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**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA**

IN RE: SEQUOIA BENEFITS AND
INSURANCE DATA BREACH
LITIGATION

Case No. 3:22-CV-08217-RFL
**[PROPOSED] ORDER GRANTING
PLAINTIFFS' MOTION FOR
PRELIMINARY APPROVAL OF CLASS
ACTION SETTLEMENT**

Date: September 9, 2025
Time: 10:00 AM
Dept: Courtroom 15 – 18th Floor
Judge: Honorable Rita F. Lin

1 Plaintiffs Kevin Mindeguia, Erin McGurk, Adam Enger, Amy Carter, Seth Jones, and
2 Christopher Cottrell (“Plaintiffs”) individually and on behalf of all others similarly situated (the
3 “Settlement Class”), and Defendants Sequoia Benefits & Insurance Services, LLC (“Sequoia
4 Group”), and Sequoia One PEO, LLC (“Sequoia One,” collectively with Sequoia Group,
5 “Defendants,” and all collectively the “Settling Parties”) have entered into a Class Action
6 Settlement Agreement and Release (the “Class Settlement Agreement” or “S.A.”) resolving the
7 Litigation.¹

8 This matter is before the Court for consideration of whether the Settlement Agreement
9 reached by the Settling Parties should be preliminarily approved, the proposed Settlement Class
10 preliminarily certified, and the proposed plan for notifying the Settlement Class approved.
11 Having reviewed the proposed Settlement Agreement, together with its exhibits, and based upon
12 the relevant papers, prior proceedings, and incorporating by reference all reasons stated on the
13 record at the hearing on the motion, the Court has determined the proposed Settlement
14 Agreement satisfies the criteria for preliminary approval, the proposed Settlement Class should
15 be preliminarily certified, and the proposed notice plan approved. Accordingly, good cause
16 appearing in the record,

17 **IT IS HEREBY ORDERED THAT:**

18 **Provisional Certification of The Settlement Class**

19 (1) This Court has jurisdiction over the Litigation, Plaintiffs, all Settlement Class
20 Members, Defendants, and any party to any agreement that is part of or related to the Settlement.

21 (2) The Court provisionally certifies the following Nationwide Class for purposes
22 of settlement only, defined as:

23 **All persons in the United States who appear on Sequoia’s list of**
24 **individuals whose information was compromised in the Data**
25 **Security Incident.**

26 ¹ The capitalized terms used in this Preliminary Approval Order shall have the same meaning as
27 defined in the Class Settlement Agreement, except as may otherwise be indicated.

1 (3) The Court provisionally certifies the following California Subclass for purposes
2 of settlement only, defined as:

3 **All California residents at the time of the Data Security**
4 **Incident, which occurred between September 22 and October 6,**
5 **2022, who appear on Sequoia's list of individuals whose**
6 **information was compromised in the Data Security Incident.**

7 (4) Excluded from the Classes are officers and directors of Defendants, Class
8 Counsel, the presiding Federal District Court Judge, and any members of that Judge's
9 immediate family and judicial staff.

10 (5) The Court determines that for settlement purposes the proposed Settlement Class
11 meets all the requirements of Federal Rule of Civil Procedure 23(a) and (b)(3), namely that the
12 class is so numerous that joinder of all Class Members is impractical; that there are common
13 issues of law and fact; that the claims of the Class Representatives are typical of absent Class
14 Members; that the Class Representatives will fairly and adequately protect the interests of the
15 Class as they have no interests antagonistic to or in conflict with the Class and have retained
16 experienced and competent counsel to prosecute this matter; that common issues predominate
17 over any individual issues; and that a class action is the superior means of adjudicating the
18 controversy.

19 (6) Kevin Mindeguia, Erin McGurk, Adam Enger, Amy Carter, Seth Jones, and
20 Christopher Cottrell are designated and appointed as the Settlement Class Representatives.

