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1 2 3 4 5 6	JEFFREY A. DINKIN, State Bar No. jdinkin@sycr.com JOHN WICKER, State Bar No. 2920 jwicker@sycr.com STRADLING YOCCA CARLSON & 800 Anacapa Street, Suite A Santa Barbara, CA 93101 Telephone: (805) 730-6800 Facsimile: (805) 730-6801 Attorneys for Defendant	23
7 8	EXXON MOBIL CORPORATION	
0 9		ES DISTRICT COURT RICT OF CALIFORNIA
10		ERN DIVISION
11	GABRIEL GUIMARY, an	CASE NO.
12	individual, for himself and those similarly situated,,	[Santa Barbara Superior Court Case No.
13	Plaintiff,	18CV00845]
14	VS.	DEFENDANT EXXON MOBIL CORPORATION'S NOTICE OF REMOVAL OF ACTION TO THE
15	EXXON MOBIL CORPORATION, a New Jersey corporation doing	UNITED STATES DISTRICT COURT FOR THE CENTRAL
16	a New Jersey corporation doing business in California; and DOES 1 through 100, inclusive,	DISTRICT OF CALIFORNIA PURSUANT TO 28 U.S.C. §§ 1331,
17 18	Defendant.	1332, 1441 & 1446
10		[Filed concurrently with Certification and Notice of Interested Parties; Declaration of Nicolas H. Pussetto; Civil Cover Sheet;
20		Notice of Appearance of Counsel]
21		[State Court Complaint Filed: February 20, 2018]
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TO THE CLERK AND HONORABLE JUDGES OF THE UNITED 1 2 DISTRICT COURT FOR THE CENTRAL DISTRICT STATES OF 3 CALIFORNIA, WESTERN DIVISION, AND TO ALL PARTIES AND THEIR 4 ATTORNEYS OF RECORD:

5 PLEASE TAKE NOTICE that Defendant Exxon Mobil Corporation ("Defendant" or "ExxonMobil"), by and through its counsel of record, hereby 6 7 removes the matter of Gabriel Guimary v. Exxon Mobil Corporation, et al., Case 8 No. 18CV00845, pursuant to 28 U.S.C. sections 1331, 1332, 1441 and 1446, from 9 the Superior Court for the County of Santa Barbara to the United States District 10 Court for the Central District of California, Western Division.

11 Removal is proper on three separate and independent grounds: (1) federal 12 question jurisdiction under the Outer Continental Shelf Lands Act ("OCSLA," 43 13 U.S.C. § 1331, et seq.), 28 U.S.C. §§ 1331, 1441(a) and 1446; (2) federal question 14 jurisdiction under Section 301 of the Labor Management Relations Act of 1947 15 ("LMRA," 29 U.S.C. § 185), 28 U.S.C. §§ 1331, 1441(a) and 1446; and (3) 16 diversity jurisdiction pursuant to 28 U.S.C. §§ 1332, 1441(a), (b) and 1446. The 17 following is a short and plain statement of the grounds for removal, together with a 18 discussion of and enclosed true and correct copies of all process, pleadings, and 19 orders to date.

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### THE STATE COURT ACTION, CLAIMS ANDPROCEDURAL HISTORY

1. On or about February 20, 2018, Plaintiff Gabriel Guimary
 ("Plaintiff"), individually and on behalf of all other similarly situated current and
 former employees of Defendant, filed a Putative Class Action Complaint in the
 Superior Court of California for the County of Santa Barbara, Case No.
 18CV00845 (the "Complaint"), together with a Civil Case Cover Sheet, Summons
 and Demand for Jury Trial.

9 2. On or about February 21, 2018, an Order and Notice of Case
10 Assignment; Notice of Case Management Conference was filed by the state court,
11 a true and correct copy of which is filed concurrently herewith and attached hereto
12 as Exhibit A.

13 3. On February 22, 2018, Defendant's registered agent for service of 14 process, CSC, was personally served with the Summons, Complaint, Demand for 15 Jury Trial, Civil Case Cover Sheet and Notice of Service of Process discussed in 16 paragraph 1 above, true and correct copies of which are filed concurrently herewith 17 and attached hereto as **Exhibit B**. The Complaint alleges five causes of action, for: 18 (1) failure to pay overtime and double-time premium wages; (2) failure to provide 19 lawful meal and rest periods; (3) unfair competition; (4) failure to timely pay final 20 wages due at termination; and (5) pay stub violations. While the Complaint does 21 not expressly allege any claim or cause of action under federal law, it fails to 22 disclose that the terms and conditions of Plaintiff's employment were at all 23 relevant times subject to and governed by a Collective Bargaining Agreement 24 ("CBA").

4. On or about February 23, 2018, Plaintiff filed a peremptory challenge
to the then-assigned state court judge, a true and correct copy of which is filed
concurrently herewith and attached hereto as Exhibit C.

