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Counsel for Plaintiffs and The Proposed Class

SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF LOS ANGELES

HEATHER HEATH, *et al.* individually and
on behalf of all others similarly situated,

Plaintiffs,

v.

KEENAN & ASSOCIATES and DOES 1
through 20, inclusive,

Defendant.

Case No. 24STCV03018

**CLASS ACTION SETTLEMENT
AGREEMENT AND RELEASE**

Assigned for all purposes to the
Honorable Kenneth R. Freedman

Trial Date: None

CLASS ACTION SETTLEMENT AGREEMENT AND RELEASE

The Parties, who intend to fully, finally, and forever resolve, discharge, and settle all of Plaintiffs' Released Claims, by and through their respective counsel, in consideration for and subject to the promises, terms, and conditions contained in this Class Action Settlement Agreement and Release, hereby warrant, represent, acknowledge, covenant, stipulate and agree, subject to Court approval, as follows:

1. DEFINITIONS

As used herein, in addition to any definitions set forth elsewhere in this Settlement Agreement, the following terms shall have the meanings set forth below:

1.1. "Action" means *Heath, et al. v. Keenan & Associates*, 24STCV03018, filed on February 2, 2024, in Los Angeles County Superior Court.

1.2. "Administrative Expenses" means all the expenses incurred in the administration of this Settlement, including, without limitation, all Notice Expenses, locating Settlement Class Members, providing notice to Settlement Class Members, issuing the Internet Advertisement, determining the eligibility of any person to be a Settlement Class Member, administering and processing Settlement Class Member claims and Claim Forms, and administering, calculating, and distributing the Settlement Fund or the Credit Monitoring and Insurance Services to the Claimants with Approved Claims.

1.3. "Agreement," "Settlement Agreement," and "Settlement" mean this Class Action Settlement Agreement and Release (including all recitals, exhibits and attachments hereto).

1.4. "Approved Claim(s)" means a claim as evidenced by a Claim Form submitted by a Settlement Class Member that (a) is timely and submitted in accordance with the directions on the Claim Form and the terms of this Agreement; (b) is physically signed or electronically verified by the Settlement Class Member; (c) satisfies the conditions of eligibility for a Settlement Benefit as set forth herein; and (d) has been approved by the Settlement Administrator.

1.5. "Business Day(s)" means Monday, Tuesday, Wednesday, Thursday, and Friday, excluding holidays observed by the federal government.

1.6. “Claimant” means a Settlement Class Member who submits a Claim Form for a Settlement Payment.

1.7. “Claim Form” means the form attached hereto as **Exhibit A**, as approved by the Court. The Claim Form must be submitted physically (via U.S. Mail) or electronically (via the Settlement Website) by Settlement Class Members who wish to file a claim for their given share of the Settlement Benefits pursuant to the terms and conditions of this Agreement. The Claim Form shall be available for download from the Settlement Website. The Settlement Administrator shall mail a Claim Form, in hardcopy form, to any Settlement Class Member who so requests.

1.8. “Claims Deadline” means the date by which all Claim Forms must be received to be considered timely and shall be set as the date 90 Days after the Notice Date. The Claims Deadline shall be clearly set forth in the Long Form Notice, the Summary Notice, the Claim Form, and the Court’s order granting Preliminary Approval.

1.9. “Claims Period” means the period during which Settlement Class Members may submit Claim Forms to receive their given share of the Settlement Benefits and shall commence on the Notice Date and shall end on the date 90 Days thereafter.

1.10. “Class Counsel” means attorneys Tina Wolfson and Andrew W. Ferich of Ahdoott & Wolfson PC; Ryan Clarkson and Yana Hart of Clarkson Law Firm; Benjamin F. Johns and Samantha E. Holbrook of Shub & Johns LLC; and M. Anderson Berry and Gregory Haroutunian of Clayeo C. Arnold, A Professional Corporation.

1.11. “Class Representatives” and “Plaintiffs” mean, collectively, Andrea Hans, Heather Heath, Brian Heinz, Matthew Rutledge, and Robert Ruma.

1.12. “Court” means the Los Angeles County Superior Court, the Honorable Kenneth R. Freedman (or any judge sitting in his stead or to whom the Action may be transferred) presiding.

1.13. “Credit Monitoring and Insurance Services” and “CMIS” mean the services to be provided to Participating Settlement Class Members who are entitled to and so select such services on their Claim Form, and as further described in Section 4.3, herein.

1.14. “Data Security Incident” refers to the unauthorized access to and acquisition of files containing Personally Identifying Information at various times between approximately August 21, 2023, and August 27, 2023 that is the subject of the Action.

1.15. “Day(s)” shall mean, for a period expressed in “day(s),” the number of calendar days identified in the period, excluding the day of the event that triggers the period, but including the last day of the period except when the last day is a Saturday, Sunday, or legal holiday, in which case the period runs until the end of the next day that is not a Saturday, Sunday, or legal holiday.

1.16. “Defendant’s Counsel” or “Keenan’s Counsel”, or references to counsel for Keenan, means John A. Vogt and other attorneys at the law firm of Jones Day.

1.17. “Documented Loss” refers to monetary losses incurred by a Settlement Class Member and supported by Reasonable Documentation for attempting to remedy or remedying issues that are more likely than not traceable to the Data Security Incident, and that have not been reimbursed through insurance. A claim for a Documented Loss must be supported by Reasonable Documentation that a Settlement Class Member actually incurred unreimbursed losses and consequential expenses that are more likely than not traceable to the Data Security Incident and incurred on or after August 21, 2023.

1.18. “Effective Date” means one Business Day following the latest of: (i) the date upon which the time expires for filing or noticing any appeal of the Judgment; (ii) if there is an appeal or appeals, the date of completion, in a manner that finally affirms and leaves in place the Judgment without any material modification, of all proceedings arising out of the appeal(s) (including, but not limited to, the expiration of all deadlines for motions for reconsideration or petitions for review and/or certiorari, all proceedings ordered on remand, and all proceedings arising out of any subsequent appeal(s) following decisions on remand); or (iii) the date of final dismissal of any appeal or the final dismissal of any proceeding on certiorari with respect to the Judgment.

1.19. “Fee and Expense Award” means the amount of attorneys’ fees and reimbursement of Litigation Costs awarded by the Court to Class Counsel.

1.20. “Final Approval Order” means an order that the Court enters after the Final Fairness Hearing, which finally approves the Settlement Agreement without material change to the Parties’ agreed-upon proposed final approval order attached hereto as **Exhibit B**.

1.21. “Final Fairness Hearing” and “Fairness Hearing” mean the hearing to be conducted by the Court to determine the fairness, reasonableness, and adequacy of the Settlement Agreement pursuant to the California Code of Civil Procedure and whether to issue the Final Approval Order and Judgment.

1.22. “Internet Advertisement(s)” means the publication notice program targeted to Class Members, to be approved by the Parties and the Court, and to be substantially similar to the form attached hereto as **Exhibit C**.

1.23. “Judgment” means the judgment to be entered by the Court, which will be posted on the Settlement Website upon being entered. The Judgment must be substantially similar to the form attached hereto as **Exhibit D**.

1.24. “Keenan” or “Defendant” means Keenan & Associates and its current and former affiliates, parents, subsidiaries, and successors.

1.25. “Litigation Costs” means reasonable litigation costs and expenses incurred by Class Counsel in connection with commencing, prosecuting, settling the Action, and obtaining an order of final judgment.

1.26. “Long Form Notice” means the long form notice of settlement, substantially in the form attached hereto as **Exhibit E**.

1.27. “Net Settlement Fund” means the amount of funds that remain in the Settlement Fund after funds are paid from or allocated for payment from the Settlement Fund for the following: (i) reasonable Administrative Expenses incurred pursuant to this Settlement Agreement, (ii) Taxes, (iii) any Service Payments approved by the Court, and (iv) any Fee and Expense Award approved by the Court.

1.28. “Non-Profit Residual Recipient” means the Alliance for Children’s Rights, a 26 U.S.C. § 501(c)(3) nonprofit organization that is a qualified residual recipient pursuant to California Code of Civil Procedure Section 384(b) <<https://allianceforchildrensrights.org/>>.

1.29. “Notice Date” means the date upon which Settlement Class Notice is first disseminated to the Settlement Class, which shall be within 30 Days after entry of the Preliminary Approval Order.

1.30. “Notice Expenses” means all reasonable costs and expenses expended in the execution of the Notice Plan, including (i) all costs and expenses incurred in connection with preparing, printing, mailing, disseminating, posting, promoting, emailing, hosting on the Internet, and publishing the Settlement Class Notice, and informing them of the Settlement, and (ii) any other reasonable and necessary Notice and Notice related expenses.

1.31. “Notice Plan” means the plan described in this Agreement for disseminating Notice to the Settlement Class Members of the terms of this Agreement and the Fairness Hearing.

1.32. “Objection Deadline” means the date by which Settlement Class Members must file and postmark all required copies of any written objections, pursuant to the terms and conditions herein, to this Settlement Agreement and to any application and motion for (i) the Fee and Expense Award, and (ii) the Service Payments, which shall be 75 Days following the Notice Date.

1.33. “Opt-Out Period” means the period in which a Settlement Class Member may submit a Request for Exclusion, pursuant to the terms and conditions herein, which shall expire 75 Days following the Notice Date. The deadline for filing a Request for Exclusion will be clearly set forth in the Settlement Class Notice.

1.34. “Participating Settlement Class Member” means a Settlement Class Member who submits an Approved Claim for their given share of the Settlement Benefits pursuant to the terms and conditions of this Agreement.

1.35. “Parties” means the Plaintiffs and Keenan.

1.36. “Person(s)” means any individual, corporation, trust, partnership, limited liability company or other legal entity and their respective predecessors, successors or assigns or, in the case of individuals, their personal representative or guardian.

1.37. “PII” and “Personally Identifying Information” means the information contained in the files involved in the Data Security Incident, including names, dates of birth, Social Security numbers, passport numbers, driver’s license numbers, health insurance information, and medical

1 information, such as general health information.

2 1.38. "Preliminary Approval Order" means the Court's Order preliminarily approving the
3 Settlement without material modifications to the proposed order or this Agreement that are
4 unacceptable to the Parties. A Proposed Preliminary Approval Order is attached to this Agreement
5 as **Exhibit F**.

6 1.39. "Reasonable Documentation" means documentation supporting a claim for
7 Documented Loss including, but not limited to, credit card statements, bank statements, invoices,
8 telephone records, photographs, and receipts. Documented Loss costs cannot be documented solely
9 by a personal certification, declaration, or affidavit from the Claimant; the Claimant must provide
10 supporting documentation in addition to any such certification, declaration, or affidavit.

11 1.40. "Released Claims" means any and all claims or causes of action of every kind and
12 description, including any causes of action in law, claims in equity, complaints, suits or petitions,
13 and any allegations of wrongdoing, demands for legal, equitable or administrative relief (including,
14 but not limited to, any claims for injunction, rescission, reformation, restitution, disgorgement,
15 constructive trust, declaratory relief, compensatory damages, consequential damages, penalties,
16 exemplary damages, punitive damages, attorneys' fees, costs, interest or expenses) arising during
17 the period between February 3, 2020 to the date the Preliminary Approval Order is issued by the
18 Court, that the Releasing Parties had or could have asserted in the Action (including, but not limited
19 to, assigned claims), or in any other action or proceeding before any court, arbitrator(s), tribunal or
20 administrative body (including but not limited to any state, local or federal regulatory body),
21 regardless of whether the claims or causes of action are based on federal, state, or local law, statute,
22 ordinance, regulation, contract, common law, or any other source, and regardless of whether they
23 are known or unknown, foreseen or unforeseen, suspected or unsuspected, or fixed or contingent,
24 arising out of, or reasonably related or connected in any way with the claims or causes of action of
25 every kind and description that were brought, alleged, argued, raised or asserted in any pleading or
26 court filing in the Action. "Released Claims" does not include claims relating to the enforcement
27 of the settlement.
28

1.41. “Released Parties” means Keenan and all of its respective past, present, and future parent companies, partnerships, subsidiaries, affiliates, divisions, employees, servants, members, providers, partners, principals, directors, shareholders, and owners, and all of their respective attorneys, heirs, executors, administrators, insurers, coinsurers, reinsurers, joint ventures, personal representatives, predecessors, successors, transferees, trustees, and assigns, and includes, without limitation, any Person related to any such entities who is, was, or could have been named as a defendant in the Action, as well as customers of Keenan’s whose data was involving in the Data Security Incident. Each of the Released Parties may be referred to individually as a “Released Party.”

1.42. “Releasing Parties” means Plaintiffs and any Person in the Settlement Class, including those not submitting a claim for a Settlement Benefit.

1.43. “Request for Exclusion” is the written communication by or on behalf of a Settlement Class Member in which he or she requests to be excluded from the Settlement Class pursuant to the terms of the Agreement. The deadline to submit a Request for Exclusions is the date that falls on the last Day of the Opt-Out Period.

1.44. “Service Payment(s)” means the amount of remuneration to be paid to each of the Class Representatives in recognition of their efforts on behalf of the Settlement Class, in an amount to be ordered by the Court, as set forth in Section 10, herein.

1.45. “Settlement Administrator” means the qualified third-party administrator and agent agreed to by the Parties and approved and appointed by the Court in the Preliminary Approval Order to administer the Settlement, including providing the Notice. The Parties agree to recommend that the Court appoint CPT Group as Settlement Administrator to: design, consult on, and implement the Notice and related requirements of this Agreement; implement the Notice and Internet Advertisement, the Settlement Website, the submission and review of Claim Forms, and related requirements of this Agreement, subject to the Court’s approval.

1.46. “Settlement Benefit(s)” means any Settlement Payment, the Credit Monitoring and Insurance Services, the Prospective Relief set forth in Section 4 herein, and any other benefits Settlement Class Members receive pursuant to this Agreement, including non-monetary benefits

1 and relief, the Fee and Expense Award, and Administrative Expenses.

2 1.47. "Settlement Class" means all residents of the United States who were notified by
3 Keenan that their PII was or may have been affected in the Data Security Incident. Excluded from
4 the Settlement Class are: (1) the Judges presiding over the Action, Class Counsel, and members of
5 their families; (2) Keenan and its subsidiaries, parent companies, successors, predecessors, and any
6 entity in which Keenan or its parents, have a controlling interest, and its current or former officers
7 and directors; (3) Persons who properly execute and submit a Request for Exclusion prior to the
8 expiration of the Opt-Out Period; and (4) the successors or assigns of any such excluded Persons.

9 1.48. "Settlement Class List" means the list generated by Keenan containing the name,
10 email (if available), and last known physical mailing address for persons that fall under the
11 definition of the Settlement Class, which Keenan will provide to the Settlement Administrator
12 within five Days of the entry of the Preliminary Approval Order.

13 1.49. "Settlement Class Member" or "Class Member" means a Person who falls within
14 the definition of the Settlement Class and who does not submit a valid Request for Exclusion prior
15 to the expiration of the Opt-Out Period.

16 1.50. "Settlement Class Notice" or "Notice" means the form of Court-approved notice of
17 this Agreement that is disseminated to the Settlement Class. The Settlement Class Notice shall
18 consist of the Summary Notice, the Long Form Notice, and the Settlement Website.

19 1.51. "Settlement Fund" means the sum of Fourteen Million Dollars and No Cents
20 (\$14,000,000.00), to be paid by or on behalf of Keenan as specified in Section 3.6 of this
21 Agreement, including any interest accrued thereon after payment.

22 1.52. "Settlement Payment" means any payment to be made to any Participating
23 Settlement Class Member on Approved Claims pursuant to Sections 3.11 and 4.2 herein.

24 1.53. "Settlement Website" means the internet website, with the URL address
25 www.keenanbreachsettlement.com, to be created and maintained by the Settlement Administrator,
26 and which allows for the electronic submission of Claim Forms, and provides access to relevant
27 documents including the Settlement Class Notice, information about submitting Claim Forms, and
28 other relevant documents, including downloadable Claim Forms.

1.54. “Summary Notice” means the summary postcard and email notices of the proposed Settlement herein, substantially in the form attached hereto as **Exhibit G**.

1.55. “Taxes” means (i) any and all applicable taxes, duties, and similar charges imposed by a government authority (including any estimated taxes, interest or penalties) arising in any jurisdiction, if any, with respect to the income or gains earned by or in respect of the Settlement Fund, including, without limitation, any taxes that may be imposed upon Keenan or its counsel with respect to any income or gains earned by or in respect of the Settlement Fund for any period while it is held in the Settlement Fund; (ii) any other taxes, duties and similar charges imposed by a government authority (including any estimated taxes, interest or penalties) relating to the Settlement Fund that the Settlement Administrator determines are or will become due and owing, if any; and (iii) any and all expenses, liabilities and costs incurred in connection with the taxation of the Settlement Fund (including without limitation, expenses of tax attorneys and accountants).

2. RECITALS

2.1. On or around August 21, 2023, Keenan experienced a cybersecurity attack that affected its computer systems (i.e., the Data Security Incident).

2.2. Keenan’s subsequent investigation determined that during the Data Security Incident a threat actor acquired certain database files that contained PII.

2.3. Following a diligent investigation to identify individuals whose PII may have been affected, Keenan began notifying potentially impacted individuals about the Data Security Incident on or around January 26, 2024.

2.4. On February 2, 2024, this Action, *Heath v. Keenan & Associates*, 24STCV03018, was filed in the Los Angeles County Superior Court.

2.5. Over 20 separate class action cases related to the Data Security Incident subsequently were filed in this Court and in the United States District Court for the Central District of California.

2.6. Defendant denies all material allegations in the Action, denies wrongdoing of any kind, denies that it is liable on any claims asserted, and maintain that a class action cannot properly be certified for purposes of litigation and trial, as opposed to for purposes of settlement.

1 2.7. Before entering into this Settlement Agreement, Plaintiffs, by and through their
2 respective counsel, conducted a thorough examination, investigation, and evaluation of the relevant
3 law, facts, and allegations to assess the merits of the claims and potential claims to determine the
4 strength of liability, potential remedies, and all defenses thereto.

5 2.8. This Settlement was reached as a result of extensive arm's-length negotiations
6 between the Parties and their counsel, and after an all-day mediation session with respected
7 mediator, the Honorable Jay C. Gandhi (Ret.) of JAMS. Before and during these settlement
8 discussions and mediations, the Parties had an arm's-length exchange of sufficient information to
9 permit Plaintiffs and their counsel to evaluate the claims and potential defenses and to meaningfully
10 conduct informed settlement discussions.

11 2.9. As a result of extensive arm's-length negotiations, Plaintiffs and Class Counsel, on
12 behalf of the Class, and Defendant entered into an Agreement to settle and resolve the class claims
13 alleged in the Action.

14 2.10. Pursuant to the terms set forth below, this Agreement resolves all claims, actions,
15 and proceedings asserted, or that could be asserted, against Keenan arising out of or related to the
16 Data Security Incident, as set forth in the release contained herein, by or on behalf of members of
17 the Settlement Class herein defined but excluding the rights of Class Members who opt out from
18 the Settlement Class pursuant to the terms and conditions herein.

19 2.11. Class Counsel, on behalf of the Plaintiffs and the Settlement Class, have thoroughly
20 examined the law and facts relating to the matters at issue in the Action, Plaintiffs' claims, and
21 Keenan's potential defenses, including conducting independent investigation, as well as an
22 assessment of the merits of expected arguments in a motion for class certification. Based on an
23 analysis of the facts and the law applicable to Plaintiffs' claims in the Action, and taking into
24 account the burden, expense, and delay of such continued litigation, including the risks and
25 uncertainties associated with class certification and other defenses Keenan may assert, a protracted
26 trial and appeal(s), as well as the fair, cost-effective, and assured method of resolving the claims of
27 the Settlement Class, Plaintiffs and Class Counsel believe that resolution is an appropriate and
28 reasonable means of ensuring that the Class is afforded important benefits as expediently as

possible. Plaintiffs and Class Counsel have also taken into account the uncertain outcome and the risk of further litigation, as well as the difficulties and delays inherent in such litigation.

2.12. Plaintiffs and Class Counsel believe that the terms set forth in this Settlement Agreement confer substantial benefits upon the Settlement Class and have determined that they are fair, reasonable, adequate, and in the best interests of the Settlement Class.

2.13. Keenan has similarly concluded that this Settlement Agreement is desirable to avoid the time, risk, and expense of defending protracted litigation, and to resolve finally and completely the claims of Plaintiffs and the Settlement Class.

2.14. This Settlement Agreement, whether consummated, and any actions or proceedings taken pursuant to this Settlement Agreement, are for settlement purposes only and Keenan specifically denies any and all wrongdoing and any liability in connection with the Data Security Incident. The existence of, terms in, and any action taken under or in connection with this Settlement Agreement shall not constitute, be construed as, or be admissible in evidence as, any admission by Keenan of (i) the validity of any claim, defense or fact asserted in the Action or any other pending or future action, or (ii) any wrongdoing, fault, violation of law, or liability of any kind on the part of Keenan or any of the Released Parties.

