Civil Procedure because Defendants acted and refused to act on grounds generally
8 applicable to the class, making appropriate final injunctive relief with respect to the Class as a
9 whole.

10 **CAUSES OF ACTION**

11 314. All Nationwide Class members have a nexus with California such that California
12 law should apply to all of them. In the alternative, if the Court finds that California law, including
13 all of the California law causes of action alleged below, does not apply to Plaintiffs and all Class
14 members residing outside of California for any reason, then Plaintiffs and Class members residing
15 outside of California assert their claims under the laws of their respective states of residence.

16 **COUNT I**

17 **Violations of New York Consumer Protection GBL § 349, *et seq.*** 18 **(On behalf of Melissa Klein and the New York Class)**

19 315. Plaintiffs incorporate by reference all preceding allegations.

20 316. New York General Business Law Section 349 (“GBL § 349”) declares unlawful
21 “[d]eceptive acts or practices in the conduct of any business, trade, or commerce or in the
22 furnishing of any service in this state...”.

23 317. Defendants’ conduct alleged herein constitutes recurring, “unlawful” deceptive acts
24 and practices in violation of GBL § 349, and as such, Plaintiffs and the the New York Class
25 members seek monetary damages and the entry of preliminary and permanent injunctive relief
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1 against Defendants, enjoining them from inaccurately, misleadingly, or deceptively describing,
2 marketing, and promoting PGT-A testing to consumers as alleged herein.

3 318. Defendants have marketed, promoted, and sold PGT-A to consumers in New York
4 and received substantial revenue in New York for doing so.

5 319. Defendants' improper consumer-oriented conduct includes, but is not limited to,
6 the following false and/or deceptive assurances:

- 7 a. PGT-A testing increases IVF success;
- 8 b. PGT-A testing is 99% accurate;
- 9 c. PGT-A testing increases the chance of implantation;
- 10 d. PGT-A testing decreases the chance of miscarriage;
- 11 e. PGT-A testing reduces the time to pregnancy;
- 12 f. PGT-A testing increases the rate of pregnancy;
- 13 g. PGT-A testing increases the rate of live birth;
- 14 h. PGT-A testing improves the chance of a healthy pregnancy; and
- 15 i. PGT-A testing improves pregnancy rates for all ages, especially those of
16 advanced maternal age.

17 320. Defendants' improper consumer-oriented conduct is misleading in a material way
18 and induced Plaintiff and the New York Class to purchase PGT-A from Defendants when they
19 otherwise would not have. Defendants made their misleading representations and omissions
20 willfully, wantonly, and with reckless disregard for the truth.

21 321. Defendants further breached their duties to Plaintiff as follows, without limitation:

- 22 a. By failing to provide an accurate assessment of the state of scientific study and
23 knowledge concerning PGT-A;
- 24 b. By failing to disclose that the value of PGT-A as a screening test for IVF
25 patients has not been demonstrated by science;
- 26 c. By failing to have the above statements supported by properly designed
27 research studies;

- 1 d. By failing to tell consumers that PGT-A is experimental;
- 2 e. By failing to tell consumers that PGT-A is unproven;
- 3 f. By failing to tell consumers that PGT-A results have a substantial degree of
- 4 inaccuracy; and
- 5 g. By failing to tell consumers that PGT-A has a substantial degree of unreliability.

6 322. Plaintiff and the New York Class Members and all consumers nationwide have
7 been injured because they paid for PGT-A sold by Defendants (and related costs) that they
8 reasonably believed was accurate and reliable based on Defendants' misrepresentations and
9 omissions as alleged herein. Accordingly, Plaintiff and the New York Class members incurred
10 economic losses purchasing PGT-A that did not perform as advertised and did not have the
11 qualities that were represented.

12 323. Defendants' advertising, marketing, and promotion of PGT-A induced Plaintiff and
13 the New York Class to buy Defendants' PGT-A.