21 (7) David M. Berger (Gibbs Mura LLP) and Rachele R. Byrd (Wolf Haldenstein
22 Adler Freeman & Herz LLP), previously designated as Interim Co-Lead Class Counsel, and M.
23 Anderson Berry (Clayco C. Arnold, APC) and Kaleigh N. Boyd (Tousley Brain Stephens
24 PLLC), previously designated as the Interim Class Counsel Executive Committee, are all
25 designated as Class Counsel pursuant to Federal Rule of Civil Procedure 23(g). The Court finds
26 that Mr. Berger, Ms. Byrd, Mr. Berry, and Ms. Boyd are experienced and will adequately protect
27 the interests of the Settlement Class.

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1 entitled to object or otherwise appear, and, further provided, that no Settlement Class Member
2 shall be heard in opposition to the Settlement Agreement unless the Settlement Class Member
3 complies with the requirements of this Order pertaining to objections, which are described
4 below.

5 **Administration**

6 (12) Kroll Settlement Administration LLC (“Kroll”) is appointed as the Settlement
7 Administrator, with responsibility for reviewing, determining the validity of, and processing all
8 claims submitted by Settlement Class Members, and all other obligations of the Settlement
9 Administrator as set forth in the Settlement Agreement. All Administration and Notice Costs
10 incurred by the Settlement Administrator will be paid out of the Settlement Fund, as provided
11 in the Settlement Agreement.

12 **Notice to the Class**

13 (13) The Class Notice plan along with the Claim Form, Short Notice, and Long
14 Notice (Dkt Nos. 148-2, 148-5, 148-4) satisfy the requirements of Federal Rule of Civil
15 Procedure 23 and due process and thus are approved. Non-material modifications to these
16 exhibits may be made without further order of the Court. The Settlement Administrator is
17 directed to carry out the Notice Plan and to perform all other tasks that the Settlement
18 Agreement requires, including maintaining the Settlement Website. Any supplemental filings
19 in support of preliminary approval shall be included on the Settlement Website, pursuant to
20 § 5.3.2 of the Settlement Agreement.

21 (14) The Settlement Administrator shall make its best efforts to provide language
22 assistance to any individuals who contact the Administrator due to difficulties understanding
23 the English language materials. The Settlement Administrator shall include information
24 regarding the volume of those requests and its efforts to resolve them when filing its declaration
25 in support of final approval.

26 (15) The Court finds that the form, content, and method of giving notice to the
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1 Settlement Class as described in the Class Notice plan, Short Notice, Long Notice, and Claim
2 Form: (a) constitute the best practicable notice to the Settlement Class; (b) are reasonably
3 calculated, under the circumstances, to apprise Settlement Class Members of the pendency of
4 the action, the terms of the proposed Settlement Agreement, and their rights under the proposed
5 Settlement Agreement; (c) are reasonable and constitute due, adequate, and sufficient notice to
6 those persons entitled to receive notice; and (d) satisfy the requirements of Federal Rule of Civil
7 Procedure 23, the constitutional requirement of due process, and any other legal requirements.
8 The Court further finds that the notice is written in plain language, uses simple terminology,
9 and is designed to be readily understandable by Settlement Class Members.

10 **Exclusions from the Class**

11 (16) Any individual that wishes to be excluded from the Settlement must mail such
12 request in writing to the Settlement Administrator at the address set forth in the Class Notice,
13 or submit a request to opt out on the settlement website. Any Request for Exclusion must be
14 submitted online or postmarked no later than sixty (60) days after the Class Notice Date or such
15 other date specified in the Court's Preliminary Approval Order. The Request for Exclusion
16 shall: (i) state the Class Member's full name, current address, and signature, and (ii) specifically
17 state his or her desire to be excluded from the Settlement and from the Final Settlement Class.
18 Failure to comply with these requirements and to timely submit the Request for Exclusion will
19 result in the Class Member being bound by the terms of the Settlement if Final Approval is
20 granted. Any individual who does not submit a valid and timely request for exclusion in the
21 manner described herein shall be bound by the Settlement Agreement, including all releases
22 and covenants therein, as well as all subsequent proceedings, orders, and judgments applicable
23 to the Settlement Class, if Final Approval is granted.