3. TERMS OF SETTLEMENT

It is hereby stipulated and agreed, by and among Plaintiffs, individually and on behalf of the Settlement Class, and Keenan that, subject to Court approval, the Action and Plaintiffs' Released Claims shall be finally and fully compromised, settled, and released, and that the Judgment and Final Approval Order shall be entered subject to the following terms and conditions of this Settlement Agreement.

3.1. Preliminary Approval. Class Counsel shall submit this Agreement to the Court and shall move the Court to enter the Preliminary Approval Order, in the form attached as **Exhibit F**.

3.2. Cooperation. The Parties shall, in good faith, cooperate, assist, and undertake all reasonable actions and steps to accomplish all requirements of this Agreement on the schedule set by the Court, subject to the terms of this Agreement.

1 3.3. Certification of the Settlement Class. For purposes of this Settlement only, Plaintiffs
2 and Keenan stipulate to the certification of the Settlement Class, pursuant to California Code of
3 Civil Procedure §§ 382 *et seq.*, which is contingent upon the Court entering the Final Approval
4 Order and Judgment of this Settlement and the occurrence of the Effective Date. Should (1) the
5 Settlement not receive final approval from the Court, or (2) the Effective Date not occur, the
6 certification of the Settlement Class shall be void. Plaintiffs and Keenan further stipulate to
7 designate the Class Representatives as the representatives for the Settlement Class.

8 3.4. Final Approval. Class Counsel shall move the Court for final settlement approval
9 and entry of the Final Approval Order and Judgment no later than 21 Days prior to the Final
10 Fairness Hearing.

11 3.5. Releases.

12 3.5.1. The Release. Thirty (30) Days after the Effective Date, and in consideration
13 of full payment of the Settlement Fund by Keenan and the Settlement Benefits described herein,
14 each Releasing Party shall be deemed to have released, acquitted, and forever discharged Keenan
15 and each of the Released Parties from any and all Released Claims.

16 3.5.2. Exclusive Remedy. This Agreement shall be the sole and exclusive remedy
17 of the Releasing Parties against any of the Released Parties relating to any and all Released Claims.
18 Upon the entry of the Judgment, each and every Releasing Party shall be permanently barred and
19 enjoined from initiating, asserting and/or prosecuting any Released Claim(s) against any of the
20 Released Parties in any court, arbitration, tribunal, forum or proceeding.

21 3.5.3. Jurisdiction of the Court. Without affecting the finality of the Final Approval
22 Order and Judgment in any way, and even after the Effective Date, pursuant to Code of Civil
23 Procedure § 664.6, the Court shall retain exclusive and continuing jurisdiction over the
24 implementation of the Settlement, Action, the Parties, Settlement Class Members, and the
25 Settlement Administrator in order to interpret and enforce the terms, conditions, and obligations of
26 this Agreement.

1 3.6. Settlement Fund.

2 3.6.1. Deposit. Keenan shall pay, or cause to be paid through its insurance carriers,
3 a payment of Fourteen Million Dollars and No Cents (\$14,000,000.00) into the Settlement Fund
4 pursuant to the terms and conditions of this Agreement, which shall in part be available to cover
5 reasonable costs associated with the Notice Plan and any other Administrative Expenses incurred
6 prior to entry of the Final Approval Order and the Judgment. For the avoidance of doubt, and for
7 purposes of this Settlement Agreement only, Keenan's liability shall not exceed Fourteen Million
8 Dollars and No Cents (\$14,000,000.00) absent an express written agreement between the Parties to
9 the contrary. Keenan's obligation to pay the Settlement Fund shall proceed as follows:

10 3.6.1.1. Initial Deposit: Within thirty (30) Days after the entry of the
11 Preliminary Approval Order, Keenan shall pay, or cause to be paid through its insurance carriers,
12 the sum of Five Hundred Thousand Dollars and No Cents (\$500,000.00) (the "Initial Deposit") to
13 the Settlement Administrator for the initial notice and administration expenses that will be incurred
14 to provide notice to the Class Members.

15 3.6.1.2. Periodic Payment(s): Following the entry of the Preliminary
16 Approval Order, and after the payment of the Initial Deposit, Keenan shall pay subsequent amounts
17 invoiced by the Settlement Administrator and approved by Class Counsel (the "Periodic
18 Payment(s)") within thirty (30) calendar days after the submission of an invoice by the Settlement
19 Administrator and the issuance of approval by Class Counsel, whichever is later.

20 3.6.1.3. Balance Payment: No later than thirty (30) Days after the
21 entry of the Final Approval Order, Keenan shall pay, or cause to be paid through its insurance
22 carriers, an amount equal to the Settlement Fund less the sum of the Initial Deposit and Periodic
23 Payment(s) (the "Balance Payment"; $\text{Balance Payment} = \text{Settlement Fund} - (\text{Initial Deposit} +$
24 $\text{Periodic Payment(s)})$) into an appropriate trust established and to be administered by the Settlement
25 Administrator pursuant to the terms of this Agreement.

26 3.6.2. Custody of Settlement Fund. The Settlement Fund shall be deposited in an
27 appropriate trust established by the Settlement Administrator pursuant to the terms and conditions
28 set forth below; but it shall remain subject to the jurisdiction of the Court until such time as the

entirety of the Settlement Fund is distributed pursuant to this Settlement Agreement or returned to those who paid the Settlement Fund in the event this Settlement Agreement is voided, terminated, or cancelled. In the event this Settlement Agreement is voided, terminated or cancelled due to lack of approval from the Court or any other reason: (i) the Class Representatives and Class Counsel shall have no obligation to repay any of the Administrative Expenses that have been paid or incurred in accordance with the terms and conditions of this Agreement; (ii) any amounts remaining in the Settlement Fund after payment of Administrative Expenses paid or incurred in accordance with the terms and conditions of this Agreement, including all interest earned on the Settlement Fund net of any Taxes, shall be returned to Keenan and (iii) no other person or entity shall have any further claim whatsoever to such amounts.

3.7. Non-Reversionary. This Settlement is not a reversionary settlement. As of the Effective Date, all rights of Keenan in or to the Settlement Fund shall be extinguished, except in the event this Settlement Agreement is voided, cancelled, or terminated, as described in Section 9 in this Agreement. In the event the Effective Date occurs, no portion of the Settlement Fund shall be returned to Keenan.

3.8. Use of the Settlement Fund. As further described in this Agreement, the Settlement Fund shall be used by the Settlement Administrator to pay for: (i) all Administrative Expenses; (ii) any Taxes; (iii) any Service Payments; (iv) any Fee and Expense Award; (v) Settlement Payments and/or Settlement Benefits, pursuant to the terms and conditions of this Agreement; and (viii) any other Settlement Benefits.

3.9. Financial Account. The Settlement Fund shall be an account established and administered by the Settlement Administrator, at a financial institution (that is not any of the Released Parties) recommended by the Settlement Administrator and approved by Class Counsel and Keenan, and shall be maintained as a qualified settlement fund pursuant to Treasury Regulation § 1.468 B-1, *et seq.*

3.10. Payment/Withdrawal Authorization. No amounts from the Settlement Fund may be withdrawn unless (i) expressly authorized by the Settlement Agreement and Class Counsel, or (ii) approved by the Court. The Parties, by agreement, may authorize the periodic payment of actual

1 reasonable Administrative Expenses from the Settlement Fund as such expenses are invoiced
2 without further order of the Court. The Settlement Administrator shall provide Class Counsel and
3 Keenan with notice of any withdrawal or other payment the Settlement Administrator proposes to
4 make from the Settlement Fund before the Effective Date at least 30 Business Days prior to making
5 such withdrawal or payment.

6 3.11. Payments to Class Members. The Settlement Administrator, subject to such
7 supervision and direction of the Court and/or Class Counsel as may be necessary or as
8 circumstances may require, shall administer and/or oversee distribution of the Settlement Fund to
9 Participating Settlement Class Members pursuant to this Agreement.

10 3.12. Treasury Regulations & Fund Investment. The Parties agree that the Settlement
11 Fund is intended to be maintained as a qualified settlement fund within the meaning of Treasury
12 Regulation § 1.468 B-1, and that the Settlement Administrator, within the meaning of Treasury
13 Regulation § 1.468 B-2(k)(3), shall be responsible for filing tax returns and any other tax reporting
14 for or in respect of the Settlement Fund and paying from the Settlement Fund any Taxes owed with
15 respect to the Settlement Fund. The Parties agree that the Settlement Fund shall be treated as a
16 qualified settlement fund from the earliest date possible and agree to any relation-back election
17 required to treat the Settlement Fund as a qualified settlement fund from the earliest date possible.
18 Any and all funds held in the Settlement Fund shall be held in an interest-bearing account insured
19 by the Federal Deposit Insurance Corporation (“FDIC”) at a financial institution determined by the
20 Settlement Administrator and approved by the Parties. Funds may be placed in a non-interest
21 bearing account as may be reasonably necessary during the check clearing process. The Settlement
22 Administrator shall provide an accounting of any and all funds in the Settlement Fund, including
23 any interest accrued thereon and payments made pursuant to this Agreement, upon request of any
24 of the Parties.

25 3.13. Taxes. All Taxes relating to the Settlement Fund shall be paid out of the Settlement
26 Fund, shall be considered an Administrative Expense, and shall be timely paid by the Settlement
27 Administrator without prior order of the Court. Further, the Settlement Fund shall indemnify and
28 hold harmless the Parties and their counsel for Taxes (including, without limitation, taxes payable

1 by reason of any such indemnification payments). The Parties and their respective counsel have
2 made no representation or warranty with respect to the tax treatment by any Class Representative
3 or any Settlement Class Member of any payment or transfer made pursuant to this Agreement or
4 derived from or made pursuant to the Settlement Fund. Each Class Representative and Settlement
5 Class Member shall be solely responsible for the federal, state, and local tax consequences to him,
6 her, or it of the receipt of funds from the Settlement Fund pursuant to this Agreement.

7 3.14. Limitation of Liability.

8 3.14.1. Keenan and Keenan's Counsel shall not have any responsibility for or
9 liability whatsoever with respect to (i) any act, omission or determination of Class Counsel, the
10 Settlement Administrator, or any of their respective designees or agents, in connection with the
11 administration of the Settlement or otherwise; (ii) the management, investment or distribution of
12 the Settlement Fund; (iii) the formulation, design or terms of the disbursement of the Settlement
13 Fund; (iv) the determination, administration, calculation or payment of any claims asserted against
14 the Settlement Fund; (v) any losses suffered by, or fluctuations in the value of the Settlement Fund;
15 or (vi) the payment or withholding of any Taxes, expenses and/or costs incurred in connection with
16 the taxation of the Settlement Fund or the filing of any returns.

17 3.14.2. Class Representatives and Class Counsel shall not have any liability
18 whatsoever with respect to (i) any act, omission or determination of the Settlement Administrator,
19 or any of their respective designees or agents, in connection with the administration of the
20 Settlement or otherwise; (ii) the management, investment or distribution of the Settlement Fund;
21 (iii) the formulation, design or terms of the disbursement of the Settlement Fund; (iv) the
22 determination, administration, calculation or payment of any claims asserted against the Settlement
23 Fund; (v) any losses suffered by or fluctuations in the value of the Settlement Fund; or (vi) the
24 payment or withholding of any Taxes, expenses and/or costs incurred in connection with the
25 taxation of the Settlement Fund or the filing of any returns.

26 3.14.3. The Settlement Administrator shall indemnify and hold Class Counsel,
27 the Settlement Class, Class Representatives, Keenan, and Keenan's Counsel harmless for (i) any
28 act or omission or determination of the Settlement Administrator, or any of Settlement

1 Administrator's designees or agents, in connection with the Notice Plan and the administration of
2 the Settlement; (ii) the management, investment or distribution of the Settlement Fund; (iii) the
3 formulation, design or terms of the disbursement of the Settlement Fund; (iv) the determination,
4 administration, calculation or payment of any claims asserted against the Settlement Fund; (v) any
5 losses suffered by, or fluctuations in the value of the Settlement Fund; or (vi) the payment or
6 withholding of any Taxes, expenses and/or costs incurred in connection with the taxation of the
7 Settlement Fund or the filing of any returns.

8 **4. SETTLEMENT BENEFITS**

9 4.1. Prospective Relief. Without admitting any liability, Keenan agrees, as a material
10 term of this Settlement, to implement and maintain certain cyber security, data and privacy
11 protocols, and deploy additional security measures for a period of 2 years from entry of the Final
12 Approval Order. Keenan has provided a declaration detailing such measures to Class Counsel prior
13 to the execution of this Agreement.

14 4.2. Settlement Payments. In addition, each Participating Settlement Class Member may
15 qualify for one of the following Settlement Payments:

16 4.2.1. Cash Fund Payment. Each Participating Class Member may submit a claim
17 to receive a Settlement Payment in cash. The amount of the pro rata Cash Fund Payment will be
18 calculated in accordance with Section 4.8 herein.

19 4.2.2. Documented Loss Payment. In the event a Participating Settlement Class
20 Member does not submit a Claim for a Cash Fund Payment, then that Participating Settlement Class
21 Member may submit a claim for a Settlement Payment of up to \$10,000 for reimbursement in the
22 form of a Documented Loss Payment. To receive a Documented Loss Payment, a Settlement Class
23 Member must choose to do so on their given Claim Form and submit to the Settlement
24 Administrator the following: (i) a valid Claim Form electing to receive the Documented Loss
25 Payment benefit; (ii) an attestation regarding any actual and unreimbursed Documented Loss; and
26 (iii) Reasonable Documentation that demonstrates the Documented Loss to be reimbursed pursuant
27 to the terms of the Settlement. Any Participating Settlement Class Member who submits a Claim
28 for a Documented Loss Payment that is rejected by the Settlement Administrator and that is not

1 cured will be considered as a Claim for a Cash Fund Payment.

2 4.3. Credit Monitoring and Insurance Services. In addition to electing one of the above
3 Settlement Payments in Sections 4.1.1 and 4.1.2, Settlement Class Members may elect to receive
4 three years of the Credit Monitoring and Insurance Services (“CMIS”). The CMIS will include
5 Credit Monitoring, Fraud Consultation, and Identity Theft Restoration services. A Participating
6 Settlement Class Member who chooses CMIS and already maintains a credit monitoring service
7 may elect to defer their enrollment in the CMIS for a period of 12 months for no additional charge.
8 The CMIS will include the following services to be provided to each Participating Settlement Class
9 Member who chooses the CMIS: (i) up to \$1 million dollars of identity theft insurance coverage
10 and (ii) three-bureau credit monitoring providing notice of changes to the Participating Settlement
11 Class Member’s credit profile.

12 4.4. Settlement Payment Methods. Participating Settlement Class Members will be
13 provided the option to receive any Settlement Payment due to them pursuant to the terms of this
14 Agreement via various digital methods, e.g., PayPal, Venmo, etc. In the event Participating
15 Settlement Class Members do not exercise this option, they will receive their Settlement Payment
16 via a physical check sent by U.S. Mail.

17 4.5. Deadline to File Claims. Claim Forms must be received on or before the Claims
18 Deadline.

19 4.6. The Settlement Administrator. The Settlement Administrator shall have the
20 authority to determine whether a Claim Form is substantially valid, timely, and complete, and to
21 what extent a Claim Form is electing to receive a Documented Loss Payment. To the extent the
22 Settlement Administrator determines a claim is deficient for a reason other than late posting, within
23 14 Days of making such a determination, the Settlement Administrator shall notify the Claimant of
24 the deficiencies, and that Claimant shall have 30 Days to cure the deficiencies and re-submit the
25 claim. No notification is required for late-posted claims. The Settlement Administrator shall
26 exercise reasonable discretion to determine whether the Claimant has cured the deficient claim. If
27 the Claimant fails to cure the deficiency, the claim shall stand as denied and the Class Member
28 shall be so notified.

1 4.7. Distribution of Settlement Payments.

2 4.7.1. As soon as practicable after the Effective Date, the Settlement Administrator
3 will first apply the Net Settlement Fund to pay for CMIS claimed by Participating Settlement Class
4 Members. If Net Settlement Funds remain after paying for the CMIS, the Settlement Administrator
5 will next use it to pay all Documented Loss Payments. The amount of the Net Settlement Fund
6 remaining after all Documented Loss Payments are applied and the payments for the Credit
7 Monitoring and Insurance Services are made shall be referred to as the "Post CM/DL Net
8 Settlement Fund".

9 4.7.2. The Settlement Administrator shall then use the Post CM/DL Net Settlement
10 Fund to make all pro rata Cash Fund Payments pursuant to Section 4.1.1 herein. The amount of
11 each Cash Fund Payment shall be calculated by dividing the Post CM/DL Net Settlement Fund by
12 double the number of valid claims submitted by California residents added to the number of valid
13 claims submitted by non-California residents to determine an "Initial Cash Amount" (i.e., Initial
14 Cash Amount = Post CM/DL Net Settlement Fund / ((2 * the total number of Approved Claims
15 submitted by California residents) + (the total number of Approved Claims submitted by non-
16 California residents))). The Cash Fund Payment amount to non-California residents with Approved
17 Claims will be equal to the Initial Cash Amount, and the Cash Fund Payment amount to California
18 residents with Approved Claims will equal twice the amount of the Initial Cash Amount.

19 4.7.3. In the event the Net Settlement Fund is insufficient to cover the payment for
20 the CMIS claimed by Participating Settlement Class Members, the duration of the CMIS coverage
21 will be reduced to exhaust the fund. In such an event, no Net Settlement Funds will be distributed
22 to Claimants for Approved Claims for Documented Loss Payments or for Cash Fund Payments. In
23 the event that the aggregate amount of all Documented Loss Payments and payments for the CMIS
24 exceeds the total amount of the Net Settlement Fund, then the value of the Documented Loss
25 Payment to be paid to each Participating Settlement Class Member shall be reduced, on a pro rata
26 basis, such that the aggregate value of all Documented Loss Payments and payments due for CMIS
27 does not exceed the Net Settlement Fund. In such an event, no Net Settlement Funds will be
28 distributed to Claimants with Approved Claims for Cash Fund Payments. All such determinations

1 shall be performed by the Settlement Administrator.

2 4.8. Deadline to Deposit or Cash Physical Checks. Settlement Class Members with
3 Approved Claims who receive a Documented Loss Payment or a Cash Fund Payment, by physical
4 check, shall have 60 Days following distribution to deposit or cash their cash benefit check.

5 4.9. Residual Funds. To the extent any monies remain in the Net Settlement Fund more
6 than 180 Days after the distribution of Settlement Payments to the Participating Settlement Class
7 Members, a subsequent Settlement Payment will be evenly made to all Participating Settlement
8 Class Members with Approved Claims who cashed or deposited the initial payment they received,
9 provided that the average check amount is equal to or greater than Three Dollars and No Cents
10 (\$3.00). The distribution of this remaining Net Settlement Fund shall continue until the average
11 check amount in a distribution is less than Three Dollars and No Cents (\$3.00). In the event that a
12 subsequent Settlement Payment made to Participating Settlement Class Members would exceed
13 Two Hundred and Fifty Dollars and No Cents (\$250.00), then the Parties will seek guidance from
14 the Court on how to disburse the remaining Net Settlement Fund. If the average check amount in a
15 distribution would be less than Three Dollars and No Cents (\$3.00), and if possible, the remaining
16 Net Settlement Fund will be used to extend the Credit Monitoring and Insurance Services to
17 Participating Settlement Class Members receiving that benefit for as long as possible. Any amount
18 remaining in the Net Settlement Fund after said extension is accomplished, if any, shall be
19 distributed to the Non-Profit Residual Recipient.

20 4.10. Returned Checks. For any Settlement Payment returned to the Settlement
21 Administrator as undeliverable (including, but not limited to, when the intended recipient is no
22 longer located at the address), the Settlement Administrator shall make reasonable efforts to find a
23 valid address and resend the Settlement Payment within 45 Days after the check is returned to the
24 Settlement Administrator as undeliverable. The Settlement Administrator shall only make one
25 attempt to resend a Settlement Payment.

26 4.11. Residue of Settlement Fund. No portion of the Settlement Fund shall revert or be
27 repaid to Keenan after the Effective Date. Any residual funds remaining in the Net Settlement Fund,
28 after all payments and distributions are made pursuant to the terms and conditions of this

1 Agreement, shall be distributed to the Non-Profit Residual Recipient, as approved by the Court,
2 pursuant to California Code of Civil Procedure §384.

3 **5. SETTLEMENT ADMINISTRATION**

4 5.1. Submission of Claims.

5 5.1.1. Submission of Electronic and Hard Copy Claims. Settlement Class Members
6 may submit electronically verified Claim Forms to the Settlement Administrator through the
7 Settlement Website, or may download Claim Forms to be filled out, signed, and submitted
8 physically by mail to the Settlement Administrator. Claim Forms must be submitted electronically
9 or postmarked during the Claims Period and on or before the Claims Deadline. The Settlement
10 Administrator shall reject any Claim Forms that are incomplete, inaccurate, or not timely received
11 and will provide Claimants notice and the ability to cure defective claims, unless otherwise noted
12 in this Agreement.