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- 5. On or about February 26, 2018, an Order and Notice of Case Re-1 2 Assignment was filed by the Court, a true and correct copy of which is filed 3 concurrently herewith and attached hereto as **Exhibit D**.
- 6. On or about February 27, 2018, Plaintiff filed a Proof of Service of 4 5 the Summons & Complaint, a true and correct copy of which is filed concurrently herewith and attached hereto as Exhibit E. 6

7 7. In compliance with 28 U.S.C. § 1446(a), the documents filed 8 concurrently herewith and attached hereto as Exhibits A-E constitute true and 9 correct copies of all process, pleadings and orders served on Defendant, as well as all documents filed in Santa Barbara Superior Court for Case No. 18CV000845.<sup>1</sup> 10

11 8. In accordance with 28 U.S.C. § 1446(d), the undersigned counsel 12 certifies that a copy of this Notice of Removal and all supporting pleadings 13 promptly will be served on Plaintiff's counsel (Michael A. Strauss, Aris E. 14 Karakalos and Andrew C. Ellison, Strauss & Strauss, APC, 121 N. Fir Street, Suite 15 F, Ventura, California, 93001) and filed with the Clerk of the Santa Barbara County Superior Court. Therefore, all procedural requirements under 28 U.S.C. § 16 17 1446 will be satisfied.

18 9 Venue is proper in this Court pursuant to 28 U.S.C. §§ 84(c)(2) and 19 1391, as well as 28 U.S.C. § 1441(a), because this is the judicial district and 20 division of this Court in which Plaintiff alleges a substantial part of the events or 21omissions giving rise to his claims occurred and where, based on information and 22 belief, Plaintiff resides. (See Exhibit B (Complaint, ¶ 13, 17, 18).) Further, 23 Defendant, who is the only named Defendant, and who is the only Defendant at-24 issue herein, resides in Santa Barbara County for purposes of venue only pursuant 25 to 28 U.S.C. § 1391(c)(2) at 12000 Calle Real, Goleta, California 93117-1207, 26 which is within the Western Division of the Central District. (See Declaration of

<sup>&</sup>lt;sup>1</sup>To the extent necessary, Defendant requests that the Court take judicial notice of the exhibits A-E 28 attached to this Notice of Removal, as all are pleadings that were filed in the state court in this matter. -4-

Nicolas H. Pussetto ("Pussetto Decl.,"), ¶ 6; see also Exhibit B (Complaint ¶¶ 14, 1 2 17).) 3 II. TIMELINESS OF REMOVAL 4 5 10. Because Defendant was served with the Summons and Complaint on February 22, 2018, this Notice of Removal is timely filed pursuant to 28 U.S.C. § 6 7 1446(b) given that it has been filed within thirty days after the receipt of the 8 Summons and Complaint, as required by that section. See Murphy Bros., Inc. v. 9 Michetti Pipe Stringing, Inc., 526 U.S. 344, 353-55 (1999) (service of process is 10 the official trigger for responsive action by a named defendant). III. 11 FEDERAL QUESTION JURISDICTION UNDER THE OUTER 12 **CONTINENTAL SHELF LANDS ACT** 13 This is a civil action that presents a federal question under a federal 14 11. law, the OCSLA (43 U.S.C. § 1331, et seq.). Accordingly, this Court has original 15 16 jurisdiction pursuant to 28 U.S.C. § 1331, and this case may be removed under 28 17 U.S.C. §§1441(a) and 1446. 18 12. Plaintiff defines the proposed class in this action as "all current and 19 former hourly employees of Defendant Exxon, who, at any time within four years 20 from the date of filing of this lawsuit, worked on oil platforms located off the 21 shores of California." (See Exhibit B (Complaint, ¶ 19).) Additionally, throughout 22 his Complaint Plaintiff alleges that the purported unlawful activity that is the 23 subject matter of this lawsuit transpired on the Outer Continental Shelf. (See 24 Exhibit B (Complaint).) The "Outer Continental Shelf" generally refers to those 25 submerged lands lying more than three miles offshore, outside the territorial 26 jurisdiction of the states. See 43 U.S.C. §§ 1331(a), 1301(a)(2); Valladolid v. Pac. 27 Operations Offshore, LLP, 604 F.3d 1126, 1130 (9th Cir. 2010). Plaintiff's claims 28 implicate a total of three oil platforms on which putative class members performed -5-

1 work during the purported class liability period (February 20, 2014 to present). 2 (See Pussetto Decl., ¶ 5.) All three platforms are fixed platforms located more than 3 three miles from the California coast, and are thus connected to the seabed of the Outer Continental Shelf. (See Pussetto Decl., ¶ 5.) Indeed, Plaintiff alleges 4 5 throughout his Complaint that the oil platforms at issue are connected to and located on the Outer Continental Shelf. (See Exhibit B (Complaint, generally).) 6 7 Therefore, Plaintiff seeks recovery for work conducted exclusively on oil platforms 8 located in territories subject to federal jurisdiction under the OCSLA.

9 13. Plaintiff's claims are removable under the OCSLA, which specifies that United States district courts shall have jurisdiction over all "cases and 10 11 controversies arising out of, or in connection with...any operation conducted on the 12 outer Continental Shelf which involves exploration, development, or production of the minerals, of the subsoil and seabed of the outer Continental Shelf, or which 13 14 involves rights to such minerals....Proceedings with respect to any such case or 15 controversy may be instituted in the judicial district in which any defendant resides 16 or may be found...." 43 U.S.C. § 1349(b)(1). Indeed, the "OCSLA explicitly 17 provides that district courts have federal question jurisdiction over claims 18 occurring on the Outer Continental Shelf." Barker v. Hercules Offshore, Inc., 713 F.3d 208, 220 (5th Cir. 2013) (internal citations omitted). Furthermore, removal is 19 20 appropriate under the OCSLA in any case that would not have arisen "but-for" the 21 existence of the "drilling and exploration operation." In re Deepwater Horizon, 745 F.3d 157, 163-64 (5th Cir. 2014); see also Valdez v. Alliance Liftboats, LLC., 22 23 Case No. 2:14-CV-444, 2015 WL 1168284 (S.D. Tex. Mar. 13, 2015) (explaining 24 that "OCSLA provides original jurisdiction for removal even if the plaintiff does 25 not plead it.").