14 324. Defendants' deceptive and misleading practices constitute a deceptive act and
15 practice in the conduct of business in violation of New York General Business Law § 349(a), and
16 Plaintiff and the New York Class have been damaged by these practices.

17 325. As a result of Defendants' recurring, "unlawful" deceptive acts and practices,
18 Plaintiff and the New York Class members are entitled to monetary, compensatory, treble,
19 statutory, and punitive damages, injunctive relief, restitution, and disgorgement of all moneys
20 obtained by means of Defendants' unlawful conduct, interest, and attorneys' fees and costs.

21 **COUNT II**
22 **Violations of New York Consumer Protection GBL § 350**
23 **(On behalf of Melissa Klein and the New York Class)**

23 326. Plaintiffs incorporate by reference all preceding allegations.

24 327. N.Y. Gen. Bus. Law § 350 provides, in part, as follows: "False advertising in the
25 conduct of any business, trade or commerce or in the furnishing of any service in this state is
26 hereby declared unlawful."
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1 328. N.Y. Gen. Bus. Law § 350a(1) provides, in part, as follows:

2 329. The term ‘false advertising, including labeling, of a commodity, or of the kind,
3 character, terms or conditions of any employment opportunity if such advertising is misleading in
4 a material respect. In determining whether any advertising is misleading, there shall be taken into
5 account (among other things) not only representations made by statement, word, design, device,
6 sound or any combination thereof, **but also the extent to which the advertising fails to reveal facts**
7 **material in the light of such representations** with respect to the commodity or employment to
8 which the advertising relates under the conditions proscribed in said advertisement, or under such
9 conditions as are customary or usual . . .

10 *Id.* (emphasis added).

11 330. Defendants’ marketing and advertising contain untrue and materially misleading
12 statements and omissions concerning the accuracy, reliability, and science supporting its PGT-A.

13 331. Plaintiff and the New York Class have been injured as they relied upon Defendants’
14 misleading and deceptive advertising and marketing of PGT-A.

15 332. Defendants’ advertising, marketing, and promotion induced Plaintiff and the New
16 York Class members to PGT-A.

17 333. Defendants made untrue and/or misleading statements and representations
18 willfully, wantonly, and with reckless disregard for the truth.

19 334. Defendants’ conduct constitutes multiple, separate violations of N.Y. Gen. Bus.
20 Law § 350.

21 335. Defendants made the material misrepresentations described in this Complaint in
22 Defendants’ advertising, marketing, and other promotional materials.

23 336. Defendants’ material misrepresentations were substantially uniform in content,
24 presentation, and impact upon consumers at large. Moreover, all consumers purchasing PGT-A
25 continue to be exposed to Defendants’ material misrepresentations and omissions which remain to
26 this day on their advertising, marketing, and promotional materials.

1 337. As a result of Defendants’ recurring, “unlawful” deceptive acts and practices,
2 Plaintiff and the New York Class members are entitled to monetary, compensatory, treble,
3 statutory, and punitive damages, injunctive relief, restitution, and disgorgement of all moneys
4 obtained by means of Defendants’ unlawful conduct, interest, and attorneys’ fees and costs.

5 **COUNT III**

6 **Violations of Illinois’ Consumer Fraud and Deceptive Business Practices Act, 815 Ill.
7 Comp. Stat. 505/2; and Uniform Deceptive Trade Practices Act, 815 Ill. Comp. Stat. 510/2
8 (On behalf of Plaintiff Griffeth and the Class Members)**

8 338. Plaintiffs incorporate by reference by reference all preceding allegations.

9 339. Plaintiff Griffeth brings this count individually and on behalf of the Illinois Class.

10 340. Plaintiff Griffeth is a “consumer” within the meaning of 815 Ill. Comp. Stat. 505/1.

11 341. Defendant is a “person” within the meaning of 815 Ill. Comp. Stat. 505/1.

12 342. Defendant is engaged in “trade” and “commerce” within the meaning of 815 Ill.
13 Comp. Stat. 505/1 as they promote and sell PGT-A testing for sale to consumers within the State.