13 5.1.2. Review of Claim Forms. The Settlement Administrator will review Claim
14 Forms submitted by Settlement Class Members to determine whether they are eligible for a
15 Settlement Payment.

16 5.1.3. Settlement Administrator's Duties.

17 5.1.3.1. Cost Effective Claims Processing. The Settlement Administrator
18 shall, under the supervision of the Court and Class Counsel, administer the relief provided by this
19 Agreement by processing Claim Forms in a rational, responsive, cost effective and timely manner,
20 and calculate Settlement Payments in accordance with this Agreement.

21 5.1.3.2. Dissemination of Notices. The Settlement Administrator shall
22 disseminate the Settlement Class Notice as provided for in this Agreement.

23 5.1.3.3. Maintenance of Records. The Settlement Administrator shall
24 maintain reasonably detailed records of its activities under this Agreement. The Settlement
25 Administrator shall maintain all such records as required by applicable law in accordance with its
26 business practices and such records will be made available to Class Counsel and Defendant's
27 Counsel upon request. The Settlement Administrator shall also provide reports and other
28 information to the Court as the Court may require. Upon request, the Settlement Administrator shall

1 provide Class Counsel and Defendant's Counsel with information concerning Notice,
2 administration, and implementation of the Settlement. Without limiting the foregoing, the
3 Settlement Administrator also shall:

4 5.1.3.3.1. Receive Requests for Exclusion from Settlement Class
5 Members and provide Class Counsel and Defendant's Counsel a copy thereof no later than five
6 Days following the deadline for submission of the same. If the Settlement Administrator receives
7 any Requests for Exclusion or other requests from Settlement Class Members after expiration of
8 the Opt-Out Period, the Settlement Administrator shall promptly provide copies thereof to Class
9 Counsel and Defendant's Counsel;

10 5.1.3.3.2. Provide weekly reports to Class Counsel and
11 Defendant's Counsel that include, without limitation, reports regarding the number of Claim Forms
12 received, the number of Claim Forms approved by the Settlement Administrator, and the
13 categorization and description of Claim Forms rejected by the Settlement Administrator. The
14 Settlement Administrator shall also, as requested by Class Counsel or Defendant's Counsel and
15 from time to time, provide the amounts remaining in the Net Settlement Fund;

16 5.1.3.3.3. Make available for inspection by Class Counsel and
17 Defendant's Counsel the Claim Forms and any supporting documentation received by the
18 Settlement Administrator at any time upon reasonable notice;

19 5.1.3.3.4. Cooperate with any audit by Class Counsel or
20 Defendant's Counsel, who shall have the right but not the obligation to review, audit, and evaluate
21 all Claim Forms for accuracy, veracity, completeness, and compliance with the terms and
22 conditions of this Agreement.

23 5.2. Requests for Additional Information. In the exercise of its duties outlined in this
24 Agreement, the Settlement Administrator shall have the right to reasonably request additional
25 information from the Parties or any Participating Settlement Class Member.

26 5.3. Timing of Settlement Benefits. The Settlement Administrator shall comply with the
27 terms and conditions of this Agreement herein and shall timely make all Settlement Payments
28 contemplated in this Agreement within 45 Days after: (i) the Effective Date; or (ii) all Claim Forms

1 have been processed subject to the terms and conditions of this Agreement, whichever date is later.
2 Within 15 Days after the Effective Date, the Settlement Administrator will securely transmit to the
3 provider of the CMIS a list of Participating Settlement Class Members with Approved Claims
4 electing to receive the CMIS, including their names and email addresses. Within 45 Days of the
5 Effective Date, Participating Settlement Class Members will be sent the enrollment instructions for
6 the CMIS.

7 **6. SETTLEMENT CLASS NOTICE**

8 6.1. As set forth in this Section 6, Class Notice will be disseminated through a
9 combination of Summary Notice (substantially in the form of **Exhibit G** attached hereto), notice
10 through the Settlement Website, Long Form Notice (substantially in the form of **Exhibit E** attached
11 hereto), and Internet Advertisements (substantially in the form of **Exhibit C** attached hereto), as
12 approved by the Court in the Preliminary Approval Order, and described in this Agreement, and in
13 order to comply with all applicable laws, including but not limited to, California Code of Civil
14 Procedure Section 382 *et seq.*, the Due Process of the United States Constitution, and any other
15 applicable statute, law or rule.

16 6.2. Within five Days after the date of the Preliminary Approval Order, Keenan shall
17 provide the Settlement Class List to the Settlement Administrator.

18 6.3. Confidentiality. Any information relating to Settlement Class Members provided to
19 the Settlement Administrator pursuant to this Agreement shall be provided solely for the purpose
20 of providing Notice to the Class Members (as set forth herein) and allowing them to recover under
21 this Agreement; shall not be used by the Settlement Administrator for marketing; shall be kept in
22 strict confidence by the Parties, their counsel, and the Settlement Administrator; shall not be
23 disclosed to any third party; shall be destroyed after all distributions to Class Members have been
24 made; and shall not be used for any other purpose. Moreover, because the Settlement Class List
25 and information contained therein will be provided to the Settlement Administrator solely for
26 purposes of providing the Class Notice and Settlement Benefits and processing opt-out requests,
27 the Settlement Administrator will execute a confidentiality and non-disclosure agreement with
28 Class Counsel and Keenan's Counsel, and will ensure that any information provided to it by Class

Members, Class Counsel, Keenan, or Keenan's Counsel, will be secure and used solely for the purpose of effecting this Settlement. This provision is intended solely to protect the privacy of Settlement Class Members and against disclosure of their sensitive PII, and will not impede Class Counsel's ability to discharge its duties to the Settlement Class or the Settlement Administrator's ability to administer the Settlement.

6.4. Direct Notice. No later than the Notice Date, or such other time as may be ordered by the Court, the Settlement Administrator shall disseminate the Summary Notice to Settlement Class Members as follows:

6.4.1. For any Settlement Class Member for whom an email address is available, the Settlement Administrator shall email the Summary Notice to such Person;

6.4.2. For any Settlement Class Member for whom an email is not available, and to the extent a physical address is available, the Settlement Administrator will send the Summary Notice (in postcard form) by U.S. mail, postage prepaid;

6.4.3. If any notice that has been emailed is returned as undeliverable, the Settlement Administrator shall attempt two other email executions and if not successful, the Settlement Administrator will send the Summary Notice (in postcard form) by U.S. mail, postage prepaid, to the extent a current mailing address is available;

6.4.4. For any Summary Notice that has been mailed via U.S. mail and returned by the Postal Service as undeliverable, the Settlement Administrator shall re-mail the notice to the forwarding address, if any, provided by the Postal Service on the face of the returned mail; and

6.4.5. Neither the Parties nor the Settlement Administrator shall have any other obligation to re-mail individual notices that have been mailed as provided in this Paragraph 6.4.

6.4.6. In the event the Settlement Administrator transmits a Summary Notice via U.S. Mail, then the Settlement Administrator shall perform any further investigations deemed appropriate by the Settlement Administrator, including using the National Change of Address ("NCOA") database maintained by the United States Postal Service, in an attempt to identify current mailing addresses for individuals or entities whose names are provided by Keenan.

1 6.4.7. The Settlement Administrator shall complete the Direct Notice set forth in
2 this Paragraph 6.4 within 30 Days after the Notice Date.

3 6.5. Notice via Internet Campaign. The Settlement Administrator shall design and
4 conduct an Internet Advertisement publication notice program targeted to Class Members, which
5 must be approved by the Parties and the Court. This Internet Advertisement publication notice shall
6 commence after the Notice Date and shall continue through the Claims Deadline. The Internet
7 Advertisements are substantially in the form of **Exhibit C** attached hereto.

8 6.6. Fraud Prevention. The Settlement Administrator shall use reasonable and customary
9 fraud-prevention mechanisms to prevent (i) submission of Claim Forms by persons other than
10 potential Settlement Class Members, (ii) submission of more than one Claim Form per person, and
11 (iii) submission of Claim Forms seeking amounts to which the claimant is not entitled. In the event
12 a Claim Form is submitted without a unique class member identifier, the Settlement Administrator
13 shall employ reasonable efforts to ensure that the Claim is valid.

14 6.7. Settlement Website. Prior to any dissemination of the Summary Notice and prior to
15 the Notice Date, the Settlement Administrator shall cause the Settlement Website to be launched
16 on the Internet in accordance with this Agreement. The Settlement Administrator shall create the
17 Settlement Website. The Settlement Website shall contain information regarding how to submit
18 Claim Forms (including submitting Claims Forms electronically through the Settlement Website)
19 and relevant documents, including, but not limited to, the Long Form Notice, the Claim Form, this
20 Agreement, the Preliminary Approval Order entered by the Court, the operative complaint in the
21 Action, details about the Final Fairness Hearing, as well as the Final Approval Order and Judgement
22 when entered by the Court. The Settlement Website shall also include a toll-free telephone number
23 and mailing address through which Settlement Class Members may contact the Settlement
24 Administrator directly. The Settlement Website shall also make available the Long Form Notice in
25 Spanish. Any changes to the time or location of the Final Fairness Hearing promptly will be
26 indicated on the Settlement Website.

27 6.8. Contents of the Long Form Notice. The Long Form Notice shall, *inter alia*, (i)
28 specify the deadline for Settlement Class Members to opt-out, object to, or otherwise comment

1 upon the Settlement by day, month, and year, and describe the method by which Class Members
2 may object to, opt out from, or otherwise comment on the Settlement as set forth in this Agreement ;
3 (ii) contain instructions on how to submit a Claim Form; (iii) note the deadline for Settlement Class
4 Members to submit Claim Forms; and (iv) note the date, time and location of the Final Fairness
5 Hearing. A copy of the Long Form Notice is attached hereto as **Exhibit E**.

6 **7. OPT-OUT PROCEDURES**

7 7.1. Any Settlement Class Member may submit a Request for Exclusion from the
8 Settlement at any time during the Opt-Out Period. To be valid, the Request for Exclusion must be
9 postmarked or received by the Settlement Administrator on or before the end of the Opt-Out Period.
10 Requests for Exclusion must be submitted to the Settlement Administrator via US Mail. Requests
11 for Exclusion must be in writing and must identify the case name *Heath, et al. v. Keenan & Assoc.*,
12 24STCV03018 (Los Angeles Superior Court); state the name, address and telephone number of the
13 Settlement Class Members seeking exclusion; be physically signed by the Person(s) seeking
14 exclusion; and must also contain a statement to the effect that “I/We hereby request to be excluded
15 from the proposed Settlement Class in *Heath, et al. v. Keenan & Assoc.*, 24STCV03018 (Los
16 Angeles County Superior Court).” Any Person who elects to request exclusion from the Settlement
17 Class shall not (i) be bound by any orders or Judgment entered in the Action, (ii) be entitled to relief
18 under this Agreement, (iii) gain any rights by virtue of this Agreement, or (iv) be entitled to object
19 to any aspect of this Agreement. No Person may request to be excluded from the Settlement Class
20 through “mass” or “class” opt-outs.

21 7.2. If the total of Requests for Exclusion exceeds 1,500, the Settlement Agreement is
22 null and void per Section 9.

23 **8. OBJECTION AND COMMENT PROCEDURES**

24 8.1. Any Settlement Class Member may object or comment in support of or in opposition
25 to the Settlement and may do so in writing, in person, or through counsel, at his or her own expense,
26 at the Fairness Hearing.

27 8.1.1. Written Objections must be in writing and mailed to the Settlement Administrator.
28

1 8.1.2. All written Objections must include the following: (i) the case name *Heath, et al. v.*
2 *Keenan & Assoc.*, 24STCV03018 (Los Angeles Superior Court); (ii) the Settlement Class
3 Member's full name, current physical mailing address, and telephone number; (iii) a statement
4 indicating whether the objection applies only to the objector, a subset of the Settlement Class, or
5 the entire Settlement Class, (iii) the specific grounds for the objection; and (iv) all documents or
6 writings that the Settlement Class Member desires the Court to consider.

7 8.1.3. All written objections must be postmarked no later than the Objection Deadline.

8 8.1.4. Objections will not be filed with the Court.

9 8.1.5. The Settlement Administrator shall promptly forward any objection(s) it receives to
10 Class Counsel and Keenan's Counsel.

11 8.1.6. The Court will hear from any Class Member who attends the Final Fairness Hearing
12 and asks to speak, including those Class Members who have submitted an Objection.

13 8.1.7. Any Class Member who does not make their objection(s) in the manner and by the
14 date set forth in this Section 8 or at the Final Fairness Hearing shall be deemed to have waived
15 any objections and shall be forever barred from raising such objections.

16 **9. MODIFICATION OR TERMINATION OF THE AGREEMENT**

17 9.1. The terms and provisions of this Agreement may be amended, modified, or
18 expanded by written agreement of the Parties and approval of the Court; provided, however, that,
19 after entry of the Preliminary Approval Order, the Parties may, by written agreement, effect such
20 amendments, modifications, or expansions of this Agreement and its implementing documents
21 (including all exhibits hereto) without further notice to the Settlement Class or approval by the
22 Court if such changes are consistent with the Court's Preliminary Approval Order and do not
23 materially alter, reduce, or limit the rights of Settlement Class Members under this Agreement.

24 9.2. In the event this Agreement is terminated pursuant to any provision herein, then the
25 Settlement proposed herein shall become null and void (with the exception of Sections 3.6.2, 3.7,
26 9.2, and 9.3 herein) and shall have no legal effect and may never be mentioned at trial or in
27 dispositive or class motions or motion papers (except as necessary to explain the timing of the
28 procedural history of the Action), and the Parties will return to their respective positions existing

1 immediately before the execution of this Agreement.

2 9.3. Notwithstanding any provision of this Agreement, in the event this Agreement is
3 not approved by any court, or terminated for any reason, or the Settlement set forth in this
4 Agreement is declared null and void, or in the event that the Effective Date does not occur,
5 Settlement Class Members, Plaintiffs, and Class Counsel shall not in any way be responsible or
6 liable for any of the Administrative Expenses, or any expenses, including costs of notice and
7 administration associated with this Settlement or this Agreement, except that each Party shall bear
8 its own attorneys' fees and costs.

9 **10. SERVICE PAYMENTS**

10 10.1. Class Representatives may each seek a Service Payment, not to exceed Two
11 Thousand Dollars and No Cents (\$2,000.00) to be awarded and approved by the Court, and be paid
12 from the Settlement Fund. Any request for such award of Service Payments must be filed at least
13 21 Days prior to the Objection Deadline.

14 10.2. The Settlement Administrator shall pay the Service Payments approved by the Court
15 to the Class Representatives from the Settlement Fund. Such Service Payments shall be paid by the
16 Settlement Administrator, in the amount approved by the Court, within thirty-five (35) Days after
17 the Effective Date.

18 10.3. In the event the Court declines to approve, in whole or in part, the payment of
19 Service Payments in the amounts requested, the remaining provisions of this Agreement shall
20 remain in full force and effect. No decision by the Court, or modification or reversal or appeal of
21 any decision by the Court, concerning the amount of a Service Payment shall constitute grounds
22 for cancellation or termination of this Agreement.

23 10.4. The amount of Service Payment(s) to be applied for as set forth herein was
24 negotiated independently from the other terms of the Settlement. The entire negotiation was
25 supervised by the Honorable Jay C. Gandhi (Ret.) as mediator. Further, the allowance or
26 disallowance by the Court of an award of a Service Payment will be considered and determined by
27 the Court separately from the Court's consideration and determination of the fairness,
28 reasonableness, and adequacy of the Settlement.

1 **11. FEE AND EXPENSE AWARD**

2 11.1. Class Counsel may file a motion for an award of the Fee and Expense Award to be
3 paid from the Settlement Fund. Class Counsel may file a motion seeking an award of attorneys'
4 fees at least 21 Days prior to the Objection Deadline. The motion for the Fee and Expense Award
5 shall be posted on the Settlement Website. Prior to the disbursement or payment of the Fee and
6 Expense Award under this Agreement, Class Counsel shall provide to the Settlement Administrator
7 a properly completed and duly executed IRS Form W-9.

8 11.2. The Fee and Expense Award shall be paid by the Settlement Administrator, in the
9 amount approved by the Court, within thirty-five (35) Days after the earlier of (a) the Effective
10 Date or (b) the first date on which both the of the following conditions have occurred: (i) the entry
11 of the Court's order so awarding the Attorneys' Fees and Expenses, notwithstanding any appeal,
12 and (ii) service of a fully executed Stipulated Undertaking and Order by Class Counsel,
13 substantially in the form attached hereto as **Exhibit H** (the Stipulated Undertaking and Order shall
14 provide that Class Counsel are liable to the Settlement Fund for the repayment of their share of
15 Attorneys' Fees and Expenses, without interest, should the Court's order so awarding Attorneys'
16 Fees and Expenses be reversed or the fee order reversed or reduced on appeal).

17 11.3. In the event (a) the Final Approval Order and Judgment (or the order awarding
18 Attorneys' Fees and Expenses) is reversed, vacated, modified, and/or remanded for further
19 proceedings or otherwise disposed of in any manner other than one resulting in an affirmance, (b)
20 Class Counsel have served a fully executed Stipulated Undertaking and Order, and (c) Class
21 Counsel have been paid the Attorneys' Fees and Expenses by the Settlement Administrator, then
22 Class Counsel (or, as applicable, any and all successor(s) or assigns of their respective firms) shall,
23 within 15 Business Days of such event, (i) repay to Defendant, as applicable, the full amount of the
24 Attorneys' Fees and Expenses paid to them (without interest), or (ii) repay to Defendant the amount
25 by which the award of Attorneys' Fees and Expenses has been reduced, without interest. Class
26 Counsel (or, as applicable, any and all successor(s) or assigns of their firm) shall be liable for
27 repayment of their share of the Attorneys' Fees and Expenses.

1 11.4. Unless otherwise ordered by the Court, Class Counsel shall have the sole and
2 absolute discretion to allocate any approved Fee and Expense Award. Keenan shall have no liability
3 or other responsibility for allocation of any such attorneys' fees and costs.

4 11.5. The amount of the Fee and Expense Award to be applied for by Class Counsel was
5 negotiated independently from the other terms of the class Settlement. The Parties negotiated the
6 Fee and Expense Award to be sought by Class Counsel only after reaching an agreement upon the
7 relief provided to the Class. The entire negotiation was supervised by the Honorable Jay C. Gandhi
8 (Ret.) as mediator.

9 11.6. The Settlement is not conditioned upon the Court's approval of the Fee and Expense
10 Award or the Service Payments.

11 **12. JUDGMENT**

12 12.1. This Agreement is subject to and conditioned upon the issuance by the Court of the
13 Judgment, which will grant final approval of this Agreement and among other things shall:

14 12.1.1. Decree that neither the Judgment nor this Agreement constitutes an
15 admission by Keenan of any liability or wrongdoing whatsoever;

16 12.1.2. Bar and enjoin all Releasing Parties from asserting against any of the
17 Released Parties any and all Released Claims;

18 12.1.3. Release each Released Party from any and all Released Claims;

19 12.1.4. Determine that this Agreement is entered into in good faith and
20 represents a fair, reasonable, and adequate settlement that is in the best interests of the members of
21 the Settlement Class; and

22 12.1.5. Preserve the Court's continuing and exclusive jurisdiction over the
23 Parties to this Agreement, including Keenan and all Participating Settlement Class Members, to
24 administer, supervise, construe, and enforce this Agreement in accordance with its terms for the
25 mutual benefit of the Parties, but without affecting the finality of the Judgment.

1 **13. REPRESENTATIONS AND WARRANTIES**

2 13.1. In addition to the representations and warranties set forth in Section 2 (“Recitals”)
3 of this Agreement, each signatory to this Agreement represents and warrants (i) that he, she, they,
4 or it has all requisite power and authority to execute, deliver and perform this Agreement and to
5 consummate the transactions contemplated herein, (ii) that the execution, delivery and performance
6 of this Agreement and the consummation by it of the actions contemplated herein have been duly
7 authorized by all necessary corporate action on the part of each signatory, and (iii) that this
8 Agreement has been duly and validly executed and delivered by each signatory, and constitutes its
9 legal, valid and binding obligation.

10 13.2. Keenan has provided to Plaintiffs the Settlement Class List containing the list of
11 Persons to whom Keenan provided notice of the Data Security Incident, and represents and warrants
12 such information is true and correct to the best of Keenan’s knowledge.

13 **14. NO ADMISSION OF LIABILITY OR WRONGDOING**

14 14.1. This Agreement, whether consummated, and any negotiations, proceedings or
15 agreements relating to this Agreement, and any matters arising in connection with settlement
16 negotiations, proceedings, or agreements:

17 14.1.1. Shall not be admissible in any action or proceeding for any reason, other
18 than an action to enforce the terms hereof;

19 14.1.2. Shall not be described as, construed as, offered or received against the
20 Released Parties as evidence of and/or deemed to be evidence of any presumption, concession, or
21 admission by any Released Party of the truth of any fact alleged by Plaintiffs; the validity of any
22 claim that has been or could have been asserted in the Action or in any litigation; the deficiency of
23 any defense that has been or could have been asserted in the Action or in any litigation; or any
24 liability, negligence, fault, or wrongdoing of any of the Released Parties; and

25 14.1.3. Shall not be described as or construed against the Released Parties,
26 Plaintiffs, or any Settlement Class Members as an admission or concession that the consideration
27 to be given hereunder represents the amount which could be or would have been awarded to said
28 Plaintiffs or the members of the Settlement Class after trial.