14. Because Plaintiff's proposed class, as defined by Plaintiff, consists
exclusively of individuals who work or have worked on oil platforms, all of which
are located on and connected to the Outer Continental Shelf, Plaintiff's claims

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herein unequivocally arise out of and/or in connection with operations involving
 the "exploration, development, or production of the minerals, of the subsoil and
 seabed of the outer Continental Shelf." Accordingly, federal question jurisdiction
 exists under OCSLA and removal is proper.

#### IV.

# FEDERAL QUESTION JURISDICTION BASED ON LMRA SECTION 301 PREEMPTION

8 15. In addition to federal question jurisdiction under OCSLA, this is a
9 civil action that also presents a federal question under LMRA section 301 and,
10 accordingly, this Court has original jurisdiction pursuant to 28 U.S.C. § 1331, and
11 this case may thus be removed under 28 U.S.C. § 1441.

12 16. Federal question jurisdiction under LMRA stems from the fact that 13 Plaintiff's claims require interpretation of a CBA and thus are completely 14 preempted by federal law under the LMRA. 29 U.S.C. § 185. Section 301 of the 15 LMRA, codified at 29 U.S.C. § 185, provides that: "[s]uits for violation of 16 contracts between an employer and a labor organization representing employees in 17 an industry affecting commerce...may be brought in a district court of the United 18 States having jurisdiction of the parties, without respect to the amount in 19 controversy or without regard to the citizenship of the parties." Firestone v. S. Cal. 20 Gas Co., 219 F.3d 1063, 1065 (9th Cir. 2000). To ensure uniform interpretations of 21 CBAs, federal common law preempts the use of state contract law with respect to 22 the interpretation and enforcement of CBAs. See Lingle v. Norge Div. of Magic 23 Chef, Inc., 486 U.S. 399, 413 (1988).

17. Moreover, all state law claims alleged by a union-represented
employee that require interpretation of a CBA must be brought pursuant to Section
301 of the LMRA. *Allis-Chalmers Corp. v. Lueck*, 471 U.S. 202, 211 (1985). "The
preemptive force of section 301 is so powerful that it displaces entirely any state
cause of action for violation of a collective bargaining agreement...any state claim

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whose outcome depends on analysis of the terms of the agreement." *Newberry v. Pac. Racing Ass'n*, 854 F.2d 1142, 1146 (9th Cir. 1988); *see Voorhees v. Naper Aero Club, Inc.*, 272 F.3d 398, 403 (7th Cir. 2001) (explaining that Section 301 is
one of "only two areas in which the Supreme Court has found that Congress
intended completely to replace state law with federal law for purposes of federal
jurisdiction.").

7 18. Indeed, Section 301 specifically has been held to preempt California 8 state law claims that are substantially dependent upon interpretation of a collective 9 bargaining agreement. Firestone, 219 F.3d at 1065-67. This is so even where 10 interpretation was required to evaluate the employer's defense to a plaintiffemployee's state law causes of action. See Levy v. Skywalker Sound, 108 11 12 Cal.App.4th 753, 768 (2003) (holding that employee's claim for unpaid wages 13 preempted because it "rest[ed] entirely" on a claim that plaintiff was "entitled...to wages at the level set by the CBA."). 14

15

# A. Plaintiff's Employment Was Governed By and Subject to a CBA.

16 19. Plaintiff was employed by Defendant as a "Maintenance Specialist" in Defendant's U.S. Production Santa Ynez Unit from January 12, 2015 to March 25, 17 2016.<sup>2</sup> (Pussetto Decl., ¶ 3; see also Exhibit B (Complaint, ¶¶ 11, 12, 25-28).) The 18 19 terms and conditions of Plaintiff's employment with Defendant are subject to a 20 CBA between Defendant's U.S. Production Santa Ynez Unit and the Federation of 21 Santa Ynez Unit ExxonMobil Employees (the "Union"). (Pussetto Decl., ¶ 5, Ex. A.) A true and correct copy of the CBA in effect since October 2014 for proposed 22 23 class members and applicable to Plaintiff's employment with Defendant is attached 24 to the Pussetto declaration, filed concurrently herewith, as Exhibit A.

<sup>&</sup>lt;sup>2</sup>Plaintiff contends in his Complaint that he was employed as an "Operator." (Exhibit B (Complaint, ¶¶
11, 12, 25-28).) However, at all relevant times Plaintiff was employed by Defendant as a Maintenance
Specialist, which is a part of the same bargaining unit as "Operators." Pursuant to the CBA, Operators are
paid at a higher rate than Maintenance Specialists. (Pussetto Decl., ¶¶ 3, 5 Ex. A (CBA, pg. 71 (Schedule
A)).) Thus, the "amount in controversy" for Plaintiff's claims is even higher than stated in Section VI, herein *infra*, if the allegations of Plaintiff's complaint are taken as true.