14 343. The Illinois Consumer Fraud and Deceptive Business Practices Act, 815 ILCS
15 505/1, *et seq.* (the “Consumer Fraud Act”), protect both consumers and companies by promoting
16 fair competition in commercial markets for goods and services.

17 344. The Consumer Fraud Act prohibits any “unfair or deceptive [business] acts or
18 practices,” including the use of any “deception, fraud, false pretense, false promise,
19 misrepresentation, or the concealment, suppression or omission of any material fact, with intent
20 that others rely upon the concealment . . . in the conduct of any trade or commerce.” 815 ILCS
21 505/2.

22 345. As described herein, Defendant engaged in deceptive business practices, as defined
23 in the Consumer Fraud Act. For example, Defendant represented the following:

- 24 a. PGT-A testing increases IVF success;
- 25 b. PGT-A testing is 99% accurate;
- 26 c. PGT-A testing increases the chance of implantation;
- 27 d. PGT-A testing decreases the chance of miscarriage;

- e. PGT-A testing reduces the time to pregnancy;
- f. PGT-A testing increases the rate of pregnancy;
- g. PGT-A testing increases the rate of live birth;
- h. PGT-A testing improves the chance of a healthy pregnancy; and
- i. PGT-A testing improves pregnancy rates for all ages, especially those of advanced maternal age.

346. Defendant's representations were, in fact, false and misleading. Defendant's PGT-A tests were neither reliable nor accurate. Defendant's PGT-A tests were not 99% accurate, did not increase the chances of implantation, did not decrease the chances of miscarriage, did not reduce the time to pregnancy, did not increase the rate of pregnancy, did not increase the rate of live birth, did not improve the chance of a healthy pregnancy, and did not improve pregnancy rates for all ages, especially those of advanced maternal age.

347. The making of the representations and omissions with the intent that consumers rely on them constitutes a deceptive act or practice in or affecting commerce in violation of Section 2 of the Consumer Fraud Act, 815 ILCS 505/2.

348. Defendant also violated the "unfair" prong of Section 2 of the Consumer Fraud Act by causing substantial injury to consumers. Defendant advertised and marketed their PGT-A testing as reliable and accurate, when it was neither and when science deems it to be unproven. Plaintiff and the Class relied on these representations and purchased PGT-A for thousands of dollars that was neither reliable nor accurate.

349. Defendant's unfair and deceptive acts and practices occurred in the course of its business practices: the marketing and sale of PGT-A testing.

350. In the selling of PGT-A testing within the State, Defendant employs and uses fraud, misrepresentation, concealment, and omission of material facts within the State with the intention that consumers rely upon this fraud, misrepresentation, concealment and omission of fact. 815 Ill. Comp. Stat 505/2 Defendant's unfair and deceptive acts and practices directly and proximately

1 caused Plaintiff and the Class actual economic damages in the form of the amounts paid by Plaintiff
2 and each Class member for PGT-A testing and other associated costs.

3 351. Defendant's conduct is substantially injurious to consumers. Such conduct has
4 caused, and continues to cause, actual damages to consumers because consumers would not have
5 paid for Defendant's PGT-A but for relying on Defendant's false and deceptive promotion as
6 detailed throughout this Complaint.

7 352. Consumers have thus paid unnecessarily for testing and such injury is not
8 outweighed by any countervailing benefits to consumers or competition.

9 353. No benefit to consumers or competition results from Defendant's conduct. Since
10 consumers reasonably rely on Defendant's representations of its services and injury results,
11 consumers could not have reasonably avoided such injury.

12 354. The foregoing unfair and deceptive practices directly, foreseeably, and proximately
13 caused Plaintiff and the Illinois Class (and the Nationwide Class if the Consumer Fraud Act is
14 deemed to apply to individuals outside of Illinois), to suffer ascertainable damages when they paid
15 for PGT-A testing based on false and misleading material statements and omissions.