24 (17) All individuals who submit valid and timely requests for exclusion from the
25 Settlement Agreement shall not: (i) be bound by any orders or judgments entered in connection
26 with the Settlement Agreement; (ii) be entitled to any relief under, or be affected by, the
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Settlement; (iii) gain any rights by virtue of the Settlement; or (iv) be entitled to object to any aspect of the Settlement.

(18) The Settlement Administrator shall provide the Parties with copies of all requests for exclusion promptly upon receipt and a bi-weekly report which includes a summary of the number of requests for exclusion in accordance with the terms of the Settlement Agreement and herein. Prior to the Final Approval Hearing, the Settlement Administrator shall also prepare and execute a declaration identifying each individual who timely and validly requested exclusion from the Settlement Agreement.

Objections to the Settlement Agreement

(19) A Settlement Class Member that complies with the requirements of this Order may object to the Settlement Agreement.

(20) Absent good cause, no Settlement Class Member shall be heard, and no papers, briefs, pleadings, or other documents submitted by any Settlement Class Member shall be received and considered by the Court, unless a written objection is submitted to the Court on or before the Objection Deadline, which shall be sixty (60) days after the Notice Date. Absent good cause, for the objection to be considered by the Court, the written objection must include:

- (a) the objector's full name and current address;
- (b) the case name and case number, *In re: Sequoia Benefits and Insurance Data Breach Litigation*, Case No. 3:22-cv-08217-RFL, currently pending in the United States District Court for the Northern District of California;
- (c) documentation sufficient to establish membership in the Class, such as a copy of the Postcard Notice he or she received;
- (d) a written statement of the position(s) the objector wishes to assert, including the factual and legal grounds for the position(s);
- (e) the identity of any and all counsel representing the objector in connection

1 with the objection;

2 (f) a statement whether the objector and/or his or her counsel will appear at
3 the Final Fairness Hearing; and

4 (g) the objector's signature or the signature of the objector's duly authorized
5 attorney or other duly authorized representative (if any) representing him
6 or her in connection with the objection.

7 The Court will require only substantial and timely compliance with the above requirements for
8 submitting an objection, and may excuse any of them upon a showing of good cause.

9 (21) In addition to the foregoing requirements, if an objecting Settlement Class
10 Member intends to speak at the Final Approval Hearing (whether pro se or through an attorney),
11 the written objection must include a detailed description of any evidence the objecting
12 Settlement Class Member may offer at the Final Approval Hearing, as well as copies of any
13 exhibits the objecting Settlement Class Member may introduce at the Final Approval Hearing.

14 (22) A written notice of objection may be either electronically filed in the Action's
15 electronic docket on or before the Objection Deadline; sent via first class, postage-prepaid
16 United States Mail, postmarked no later than the Objection Deadline to the Class Action Clerk,
17 United States District Court for the Northern District of California (San Francisco Division),
18 450 Golden Gate Ave., 16th Floor, San Francisco, CA 94102; or in person at any location of
19 the United States District Court for the Northern District of California on or before the Objection
20 Deadline.

21 (23) Absent any good cause, any Settlement Class Member who fails to object to the
22 Settlement Agreement in the manner described herein shall be deemed to have waived any such
23 objection, shall not be permitted to object to any terms or approval of the Settlement Agreement
24 at the Final Approval Hearing, and shall be precluded from seeking any review of the Settlement
25 Agreement or the terms of this Agreement by appeal or any other means.

26 (24) Notwithstanding the above, upon a showing of good cause to the Court, any
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objecting Class Member may appear, in person or by counsel, at the Final Approval Hearing held by the Court. The Parties may contest any appearance by an objector on any grounds, or from asserting any and all other potential defenses and privileges to any such appearance.

Claims Process and Distribution Plan

(25) The Settlement Agreement establishes a process for assessing and determining the validity and value of claims and a methodology for paying Settlement Class Members that submit a timely, valid Claim Form. The Court preliminarily approves this process.