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20. The Union is a labor organization within the meaning of Section 2(5)
 of the NLRA and 301(a) of the LMRA. *See* 29 U.S.C. §§ 152(5) and 185(a).

3 21. Defendant is an employer within the meaning of the LMRA. See 29
4 U.S.C. § 152(2).

5 22. The "Witnesseth" section of the CBA specifically recognizes the Union as the "exclusive bargaining representative for...all production and 6 7 maintenance employees employed in California....by [Defendant's U.S. 8 Production Santa Ynez Unit] including all classifications in Schedule A..." for the 9 purposes of "collective bargaining concerning the rates of pay, wages, hours, and other conditions of employment...." (Pussetto Decl., ¶ 5, Ex. A (CBA, pgs. 1, 2).) 10 11 Schedule A, which appears on page 71 of the CBA, explicitly lists "Maintenance 12 Specialist" as a classification within its rate schedule. (Id.)

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# B. Because They Require Considerable Interpretation of the CBA, Plaintiff's Claims are Preempted by the LMRA.

15 23. The Complaint makes no mention of the fact that Plaintiff was a 16 member of the Union and employed pursuant to the terms of the CBA. However, a 17 plaintiff may not "artfully plead" their complaint in order to conceal the true nature of the complaint. Young v. Anthony's Fish Grottos, Inc., 830 F.2d 993, 997 (9th 18 19 Cir. 1987) (holding that plaintiff's state law claim was preempted even though the 20 operative complaint made no mention of a collective bargaining agreement); 21 Schroeder v. Trans World Airlines, Inc., 702 F.2d 189, 191 (9th Cir. 1983), overruled in part on other grounds in Moore-Thomas v. Alaska Airlines, Inc., 553 22 23 F.3d 1241 (9th Cir. 2009). Therefore, the fact that Plaintiff has not made an 24 explicit reference to Section 301 of the LMRA in his Complaint does not preclude 25 removal. See Milne Employees Ass'n v. Sun Carriers, Inc., 960 F.2d 1401, 1406 26 (9th Cir. 1991). The Court may properly look beyond the face of the Complaint to 27 evaluate whether the claims alleged are in fact preempted by Section 301. See 28 Lippitt v. Raymond James Fin. Servs., Inc., 340 F.3d 1033, 1041 (9th Cir. 2003). -91 Furthermore, the Court may properly look to the facts stated in the Notice of 2 Removal "to clarify the action a plaintiff presents and to determine if it 3 encompasses an action within federal jurisdiction." Schroeder, 702 F.2d at 191.

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24. The "complete preemption" doctrine provides that an artfully pled 5 state law claim is properly "recharacterized" as a federal claim because the preemptive force of Section 301 is so strong that it "converts an ordinary state law 6 7 complaint into one stating a federal claim for purposes of the well-pleaded 8 complaint rule" and is removable to federal court. Caterpillar Inc. v. Williams, 482 9 U.S. 386, 393 (1987); Franchise Tax Bd. V. Construction Laborers Vacation Trust, 463 U.S. 1, 23 (1983) (providing that "if a federal cause of action completely 10 11 preempts a state cause of action, any complaint that comes within the scope of the 12 federal cause of action necessarily 'arises under' federal law.").

13 25. Here, the claims asserted by Plaintiff in his Complaint are "founded 14 directly on rights created by collective bargaining agreements" and/or are 15 substantially dependent on analysis and interpretation of a collective bargaining 16 agreement. See Hayden v. Reickerd, 957 F.2d 1506, 1509 (9th Cir. 1991) (citing 17 *Caterpillar Inc.*, 482 U.S. at 394). Thus, to analyze Plaintiff's claims the Court will 18 necessarily need to interpret the provisions of the applicable CBA. That is, the 19 Court cannot simply look to state law to resolve Plaintiff's artfully pled claims for 20 breach of the CBA. Accordingly, Plaintiff's claims cannot be adjudicated without 21 interpretation of a number of CBA provisions that govern Plaintiff's employment with Defendant. 22

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26. Plaintiff alleges the following five claims in his Complaint: (1) failure 24 to pay overtime and double-time premium wages; (2) failure to provide lawful 25 meal and rest periods; (3) unfair competition; (4) failure to timely pay final wages 26 due at termination; and (5) pay stub violations. (See Exhibit B (Complaint).) The 27 relevant CBA addresses the terms and conditions governing hours worked, rates of 28 pay, wages, meal and rest periods, overtime premium pay, "call-out" time, travel -10-

time, as well as other relevant terms and conditions of employment, as discussed 1 2 below. Articles XIV and XV of the CBA also provide mandatory grievance and 3 arbitration procedures, and require that any disputes regarding an alleged violation 4 of the CBA be submitted to final and binding arbitration for resolution. (Pussetto 5 Decl., ¶ 5, Ex. A.) In order to resolve Plaintiff's claims herein the Court will be required, at minimum, to interpret all of these provisions. 6