16 355. Plaintiff sent notice of this and her other claims on July 15, 2024.

17 356. Plaintiff and the Illinois Class (and the Nationwide Class if the Consumer Fraud
18 Act is deemed to apply to individuals outside of Illinois) are entitled to recover damages and other
19 appropriate relief, as alleged below.

20 **COUNT IV**

21 **Fraud**

22 **(On behalf of Plaintiffs and Class Members)**

23 357. Plaintiffs incorporate by reference all preceding allegations.

24 358. Defendant created and implemented a scheme to market its PGT-A to increase sales
25 through false and misleading statements and material omissions, including, for example, that:

- 26 a. PGT-A testing increases IVF success;
- 27 b. PGT-A testing is 99% accurate;

- 1 c. PGT-A testing increases the chance of implantation;
- 2 d. PGT-A testing decreases the chance of miscarriage;
- 3 e. PGT-A testing reduces the time to pregnancy;
- 4 f. PGT-A testing increases the rate of pregnancy;
- 5 g. PGT-A testing increases the rate of live birth;
- 6 h. PGT-A testing improves the chance of a healthy pregnancy; and
- 7 i. PGT-A testing improves pregnancy rates for all ages, especially those of
- 8 advanced maternal age.

9 359. While making the above representations, Defendant also suppressed and omitted
10 material facts from Plaintiffs and the Class members.

11 360. Defendant's conduct was fraudulent and deceptive because its misrepresentations
12 and omissions were likely to, and did, deceive consumers, including Plaintiffs and the Class.

13 361. Defendant knew or should have known that its misrepresentations and omissions
14 were false and misleading and intended for consumers to rely on.

15 362. Plaintiff and the Class members have been injured because they paid for PGT-A
16 and suffered economic losses based upon the material misrepresentations and omissions of
17 Defendants.

18 363. Defendant's false statements and omissions induced Plaintiffs and Class members
19 to purchase Defendant's PGT-A.

20 364. Defendant's advertising, marketing, and promotion of PGT-A fraudulently
21 suppressed and concealed the truth about PGT-A as alleged herein. Accordingly, Plaintiffs and the
22 Class could not have known that they were subject to deceptive and misleading marketing and
23 promotion.

24 365. Absent Defendant's conduct, Plaintiffs and Class members would not have
25 purchased PGT-A from Defendant and are entitled to a full refund of the purchase price and
26 additional economic losses. In the alternative, Plaintiffs and Class members are entitled to the

1 difference in value between the unproven and unreliable test Plaintiffs and Class members
2 purchased and the test Defendant advertised.

3 366. As a result of Defendant's false and deceptive conduct, Plaintiffs and Class
4 members are entitled to monetary damages, injunctive relief, restitution, and disgorgement of all
5 moneys obtained by means of Defendant's unlawful conduct, interest, and attorneys' fees and
6 costs.

7 **COUNT V**
8 **Fraud by Concealment**
9 **(On behalf of Plaintiffs and Class Members)**

10 367. Plaintiffs incorporate by reference all preceding allegations.

11 368. Defendant intentionally suppressed and concealed material facts about its PGT-A
12 testing as alleged herein. Defendant knew about the problems and issues with PGT-A, that it was
13 unproven, inaccurate, and unreliable, as well as the status of scientific knowledge concerning PGT-
14 A but failed to disclose these material facts to Plaintiffs and Class members.

15 369. Plaintiffs and Class members had no reasonable means of knowing that Defendant's
16 representations concerning PGT-A were materially incomplete, false, or misleading, or that
17 Defendant had failed to disclose relevant material facts about PGT-A. Plaintiffs and Class
18 members did not and reasonably could not have discovered Defendant's deceit before they
19 purchased PGT-A.

20 370. Had Plaintiffs and Class members known the truth, and of the material facts that
21 Defendant omitted to disclose to them, they would not have purchased PGT-A from Defendant
22 and incurred economic costs.