(26) Settlement Class Members that qualify for and wish to submit a Claim Form shall do so in accordance with the requirements and procedures specified in the Settlement Agreement, including the Claim Form. If the Settlement Agreement is finally approved, all Settlement Class Members that qualify for any benefit under the Settlement Agreement, but who fail to submit a claim in accordance with the requirements and procedures specified in the Settlement Agreement, including the Claim Form, shall be forever barred from receiving any such benefit. Such Class Members, however, will in all other respects be subject to and bound by the provisions of the Settlement Agreement, including the releases included in the Settlement Agreement, and the Final Approval Order and Judgment.

(27) Any residual funds following distribution shall not revert to Sequoia. If the settlement results in residual funds, the Parties will meet and confer regarding the appropriate use of such residual funds, including the possibility of a secondary distribution to the class members. If the residual funds exceed \$1,000, the parties will submit for court approval their suggested plan for distribution of such residual funds other than through a secondary distribution on the same pro rata basis as the alternative cash payments.

Termination of the Settlement Agreement and Use of this Order

(28) This Order shall become null and void and shall be without prejudice to the rights of the Parties, all of which shall be restored to their respective positions existing immediately before this Court entered this Order, if the Settlement Agreement is not finally approved by the

1 Court or is terminated in accordance with the terms of the Settlement Agreement. In such event,
2 the Settlement Agreement shall become null and void and be of no further force and effect, and
3 neither the Settlement (including any Settlement-related filings) nor the Court's orders,
4 including this Order, relating to the Settlement Agreement shall be used or referred to for any
5 purpose whatsoever.

6 (29) If the Settlement Agreement is not finally approved or there is no Effective Date
7 under the terms of the Settlement Agreement, then this Order shall be of no force or effect; shall
8 not be construed or used as an admission, concession, or declaration by or against Defendants
9 of any fault, wrongdoing, breach, or liability; shall not be construed or used as an admission,
10 concession, or declaration by or against any Settlement Class Representative or any other
11 Settlement Class Member that his or her claims lack merit or that the relief requested is
12 inappropriate, improper, unavailable; and shall not constitute a waiver by any party of any
13 defense (including without limitation any defense to class certification) or claims he or she may
14 have in this Action or in any other lawsuit.

15 **Stay of Proceedings**

16 (30) Except as necessary to effectuate this Order, this matter and any deadlines set by
17 the Court in this matter are stayed and suspended pending the Final Approval Hearing and
18 issuance of the Final Approval Order and Judgment, or until further order of this Court.

19 **Continuance of Final Approval Hearing**

20 (31) The Court reserves the right to adjourn or continue the Final Approval Hearing and
21 related deadlines without further written notice to the Settlement Class. If the Court alters any
22 of those dates or times, the revised dates and times shall be posted on the website maintained
23 by the Settlement Administrator.

24 **Actions by Settlement Class Members**

25 (32) The Court stays, pending Final Approval of the Settlement Agreement, any
26 actions, lawsuits, or other proceedings brought by Settlement Class Members against
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Defendants related to the Data Security Incident, unless such Settlement Class Member has elected to exclude themselves from the Settlement Agreement.


Summary of Deadlines

(33) The Settlement Agreement, as preliminarily approved in this Order, shall be administered according to its terms pending the Final Approval Hearing. Deadlines arising under the Settlement Agreement and this Order include but are not limited to the following:

Event	Date for Compliance
Defendants to provide Class Member Information to Settlement Administrator	No later than November 21, 2025
Class Notice	December 11, 2025
Motion for Attorneys' Fees, Expenses, and Service Awards	January 5, 2026
Claims Deadline	March 11, 2026
Opt-Out/Exclusion Deadline	February 9, 2026
Objection Deadline	February 9, 2026
Final Approval Brief and Response to Objections	March 11, 2026
Final Approval Hearing	April 7, 2026

IT IS SO ORDERED.

Dated: September 9, 2025



RITA F. LIN
United States District Judge