7 27. Hours, Wages, Overtime and Meal and Rest Periods. The CBA sets forth the parties' mutual agreement regarding all matters pertaining to 8 9 employee wages, including overtime pay (and how to calculate the regular rate of pay for purposes of overtime), reporting time pay, excess hours, call-outs, 10 differential pay, training time pay, relocation pay, travel time pay (including 11 12 transfer-travel time), holiday pay (including holiday premium pay and effect on 13 overtime calculations), special shifts and assignments and related matters regarding wages, hours and the terms and conditions of employment. (Pussetto Decl., ¶ 5, Ex. 14 A (CBA, pgs. 8-14, 22, 24-30, 35-38, 71-75).)<sup>3</sup> Working rules governing meal and 15 rest breaks and laying out the conditions under which they may be taken during the 16 period February 20, 2014 forward have also been adopted pursuant to Article XX 17 18 of the CBA, and as such are subject to the grievance and arbitration provisions under Articles XIV and XV of the CBA. (Pussetto Decl., ¶ 5, Ex. A (CBA, pg. 65, 19 20 Santa Ynez Unit Meal and Rest Period Guideline).)

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28. The crux of Plaintiff's claims are that he was paid for 12 hours per day while working 7-day "hitches" on one of Defendant's offshore oil platforms, 22 23 but he alleges he was entitled to be compensated for all hours spent on the platform 24 (an additional 12 overtime hours per day) because he was under Defendant's

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<sup>3</sup>Schedule B of the CBA, which runs from pages 72-75, applies "only to employees assigned to offshore 26 Santa Ynez Unit operations." Schedule B provides specific rules for affected employees in addition to those contained in the CBA, including with respect to shift times and lengths, travel time pay (including 27 rates and when it will be treated as overtime), vacation pay and conditions, and holiday pay. (Id.) The CBA addresses and discusses all such matters for those employees not assigned to offshore Santa Ynez 28 Unit operations. Further, the CBA controls with respect to any conflicts between itself and Schedule B.

1 control during those times. (See Exhibit B (Complaint, ¶ 9, 10, 31-38.).) The 2 balance of Plaintiff's claims, including for purported meal and rest period 3 violations, unfair competition, waiting time penalties and inaccurate itemized wage statements, are all derivative of this claim. (Id.) For example, Plaintiff contends 4 5 that his wage statements were inaccurate because they did not detail this additional 12 hours per day of overtime he claims to be owed, and that he is owed waiting 6 7 time penalties because Defendant did not pay him all wages allegedly due him at 8 the time his employment ended because of Defendant's purported failure to pay 9 him for an additional 12 hours of overtime pay for the 12 hours he was off- duty 10 each day of his 7-day hitch throughout his employment. (Id.) Plaintiff claims that 11 he never received a compliant meal or rest period, because he remained on the 12 platform, purportedly under Defendant's control, during his entire shift. (Id.) 13 Plaintiff explicitly states in paragraph 10 of the Complaint that his unfair 14 competition claim "is predicated on" this theory. Plaintiff also alleges that his 15 regular rate of pay for purposes of overtime was miscalculated. (Id. at  $\P$  41.) The 16 CBA expressly provides for overtime pay, including premium pay for hours 17 worked over eight in a day or forty in a week, as well as premium pay for certain 18 "call-outs," with calculation variances under certain circumstances, shift differentials and provisions with respect to how to calculate an employee's straight 19 20time pay for purposes of overtime. (Pussetto Decl., ¶ 5, Ex. A (CBA, pgs. 11, 12, 21 25-28).) The CBA and related work rules also provide for meal and rest periods 22 under specified circumstances, and lay out the conditions under which employees 23 are entitled to such breaks, both with and without pay. (Pussetto Decl., ¶ 5, Ex. A 24 (CBA, pg. 14, Santa Ynez Unit Meal and Rest Period Guideline).) Put simply, the 25 CBA governs the issues about which Plaintiff now seeks relief. Accordingly, this 26 is a federal question LMRA dispute and removal is proper.

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29. Wage Order 16 and California Labor Code § 514. To the extent state law applies to Plaintiff's claims, section 514 of the California Labor Code and -12-

1 sections 3(H) and 10(E) of Industrial Welfare Commission Wage Order 16 (Cal. 2 Code Regs., § 11160) provide that the overtime, alternate workweek and meal 3 break requirements under state law do not apply to employees covered by a valid 4 CBA, so long as the CBA provides wages, hours of work, working conditions and 5 premium wage rates for all overtime hours worked, and wages for all regular hours worked are not less than 30% more than the state's minimum wage. The CBA here 6 7 meets all of these requirements. Vranish v. Exxon Mobil Corp., 223 Cal.App.4th 8 103 (2014) (holding that where a valid collective bargaining agreement exists, 9 employers and unions are free to bargain over the rate of overtime pay, as well as 10 what hours will qualify as "overtime" for purposes of premium pay). (Pussetto 11 Decl., ¶ 5, Ex. A (CBA, pgs. 8-14, 22, 24-38, 43-51, 71-75 (Schedule "A"), Santa 12 Ynez Unit Meal and Rest Period Guideline.) Indeed, Schedule "A" of the CBA provides that the entry level hourly wage for a "Maintenance Specialist," the 13 position Plaintiff held with Defendant at all relevant times, was \$29.64 per hour 14 15 (and could get as high as \$35.06 per hour) effective January 1, 2015—several 16 times in excess of the state minimum wage. (Id.) Additionally, section 11(E) of 17 Wage Order 16 provides that the rest break requirements under state law do not 18 apply to employees covered by a valid CBA that provides equivalent protection, 19 which also is the case here. (The Labor Code does not require rest periods; they are 20only required as provided under the Wage Orders.) Furthermore, Sections 10(F) 21 and 11(E) of Wage Order 16 provide that "where a valid collective bargaining 22 agreement provides final and binding mechanism for resolving disputes regarding 23 enforcement of the [meal and rest] period provisions, the collective bargaining 24 agreement will prevail." Accordingly, the CBA must be interpreted to resolve 25 Plaintiff's claims and removal is therefore proper under LMRA.