1 **15. MISCELLANEOUS PROVISIONS**

2 15.1. Entire Agreement. This Agreement, including all exhibits hereto, shall constitute the
3 entire Agreement among the Parties regarding the subject matter hereof and shall supersede any
4 previous agreements, representations, communications and understandings among the Parties. Each
5 of the Parties to this Agreement acknowledges that no other Party to this Agreement, nor any agent
6 or attorney of any such party, has made any promise, representation, or warranty, express or
7 implied, not contained in this Agreement to induce either party to execute this Agreement. Neither
8 Party is relying on the other Party or their agents or attorneys and rather each Party decided to
9 resolve the dispute in their own independent determination and judgment. This Agreement may not
10 be changed, modified, or amended except in writing signed by all Parties, subject to Court approval.
11 The Parties contemplate that, subject to Court approval or without such approval where legally
12 permissible, the exhibits to this Agreement may be modified by subsequent agreement of counsel
13 for the Parties prior to dissemination of the Settlement Class Notice to the Settlement Class.

14 15.2. Best Efforts. The Parties agree that they will make all reasonable efforts needed to
15 reach the Effective Date and fulfill their obligations under this Agreement.

16 15.3. Governing Law. This Agreement shall be construed under and governed by the laws
17 of the State of California, applied without regard to laws applicable to choice of law.

18 15.4. Execution by Counterparts. This Agreement may be executed by the Parties in one
19 or more counterparts, each of which shall be deemed an original but all of which together shall
20 constitute one and the same instrument. Facsimile signatures or signatures sent via email shall be
21 treated as original signatures and shall be binding.

22 15.5. Notices. Any notice, instruction, application for Court approval, or application for
23 Court orders sought in connection with this Agreement or other document to be given by any Party
24 to any other Party shall be in writing and delivered personally or sent by registered or certified mail,
25 postage prepaid, if to Keenan to Keenan's Counsel, or if to Plaintiffs or the Settlement Class to
26 Class Counsel, or to other recipients as the Court may specify. All notices to the Parties or counsel
27 required herein shall be made in writing and communicated by mail and email to the following:
28

If to Plaintiffs or Class Counsel:	If to Keenan or Keenan's Counsel:
<p> Ryan Clarkson Yana Hart CLARKSON LAW FIRM, P.C. 22525 Pacific Coast Highway Malibu, CA 90265 rclarkson@clarksonlawfirm.com yhart@clarksonlawfirm.com </p> <p> Tina Wolfson Robert Ahdoot Andrew W. Ferich AHDOOT & WOLFSON, PC 2600 West Olive Avenue, Suite 500 Burbank, CA 91505 twolfson@ahdootwolfson.com rahdoot@ahdootwolfson.com aferich@ahdootwolfson.com </p> <p> Benjamin F. Johns Samantha E. Holbrook SHUB & JOHNS LLC Four Tower Bridge 200 Barr Harbor Dr., Ste. 400 Conshohocken, PA 19428 bjohns@shublawayers.com sholbrook@shublawayers.com </p> <p> M. Anderson Berry Gregory Haroutunian CLAYEO C. ARNOLD, APC 865 Howe Avenue, Sacramento, CA 95825 aberry@justice4you.com gharoutunian@justice4you.com </p>	<p> John A. Vogt JONES DAY 3161 Michaelson Drive Suite 800 Irvine, CA 92612 javogt@jonesday.com </p>

15.6. Binding Effect. This Agreement shall be binding upon and inure to the benefit of the heirs, successors, assigns, executors, and legal representatives of each of the Parties hereto.

15.7. Construction. For the purpose of construing or interpreting this Agreement, the Parties agree that this Agreement is to be deemed to have been drafted equally by all Parties hereto and shall not be construed strictly for or against any Party.

15.8. Severability. The waiver or breach by one Party of any provision of this Agreement shall not be deemed a waiver or breach of any other provision of this Agreement.

1 15.9. Integration of Exhibits. The exhibits to this Agreement and any exhibits thereto are
2 an integral and material part of the Settlement and are hereby incorporated and made a part of the
3 Agreement.

4 15.10. Headings. The headings contained in this Agreement are for reference purposes only
5 and shall not affect in any way the meaning or interpretation of this Agreement.

6 15.11. Taxability. Keenan does not make and has not made any representations regarding
7 the taxability of any Settlement Benefit, Fee and Expense Award, and/or any other payments made
8 pursuant to this Agreement. Class Representatives and Class Counsel (on behalf of themselves and
9 the Settlement Class Members) represent that that they have not relied upon any representation of
10 any of Keenan or its attorneys or the Settlement Administrator on the subject of taxability of any
11 consideration provided under this Agreement. Class Representatives and Class Counsel (on behalf
12 of themselves and the Settlement Class Members) understand and expressly agree that any income
13 or other tax, including any interest, penalties or other payment obligations ultimately determined
14 to be payable from or with respect to any Settlement Benefit, Fee and Expense Award, and/or any
15 other payments made pursuant to this Agreement, as well as any state or federal reporting
16 obligations imposed on them arising therefrom or attributable thereto, shall not be Keenan's
17 responsibility.

18 15.12. The Parties have spent substantial time negotiating this Settlement, during a portion
19 of which it was impracticable, impossible, or futile to bring the Litigation to trial. Accordingly, in
20 the event that this Agreement is not approved by the Court or the Settlement is terminated or fails
21 to become effective in accordance with its terms, including, but not limited to, termination of the
22 Agreement pursuant under the provisions herein, the time period from March 1, 2024 to the date
23 on which this Agreement is terminated or fails to become effective, if any, (i) shall not count for
24 the purpose of calculating the five-year period to bring the Litigation to trial under California Code
25 of Civil Procedure Section 583.310, and (ii) shall not be used as the basis for any claims, rights or
26 defenses, except those relating to the foregoing provision relating to California Code of Civil
27 Procedure § 583.310, based on the passage of time during such period. Notwithstanding the
28 foregoing, in the event that this Agreement is not approved by the Court or the Settlement is

terminated or fails to become effective in accordance with its terms, the Plaintiffs do not waive the right to seek further time to bring this Litigation to trial by operation of law, or pursuant to California Code of Civil Procedure Section 583.310.

15.13. Counterparts. The Settlement Agreement may be executed in one or more counterparts. All executed counterparts and each of them shall be deemed to be one and the same instrument. A complete set of original executed counterparts shall be filed with the Court.

15.14. Deadlines. If any of the dates or deadlines specified herein falls on a weekend or legal holiday, the applicable date or deadline shall fall on the next Business Day. The Parties reserve the right to agree to any reasonable extensions of time that might be necessary to carry out any of the provisions of this Agreement.


15.15. Dollar Amounts. All dollar amounts are in United States dollars, unless otherwise expressly stated.

1 **IN WITNESS WHEREOF**, each of the Parties hereto has caused this Agreement to be
2 executed on its behalf by its duly authorized counsel of record, all as of the day set forth below:

3 **DEFENDANT:**

4 Dated: November 22, 2024

KEENAN & ASSOCIATES

6 By: 
7 Its: JOHN STEPHENS, PRESIDENT

8 **PLAINTIFFS:**

9
10
11 Dated: November __, 2024

By: _____
Heather Heath

12
13
14 Dated: November __, 2024

By: _____
Brian Heinz

15
16
17 Dated: November __, 2024

By: _____
Matthew Rutledge

18
19
20 Dated: November __, 2024

By: _____
Robert Ruma

21
22
23 Dated: November __, 2024

By: _____
Andrea Hans

1 **IN WITNESS WHEREOF**, each of the Parties hereto has caused this Agreement to be
2 executed on its behalf by its duly authorized counsel of record, all as of the day set forth below:

3 **DEFENDANT:**


4 Dated: November __, 2024

KEENAN & ASSOCIATES


6 By: _____
7 Its: _____

8 **PLAINTIFFS:**

9
10
11 Dated: November 12, 2024

By: 
Heather Heath

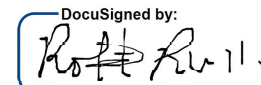
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13 **11/22/2024**
14 Dated: November __, 2024

By: 
Brian Heinz (Nov 22, 2024 12:58 PST)
Brian Heinz


15
16 Dated: November ⁰⁸, 2024

By: 
Matthew Rutledge

17
18
19 Dated: November __, 2024
20 11/8/2024

By: 
Robert Ruma

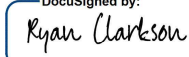
21
22 Dated: November 12, 2024

By: 
Andrea Hans


1 **PLAINTIFFS' COUNSEL:**

2
3 Dated: November ___, 2024


CLARKSON LAW FIRM, P.C.

4 By: 
5 Ryan Clarkson
6 Yana Hart


7 Dated: November ___, 2024
8 12 / 23 / 2024

AHDOOT & WOLFSON, PC
9 By: 
10 Tina Wolfson
11 Andrew W. Ferich

12 Dated: November 08, 2024

SHUB & JOHNS LLC
13 By: 
14 Benjamin F. Johns
15 Samantha E. Holbrook


16 Dated: November 08, 2024

CLAYEO C. ARNOLD, APC
17 By: 
18 M. Anderson Berry
19 Gregory Haroutunian

Attorneys for Plaintiffs and the Putative Class

20
21 **DEFENDANT'S COUNSEL:**

22 Dated: November ___, 2024
23 12 / 23 / 2024

JONES DAY
24 By: 
25 John A. Vogt

Attorneys for Defendant Keenan & Associates

EXHIBIT A

CLAIM FOR KEENAN DATA BREACH SETTLEMENT BENEFITS

Heath, et al. v. Keenan & Associates, No. 24STCV03018 (Los Angeles Cty., CA)

USE THIS FORM TO MAKE A CLAIM FOR CREDIT MONITORING AND INSURANCE SERVICES AND FOR EITHER (1) A DOCUMENTED LOSS PAYMENT OR (2) A PRO RATA CASH FUND PAYMENT

*Para una notificación en Español, llamar 1-XXX-XXX-XXXX o visitar nuestro sitio web
www.keenanbreachsettlement.com*

The DEADLINE to submit this Claim Form is: [XXXXXX XX, 202X]

I. WHAT YOU MAY GET - GENERAL INSTRUCTIONS

If you are a United States resident who was notified by Keenan that your Personally Identifying Information was or may have been affected in the Data Security Incident impacting Keenan, you are a Class Member.

As a Class Member, you are eligible to make a claim for **one** of the following Settlement Payment options:

1. A pro rata Cash Fund Payment (equal payment paid to all Participating Settlement Class Members who submit a timely and valid a Claim Form) to be paid for from the Net Settlement Fund, the amount of which will depend on the number of Class Members who participate in the Settlement and who elect CMIS or Documented Losses.

OR

2. Up to a \$10,000 cash payment for reimbursement of Documented Losses incurred on or after August 21, 2023 that are more likely than not traceable to the Data Security Incident and that have not otherwise been reimbursed through insurance. For this option, you **must** submit your Claim Form with an attestation regarding any actual and unreimbursed Documented Loss(es), as well as Reasonable Documentation showing that you actually incurred unreimbursed losses on or after August 21, 2023, that were more likely than not incurred as a result of the Data Security Incident. If you provide incomplete or inaccurate information, your claim may be denied.

In addition to the Cash Payment or Documented Loss Payment, all Class Members are also eligible to elect the Credit Monitoring and Insurance Services ("CMIS") Settlement Benefit. The CMIS Settlement Benefit will include the following services, among others: (i) up to \$1,000,000 of identity theft insurance coverage; and (ii) three years (i.e., 36 months) of three-bureau credit monitoring providing, among other things, notice of changes to the Participating Settlement Class Member's credit profile. All Settlement Class Members who submit a claim for a Settlement Payment will also be eligible to receive the CMIS Settlement Benefit and will receive an enrollment code that can be used to enroll in the service. If you elect CMIS and already maintain a credit monitoring service, you may elect to defer your enrollment in the CMIS for a period of 12 months for no additional charge.

Cash Settlement Payment amounts may be reduced or increased pro rata (equal share) depending on how many Class Members submit claims. Complete information about the Settlement and its benefits are available at www.keenanbreachsettlement.com.

This Claim Form must be submitted online at www.keenanbreachsettlement.com or completed and mailed to the address below. Please type or legibly print all requested information, in blue or black ink. Mail your completed Claim Form, including any supporting documentation, by U.S. mail to:

Questions? Visit keenanbreachsettlement.com or call 1-XXX-XXX-XXXX

THIS CLAIM FORM MUST BE SUBMITTED OR POSTMARKED BY [XXXXXX XX, 202X] IN ORDER TO BE TIMELY AND VALID

Keenan & Associates Data
Breach Settlement
c/o CPT Group, Inc.
50 Corporate Park
Irvine, CA 92606
keenanbreachsettlement@cptgroup.com

Please note: the Settlement Administrator may contact you to request additional documents to process your claim. Your cash benefit may decrease depending on the number and amount of claims submitted.

II. CLAIMANT INFORMATION

The Settlement Administrator will use this information for all communications regarding this Claim Form and the Settlement. If this information changes prior to distribution of cash Settlement Payments and CMIS, you must notify the Settlement Administrator in writing at the address above.

[illegible][illegible][illegible]

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[illegible][illegible][illegible]

III. CREDIT MONITORING AND INSURANCE SERVICES

All Class Members are eligible to elect the CMIS Settlement Benefit.

☐ If you wish to receive CMIS, you must check off the box for this section. Submitting this Claim Form will not automatically enroll you into the CMIS Settlement Benefit. To enroll, you must follow the instructions sent to your email address after the Settlement is approved and becomes final (the “Effective Date”).

IV. REQUEST FOR CASH PAYMENT OR REIMBURSEMENT

In addition to CMIS, you may select **one** of the following options:

☐ **Option 1. Cash Fund Payment.** If do not have Documented Losses or Reasonable Documentation to support Documented Losses resulting from the Data Security Incident, or otherwise prefer to receive a pro rata cash payment, select this option by checking off the box. You do not need to submit any additional documents if you are electing this category, so long as you provide your Unique ID Number that was provided on your mailed Notice. A check will be mailed to the address you provided in Section II, above, as long as the Net Settlement Fund is not depleted by the claims for CMIS and Documented Loss payments.

If you would prefer to receive your Settlement Payment via Paypal or Venmo, or via a digital gift card, please provide the email address associated with your PayPal or Venmo account or the email address to which you would like your digital gift card Settlement Payment sent, below **[OPTIONAL]**:

[illegible]

*If you select this option, you **cannot** also elect Option 2, Reimbursement for Documented Losses, below.*

☐ **Option 2. Reimbursement for Documented Losses.** Please check off the box for this section if you are electing to seek reimbursement of up to \$10,000 of Documented Losses you incurred that are more likely than not traceable to the Keenan Data Security Incident and have not otherwise been reimbursed. Documented Losses include unreimbursed losses and consequential expenses that are more likely than not related to the Data Security Incident and incurred on or after August 21, 2023.

IMPORTANT: If you selected **Option 2, above, Reimbursement for Documented Losses**, as your cash Settlement Payment option, **you must** (i) fill out the information below and/or on a separate sheet submitted with this Claim Form; (ii) sign the Attestation at the end of this Claim Form; and (iii) include Reasonable Documentation supporting each claimed cost along with this Claim Form. To be valid and honored under the Settlement, Documented Losses must be deemed more likely than not traceable to the Keenan Data Security Incident by the Settlement Administrator based on the documentation you provide. **Failure to meet the requirements of this section may result in your claim for a Documented Loss reimbursement being rejected by the Settlement Administrator.** If you do not submit Reasonable Documentation supporting a Documented Loss Payment claim, or your claim for a Documented Loss Payment is rejected by the Settlement Administrator for any reason and you do not cure the defect, ***your claim will be considered for a Cash Fund Payment.***

If you are not requesting Reimbursement Documented Losses, stop here, and submit the filled-out form. For Reimbursement for Documented Losses, you must fill out the remainder of the form, and submit documentation supporting each claimed cost.

V. DOCUMENTED LOSSES

Only fill this out if you selected Reimbursement for Documented Losses. You do not need to fill this table if you are requesting a Cash Fund Payment.

Cost Type (Fill all that apply)	Approximate Amount and Date of Loss	Description of Supporting Reasonable Documentation (Identify what you are attaching and why)
Professional fees incurred in connection with identity theft or falsified tax returns. <i>Examples: Receipt for hiring service to assist you in addressing identity theft; Accountant bill for re-filing tax return.</i>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> • <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> MM DD YYYY	<hr/> <hr/> <hr/> <hr/> <hr/>
Lost interest or other damages resulting from a delayed state and/or federal tax refund in connection with fraudulent tax return filing. <i>Examples: Letter from IRS or state about tax fraud in your name; Documents reflecting length of time you waited to receive your tax refund and the amount.</i>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> • <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> MM DD YYYY	<hr/> <hr/> <hr/> <hr/> <hr/>
Credit freeze <i>Examples: Notices or account statements reflecting payment for a credit freeze.</i>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> • <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> MM DD YYYY	<hr/> <hr/> <hr/> <hr/> <hr/>
Credit monitoring that was ordered after August 21, 2023 through the date on which the Credit Monitoring and Insurance Services become available through this Settlement. <i>Example: Receipts or account statements reflecting purchases made for Credit Monitoring and Insurance Services.</i>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> • <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> MM DD YYYY	<hr/> <hr/> <hr/> <hr/> <hr/>

Questions? Visit [keenanbreachsettlement.com](https://www.keenanbreachsettlement.com) or call 1-XXX-XXX-XXXX

THIS CLAIM FORM MUST BE SUBMITTED OR POSTMARKED BY XXXXXX XX, 202X IN ORDER TO BE TIMELY AND VALID

Miscellaneous expenses such as notary, fax, postage, copying, mileage, and long-distance telephone charges

Example: Phone bills, gas receipts, postage receipts; detailed list of locations to which you traveled (e.g., police station, IRS office), indication of why you traveled there (e.g., police report or letter from IRS re: falsified tax return) and number of miles you traveled to remediate or address issues related to the Keenan Data Breach.

\$ •

- -
MM DD YYYY

Other (provide detailed description)

Please provide detailed description in the space to the right or in a separate document submitted with this Claim Form.

\$ •

- -
MM DD YYYY

Attestation (Required for Documented Loss Payment Claims Only)

I, _____, declare that I suffered the Documented Losses
[Name]

claimed above.

I also attest that the Documented Losses claimed above are accurate and were not otherwise reimbursable by insurance.

I declare under penalty of perjury under the laws of California and of the United States of America that the foregoing is true and correct.

Executed on _____, in _____, _____.
[Date] [City] [State]

Signature

Date:

MM

DD

YYYY

Questions? Visit keenanbreachsettlement.com or call 1-XXX-XXX-XXXX

THIS CLAIM FORM MUST BE SUBMITTED OR POSTMARKED BY XXXXXX XX, 202X IN ORDER TO BE TIMELY AND VALID

EXHIBIT B

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SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF LOS ANGELES

HEATHER HEATH, *et. al.*, individually and on
behalf of all others similarly situated,

Plaintiffs,

v.

KEENAN & ASSOCIATES, and Does 1 Through
20, Inclusive,

Defendant.