23 371. Defendant had a duty to disclose the truth because the facts that Defendant choose
24 not to disclose are material and Defendant possessed knowledge of these facts that unsuspecting
25 and vulnerable consumers did not have.

26 372. Defendant was aware of the scientific study and research concerning PGT-A as
27 Defendant reviewed the research and publications concerning PGT-A, including from major
28

1 medical associations such as ASRM.

2 373. Defendant had a duty to disclose the truth about PGT-A because, through
3 Defendant's advertising, marketing, website statements, patient brochures, consent form, and other
4 written statements made to consumers, Defendant made partial representations regarding PGT-A
5 including purported representations concerning its reliability and accuracy, but failed to disclose
6 facts that would have materially qualified those partial representations.

7 374. Having volunteered purportedly scientific and research-based information relating
8 to PGT-A to Plaintiffs and Class members, Defendant had a duty to disclose the whole truth about
9 PGT-A and its unproven, inaccurate, and unreliable nature.

10 375. Each Plaintiff and Class member was exposed to Defendant's representations prior
11 to and immediately after purchase. Each Plaintiff and Class member saw the same generalized
12 representations as detailed herein, that were repeated by Defendant throughout its promotional
13 materials. None of the informational sources that Plaintiffs and Class members were provided by
14 Defendant, including advertisements, websites, brochures, or promotional materials indicated or
15 disclosed the full truth about PGT-A testing as detailed herein.

16 376. Defendant concealed the truth to sell more PGT-A testing and to avoid the public
17 finding out the truth about PGT-A.

18 377. The facts that Defendant suppressed and omitted were material, and Plaintiffs and
19 Class members were unaware of them at the time of purchase. Had the facts been disclosed,
20 Plaintiffs and Class members would not have purchased PGT-A and incurred the associated
21 economic costs by which they were damaged.

22 378. When deciding whether to purchase PGT-A, Plaintiffs and Class members
23 reasonably relied to their detriment on Defendant's material misrepresentations and omissions as
24 detailed herein.

25 379. Plaintiffs and Class members sustained damages in the form of economic costs as
26 a direct and proximate result of Defendant's deceit and fraudulent concealment.

1 380. Defendant's fraudulent concealment was malicious, oppressive, deliberate,
2 intended to defraud Plaintiffs and Class members, and intended to enrich Defendant, and has been
3 in reckless disregard of Plaintiffs' and Class members' rights, interests, and well-being.
4 Defendant's conduct warrants an assessment of damages in an amount sufficient to deter such
5 conduct, to be determined according to proof at trial.

6 **COUNT VI**
7 **Unjust Enrichment / Restitution**
8 **(On behalf of Plaintiffs and Class Members)**

9 381. Plaintiffs incorporate by reference all preceding allegations.

10 382. Plaintiffs plead this claim in the alternative to their other claims for restitution
11 damages to the extent there is no adequate remedy at law.

12 383. Defendant created and implemented a scheme to market for PGT-A testing to
13 increase sales through numerous false and misleading statements and material omissions as set
14 forth above.

15 384. As a result, Defendant have been unjustly enriched at Plaintiffs and Class Members'
16 expense by retaining the benefits derived from the sale of PGT-A testing, despite not providing
17 Plaintiff with fair compensation.

18 385. Plaintiffs and Class Members conferred a benefit on Defendant in the form of
19 payment for PGT-A testing and associated costs.

20 386. Defendant knowingly accepted and retained this benefit under circumstances that
21 make it unjust for Defendant to retain the benefit without compensating Plaintiffs and Class
22 members.

23 387. These benefits were the result of Defendant acting in its pecuniary interest at the
24 expense of its consumers.

25 388. There is no justification for Defendant's enrichment. It would be inequitable,
26 unconscionable, and unjust for Defendant to be permitted to retain benefits because the benefits
27 were procured as a result of its wrongful conduct.
28

ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: [Natera Lawsuit Alleges PGT-A IVF Testing Is Falsely Marketed As Accurate, Reliable](#)