30. Grievance and Arbitration Procedures. The grievance and
arbitration procedures set forth in the CBA cover any alleged violation of the CBA,
and require that any disputes regarding any alleged violation of the CBA be -13-

1 submitted to final and binding arbitration for resolution. (Pussetto Decl., ¶ 5, Ex. A 2 (CBA, pgs. 52-56).) As discussed in paragraph 29 above, Plaintiff's claims must be 3 arbitrated pursuant to the terms of the CBA. (Pussetto Decl., ¶ 5, Ex. A (CBA, pgs. 4 8-14, 22, 24-38, 43-56, 71-75, Santa Ynez Unit Meal and Rest Period Guideline).) 5 The promotion of extra-judicial dispute resolution is another central purpose of 6 Section 301 preemption. State court lawsuits removed on preemption grounds may 7 be deferred to arbitration if the parties to the CBA have so agreed. See, e.g., 14 Penn Plaza LLC v. Pyett, 556 U.S. 247, 257 (2009) ("[I]n any contractual 8 9 negotiation, a union may agree to the inclusion of an arbitration provision in a 10 collective bargaining agreement in return for other concessions from the employer. 11 Courts generally may not interfere in this bargained-for exchange.") see also 12 Livadas v. Bradshaw, 512 U.S. 107, 123-24, fns. 17, 18 (1994). Here, the parties 13 have so agreed, and thus Plaintiff's claims are subject to arbitration as discussed 14 above. Accordingly, because an alleged violation of the CBA is subject to the 15 CBA's grievance and arbitration procedures, and because all of Plaintiff's claims 16 are in essence alleged violations of the relevant CBA, the Court will necessarily 17 have to interpret the grievance and arbitration provisions to analyze Plaintiff's 18 claims. That is, the Court will need to determine whether Plaintiff was first 19 required to exhaust the grievance procedures set forth in the CBA, whether he did 20 in fact exhaust those procedures, and whether Plaintiff agreed to arbitrate all or a 21 portion of his claims. See United Paperworks Int'l Union, AFL-CIO v. Misco, Inc., 22 484 U.S. 29, 37 (1987). Under the LMRA, all such questions are reserved for federal courts. 23

31. In summary, Plaintiff's claims are substantially dependent upon the
interpretation of the previously discussed CBA terms, and interpreting them is thus
essential to resolve Plaintiff's claims. Consequently, each of Plaintiff's claims are
preempted by federal law pursuant to Section 301 of the LMRA. Removal to
federal court is thus warranted.

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# **SUPPLEMENTAL JURISDICTION**

3 32. Because they closely relate to, form part of the same case and emanate 4 from the same employment relationship between Plaintiff and Defendant that is the 5 subject of the federal question claims, this Court has supplemental jurisdiction over any of Plaintiff's remaining state law claims to the extent they are not completely 6 7 preempted by Section 301 or are not so inextricably intertwined with or dependent 8 on an interpretation of the CBA. See 28 U.S.C. § 1367. Indeed, as discussed above, 9 all of Plaintiff's claims are derivative of the same legal theory and claim with 10 respect to payment for 12 off duty hours spent by Plaintiff on Defendant's offshore 11 oil platforms during his multi-day hitches. All the pleaded claims thus emanate 12 from and form part of the same "case or controversy" under 28 U.S.C. § 1367, and 13 should thus all be tried in one action. See Nishimoto v. Federman-Backrach & 14 Assoc., 903 F.2d 709, 714 (9th Cir. 1990). Considerations of efficiency, 15 convenience, judicial economy and fairness to the litigants all strongly favor this 16 Court exercising jurisdiction over all claims in this Complaint. See Executive 17 Software v. U.S. Dist. Court, 24 F.3d 1545, 1557 (9th Cir. 1994). Accordingly, 18 pursuant to 28 U.S.C. § 1441, Defendant is entitled to remove all of Plaintiff's 19 claims to this Court.

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# VI.

# **DIVERSITY JURISDICTION**

33. This is also a civil action of which this Court has original diversity
jurisdiction under 28 U.S.C. § 1332, and is one which may be removed to this
Court by Defendant pursuant to the provisions of 28 U.S.C. § 1441(b) given that it
is a civil action between citizens of different states, and the amount in controversy
exceeds the sum on \$75,000, exclusive of interests and costs, as set forth below.

1 2

# A. Complete Diversity Exists: Plaintiff and Defendant are Citizens of Different States.

3 34. Diversity jurisdiction exists where there is diversity of citizenship 4 between the parties at the time the lawsuit is filed. See Grupo Dataflux v. Atlas 5 Global Group, 541 U.S. 567, 571 (2004). To establish citizenship for diversity purposes, a natural person must be a citizen of the United States and domiciled in a 6 7 particular state. Kantor v. Wellesley Galleries, Ltd., 704 F.2d 1088, 1090 (9th Cir. 8 1983). Persons are domiciled in the places where they reside with the intent to 9 remain or to which they intend to return. Kanter v. Warner-Lambert Co., 265 F.3d 10 853, 857 (9th Cir. 2001). Based on the allegations of the Complaint, Plaintiff was 11 at all relevant times, including at the time of filing this Complaint, and still is a 12 resident of the State of California, in the County of Los Angeles. (See Exhibit B 13 (Complaint, ¶¶ 11-13).)