Case No. 24STCV03018
(Assigned to Hon. Kenneth R. Freeman)
**[PROPOSED] ORDER GRANTING
FINAL APPROVAL OF CLASS
ACTION SETTLEMENT**

1 Plaintiffs Heather Heath, Brian Heinz, Robert Ruma, Matthew Rutledge, and Andrea Hans
2 (“Class Representatives” or “Plaintiffs”), and Defendant Keenan & Associates (“Keenan” or
3 “Defendant”) (collectively, the “Parties”) have entered into a Class Action Settlement Agreement
4 and Release dated November_____, 2024, and all exhibits thereto (the “Settlement” or
5 “Settlement Agreement”);

6 On _____, 2024, the Court entered the Preliminary Approval Order that, among other
7 things, (a) preliminarily certified, pursuant to the California Code of Civil Procedure section 382,
8 a class for purposes of Settlement only; (b) appointed named Plaintiffs Heather Heath, Brian
9 Heinz, Robert Ruma, Matthew Rutledge and Andrea Hans as Class Representatives for settlement
10 purposes; (c) appointed as Class Counsel Ryan Clarkson and Yana Hart of Clarkson Law Firm;
11 Tina Wolfson and Andrew W. Ferich of Ahdoot & Wolfson PC; Benjamin F. Johns and Samantha
12 E. Holbrook of Shub & Johns LLC; and M. Anderson Berry and Gregory Haroutunian of Clayeo
13 C. Arnold, A Professional Corporation; (d) preliminarily found that the Settlement is fair,
14 reasonable, adequate, and the product of substantial investigation, litigation, and arm’s length
15 negotiations; (e) appointed CPT Group, Inc. (“CPT”) as the Settlement Administrator to provide
16 notice to the Settlement Class, as selected and agreed upon by the Parties; (f) approved the claims,
17 opt out, and objection procedures provided for in the Settlement Agreement; and (g) scheduled a
18 Final Fairness Hearing for _____, 2025, in Department 14 of the Los Angeles County Superior
19 Court;

20 The notice to the Settlement Class ordered by the Court in its Preliminary Approval Order
21 has been provided, as attested to in the declaration of Julie N. Green of CPT;

22 A Fairness Hearing was held on whether the Settlement is fair, reasonable, adequate, and
23 in the best interests of the Settlement Class, such hearing date being due and the appropriate
24 number of days after such notice to the Settlement Class;

25 The Court duly considered the motion for final approval of the Settlement Agreement,
26 Class Counsel’s application for a Fee and Expense Award, and the request for Class Representative
27 Service Payments; and
28

1 The Court has considered the Settlement Agreement and exhibits thereto, the submissions
2 of the Parties, the record in the Action, the evidence presented, the arguments presented by counsel,
3 and any objections made by Settlement Class Members. Good cause appearing, **IT IS HEREBY**
4 **ORDERED AND DECREED AS FOLLOWS:**

5 1. The Court has jurisdiction over the subject matter of the Action and all matters
6 relating to the Settlement, as well as personal jurisdiction over all the Parties and each of the
7 Settlement Class Members who did not timely exclude themselves from the Settlement Class.

8 2. The Court adopts, incorporates, and makes a part hereof: (a) the Class Action
9 Settlement Agreement and Release executed by the Parties on November_____, 2024, including
10 the definitions in the Settlement Agreement and (b) the notices and exhibits thereto, respectively,
11 all of which were filed with the Court on _____, 2024. All capitalized terms used in this Order
12 have the same meaning as set forth in the Settlement Agreement, unless otherwise defined herein.

13 3. Certification of the Settlement Class for Purposes of Settlement. The Court
14 certifies, solely for purposes of effectuating the Settlement, this Action as a class action on behalf
15 of a Settlement Class defined as: All residents of the United States who were notified by Keenan
16 that their PII was or may have been affected in the Data Security Incident. Excluded from the
17 Settlement Class are: (1) the Judges presiding over the Action, Class Counsel, and members of
18 their families; (2) Keenan and its subsidiaries, parent companies, successors, predecessors, and
19 any entity in which Keenan or its parents, have a controlling interest, and its current or former
20 officers and directors; (3) Persons who properly execute and submit a Request for Exclusion prior
21 to the expiration of the Opt-Out Period; and (4) the successors or assigns of any such excluded
22 Persons.

23 4. Class Representatives. Plaintiffs Heather Heath, Brian Heinz, Robert Ruma,
24 Matthew Rutledge and Andrea Hans are hereby appointed, for settlement purposes only, as Class
25 Representatives for the Settlement Class.

26 5. Class Counsel. Ryan Clarkson and Yana Hart of Clarkson Law Firm; Tina Wolfson
27 and Andrew W. Ferich of Ahdoot & Wolfson PC; Benjamin F. Johns and Samantha E. Holbrook
28 of Shub & Johns LLC; and M. Anderson Berry and Gregory Haroutunian of Clayeo C. Arnold, A

Professional Corporation are hereby appointed, for settlement purposes only, as counsel for the Settlement Class.

6. This Court finds and concludes, solely for purposes of settlement, that:

a. the Settlement Class Members are so numerous that joinder of all Settlement Class Members in the Action is impracticable;

b. the Settlement Class has been objectively defined and can and has been ascertained from Keenan's business records;

c. there are questions of law and fact common to the Settlement Class which, as to the Settlement and related matters, predominate over any individual questions;

d. the Class Representatives' claims are typical of the Settlement Class Members' claims;

e. the Class Representatives and Class Counsel can and have fairly and adequately represented and protected the Settlement Class Members' interests;

f. a class action is superior to other available methods for the fair and efficient adjudication of the controversy considering: (1) the interests the Settlement Class Members in individually controlling the prosecution of separate actions; (2) the extent and nature of any litigation concerning the controversy already commenced by the Settlement Class Members; (3) the desirability or undesirability of concentrating the litigation of these claims in this particular forum; and (4) the difficulties likely to be encountered in the management of this class action.

7. Settlement Class Notice. The Court finds that dissemination of the notices attached to the Settlement Agreement: (a) was implemented in accordance with the Preliminary Approval Order; (b) constituted the best notice practicable under the circumstances; (c) constituted notice that was reasonably calculated, under the circumstances, to apprise Settlement Class Members of (i) the pendency of the Action; (ii) their right to submit a claim (where applicable) by submitting a Claim Form; (iii) their right to exclude themselves from the Settlement Class; (iv) the effect of the proposed Settlement (including the Releases to be provided thereunder); (v) Class Counsel's motion for an award of attorneys' fees and expenses and for Service Payments to the Class Representatives; (vi) their right to object to any aspect of the Settlement, and/or Class Counsel's

1 motion for attorneys' fees and expenses and Service Payments to the Class Representatives; and
2 (vii) their right to appear at the Final Fairness Hearing; (d) constituted due, adequate, and sufficient
3 notice to all Persons entitled to receive notice of the proposed Settlement; and (e) satisfied the
4 requirements of California Code of Civil Procedure section 382, California Civil Code section
5 1781, California Rules of Court 3.766 and 3.769, the California and United States Constitutions,
6 and any other applicable law. The notice fully satisfied the requirements of due process.

7 8. Requests for Exclusion. [The persons listed on **Exhibit 1**, attached hereto and
8 incorporated by this reference, submitted timely and proper Requests for Exclusion, are excluded
9 from the Settlement Class, and are not bound by the terms of the Settlement Agreement or this
10 Order.] or [No timely requests for exclusion have been submitted.]

11 9. Objections. [No objections to the settlement were submitted.] or [The Court has
12 considered each of the ____ objections to the Settlement. The Court finds and concludes that each
13 of the objections is without merit, and they are hereby overruled.]

14 10. The Court finds the compensation to the Settlement Class, including the provision
15 of three years of Credit Monitoring and Insurance Services, Reimbursement of Documented
16 Losses of up to a maximum of \$10,000 per Settlement Class Member, Cash Fund Payments of any
17 remaining Net Settlement Funds in accordance with the terms of the Settlement Agreement, and
18 the agreed to injunctive and prospective relief are fair and reasonable. The Court authorizes the
19 Settlement Administrator to make payments or pay reimbursements to Settlement Class Members
20 who submitted timely and valid Claim Forms in accordance with the terms of the Settlement
21 Agreement.

22 11. The Court hereby adopts and approves the Settlement Agreement, and finds that it
23 is in all respects fair, reasonable, adequate, just and in compliance with all applicable requirements
24 of the California Code of Civil Procedure and the California Civil Code, the United States
25 Constitution (including the Due Process Clause), and all other applicable laws, and in the best
26 interests of the Parties and the Settlement Class. Accordingly, the Court directs the Parties and
27 their counsel to implement, perform, and consummate this Settlement in accordance with the terms
28 and conditions of the Settlement Agreement.

1 12. Dismissal. The Action is hereby dismissed. The Parties shall bear their own costs
2 and expenses, except as otherwise expressly provided in the Settlement Agreement.

3 13. Binding Effect. The terms of the Settlement Agreement and of this Order shall be
4 forever binding on Keenan, Plaintiffs, and all Settlement Class Members who did not timely
5 request exclusion (regardless of whether any individual Settlement Class Member submits a Claim
6 Form, seeks or obtains a Settlement benefit, or objected to the Settlement), as well as their
7 respective successors and assigns.

8 14. Releases. The Releases set forth in Paragraph 3.5 of the Settlement Agreement are
9 expressly incorporated herein in all respects. The Releases are effective as of the Effective Date.
10 Accordingly, this Court orders pursuant to this Order, without further action by anyone, upon the
11 Effective Date of the Settlement, and as provided in the Settlement Agreement, that Plaintiffs and
12 each and every Settlement Class Member shall have released the Released Claims against the
13 Released Parties. Notwithstanding the foregoing, nothing in this Order shall bar any action by any
14 of the Parties to enforce or effectuate the terms of the Settlement Agreement or this Order. Nor
15 does this Release apply to any Settlement Class Member who timely excludes himself or herself
16 from the Settlement, or to any Class Member (or the estate of any Class Member) who is deceased.

17 15. Future Prosecutions Barred. Plaintiffs and all Class Members are hereby barred and
18 permanently enjoined from instituting, asserting, or prosecuting any or all the Released Claims
19 against any of the Released Parties.

20 16. No Admission of Liability. The Court hereby decrees that the Settlement, this
21 Order, and the fact of the Settlement do not constitute admissions or concessions by Defendant of
22 any fault, wrongdoing, or liability whatsoever, or as an admission of the appropriateness of class
23 certification for trial or dispositive motion practice. This Order is not a finding of the validity or
24 invalidity of any of the claims asserted or defenses raised in the Action. Nothing relating to the
25 Settlement shall be offered or received in evidence as an admission, concession, presumption or
26 inference against the Defendant or any of the Released Parties in any proceeding, other than such
27 proceedings as may be necessary to consummate or enforce the Settlement Agreement or to
28 support a defense based on principles of *res judicata*, collateral estoppel, release, good faith

settlement, judgment bar or reduction, or any other theory of claim preclusion or issue preclusion or similar defense.

17. Retention of Jurisdiction. Without affecting the finality of this Order in any way, this Court shall retain continuing jurisdiction over: (a) enforcement of the terms of this Order and implementation of this Settlement and any award or distribution to the Settlement Class Members; and (b) all Parties for the purpose of enforcing and administering the Settlement Agreement, pursuant to California Code of Civil Procedure section 664.6 or otherwise.

18. Attorneys' Fees and Expenses. Class Counsel are awarded attorneys' fees in the amount of \$_____, and reimbursement of litigation expenses and costs in the amount of \$_____, and such amounts shall be paid by the Settlement Administrator pursuant to and consistent with the terms of the Settlement. Pursuant to Paragraph 11.4 of the Settlement Agreement, Settlement Class Counsel has sole and absolute discretion to distribute and allocate the attorneys' fees and expenses award.

19. Service Payments. The Class Representatives are each awarded a Service Payment in the amount of \$_____, and such amounts shall be paid by the Settlement Administrator pursuant to and consistent with the terms of the Settlement Agreement.

20. Defendant shall have no liability or responsibility for any payments, fees, or costs under this Order except as provided in the Settlement Agreement.

21. Modification of the Agreement of Settlement. Without further approval from the Court, Plaintiffs, by and through Class Counsel, and Keenan are hereby authorized to agree to and adopt such amendments or modifications of the Settlement Agreement or any exhibits attached thereto to effectuate the Settlement that: (a) are not materially inconsistent with this Order; and (b) do not materially limit the rights of Settlement Class Members in connection with the Settlement. Without further order of the Court, Plaintiffs, by and through Class Counsel, and Keenan may agree to reasonable extensions of time to carry out any of the provisions of the Settlement Agreement.

22. Termination of Settlement. If the Settlement is terminated as provided in the Settlement Agreement or the Effective Date of the Settlement otherwise fails to occur, this Order

shall be vacated, rendered null and void and be of no further force and effect, except as otherwise provided by the Settlement Agreement, and this Order shall be without prejudice to the rights of Plaintiffs, Settlement Class Members, and Keenan, and the Parties shall be deemed to have reverted *nunc pro tunc* to their respective litigation positions in the Action immediately prior to the execution of the Settlement Agreement.

23. A separate Final Judgment shall be issued adopting this Order and directing the Clerk of Court to dismiss this action accordingly. This Order and the Final Judgment will be posted to the Settlement Administrator's website.

IT IS SO ORDERED.

Dated: _____

Hon. Kenneth R. Freeman

EXHIBIT C

October 9, 2024

SUPPLEMENTAL LEGAL NOTICE PLAN RECOMMENDATION

RE: *Heath, et al. v. Keenan & Associates*

SUBMITTED BY:
CPT GROUP, INC.
50 CORPORATE PARK
IRVINE, CA 92606

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Class Member Overview

Estimated Class Member Size: 1,780,000

Class Member Description: Class members are all residents of the United States who were notified by Keenan that their PII was or may have been affected in the Data Security Incident.

Notice Plan Summary

Targeted Geography: California, Nationwide

Total Estimated Impressions:
1,417,519

Campaign Objective: Claims Filed

Estimated Media Plan Total:
\$17,500

Estimated Program Duration (Including Lead Time):73 days total, 45 days media live

Process & Timeline



Audience Research

Target Audience Research

Keenan & Associates provides employee benefits, workers' compensation, health benefit management services, risk management, and property and liability to schools, healthcare organizations, and municipalities in California.

CPT utilizes the MRI-Simmons, a database that measures American consumer psychographics, preferences, attitudes, and media behaviors, to develop an audience profile. An audience of California residents who are employed was chosen in this case to capture the media usage information of as many potential class members as possible. Among this audience, Facebook, and Instagram had some of the highest media usage percentages compared to other social media platforms. General internet usage and Google Search percentages show that this audience is not only present on social platforms but significantly present across the web.

Meta platforms were included in the plan for both their media usage and their claims-driving and reach potential related to the notice.

Audiences in each platform were developed using targeting related to job titles and industries that potential class members may have or belong to. Geo-targeting was layered within audiences to hyper-target California. Meta platform audiences also include geo-targeting the area around affected businesses to further reach potential class members based on their location/proximity to work. Additional audiences layering the entire United States with job targeting were added to Meta to reach any class members who may be outside the state of California.

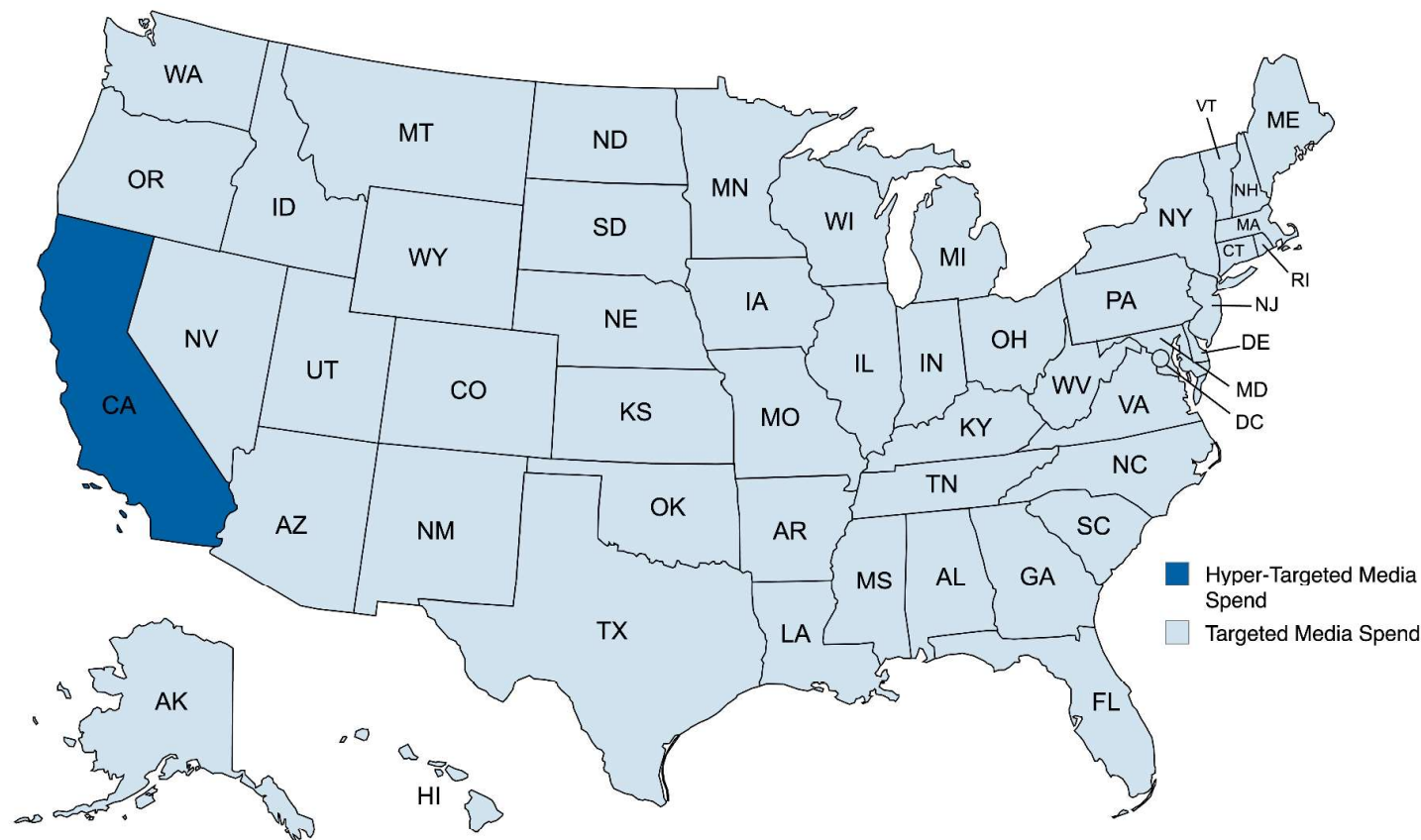
MRI Data

According to the 2024 MRI-Simmons Spring Doublebase report for people who live in California and are employed:

- 49.47% Men | 50.53% Women
- 97% have used the internet in the last 30 days
- Most likely to be between ages 18-24, also significant among ages 25-34
- Most likely to have a household income level of \$500,000+

Audience	Facebook	Instagram
People who match: live in California and are employed	56%	53%

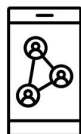
Audience Map



Notice Plan Overview

Heather Heath v. Keenan & Associates (Supplemental)		Days	Ad Type	Est. Imp	Spend
Social Media (Facebook and Instagram)		45	Image		
AUD 1: California, People 18+, Who Match: Interests: California Department of Education, Employers: Teacher, U.S. Department of Health and Human Services, U.S. Department of Education, US Postal Service, Job title: Teacher, Professor, University Faculty Member, Government contractor, Industry: Healthcare and Medical Services, Community and Social Services or Education and Libraries, among others					
AUD 2: U.S., People 18+, Who Match: Employers: Teacher, U.S. Department of Health and Human Services, U.S. Department of Education, US Postal Service, Job title: Teacher, Professor, University Faculty Member, Government contractor, Industry: Healthcare and Medical Services, Community and Social Services or Education and Libraries, among					
Total Media		45	Image	1,417,519	\$6,000
PROJECT MANAGEMENT & CREATIVE PRODUCTION					
Media Project Management Hours					\$4,500
Static Creative Production					\$4,500
Total Project Management Costs					\$9,000
PRESS RELEASE					
Press Release: US1 National Newslne					\$2,500
Total Press Release Cost					\$2,500
Total Budget			Image	1,417,519	\$17,500

Tactics & Channels



Ad Type Social Media

Video Ad

Social media channels are a great audience reach extension tool. Facebook and Instagram have some of the most sophisticated and accurate audience targeting capabilities available. CPT will utilize advertising through Facebook's Ads Manager platform. Ads will appear on a rotating basis with other advertising campaigns as a Sponsored Ad.

CPT will run a California-focused campaign and will optimize toward the highest-performing areas and audiences. Lookalike audiences will also be leveraged if eligible and available and are defined based on relevant audience data. Additionally, CPT will run a retargeting campaign that will target people who have visited the website but have not completed an action such as a form-fill or call, among others.



Ad Type Press Release

Text/Legal

Notice

To bolster the digital advertisement campaign efforts, CPT will disseminate a press release one time on PR Newswire US1 Newswire. The release will draw additional media attention and gain publicity as the release will contain sufficient information for any interested news organization or author to write a news story. The release may contain up to 400 words.

Creative

CPT takes a highly strategic, modern approach through industry-standard design trends and tools. Our team finds a balance between quality creative and the requirements of the class action notice.

The creative team will **provide 2+ creative options** for counsel to choose from, ensuring a match to the needs of both the case and the class member. Each design is created with the class action goal in mind, whether that's reach & frequency, claims filed, or simply class action awareness. Across popular media platforms, Ad Quality is an important metric we consider when providing creative design options. Ad quality is determined by each individual platform and impacts the cost, distribution, and results of a media campaign.

Disclaimer

While CPT researches and plans creative and copy around platform restrictions, guidelines are subject to change. Therefore, all imagery, video, and copy are subject to change in order to satisfy the rules and regulations imposed by the applicable platform. This includes, but is not limited to, character limitations, character restrictions, imagery restrictions, etc.



Campaign Creative Refresh

To optimize for conversions and create a sense of urgency, CPT will include creative refreshes periodically throughout the class action media campaign. Providing a fresh look and feel for the audience recaptures their attention and revitalizes impressions and conversion opportunities.

