

**Must be postmarked or
submitted online
NO LATER THAN
August 4, 2026**

In re Shimano Crankset Litigation
Settlement Administrator
PO Box 4150
Portland, OR 97208-4150
www.ShimanoCranksetSettlement.com

In re Shimano Crankset Litigation Claim Form

- A settlement has been reached in a class action lawsuit against Shimano North America Bicycle, Inc. and Shimano North America Holding, Inc. (together, “Shimano”), and Specialized Bicycle Components, Inc., Trek Bicycle Corporation, and Giant Bicycle, Inc. (collectively, “Defendants”). In September 2023, Shimano voluntarily recalled Shimano Hollowtech II cranksets in the United States that had been manufactured before July 2019 (the “Voluntary Recall”). Plaintiffs alleged breaches of warranties, and sought relief in connection with the advertising and marketing of Defendants’ products and the alleged inadequacy of the voluntary recall. There are no allegations that Plaintiffs have suffered any physical injuries from the cranksets involved.
- You are a Settlement Class Member entitled to receive benefits under the Settlement if the following Settlement Class definition applies to you: all Persons who purchased, received, were given, and/or owned a Designated Crankset (defined below) in the United States, other than solely for resale purposes. This includes, without limitation, Persons who purchased, received, were given, or owned a bicycle equipped with a Designated Crankset.
- Designated Crankset means any of the following Shimano cranksets manufactured before July 2019: Ultegra FC-6800, Ultegra FC-R8000, Dura-Ace FC-9000, Dura-Ace FC-R9100 and Dura-Ace FC-R9100-P, with any of the following production codes: KF, KG, KH, KI, KJ, KK, KL, LA, LB, LC, LD, LE, LF, LG, LH, LI, LJ, LK, LL, MA, MB, MC, MD, ME, MF, MG, MH, MI, MJ, MK, ML, NA, NB, NC, ND, NE, NF, NG, NH, NI, NJ, NK, NL, OA, OB, OC, OD, OE, OF, OG, OH, OI, OJ, OK, OL, PA, PB, PC, PD, PE, PF, PG, PH, PI, PJ, PK, PL, QA, QB, QC, QD, QE, QF, QG, QH, QI, QJ, QK, QL, RA, RB, RC, RD, RE, and RF.
- Photographs of the Designated Cranksets and where to locate the production codes are available at <https://www.cpsc.gov/Recalls/2023/Shimano-Recalls-Cranksets-for-Bicycles-Due-to-Crash-Hazard>.
- If you are a Settlement Class Member, you may submit a Claim Form, with documentation of your reasonable out-of-pocket costs incurred in purchasing a replacement crankset and having it installed on your bicycle, if you replaced your Designated Crankset because it separated, delaminated, or exhibited evidence of delamination or separation. Evidence of delamination may include signs of corrosion and breaking.

Reimbursement is not available if (a) the Designated Crankset was replaced on or after September 21, 2023, or (b) at the time of replacement, the Express Warranty was not expired as to that Designated Crankset. For Ultegra FC-6800 and FC-R8000 Designated Cranksets, the Express Warranty expired two years after the date of original retail purchase. For Dura-Ace FC-9000, R9100, and FC-R9100-P Designated Cranksets, the Express Warranty expired three years after the date of original retail purchase.

INSTRUCTIONS FOR COMPLETING CLAIM FORM

You must submit a timely and valid Claim Form for reimbursement of costs as described above, including supporting documentation described below. Your Claim Form must be submitted online at www.ShimanoCranksetSettlement.com by **August 4, 2026** or mailed to the Settlement Administrator at the address above, **postmarked by August 4, 2026**.

CONTACT INFORMATION

Claimant Information

First Name:

[illegible]

MI:

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Last Name:

[illegible]

Address:

[illegible]

City:

[illegible]

State:

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ZIP Code:

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Daytime Phone Number:

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Evening Phone Number:

Email Address:

[illegible]

DESIGNATED CRANKSET INFORMATION

Crankset Model (*Check One*)

Production Code (If known)

☐ Ultegra FC-6800 or FC-R8000

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☐ Dura-Ace FC-9000, FC-R9100, or FC-R9100-P

Photographs of the Designated Cranksets and where to locate the production codes are available at <https://www.cpsc.gov/Recalls/2023/Shimano-Recalls-Cranksets-for-Bicycles-Due-to-Crash-Hazard>.

Original Retail Purchase Date of Designated Crankset (or Bicycle Equipped with Designated Crankset):

$$\begin{array}{|c|c|} \hline & \\ \hline \end{array} - \begin{array}{|c|c|} \hline & \\ \hline \end{array} - \begin{array}{|c|c|c|c|} \hline & & & \\ \hline \end{array}$$

MM DD YYYY

Was the Designated Crankset (or Bicycle Equipped with Designated Crankset) purchased for resale purposes?

☐ Yes ☐ No

REPLACEMENT CRANKSET INFORMATION

Replacement Crankset Make and Model:

[illegible]

Purchase Date of Replacement Crankset:

Diagram illustrating the inheritance of the Y chromosome:

Father (MM) × Mother (DD) → Son (YY)

The father's sex chromosomes (MM) and the mother's sex chromosomes (DD) combine to form the son's sex chromosomes (YY).

Cost of Replacement Crankset:

\$.		
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Cost of Installation:

\$.		
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[illegible]

Mobile Number:

Email:

Mobile Number:

Email:4

EXHIBIT A:
Declaration Under Penalty of Perjury
(If Applicable)

Directions: If you have been unable, after a diligent search, to identify documentation establishing your ownership of and the original retail purchase date of the Designated Crankset (or Bicycle Equipped with Designated Crankset) that you later replaced, you may fill out this declaration to support your claim.

First Name:

[illegible]

Last Name:

[illegible]

1. I previously owned the below Shimano crankset (“Designated Crankset”).

Model Name:

[illegible]

2. To the best of my knowledge, the date of original retail purchase of the Designated Crankset was:

$$\begin{array}{|c|c|} \hline & \\ \hline \end{array} - \begin{array}{|c|c|} \hline & \\ \hline \end{array} - \begin{array}{|c|c|c|c|} \hline & & & \\ \hline \end{array}$$

MM DD YYYY

- a. [If applicable] The Designated Crankset was purchased as part of a complete bicycle, of the following make and model:

Description of Bicycle (“Bicycle Equipped with Designated Crankset”)/Model:

[illegible]

3. The Designated Crankset (or Bicycle Equipped with Designated Crankset) was purchased from:

Name of Retail Establishment:

[illegible]

The address (or website address) of that retail establishment is:

Address:

[illegible]

City:

[illegible]

State:

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ZIP Code:

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Website Address:

[illegible]

4. ☐ I have searched diligently for receipts, invoices, photographs, and other documents showing my ownership and the original retail purchase date of the Designated Crankset. Despite my efforts, I have been unable to find such documentation.
5. ☐ I have attempted to contact the retail establishment from which the Designated Crankset (or Bicycle Equipped with Designated Crankset) was purchased but have been unable to obtain an invoice or other documentation supporting my claim.

MAIL
ID

0000PLACEHOLDER0000

6. I replaced the Designated Crankset on

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 because:

MM

DD

YYYY

7. ☐ I understand the Settlement Administrator and/or the Parties may contact the retail establishment from which I purchased the Designated Crankset (or Bicycle Equipped with Designated Crankset) to confirm its purchase.

8. I make this declaration under penalty of perjury, signed on:

--	--

MM

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DD

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YYYY

City:

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State:

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Signature