14 35. Under 28 U.S.C. § 1332, "a corporation shall be deemed to be a 15 citizen of every State and foreign state by which it has been incorporated and of the 16 State or foreign state where it has its principal place of business... ." A 17 corporation's "principal place of business" is often referred to as its "nerve center," 18 and is the place where the corporation's board and high level officers direct, 19 control and coordinate its activities. Hertz Corp. v. Friend, 559 U.S. 77, 80-81, 92-20 93 (2010); Harris v. Rand, 682 F.3d 846, 851 (9th Cir. 2012); Hoschar v. 21 Appalachian Power Co., 739 F.3d 163, 172 (4th Cir. 2014) (nerve center is where 22 company's "officers make significant corporation decisions and set corporate 23 policy."). Plaintiff's Complaint names Defendant Exxon Mobil Corporation as 24 Plaintiff's employer and, as discussed below, Defendant is the only Defendant who 25 has been named in the instant matter. (See Exhibit B (Complaint, ¶ 11).) Defendant 26 was not a citizen of the State of California at the time the Complaint was filed in 27 state court, or at any relevant times, and is still not a citizen of the State of 28 California, as it is a corporation incorporated under the laws of the State of New -16-

Jersey, with its principal place of business in Irving, Texas. 28 U.S.C. § 1 2 1332(c)(1). (See Exhibit B (Complaint, ¶ 14); see also Pussetto Decl., ¶ 6.) Indeed, 3 Irving, Texas is where Defendant's headquarters and executive and administrative offices are located, where it's primary executive, administrative, financial and 4 5 management functions are conducted, and where it's high level officers direct, control and coordinate their activities. (Id.) Thus, under the "nerve center" test of 6 7 diversity, Defendant's principal place of business is in the State of Texas. *Hertz* Corp. v. Friend, 559 U.S. 77, 80-81, 92-93 (2010). The "total activities test" of 8 9 diversity is no longer used by court's to determine a corporation's "principal place 10 of business" under 28 U.S.C. § 1332, and would not apply here in any event 11 because Defendant's activities are not centralized in one or two states, nor is there 12 a clear predominance of business activity in one state. Hertz Corp. v. Friend, 559 13 U.S. 77, 80-81, 92-93 (2010); Santana v. Corr. Corp. of Am., 2011 U.S. Dist. 14 LEXIS 50992, at \*3 (S.D. Cal. May 12, 2011, No. 11-CV-138 JLS (CAB)) (noting 15 that "Plaintiff premises his motion to remand on the now-outmoded 'total 16 activities' test of a corporation's principal place of business"); see Industrial 17 Tectonics, Inc. v. Aero Alloy, 912 F.2d 1090 (9th Cir. 1990) (Pussetto Decl., ¶ 6.) 18 Accordingly, Defendant is not a citizen of the State of California for diversity 19 purposes. 28 U.S.C. § 1332(c)(1).

36. Plaintiff's Complaint also names as defendants Does 1-100. However,
none of Does 1-100 have been served by Plaintiff and, thus, pursuant to 28 U.S.C.
§ 1441(b)(1), the citizenship of defendants sued under fictitious names must be
disregarded for purposes of determining diversity and removal. (*See* Exhibit B
(Complaint).)

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B. The Amount in Controversy Exceeds \$75,000, Exclusive of Interests and Costs.

27 37. This action also meets the amount in controversy requirement.
28 U.S.C. § 1332(a) authorizes the removal of cases in which, among other factors -17-

above, the matter in controversy exceeds the sum or value of \$75,000.00, exclusive
 of interest and costs. Without admitting that Plaintiff could recover any damages
 or is owed anything, Defendant asserts that the amount in controversy in this action
 exceeds \$75,000.00, exclusive of interest and costs.