45-Day Campaign Creative Example

=

Creative Flight 1

Develop awareness around the class action.

=

Creative Flight 2

Showcase claims deadline to begin creating sense of urgency.

Month 1

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Month 2

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

Ad Verification Overview

CPT utilizes various platforms and tools for ad verification. These tools allow CPT to ensure that ads serve correctly and are compliant with IAB/MRC ad verification guidelines. The steps taken to identify invalid traffic include: wrapping applied tags and advertiser tags, implementing these tags to platform channels, and monitoring ad verification data and benchmarks. This type of verification protects the integrity of online advertising by helping marketers serve ads that are tag compliant, displayed correctly in brand-safe locations, and deliver quality, targeted results.

Ad Tracking

Given the multi-media, multi-platform nature of this notice campaign, traffic to the website will be tracked using UTM (urchin tracking module) or other tracking methods to determine the source and origin of both session and claims data. Google Analytics will capture this information and will serve as a vital, data-driven tool to support decision making for all media optimizations.

Brand Safety

CPT utilizes site filtering and specific targeting to direct ads to reputable and premium inventory as well as to direct ads to avoid blacklisted publishers and inappropriate content categories. These measures support the success of the notice plan's reach, brand protection, and budget/KPI goals.

Analytics & Reporting

CPT will provide a monthly (or bi-weekly upon request) Google Looker Studio report reflecting KPI (Key Performance Indicator) pacing and progress throughout the campaign. KPIs for this campaign include impressions, site sessions, claims filed and engagement. All digital tactics will be included in the scheduled report(s).

The report will detail total media forecasted impressions, actual impressions, impression pacing, claims filed, and campaign engagement. These metrics will also be broken out for each individual tactic included in the report.

Additionally, a Google Analytics page will provide insights on Key Performance Indicators (KPIs) for this campaign, such as sessions and engagement by source, medium, channel, page, and region. In addition to the scheduled PDF report(s), report view and download access will be granted to approved parties via email addresses associated with a Google account, upon request.



EXHIBIT D

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SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF LOS ANGELES

HEATHER HEATH, *et. al.*, individually and on
behalf of all others similarly situated,

Plaintiffs,

v.

KEENAN & ASSOCIATES, and Does 1 Through
20, Inclusive,

Defendant.

Case No. 24STCV03018
(Assigned to Hon. Kenneth R. Freeman)

[PROPOSED] JUDGMENT

4 The Court hereby enters final judgment in this case in accordance with the terms of the
5 Settlement, Final Approval Order, and this Judgment. Exhibit 1 to the Final Approval Order lists
6 the Settlement Class Members who timely and validly excluded themselves from the Settlement.
7 Those persons are not bound by the Settlement Agreement.

8 Without affecting the finality of the Settlement or Judgment entered, this Court shall retain
9 exclusive and continuing jurisdiction over the action and the Parties, including all Settlement Class
0 Members, for purposes of enforcing and interpreting this Order and the Settlement.

2 IT IS SO ORDERED, ADJUDGED, AND DECREED.

Dated: _____

Hon. Kenneth R. Freeman

EXHIBIT E

**COURT APPROVED NOTICE OF CLASS ACTION SETTLEMENT AND HEARING
DATE FOR FINAL COURT APPROVAL**

***Heather Heath, et al., v. Keenan & Associates,
Case No. 24STCV03018 (Los Angeles Superior Court)***

**If You Were Notified of a Data Security Incident that occurred at Keenan & Associates
Between August 21, 2023 and August 27, 2023,
A Class Action Settlement May Affect Your Rights.**

*The Superior Court for the State of California authorized this Notice.
Read it carefully! It's not junk mail, spam, an advertisement, or solicitation by a lawyer.
You are not being sued.*

THIS NOTICE MAY AFFECT YOUR RIGHTS. PLEASE READ IT CAREFULLY.

*Para una notificación en Español, llamar 1-XXX-XXX-XXXX o visitar nuestro sitio web
www.keenanbreachsettlement.com*

A Settlement has been proposed in a class action lawsuit against Keenan & Associates (“Keenan” or “Defendant”) relating to a Data Security Incident that Keenan experienced between August 21, 2023 and August 27, 2023 when unauthorized users gained access to Keenan’s data systems. The files involved in the Data Security Incident included the following Personally Identifying Information: names, dates of birth, Social Security numbers, passport numbers, driver’s license numbers, health insurance information, and medical information, such as general health information. The Action alleges that Keenan was negligent or otherwise responsible in allowing the Data Security Incident to occur. The Parties have reached a Settlement to resolve the claims brought in the Action and to provide relief to Settlement Class Members.

If you received a notice from Keenan that your personal information may have been compromised as a result of the Data Security Incident, you are included in this Settlement as a “Class Member” and **you may be eligible to receive money from the Settlement.**

Under the Settlement, Keenan has agreed to establish a \$14 million Settlement Fund to pay for three years of credit monitoring and insurance services (“Credit Monitoring and Insurance Services” or “CMIS”). In addition to CMIS, the Settlement also permits Settlement Class Members to elect one of the following Settlement Payments, to be paid for from the Settlement Fund: (1) cash payments of up to \$10,000 per Class Member for reimbursement of certain Documented Losses; or (2) pro rata cash payments from the Settlement Fund (the “Cash Fund Payment”). The Settlement Fund will also be used to pay for the costs of the settlement administration, court-approved attorneys’ fees, litigation costs and expenses, and Service Payments for Class Representatives. In addition, Keenan has agreed to undertake certain remedial measures and enhanced security measures that it will continue to implement for a period of two years.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

The Court has already preliminarily approved the proposed Settlement and approved this notice. The Court has not yet decided whether to grant final settlement approval. Your legal rights are affected whether you act or do not act. You should read this Notice carefully.

Options	More information about each option
Submit a Claim Form Deadline: DATE	<p>You must submit a Claim Form to receive the benefits provided by the Settlement, including CMIS and either a Documented Loss payment or a Cash Fund Payment.</p> <p>If you submit a Claim Form, you will give up your right to sue Keenan in a separate lawsuit about the legal claims this Settlement resolves. You will be bound by this Settlement.</p>
Exclude Yourself From This Settlement Deadline: DATE	<p>You can exclude yourself from the Settlement (opt-out) by submitting the written Request for Exclusion or otherwise notifying the Settlement Administrator in writing.</p> <p>If you opt-out of the Settlement, you will not receive a Settlement Payment. You will, however, preserve your right to personally sue or be part of a separate lawsuit against Keenan for the claims this Settlement resolves. If you file a Request for Exclusion, you cannot also submit a Claim Form as part of this Settlement.</p>
Object to or Comment on the Settlement Deadline: DATE	<p>You may object to the Settlement by writing to the Court about why you do not think the Settlement should be approved. You can also write the Court to provide comments or reasons why you support the Settlement.</p> <p>If you object, you may also submit a Claim Form to receive Settlement Benefits, but you will give up your right to sue Keenan in a separate lawsuit about the claims this Settlement resolves.</p>
Go to the Final Fairness Hearing DATE: DATE	<p>You may choose to attend and speak at the Final Fairness Hearing where the Court will hear arguments concerning approval of the Settlement. You are not required to attend the Final Fairness Hearing.</p>
Do Nothing	<p>If you do nothing, you will not receive the CMIS Settlement Benefit or any of the monetary Settlement Benefits, and you will give up your right to sue Keenan in a separate lawsuit for the claims this Settlement resolves.</p>

Your rights and options—and the deadlines to exercise them—are explained in this Notice.

The Court in charge of this case still has to decide whether to approve the Settlement. No Settlement Benefits or payments will be provided unless and until the Court approves the Settlement, and that order becomes final.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

Deciding what to do...

	Submit a Claim	Opt-out	Object	Do Nothing
Can I receive settlement money or benefits if I . . .	YES	NO	YES	NO
Am I bound by the terms of this lawsuit if I . . .	YES	NO	YES	YES
Can I pursue my own case if I . . .	NO	YES	NO	NO
Will the class lawyers represent me if I . . .	YES	NO	NO	YES

Deadlines may be amended, and you should check the Settlement Website periodically for updates at www.keenanbreachsettlement.com.

BASIC INFORMATION**1. Why did I get this Notice?**

A state court authorized this Notice because you have the right to know about the proposed Settlement of this class action lawsuit and about all your rights and options before the Court decides whether to grant final approval of the Settlement. This Notice explains the lawsuit, the Settlement, your legal rights, what benefits are available, who is eligible for them, and how to get them.

The Honorable Kenneth R. Freeman of the Los Angeles Superior Court is overseeing this class action. The case is known as *Heather Heath, et al., v. Keenan & Associates*, Case No. 24STCV03018 (Los Angeles Superior Court) (the “Action”). The people who filed this lawsuit are called the “Plaintiffs” and the company they sued that is a party to this Settlement, Keenan & Associates, is called the “Defendant.”

2. What is this lawsuit about?

Between August 21, 2023 and August 27, 2023, an unauthorized user accessed information relating to certain of Keenan’s customers, including names, dates of birth, Social Security numbers, passport numbers, driver’s license numbers, health insurance information, and medical information, such as general health information, in a Data Security Incident.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

The Plaintiffs claim that Defendant failed to adequately protect their Personally Identifying Information (PII) and that they were injured as a result. The Defendant, Keenan & Associates, denies any wrongdoing, and no court or other entity has made any judgment or other determination of any wrongdoing or that the law has been violated. Defendant denies these and all other claims made in the Action. By entering into the Settlement, the Defendant is not admitting that it did anything wrong.

3. Why is this a class action?

In a class action, one or more people called the Class Representatives sue on behalf of all people who have similar claims. Together all these people are called a Class or Class Members. One court resolves the issues for all Class Members, except for those Class Members who exclude themselves from the Class.

The Class Representatives in this case are Heather Heath, Brian Heinz, Robert Ruma, Matthew Rutledge, and Andrea Hans.

4. Why is there a Settlement?

The Class Representatives and Keenan do not agree about the claims made in this Action. The Action has not gone to trial and the Court has not decided in favor of the Class Representatives or Keenan. Instead, the Class Representatives and Keenan have agreed to settle the Action. The Class Representatives and the attorneys for the Class (“Class Counsel”) believe the Settlement is best for all Class Members because of the risks and uncertainty associated with continued litigation and the nature of the defenses raised by Keenan.

WHO IS INCLUDED IN THE SETTLEMENT

5. How do I know if I am part of the Settlement?

If you received Notice of this Settlement, you have been identified by the Settlement Administrator as a Class Member. More specifically, you are a Class Member, and you are affected by this Settlement, if you were notified by Keenan that your PII was or may have been affected in the Data Security Incident.

6. Are there certain individuals who are not included as Class Members in the Settlement?

Yes, the Settlement does not include: (1) the Judge(s) presiding over the Action, Class Counsel, and members of their families; (2) Keenan and its subsidiaries, parent companies, successors, predecessors, and any entity in which Keenan or its parents have a controlling interest, and its current or former officers and directors; (3) Persons who properly execute and submit a Request for Exclusion prior to the expiration of the Opt-Out Period; and (4) the successors or assigns of any such excluded Persons.

7. What if I am still not sure whether I am part of the Settlement?

If you are still not sure whether you are a Class Member, you may go to the Settlement website at www.keenanbreachsettlement.com, or call the Settlement Administrator’s toll-free number at 1-XXX-XXX-XXXX.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

THE SETTLEMENT BENEFITS—WHAT YOU GET IF YOU QUALIFY

8. What does the Settlement provide?

The Settlement will provide Class Members with the opportunity to select and make a claim for three years (i.e., 36 months) of Credit Monitoring and Insurance Services (i.e., CMIS), and one of following cash Settlement Payments:

- Pro rata Cash Fund Payments in amounts to be determined in accordance with the terms of the Settlement; or
- Cash Payments of up to \$10,000 per Class Member for reimbursement of certain Documented Losses (“Documented Loss Payment”).

In addition, Keenan has agreed to take certain remedial measures and enhanced security measures as a result of this Action.

Please review Question 12 below very carefully for additional information regarding the order in which Settlement Benefits are paid from the Settlement Fund. This additional information may impact your decision as to which of the Settlement Benefit options is/are the best option for you.

9. Credit Monitoring and Insurance Services

You may elect to receive three years of Credit Monitoring and Insurance Services. The CMIS Settlement Benefit provides a way to protect yourself from unauthorized use of your PII. If you already have credit monitoring services, you may still sign up for this additional protection. The CMIS Settlement Benefits provided by this Settlement are separate from, and in addition to, the credit monitoring and identity resolution services offered by Keenan in response to the Data Security Incident to individuals who received a notice letter from Keenan. Class members who previously accepted Keenan’s credit monitoring and identity resolution services may choose to extend the start date for the CMIS benefits until after Keenan’s identity theft services expire. You are eligible to make a claim for the Credit Monitoring and Insurance Services being offered through this Settlement even if you did not sign up for the previous services.

Credit Monitoring and Insurance Services include the following benefits: (i) up to \$1 million of identity theft insurance coverage; and (ii) three bureau credit monitoring providing notice of changes to the Participating Settlement Class Member’s credit profile. The estimated retail value of the CMIS Settlement Benefit is \$972 per Settlement Class Member.

10. The Documented Loss Payment

In addition to the CMIS Settlement Benefit, and in the alternative to a Cash Fund Payment, you may elect to submit a Claim Form for reimbursement of Documented Losses. If you spent money remediating or addressing identity theft and fraud that was more likely than not related to the Data Security Incident, and was not reimbursed by insurance, or you spent money to protect yourself from future harm because of the Data Security Incident, you may make a claim for a Documented Loss Payment for reimbursement of up to \$10,000 in Documented Losses.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

Documented Losses consist of unreimbursed losses incurred on or after August 21, 2023 that were related to identity theft and fraud and were more likely than not a result of the Data Security Incident. It also includes expenses related to Data Security Incident. For example, credit card or debit card cancellation or replacement fees, late fees, declined payment fees, overdraft fees, returned check fees, customer service fees, credit-related costs associated with purchasing credit reports, credit monitoring or identity theft protection, costs to place a freeze or alert on credit reports, costs to replace a driver's license, state identification card, passport, Social Security number, professional services, and out-of-pocket expenses for notary, fax, postage, delivery, copying, mileage, and long-distance telephone charges. Other losses or costs related to the Data Security Incident that were not reimbursable through insurance may also be eligible for reimbursement. To protect the Settlement Fund and valid claims, all Claim Forms submitted seeking Settlement Payment for a Documented Loss will be carefully reviewed and scrutinized by the Settlement Administrator to determine if the claimed loss is more likely than not related to the Data Security Incident.

Claims for Documented Loss Payments must be supported by Reasonable Documentation. Reasonable Documentation means written documents supporting your claim, such as credit card statements, bank statements, invoices, telephone records, and receipts.

Individual cash payments, including Documented Loss Payments, may be reduced or increased pro rata depending on the number of Class Members that participate in the Settlement.

If you submit a Claim Form for a Documented Loss Payment and it is rejected by the Settlement Administrator and you do not correct it, your Claim Form will be considered a claim for a Cash Fund Payment.

11. The Cash Fund Payment

In addition to the CMIS Settlement Benefit, and **in the alternative** to a Documented Loss Payment claim, you may submit a claim to receive a pro rata cash Settlement Payment. This is the "Cash Fund Payment." The amount of the Cash Fund Payment will vary depending on the number of valid claims that are submitted. An estimated range for the Cash Fund Payment is \$242-\$42 for California Class Members who submit valid claims, and \$121-\$21 for all other Class Members who submit valid claims (assuming a 2% to 10% claims rate), but these are just estimates, not a guarantee. To receive a Cash Fund Payment, you must submit a completed Claim Form electing to receive a Cash Fund Payment.

You are not required to provide supporting documents with your Claim Form to receive a Cash Fund Payment. Individual Cash Fund Payments may be reduced or increased pro rata depending on the number of Class Members that participate in the Settlement and the amount of money that remains in the Cash Fund after payments of other Settlement Benefits and charges with priority for payment under the Settlement. *See* Question 12, below.

12. How Will Settlement Benefits be paid?

Before determining which Settlement Benefit options from the Settlement are best for you, it is important for you to understand how Settlement Payments will be made. Class counsel will seek reasonable attorneys' fees and costs not to exceed \$4,975,000, and Service Payments of \$2,000 to each of the Class Representatives will be deducted from the Settlement Fund before making payments to Class Members. The Court may award less than these amounts. The Settlement Fund will also pay for the reasonable costs associated with providing notice

of the Settlement and processing claim forms, as well as any applicable taxes. The remainder of the Settlement Fund will be distributed in the following order:

1. Credit Monitoring and Insurance Services claims will be paid first.
2. If money remains in the Settlement Fund after paying for the Credit Monitoring and Insurance Services, Documented Loss Payment claims will be paid second.
3. If money remains in the Settlement Fund after paying Credit Monitoring and Insurance Services claims and Documented Loss Payment claims, the amount of the Settlement Fund remaining will be used to create a “Post CM/DL Net Settlement Fund,” which will be used to pay all Cash Fund Payment claims. As stated in Question 11 above, those are an estimated range of \$242-\$42 for California Class Members who submit valid claims, and \$121-\$21 for all other Class Members who submit valid claims. This is just an estimate, not a guarantee, based on Class Counsel’s experience and belief.

13. Tell me more about Keenan’s remedial measures and enhanced security measures.

Keenan has completed an investigation into the cause and scope of the Data Security Incident and completed remediation of the vulnerabilities that allowed the Data Security Incident to occur. As a result of the Settlement, for a period of two years, Keenan has agreed to implement and maintain certain cyber security, data and privacy protocols, and deploy additional security measures.

14. What is the total value of the Settlement?

The Settlement provides a \$14,000,000 Settlement Fund and remedial actions taken by Keenan for the benefit of the Class. Any court-approved attorneys’ fees, costs, and expenses, Service Payments to the Class Representatives, taxes due on any interest earned by the Settlement Fund, if necessary, and any notice and settlement administration expenses will be paid out of the Settlement Fund, and the balance (“Net Settlement Fund”) will be used to pay for the above Settlement Benefits.

15. What am I giving up to get a Settlement benefit or stay in the Class?

Unless you exclude yourself, you are choosing to remain in the Class. If the Settlement is approved and becomes final, all the Court’s orders will apply to you and legally bind you. You will not be able to sue, continue to sue, or be part of any other lawsuit against Keenan about the legal issues in this Action, resolved by this Settlement and released by the Class Action Settlement Agreement and Release. The specific rights you are giving up are called Released Claims (*see* next question).

16. What are the Released Claims?

In exchange for the Settlement, Plaintiffs and Class Members (“Releasing Parties”) agree to release Keenan and all of its respective past, present, and future parent companies, partnerships, subsidiaries, affiliates, divisions, employees, servants, members, providers, partners, principals, directors, shareholders, and owners, and all of their respective attorneys, heirs, executors, administrators, insurers, coinsurers, reinsurers, joint ventures, personal representatives, predecessors, successors, transferees, trustees, and assigns, and includes, without limitation, any Person related to any such entities who is, was, or could have been named as a defendant in the Action, as well

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
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as customers of Keenan's whose data was involved in the Data Security Incident ("Released Parties") from any and all claims or causes of action of every kind and description, including any causes of action in law, claims in equity, complaints, suits or petitions, and any allegations of wrongdoing, demands for legal, equitable or administrative relief (including, but not limited to, any claims for injunction, rescission, reformation, restitution, disgorgement, constructive trust, declaratory relief, compensatory damages, consequential damages, penalties, exemplary damages, punitive damages, attorneys' fees, costs, interest or expenses) that the Releasing Parties had or could have asserted in the Action (including, but not limited to, assigned claims), or in any other action or proceeding before any court, arbitrator(s), tribunal or administrative body (including but not limited to any state, local or federal regulatory body), regardless of whether the claims or causes of action are based on federal, state, or local law, statute, ordinance, regulation, contract, common law, or any other source, and regardless of whether they are known or unknown, foreseen or unforeseen, suspected or unsuspected, or fixed or contingent, arising out of, or related or connected in any way with the claims or causes of action of every kind and description that were brought, alleged, argued, raised or asserted in any pleading or court filing in the Action, that arise out of or relate to the causes of action, allegations, practices, or conduct at issue in the Complaint related to Keenan, with respect to the Data Security Incident ("Released Claims"). The Released Claims are limited to only those that arose between February 3, 2024, and the date on which the Court enters the Preliminary Approval Order. The Release will not be effective for Class Members until 30 Days after the Effective Date.

"Effective Date" means one Business Day following the latest of: (i) the date upon which the time expires for filing or noticing any appeal of the Judgment; (ii) if there is an appeal or appeals, the date of completion, in a manner that finally affirms and leaves in place the Judgment without any material modification, of all proceedings arising out of the appeal(s) (including, but not limited to, the expiration of all deadlines for motions for reconsideration or petitions for review and/or certiorari, all proceedings ordered on remand, and all proceedings arising out of any subsequent appeal(s) following decisions on remand); or (iii) the date of final dismissal of any appeal or the final dismissal of any proceeding on certiorari with respect to the Judgment.

The Released Claims do not include claims relating to the enforcement of the Settlement.

More information is provided in the Class Action Settlement Agreement and Release which is available at www.keenanbreachsettlement.com.

HOW TO GET SETTLEMENT BENEFITS—SUBMITTING A CLAIM FORM

17. How do I make a claim for Settlement Benefits?

You must complete and submit a Claim Form by **XXXXX XX, 202X**. Claim Forms may be submitted online at www.keenanbreachsettlement.com or printed from the Settlement Website and mailed to the Settlement Administrator at the address on the Claim Form. Claim Forms could also be obtained from the Settlement Administrator (via email at keenanbreachsettlement@cptgroup.com, or mail to *Keenan & Associates Data Breach Settlement Administrator*, c/o CPT Group, Inc., 50 Corporate Park, Irvine, CA 92606)

The quickest way to submit a claim is online. If you received a Notice by mail, use your Claim Number (Unique ID) to submit your Claim Form. If you lost or do not know your Claim Number (Unique ID), please email the Settlement Administrator at keenanbreachsettlement@cptgroup.com to obtain it.

QUESTIONS? CALL 1-**XXX-XXX-XXXX** TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

You may submit a claim for Credit Monitoring and Insurance Services and either a Cash Fund Payment or a Documented Loss Payment by submitting a Claim Form on the Settlement Website, or by downloading, printing, and completing a Claim Form, and mailing it to the Settlement Administrator.

You may submit a claim for CMIS and only one of the two cash Settlement Benefits: 1) a Cash Fund Payment, or 2) a Documented Loss Payment.

18. How do I make a claim for Credit Monitoring and Insurance Services?

To submit a claim for Credit Monitoring and Insurance Services, you must submit a valid Claim Form electing to receive Credit Monitoring and Insurance Services. To submit a claim for Credit Monitoring and Insurance Services, you may either complete a Claim Form on the Settlement Website or print and mail a completed Claim Form to the Settlement Administrator, on or before **XXXX XX, 202X**.

Instructions for filling out a claim for Credit Monitoring and Insurance Services are included on the Claim Form. You may access the Claim Form at www.keenanbreachsettlement.com.

The deadline to submit a claim for Credit Monitoring and Insurance Services is **XXXX XX, 202X**.

19. How do I make a claim for a Cash Fund Payment?

To submit a claim for a Cash Fund Payment, you must submit a valid Claim Form electing to receive the Cash Fund Payment. To submit a claim for a Cash Fund Payment, you may either complete a Claim Form on the Settlement Website or print and mail a completed Claim Form to the Settlement Administrator, on or before **XXXX XX, 202X**.

If you wish to receive your payment digitally, e.g., via PayPal, Venmo, instead of a check, simply provide your email address (optional) on the Claim Form where indicated. Anyone who submits a valid claim for Cash Fund Payment and does not elect to receive payment via PayPal, Venmo, or digital payment card, will receive their payment via regular check sent through U.S. Mail.

Instructions for filling out a claim for a Cash Fund Payment are included on the Claim Form. You may access the Claim Form at www.keenanbreachsettlement.com.

The deadline to submit a claim for a Cash Fund Payment is **XXXX XX, 202X**.

20. How do I make a claim for a Documented Loss Payment for reimbursement?

To submit a claim for a Documented Loss Payment of up to \$10,000 for reimbursement of Documented Losses, you must submit a valid Claim Form accompanied by Reasonable Documentation pursuant to the terms of the Settlement. To submit a claim for a Documented Loss Payment, you may either complete a Claim Form on the Settlement Website or print and mail a completed Claim Form to the Settlement Administrator, on or before **XXXX XX, 202X**.

The Claim Form requires that you sign the attestation regarding the information you provided and that you include Reasonable Documentation, such as credit card statements, bank statements, invoices, telephone records, and receipts.

If your claim for a Documented Loss Payment is rejected by the Settlement Administrator and you do not correct it, your claim for a Documented Loss Payment will instead be considered a claim for a Cash Fund Payment.

Instructions for filling out a claim for a Documented Loss Payment are included on the Claim Form. You may access the Claim Form at www.keenanbreachsettlement.com.

The deadline to submit a claim for a Documented Loss Payment is **XXXX XX, 202X**.

21. What happens if my contact information changes after I submit a Claim Form?

If you change your mailing address or email address after you submit a Claim Form, it is your responsibility to inform the Settlement Administrator of your updated information. You may notify the Settlement Administrator of any changes by sending an email to keenanbreachsettlement@cptgroup.com, or writing to:

Keenan & Associates Data Breach Settlement
c/o CPT Group, Inc.
50 Corporate Park
Irvine, CA 92606

22. When and how will I receive the Settlement Benefits I claim from the Settlement?

If you make a valid claim for Credit Monitoring and Insurance Services, the Settlement Administrator will send you information on how to activate your credit monitoring after the Settlement becomes final. If you received a notice in the mail, keep it in a safe place as you will need the unique Claim Number (Unique ID) provided on the Notice to activate your Credit Monitoring and Insurance Services.

Payment for valid claims for a Cash Fund Payment or a Documented Loss Payment will be provided by the Settlement Administrator after the Settlement is approved and becomes final. You may elect to receive payment for valid claims for a Cash Fund Payment or a Documented Loss Payment digitally (e.g., via PayPal, Venmo) instead of a check, by submitting your e-mail address with your Claim Form. Anyone who does not elect to receive payment digitally will receive their payment via regular check sent through U.S. Mail.

The approval process may take time. Please be patient and check www.keenanbreachsettlement.com for updates.

23. What happens if money remains after all the Settlement claims are paid?

None of the money in the \$14 million Settlement Fund will be paid back to Keenan. Any money left in the Settlement Fund after 150 days after the distribution of payments to Class Members will be distributed pro rata among all Class Members with approved claims, who cashed or deposited their initial check or received the Settlement proceeds through digital means, as long as the average payment amount is \$3 or more. If there is not enough money to provide qualifying Class Members with an additional \$3 payment, the remaining funds will be distributed to a non-profit organization, or "Non-Profit Residual Recipient." The Non-Profit Residual Recipient is, subject to final court approval, the Electronic Frontier Foundation, a 26 U.S.C. § 501(c)(3) non-profit organization.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

THE LAWYERS REPRESENTING YOU

24. Do I have a lawyer in this case?

Yes, the Court has appointed Tina Wolfson and Andrew W. Ferich of Ahdoot & Wolfson PC; Ryan Clarkson and Yana Hart of Clarkson Law Firm; Benjamin F. Johns and Samantha E. Holbrook of Shub & Johns LLC; and M. Anderson Berry and Gregory Haroutunian of Clayco C. Arnold, APC as Class Counsel to represent you and the Class for the purposes of this Settlement. You may hire your own lawyer at your own cost and expense if you want someone other than Class Counsel to represent you in this Action.

25. How will Class Counsel be paid?

Class Counsel will file a motion asking the Court to award them reasonable attorneys' fees and litigation costs and expenses not to exceed \$4,975,000. They will also ask the Court to approve \$2,000 Service Payments to each of the Class Representatives for participating in this Action and for their efforts in achieving the Settlement. If awarded, these amounts will be deducted from the Settlement Fund before making payments to Class Members. The Court may award less than these amounts.

Class Counsel's application for attorneys' fees and expenses, and Class Representative Service Payments will be made available on the Settlement website at www.keenanbreachsettlement.com before the deadline for you to comment or object to the Settlement. You can request a copy of the application by contacting the Settlement Administrator at keenanbreachsettlement@cptgroup.com.

EXCLUDING YOURSELF FROM THE SETTLEMENT

If you are a Class Member and want to keep any right you may have to sue or continue to sue Keenan on your own based on the claims raised in this Action or released by the Released Claims, then you must take steps to get out of the Settlement. This is called excluding yourself from – or “opting out” of – the Settlement.

26. How do I get out of the Settlement?

To exclude yourself from the Settlement, you must complete and sign a Request for Exclusion. The Request for Exclusion must be postmarked or received by the Settlement Administrator on or before the end of the Opt-Out Period. Requests for Exclusion must be submitted to the Settlement Administrator via US Mail. Requests for Exclusion must be in writing and must identify the case name *Heath, et al. v. Keenan & Assoc.*, 24STCV03018 (Los Angeles Superior Court); state the name, address and telephone number of the Settlement Class Members seeking exclusion; be physically signed by the Person(s) seeking exclusion; and must also contain a statement to the effect that “I/We hereby request to be excluded from the proposed Settlement Class in *Heath, et al. v. Keenan & Assoc.*, 24STCV03018 (Los Angeles County Superior Court).” Any Person who elects to request exclusion from the Settlement Class shall not (i) be bound by any orders or Judgment entered in the Action, (ii) be entitled to relief under this Agreement, (iii) gain any rights by virtue of this Agreement, or (iv) be entitled to object to any aspect of this Agreement. No Person may request to be excluded from the Settlement Class through “mass” or “class” opt-outs.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

The Request for Exclusion must be postmarked or received by the Settlement Administrator at the address below no later than **XXXX XX, 202X**:

Keenan & Associates Data Breach Settlement
c/o CPT Group, Inc.
50 Corporate Park
Irvine, CA 92606

You cannot exclude yourself by telephone, electronically, or by e-mail.

27. If I exclude myself, can I still get Credit Monitoring and Insurance Services, or a Settlement Payment?

No. If you exclude yourself, you are telling the Court that you do not want to be part of the Settlement. You can only get Credit Monitoring and Insurance Services, or a cash Settlement Payment if you stay in the Settlement and submit a valid Claim Form.

28. If I do not exclude myself, can I sue Keenan for the same thing later?

No. If you don't exclude yourself, you give up any right to sue Keenan and Released Parties for the claims that this Settlement resolves. You must exclude yourself from this Action to start or continue with your own lawsuit or be part of any other lawsuit against Keenan or any of the Released Parties. If you have a pending lawsuit, speak to your lawyer in that case immediately.

OBJECT TO OR COMMENT ON THE SETTLEMENT

29. How do I tell the Court that I do not like the Settlement?

You can ask the Court to deny approval by filing an objection. You cannot ask the Court to order a different settlement; the Court can only approve or reject the settlement. If the Court denies approval, no Settlement Payments will be sent out and the lawsuit will continue. If that is what you want to happen, you must object.

Any objection to the proposed settlement must be in writing. Objections must be served via United States mail or e-mail to the Settlement Administrator, at the address listed in Question 35 below.

Your objection must include the following: (i) your full name, current mailing address, and telephone number; (ii) a signed statement that you believe yourself to be a member of the Settlement Class; (iii) whether the objection applies only to you as the objector, a subset of the Settlement Class, or the entire Settlement Class; (iv) the specific grounds for your objection; (v) all documents or writings that you desire the Court to consider; and (vi) a statement regarding whether you (or counsel of your choosing) intend to appear at the Fairness Hearing. All written objections must be postmarked no later than the Objection Deadline. If you fail to object as prescribed in this Notice and in the Settlement, you may be deemed to have waived your objections and you may forever be barred from making any such objections.

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

Any written objection you wish to submit must be submitted or postmarked on or before **XXXX XX, 202X**. Notwithstanding the foregoing, you may be allowed to speak regarding your objection at the Fairness Hearing, even if you have not complied with these procedures, subject to the discretion of the presiding Judge.

30. What is the difference between objecting and requesting exclusion?

Objecting is telling the Court you do not like something about the Settlement. You can object only if you stay in the Class (that is, do not exclude yourself). Requesting exclusion is telling the Court you do not want to be part of the Class or the Settlement. If you exclude yourself, you cannot object to the Settlement because it no longer affects you. If you do not request exclusion, you may, if you so desire, enter an appearance through counsel.

THE FINAL FAIRNESS HEARING

31. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Final Fairness Hearing on **XXXX XX, 202X** at **: 0 .m.** before the Honorable Kenneth R. Freeman in Department 14 of the Los Angeles Superior Court, located at 312 North Spring Street, Los Angeles, CA 90012.

The date and time of the Final Fairness Hearing is subject to change without further notice to the Settlement Class. Class Members should monitor the Settlement Website or the Court's website (see Question 35) to confirm whether the date for the Final Fairness Hearing is changed.

At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate, and will decide whether to approve: the Settlement; Class Counsel's application for attorneys' fees, costs, and expenses; and the Service Payments to the Class Representatives. If there are objections, the Court will consider them. The Court may also listen to people who have asked to speak at the hearing.

32. Do I have to come to the Final Fairness Hearing?

No. Class Counsel will answer any questions the Court may have. However, you are welcome to attend at your own expense. If you send an objection, you do not have to come to Court to talk about it. As long as you submit your written objection on time the Court will consider it.

33. May I speak at the Final Fairness Hearing?

Yes. If you wish to attend and speak at the Final Fairness Hearing, you should indicate this in your written objection (*see* Question 29). If you plan to have your attorney speak for you at the Fairness Hearing, your objection should also include your attorney's name, address, and phone number.

IF YOU DO NOTHING

34. What happens if I do nothing at all?

If you are a Class Member and you do nothing, you will not receive any Settlement benefits. You will also give up certain rights, including your right to start a lawsuit, continue with a lawsuit, or be part of any other lawsuit

against Keenan or any of the Released Parties about the legal issues in this Action and released by the Settlement Agreement.

GETTING MORE INFORMATION

35. How do I get more information?

This Notice summarizes the proposed Settlement. For the precise terms and conditions of the settlement, please see the Settlement Agreement available at www.keenanbreachsettlement.com, or by contacting Class Counsel or the Settlement Administrator (see below), by accessing the Superior Court website (<http://www.lacourt.org/casesummary/ui/index.aspx>) and entering the Case Number for the Action, Case No. 24STCV03018, or by visiting the office of the Clerk, Superior Court of the State of California, County of Los Angeles, 312 North Spring Street, Los Angeles, CA 90012, between 9:00 a.m. and 4:30 p.m., Monday through Friday, excluding Court holidays.

If you have questions about the proposed Settlement or anything in this Notice, you may contact Class Counsel or the Settlement Administrator at:

Resource	Contact Information
Settlement Website	www.keenanbreachsettlement.com
Settlement Administrator	CPT Group, Inc. Keenan & Associates Data Breach Settlement c/o CPT Group, Inc. 50 Corporate Park Irvine, CA 92606 keenanbreachsettlement@cptgroup.com

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

Your Lawyers	<p>Tina Wolfson Andrew W. Ferich AHDOOT & WOLFSON, PC c/o Keenan & Associates Data Breach Settlement 50 Corporate Park Irvine, CA 92606 keenanbreachsettlement@cptgroup.com</p> <p>Benjamin F. Johns Samantha E. Holbrook SHUB & JOHNS LLC c/o Keenan & Associates Data Breach Settlement 50 Corporate Park Irvine, CA 92606 keenanbreachsettlement@cptgroup.com</p>	<p>Ryan Clarkson Yana Hart CLARKSON LAW FIRM, P.C c/o Keenan & Associates Data Breach Settlement 50 Corporate Park Irvine, CA 92606 keenanbreachsettlement@cptgroup.com</p> <p>M. Anderson Berry Gregory Haroutunian CLAYEO C. ARNOLD, APC c/o Keenan & Associates Data Breach Settlement 50 Corporate Park Irvine, CA 92606 keenanbreachsettlement@cptgroup.com</p>
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PLEASE DO NOT TELEPHONE THE COURT OR THE COURT CLERK'S OFFICE TO INQUIRE ABOUT THIS SETTLEMENT OR THE CLAIM PROCESS

QUESTIONS? CALL 1-XXX-XXX-XXXX TOLL-FREE OR VISIT
WWW.KEENANBREACHSETTLEMENT.COM

EXHIBIT F

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SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF LOS ANGELES

HEATHER HEATH, *et. al.*, individually and on
behalf of all others similarly situated,

Plaintiffs,

v.

KEENAN & ASSOCIATES, and Does 1 Through
20, Inclusive,

Defendant.

Case No. 24STCV03018
(Assigned to Hon. Kenneth R. Freeman)
**[PROPOSED] ORDER GRANTING
PRELIMINARY APPROVAL OF
SETTLEMENT**

1 The Court has before it Plaintiffs Heather Heath, Brian Heinz, Robert Ruma, Matthew
2 Rutledge, and Andrea Hans' ("Class Representatives or "Plaintiffs") Motion for Preliminary
3 Approval of Class Action Settlement. Having reviewed the Motion for Preliminary Approval of
4 Class Action Settlement and Certification of Settlement Class; the Declarations of Class Counsel,
5 Plaintiffs, the Settlement Administrator, and the Non-Profit Residual Recipient and the Parties'
6 settlement agreement (the "Settlement" or "SA"); having presided over a hearing on January 28,
7 2025; and good cause appearing, the Court finds and orders as follows:

8 1. The Court finds that the Settlement Agreement appears to be fair, adequate, and
9 reasonable and therefore meets the requirements for preliminary approval. The Court grants
10 preliminary approval of the Settlement Agreement and preliminarily certifies the Settlement Class¹
11 based upon the terms set forth in the Settlement Agreement between Plaintiffs and Defendant
12 Keenan & Associates ("Keenan" or "Defendant"), filed concurrently with Plaintiff's Motion for
13 Preliminary Approval of Class Action Settlement and Certification of Settlement Class. The Court
14 grants preliminary approval of the Settlement of this Action pursuant to California Rules of Court,
15 Rule 3.769(c).

16 2. The Settlement falls within the range of reasonableness of a settlement which could
17 ultimately be given final approval by this Court, and appears to be presumptively valid, subject
18 only to any objections that may be raised at the Fairness Hearing and final approval by this Court.
19 The Court notes that Defendant has agreed to provide the following compensation to all Class
20 Members who submit a valid claim: (i) three years of Credit Monitoring and Identity Theft
21 Insurance Services ("CMIS") (up to \$1 million of insurance coverage and three-bureau credit
22 monitoring); and (ii) one of the following Settlement Payments: (1) a cash payment of up to
23 \$10,000 for Documented Losses and/or expenditures more likely than not related to the Data
24 Security Incident (requires submission of Reasonable Documentation), or (2) a pro rata cash
25 payment, calculated in accordance with the terms of the Settlement Agreement (with a stepped up
26 payment to California residents because of the statutory claims available to them). Further, the

27 _____
28 ¹ Unless otherwise indicated, all capitalized terms herein shall have the same meaning assigned
to them in the Settlement Agreement. (SA, Sec. 1, Definitions.).

1 Settlement provides: (i) significant injunctive relief and data privacy enhancements with a two
2 year commitment from Keenan, that will ensure Keenan has adequate processes and procedures to
3 safeguard its customers' Personally Identifying Information and Personal Health Information in
4 the future; (ii) Class Representative service payments of \$2,000 for each Plaintiff, respectively;
5 and (iii) Class Counsel's attorneys' fees and litigation costs and expenses of up to \$4,975,000.

6 3. The Court preliminarily finds that the terms of the Settlement appear to be within the
7 range of possible approval, pursuant to California Code of Civil Procedure § 382 and applicable
8 law. The Court finds on a preliminary basis that: (1) the settlement amount is fair and reasonable
9 to the Settlement Class Members, when balanced against the probable outcome of further litigation
10 relating to class certification, liability and damages issues, and potential appeals; (2) significant
11 formal and informal discovery, investigation, research, and litigation has been conducted such that
12 counsel for the Parties at this time are able to reasonably evaluate their respective positions; (3)
13 settlement at this time will avoid substantial costs, delay, and risks that would be presented by the
14 further prosecution of the litigation; and (4) the Settlement has been reached as the result of
15 intensive, serious, and non-collusive negotiations between the Parties with the assistance of a well-
16 respected class action mediator. Accordingly, the Court preliminarily finds that the Settlement
17 Agreement was entered into in good faith.

18 4. A Final Fairness Hearing on the question of whether the Settlement, attorneys' fees and
19 costs to Class Counsel, and the Class Representative Service Payments should be finally approved
20 as fair, reasonable, and adequate as to the Settlement Class Members is hereby set in accordance
21 with the schedule set forth below. Consideration of any application for an award of attorneys' fees,
22 costs, expenses, and Service Payments shall be separate from consideration of whether or not the
23 proposed Settlement should be approved, and from each other, and shall be embodied in separate
24 orders.

25 5. The Court provisionally certifies for settlement purposes the following class (the
26 "Settlement Class"): "all residents of the United States who were notified by Keenan that their PII
27 was or may have been affected in the Data Security Incident." Excluded from the Settlement Class
28 are: (1) the Judges presiding over the Action, Class Counsel, and members of their families; (2)

Keenan and its subsidiaries, parent companies, successors, predecessors, and any entity in which Keenan or its parents, have a controlling interest, and its current or former officers and directors; (3) Persons who properly execute and submit a Request for Exclusion prior to the expiration of the Opt-Out Period; and (4) the successors or assigns of any such excluded Persons.

6. The Court finds, for settlement purposes only, that the Settlement Class meets the requirements for certification under California Code of Civil Procedure § 382 in that: (1) the Settlement Class Members are so numerous that joinder is impractical; (2) there are questions of law and fact that are common, or of general interest, to all Settlement Class Members, which predominate over individual issues; (3) Plaintiff's claims are typical of the claims of the Settlement Class Members; (4) Plaintiffs and Class Counsel will fairly and adequately protect the interests of the Settlement Class Members; and (5) a class action is superior to other available methods for the fair and efficient adjudication of the controversy.

7. The Court appoints as Class Representative, for settlement purposes only, Plaintiffs Heather Heath, Brian Heinz, Robert Ruma Matthew Rutledge, and Andrea Hans.

8. The Court appoints, for settlement purposes only, Tina Wolfson and Andrew W. Ferich of Ahdoot & Wolfson, PC, Ryan J. Clarkson and Yana Hart of Clarkson Law Firm, PC, M. Anderson Berry and Gregory Haroutunian of Clayco C. Arnold, APC, and Benjamin F. Johns and Samantha E. Holbrook of Shub & Johns LLC, as Settlement Class Counsel.

9. The Court preliminarily finds that the Plaintiffs and Class Counsel fairly and adequately represent and protect the interests of the absent Settlement Class Members in accordance with Code Civ. Proc. § 382.

10. The Court appoints CPT Group, Inc. ("CPT") as the Settlement Administrator.

11. The Court approves, as to form and content: (1) the Settlement Class Notice Plan set forth in the Declaration of Julie Green of CPT, Inc. filed in Support of the Unopposed Motion for Preliminary Approval of Class Action Settlement; (2) the Long Form Notice, attached as Exhibit E to the Settlement Agreement; (3) the Summary Notice, attached as Exhibits G(1) (Postcard) and G(2) (Email Notice) to the Settlement Agreement; and (4) the Claim Form, attached as Exhibit A to the Settlement Agreement.

12. The Court finds on a preliminary basis that the plan for distribution of notice to Settlement Class Members (the “Notice Plan”) satisfies due process, provides the best notice practicable under the circumstances, and shall constitute due and sufficient notice to all persons entitled thereto, and the terms of the Settlement Agreement, and the Fairness Hearing, and complies fully with the requirements of the California Rules of Court, the California Code of Civil Procedure, the California Civil Code, the Constitution of the State of California, the United States Constitution, and any other applicable law.

13. The Parties are ordered to carry out the Settlement according to the terms of the Settlement Agreement.

14. With the exception of such proceedings as are necessary to implement, effectuate, and grant final approval to the terms of the Settlement Agreement, all proceedings and litigation deadlines are stayed in this Action and all Settlement Class Members are enjoined from commencing or continuing any action or proceeding in any court or tribunal asserting any claims encompassed by the Settlement Agreement pending decision on Final Approval of the Settlement, unless the Settlement Class Member timely submits a valid Request for Exclusion as defined in the Settlement Agreement.

15. The Court finds that the Notice Plan adequately informs members of the Settlement Class of their right to exclude themselves from the Settlement Class so as not to be bound by the terms of the Settlement Agreement.

16. Any member of the Class who elects to be excluded shall not be entitled to receive any of the benefits of the Settlement Agreement, shall not be bound by the release of any claims pursuant to the Settlement Agreement, and shall not be entitled to object to the Settlement Agreement or appear at the Fairness Hearing. The names of all Persons timely submitting valid Requests for Exclusion shall be provided to the Court.

17. Any Settlement Class Member who does not submit a valid Request for Exclusion as forth by the Settlement shall not be excluded from the Settlement Class.

18. Any Settlement Class Member who is not excluded from the Settlement Class shall be deemed to have released the Released Claims.

19. Service of all papers on counsel for the Parties shall be made as follows for Class Counsel:

Tina Wolfson
Andrew W. Ferich
ADHOOT & WOLFSON P.C.
2600 W. Olive Avenue, Suite 500
Burbank, CA 91505

Ryan Clarkson
Yana Hart
CLARKSON LAW FIRM, P.C.
22525 Pacific Coast Highway
Malibu, CA 90265

Benjamin F. Johns
Samantha E. Holbrook
SHUB AND JOHNS LLC
200 Barr Harbor Drive, Suite 400
Conshohocken, PA 19428

M. Anderson Berry
Gregory Haroutunian
CLAYEO C. ARNOLD, A
PROFESSIONAL CORPORATION
12100 Wilshire Blvd., 8th Flr.
Los Angeles, CA 90025

20. Any Settlement Class Member who is not excluded from the Settlement Class may object to the Settlement. To validly object to the Settlement Agreement, an objecting class member must mail or e-mail their objection to the Settlement Administrator, Class Counsel, and Keenan's Counsel and include: (i) their full name, current mailing address, and telephone number; (ii) a signed statement that they believe yourself to be a member of the Settlement Class; (iii) whether the objection applies only to the them as the objector, a subset of the Settlement Class, or the entire Settlement Class, (iv) the specific grounds for their objection; (v) all documents or writings that they desire the Court to consider; and (vi) a statement regarding whether they (or counsel of their choosing) intend to appear at the Fairness Hearing by [Objection Deadline].

21. The procedures and requirements for submitting objections in connection with the Fairness Hearing are intended to ensure the efficient administration of justice and the orderly presentation of any Class Member's objection to the Settlement Agreement, in accordance with the due process rights of all Class Members.

22. The Claims Administrator shall post the Settlement and all related documents on the Settlement Website. The Settlement shall include the approved class definition set forth in Paragraph 3 above and the final notices and claim form.

23. In the event that the proposed Settlement is not approved by the Court, or in the event that the Settlement becomes null and void pursuant to its terms, this Order and all orders entered

in connection therewith shall become null and void, shall be of no further force and effect, and shall not be used or referred to for any purposes whatsoever in this civil action or in any other case or controversy; in such event the Settlement and all negotiations and proceedings directly related thereto shall be deemed to be without prejudice to the rights of any and all of the Parties, who shall be restored to their respective positions as of the date and time immediately preceding the execution of the Settlement.

24. The Court orders the notice to be executed according to the schedule set out in the Settlement Agreement. The Court further orders the following schedule:

Event	Date
Last day for Defendant to provide Class List to the Settlement Administrator	5 calendar days after this Order granting preliminary approval of class action settlement
Notice Date (the date Settlement Administrator must commence Class Notice)	30 calendar days after this Order granting preliminary approval of class action settlement
Claims Deadline (deadline to submit Claim Forms)	90 calendar days after the Notice Date
Objection Deadline (filing deadline for Objections)	75 calendar days after the Notice Date
Exclusion Deadline (deadline to submit Opt-Outs)	75 calendar days after the Notice Date
Filing of Plaintiffs' Motion for Attorneys' Fees, Reimbursement of Expenses, and Service Payments	21 calendar days prior to the Objection / Exclusion Deadline
Filing of Plaintiffs' Motion for Final Approval	14 calendar days following the Objection / Exclusion Deadline
Final Fairness Hearing	_____, 2025 [Any date that is at least 135 days after the issuance of the Preliminary Approval Order]

25. The Court may, for good cause, extend any of the deadlines set forth in this Order without further notice to the Settlement Class Members. The Fairness Hearing may, from time to time and without further notice to the Settlement Class, be continued by order of the Court.

IT IS SO ORDERED.

Dated: _____

Hon. Kenneth R. Freeman

EXHIBIT G

Docusign Envelope ID: C2B0179C-F1D7-4BEF-AD7C-4A027AF7BC84
Heather Heath, et. al., v. Keenan & Associates

Case No. 24STCV03018
Superior Court of California
County of Los Angeles

As a Result of the KEENAN & ASSOC.
DATA SECURITY INCIDENT
SETTLEMENT, You Can Get Credit
Monitoring and Insurance Services to
Protect Your Information, Plus a Cash
Payment.

This is not a solicitation from a lawyer.

**This Notice is a Summary. For more
information about the Settlement and
how to file a Claim Form visit or call:
www.keenanbreachsettlement.com**

1-XXX-XXX-XXXX

*Para una notificación en Español,
llamar 1-XXX-XXX-XXXX o visitar
nuestro sitio web*

www.keenanbreachsettlement.com

Breach Settlement
c/o CPT Group, Inc.
50 Corporate Park
Irvine, CA 92606

«ScanString»

Postal Service: Please do not mark barcode

Confirmation Code: «Confirmation Code»

«FirstName» «LastName»

«Address1»

«Address2»

«City», «StateCd» «Zip»

«CountryCd»

Docusign Envelope ID: C2B0179C-F1D7-4BEF-AD7C-4A027AF7BC84

Notice ID <<Notice ID>> Keenan Data Security Incident Claim Form <<BARCODE>>
<<First Name>> <<Last Name>>
<<Address>>

Complete this Claim Form if you wish to receive CMIS and/or the Cash Fund Payment. Visit www.keenanbreachsettlement.com to submit a claim for Documented Losses or to download a Claim Form to complete and submit by mail.

Credit Monitoring and Insurance Services ("CMIS")

☐ Check this box if you want to receive 3 years of CMIS. Provide your email address: _____

Pro Rata Cash Fund Payment

☐ Check this box if you also want to receive a pro rata Cash Fund Payment under the Settlement. *If you are a Settlement Class Member, you may receive a cash payment from money remaining in the Settlement Fund after all claims are submitted. You do not need to suffer out-of-pocket losses to select this option. If you select this option, you cannot also select the Documented Loss payment option. If you want to submit a claim for Documented Losses, visit the settlement website: www.keenanbreachsettlement.com.*

Select one of the following payment methods: *PayPal _____ *Venmo _____ *Virtual Prepaid Card _____ Check _____ *
Please provide your email address or phone number associated with your PayPal, Venmo or Zelle account, or email address for the Virtual Prepaid card: _____

By signing my name below, I declare under penalty of perjury under the laws of the State of California that the information included on this Claim Form for a pro rata Cash Fund Payment and/or Credit Monitoring and Insurance Services is true and accurate, and I certify that I am eligible to make a claim in this Settlement, and that I am completing this Claim Form to the best of my personal knowledge.

Signature: _____ Printed Name: _____ Date: _____

DocuSign Envelope ID: C2B0179C-F1D7-4BEF-AD7C-4A027AF7BC84
In proposed class action concerning our use of a Data Security Incident Response Team ("DSIRT") with Keenan & Associates ("Keenan"), Around August 21, 2023, Keenan experienced a cybersecurity attack that affected its computer systems (the "Data Security Incident"). A subsequent investigation determined that this Data Security Incident exposed personal identity information ("PII") and personal health information ("PHI") of certain individuals. Plaintiffs claim that Keenan did not adequately protect their personal information. Keenan denies any wrongdoing. No judgment or determination of wrongdoing has been made by the Court.

Who is Included? The Court decided that Class Members means all individuals who have been notified that their personal information was or may have been affected in the Data Security Incident. If you are receiving this Notice, you are a Class Member.

What does the Settlement Provide? The Settlement establishes a \$14,000,000 Settlement Fund to be used to pay valid claims for reimbursement of Documented Losses, Credit Monitoring and Insurance Services ("CMIS"), and pro rata Cash Fund Payments, including additional payments for California residents; costs of Notice and administration; Service Awards to the Class Representatives; and Attorneys' Fees and Costs (not exceed \$4,975,000). Also, Keenan has agreed to undertake certain remedial measures and enhanced data security measures. All Claimants are eligible to elect three years of CMIS. In addition, Claimants may also select **one** of the following forms of monetary relief:

- **Documented Loss Payments** – reimbursement for certain Documented Losses, i.e., money spent or lost, that more likely than not resulted from the Keenan Data Security Incident (up to \$10,000); **OR**
- **Pro Rata Cash Fund Payments** – a pro rata cash payment from money remaining in the Settlement Fund after all claims are submitted. Individuals who are residents of California, or resided there on August 21, 2023, are entitled to a stepped up payment, adjustable on a pro rata basis.

How To Get Benefits: You must complete and file a Claim Form online or by mail postmarked by **DATE**, including required documentation. You can file your claim online at www.keenanbreachsettlement.com or download and submit by mail. You may also complete the enclosed tear-off Claim Form for CMIS and Cash Fund Payments *only*. Documented Losses claims must be submitted on the website or by mail.

Your Other Options. If you do not want to be legally bound by the Settlement, you must **exclude yourself** by **DATE**. If you do not exclude yourself, you will release any claims you may have against Keenan and Released Parties related to the Keenan Data Security Incident, as more fully described in the Settlement Agreement, available at www.keenanbreachsettlement.com. If you do not exclude yourself, you may **object to the Settlement**. Visit the website for complete information on how to exclude yourself or object to the Settlement.

The Final Fairness Hearing. The Court has scheduled a hearing in this case for **DATE at TIME** in Courtroom **X** located at 312 North Spring Street, Los Angeles, CA 90012, to consider whether to approve the Settlement, Service Awards, attorneys' fees and expenses, as well as any objections. You or your attorney may attend and ask to appear at the hearing, but you are not required to do so.

DocuSign Envelope ID: C2B0179C-F1D7-4BEF-AD7C-4A027AF7BC84

Business
Reply
Mail

Keenan & Assoc. Data Breach Litigation
c/o Settlement Administrator
c/o CPT Group, Inc.
50 Corporate Park
Irvine, CA 92606

Email Notice

**You Can Get a Cash Payment and/or Credit
Monitoring and Insurance Services to Protect
Your Information That May Have Been
Exposed in the KEENAN & ASSOCIATES
DATA SECURITY INCIDENT.**

*A federal court has authorized this Notice. This is not a
solicitation from a lawyer.*

*Para una notificación en Español, llamar 1-XXX-XXX-XXXX o
visitar nuestro sitio web www.keenanbreachsettlement.com.*

**Click here to file a claim by Month XX,
202X.**

A proposed Settlement arising out of a Data Security Incident has been reached with Keenan & Associates ("Keenan"). Around August 21, 2023, Keenan experienced a cybersecurity attack that affected its computer systems (the "Data Security Incident"). A subsequent investigation determined that this Data Security Incident exposed personal identity information ("PII") and personal health information ("PHI") of certain individuals. The Plaintiffs claim that Keenan did not adequately protect their personal information. Keenan denies any wrongdoing. No judgment or determination of wrongdoing has been made by the Court.

Who is Included? The Court decided that Class Members means all individuals who have been notified that their

Docusign Envelope ID: C2B0179C-F1D7-4BEF-AD7C-4A027AF7BC84
personal information was or may have been affected in the Data Security Incident. If you are receiving this Notice, you are a Class Member.

What does the Settlement Provide? The Settlement establishes a \$14,000,000 Settlement Fund to be used to pay valid claims for reimbursement of Documented Losses, Credit Monitoring and Insurance Services ("CMIS"), and pro rata Cash Fund Payments, including additional payments for California residents; costs of Notice and administration; Service Awards to the Class Representatives; and Attorneys' Fee Award and Costs (not to exceed \$4,975,000). Also, Keenan has agreed to undertake certain remedial measures and enhanced data security measures. All Claimants are eligible to elect three years of CMIS. In addition, Claimants may also select **one** of the following forms of monetary relief:

- **Documented Loss Payments** – reimbursement for certain Documented Losses, i.e., money spent or lost, that more likely than not resulted from the Keenan Data Security Incident (up to \$10,000); OR
- **Pro Rata Cash Fund Payments** – a pro rata cash payment from money remaining in the Settlement Fund after all claims are submitted. Individuals who are residents of California, or resided there on August 21, 2023, are entitled to a stepped-up payment, adjustable on a pro rata basis.

How To Get Benefits: You must complete and file a Claim Form online or by mail postmarked by **DATE**, including required documentation. You can file your claim online at www.keenanbreachsettlement.com or download and submit by mail.

Docusign Envelope ID: C2B0179C-F1D7-4BEF-AD7C-4A027AF7BC84

Your Other Options. If you do not want to be legally bound by the Settlement, you must **exclude yourself** by **DATE**. If you do not exclude yourself, you will release any claims you may have against Keenan or other Released Parties related to the Keenan Data Security Incident, as more fully described in the Settlement Agreement, available at the Settlement Website. If you do not exclude yourself, you may **object to the Settlement**. You may, if you so desire, enter an appearance through counsel. Visit www.keenanbreachsettlement.com for complete information on how to exclude yourself from or object to the Settlement.

The Final Fairness Hearing. The Court has scheduled a hearing in this case for **DATE at TIME** in Courtroom **X** located at 312 North Spring Street, Los Angeles, CA 90012, to consider: whether to approve the Settlement, Service Awards, attorneys' fees and expenses, as well as any objections. You or your attorney may attend and ask to appear at the hearing, but you are not required to do so.

You may contact the settlement administrator at the e-mail address, phone number or mailing address below if you have any questions.

Keenan & Associates Data
Breach Settlement
c/o CPT Group, Inc.
50 Corporate Park
Irvine, CA 92606
keenanbreachsettlement@cptgroup.com
Toll free telephone number: **xxxxxxxxxxxxxxx**

EXHIBIT H

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SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF LOS ANGELES

HEATHER HEATH, *et. al.*, individually and on
behalf of all others similarly situated,

Plaintiffs,

v.

KEENAN & ASSOCIATEs, and Does 1 Through
20, Inclusive,

Defendant.

Case No. 24STCV03018
(Assigned to Hon. Kenneth R. Freeman)

**STIPULATED UNDERTAKING RE:
ATTORNEYS’ FEES AND
EXPENSES IN CONNECTION
WITH PROPOSED CLASS ACTION
SETTLEMENT AND PROPOSED
ORDER**

1 Plaintiffs Heather Heath, Brian Heinz, Robert Ruma, Matthew Rutledge, and Andrea Hans
2 (“Plaintiffs”), and Keenan & Associates (“Defendant”) (collectively the “Parties”), by and through
3 their undersigned counsel stipulate and agree as follows:

4 WHEREAS, the Parties have entered into a Settlement Agreement in the above captioned
5 action to which this Stipulated Undertaking re: Attorneys’ Fees and Expenses in Connection with
6 Proposed Class Action Settlement and Proposed Order (“Stipulated Undertaking”) is Exhibit H.

7 WHEREAS, all capitalized terms used herein without definition shall have the same
8 meaning, force, and effect given to them in the Settlement Agreement.

9 WHEREAS, Class Counsel and their respective law firms desire to memorialize an
10 undertaking for the possible repayment of their share of any Fee and Expense Award, as may be
11 required by the Settlement Agreement and approved by the Court.

12 WHEREAS, the Parties agree that this Stipulated Undertaking is in the interests of all Parties
13 and in service of judicial economy and efficiency.

14 NOW, THEREFORE, each of the undersigned Class Counsel, on behalf of themselves as
15 individuals and as officers of their law firm, hereby submit themselves and their law firm to the
16 jurisdiction of the Court for the purpose of enforcing the provisions of this Stipulated Undertaking.

17 In the event the Final Approval Order and Final Judgment (or the order awarding any Fee
18 and Expense Award) is reversed or modified on appeal, in whole or in part, then within fifteen (15)
19 business days of after an order vacating or modifying the Final Approval Order and Final Judgment
20 becomes final, Class Counsel (or, as applicable, any and all successor(s) or assigns of their
21 respective firms) shall be liable for repayment to Defendant of their share return of any Fee and
22 Expense Award awarded by the Court.

23 In the event the Final Approval Order and Final Judgment is not reversed on appeal, in whole
24 or in part, but the Fee and Expense Award awarded by this Court are vacated or modified on appeal,
25 Class Counsel shall, within fifteen (15) business days after the order vacating or modifying the award
26 of the Fee and Expense Award becomes final, repay to the Settlement Fund, their share of attorneys’
27 fees and expenses paid from the Settlement Fund to Class Counsel in the amount vacated or modified.

Any action that may be required thereafter may be addressed to this Court on shortened notice, but not less than five (5) court days.

This Stipulated Undertaking and all obligations set forth herein shall expire upon the Effective Date.

In the event Class Counsel fails to repay to Defendant any attorneys' fees and costs that are owed pursuant to this Stipulated Undertaking, the Court shall, upon application of Defendant, and notice to Class Counsel, summarily issue orders, including but not limited to judgments and attachment orders against Class Counsel for their share of the unpaid sum.

The undersigned stipulate, warrant, and represent that they have express authority to enter into this stipulation, agreement, and undertaking on behalf of their respective law firms and client-parties to this action.

This Undertaking may be executed in one or more counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

The undersigned declare under penalty of perjury under the laws of the State of California and the United States that they have read and understand the foregoing, and that it is true and correct.

IT IS SO STIPULATED THROUGH COUNSEL OF RECORD:

DATED: November 8, 2024

CLARKSON LAW FIRM, P.C.

Ryan J. Clarkson
Yana Hart

DATED: November 8, 2024

AHDOOT & WOLFSON, PC

Tina Wolfson
Andrew W. Ferich

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DATED: November 8, 2024

SHUB & JOHNS LLC

Benjamin F. Johns
Samantha E. Holbrook

DATED: November 8, 2024

ARNOLD LAW FIRM

M. Anderson Berry
Gregory Haroutunian

Attorneys for Plaintiffs

DATED: November 8, 2024

JONES DAY

John A. Vogt
Ryan D. Ball
Matthew T. Billeci

Attorneys for Defendant