5 38. The appropriate measure of the amount in controversy in a case removed on diversity grounds is the litigation value of the case, assuming that the 6 7 allegations of the complaint are true and that a jury returns a verdict for the 8 plaintiff on all claims in the complaint. Theis Research, Inc. v. Brown & Bain, 400 F.3d 659, 662 (9th Cir. 2004); Harrod v. Bass Pro Outdoor World, LLC, 2018 U.S. 9 10 Dist. LEXIS 18760, at \*5 (C.D. Cal. Feb., 2018, No. EDCV 17-02386-CJC 11 (SHKx)) (explaining that "[i]n measuring the amount in controversy, the Court 12 assumes the allegations in the complaint are true and the jury will return a verdict 13 in favor or the plaintiff on all claims therein."); Jackson v. American Bankers Ins. 14 Co. of Fla., 976 F. Supp. 1450, 1454 (S.D. Ala. 1997); Burns v. Windsor Ins. Co., 15 31 F.3d 1092, 1096 (11th Cir. 1994) (the amount in controversy analysis presumes 16 that "plaintiff prevails on liability"); Kenneth Rothschild Trust v. Morgan Stanley 17 Dean Witter, 199 F.Supp.2d 993, 1001 (C.D. Cal. 2002) (explaining that in 18 determining whether the amount in controversy exceeds \$75,000, the court must 19 presume that the plaintiff will prevail on each and every one of his claims); Korn v. 20 Polo Ralph Lauren Corp., 536 F.Supp.2d 1199, 1205 (E.D. Cal. 2008); Richmond 21 v. Allstate Ins. Co., 897 F. Supp. 447, 449-50 (S.D. Cal. 1995) (amount in 22 controversy to be determined upon analysis of claims made in complaint and 23 potential recovery of punitive damages award and statutory or contractual 24 attorneys' fees); Angus v. Shiley Inc., 989 F.2d 142, 146 (3d Cir. 1993) (explaining 25 that "the amount in controversy is not measured by the low end of an open-ended 26 claim, but rather by reasonable reading of the value of the rights being litigated."). 27 The ultimate inquiry is what amount is put "in controversy" by the plaintiff's complaint, not what a defendant will actually owe. Korn, supra, 536 F.Supp. 2d at 28 -18-

1 1205; Rippee v. Boston Market Corp., 408 F.Supp.2d 982, 986 (S.D. Cal. 2005); 2 see also Scherer v. Equitable Life Assurance Society of the United States, 347 F.3d 3 394, 399 (2d Cir. 2003) (recognizing that the ultimate or provable amount of 4 damages is not what is considered when determining the amount in controversy; 5 rather, it is the amount put in controversy by the plaintiff's complaint). Further, in 6 the class action context, at least one plaintiff-class member's claims must exceed 7 \$75,000, exclusive of interest and costs. Exxon Mobil Corp. v. Allapattah Servs., 8 Inc., 545 U.S. 546, 566 (2005). Thus, the allegations in the Complaint are relevant 9 to the determination because they indicate what relief the plaintiff is seeking, and 10 therefore provide a basis for estimating the amount in controversy. Kenneth 11 Rothschild Trust, 199 F.Supp. 2d at 1001.

12 39. Without conceding that Plaintiff is entitled to damages or could 13 recover damages in any amount whatsoever, the amount in controversy in this action exceeds \$75,000.00. 28 U.S.C. § 1332(a). Although Plaintiff has failed to 14 15 specify in his Complaint a dollar amount in damages that he is seeking in this case, 16 the causes of action alleged and categories of damages requested demonstrate that 17 the amount in controversy exceeds \$75,000, exclusive of interest and costs. Where 18 a plaintiff's state court complaint is silent as to the amount of damages claimed, the 19 removing defendant need only establish that it is "more likely than not" that the 20 plaintiff's claims exceed the jurisdictional minimum. Sanchez v. Monumental Life 21 Ins., 102 F.3d 398, 404 (9th Cir. 1996). "[That] burden is not 'daunting,' as courts 22 recognize that under this standard, a removing defendant is *not* obligated to 23 'research, state, and prove the plaintiff's claims for damages." Muniz v. Pilot 24 Travel Centers LLC, 2007 U.S. Dist. LEXIS 31515, at \*8 (E.D. Cal. 2007) 25 (quoting McCraw v. Lyons, 863 F. Supp. 430, 434 (W.D. Ky. 1994)). The Court also has discretion to accept "summary-judgment-type evidence to the amount in 26 controversy at the time of removal." Valdez v. Allstate Ins. Co., 372 F.3d 1115, 27 28 1117 (9th Cir. 2004) (internal quotations omitted).

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40. Plaintiff claims that Defendant failed to pay him overtime wages due 1 2 for all hours worked, failed to provide him with lawful meal and rest periods, 3 engaged in unfair competition, failed to timely pay him wages due at the time of 4 termination, and failed to provide him with accurate itemized wage statements. 5 (See Exhibit B (Complaint).) In the Complaint, among other things, Plaintiff seeks and demands judgment from Defendant, on a class wide basis, for premium 6 7 overtime, as well as meal period and rest period premium wages for each day he worked for Defendant, attorneys' fees, statutory penalties under Labor Code 8 9 section 203 equal to his daily rate of pay multiplied by 30, equitable/injunctive 10 relief, for appointment of a receiver to perform an accounting of all monies owed to putative class members.<sup>4</sup> (See Exhibit B (Complaint, ¶¶ 10, 42-45, 54, 55, 59-63, 11 67, 71, 72, 76-78, Prayer for Relief).) Based on these claimed damages and 12 13 defense counsel's experience and understanding in litigating these kinds of cases and familiarity with the range of recovery and reasonable attorneys' fees that may 14 15 be incurred and to which Plaintiff may be entitled should he prevail, the amount in 16 controversy exceeds \$75,000, exclusive of interest and costs.

a. <u>Recovery of Unpaid Overtime Wages</u>. Plaintiff was employed
by Defendant as a Maintenance Specialist in Defendant's U.S. Production Santa
Ynez Unit from January 12, 2015 to March 25, 2016, a total of 63 weeks. (Pussetto
Decl., ¶ 3; *see also* Exhibit B (Complaint, ¶¶ 11, 12, 24-28).) As detailed in
Schedule A of the CBA, Plaintiff's starting rate of pay at the time he was hired was
\$29.64 per hour, and his pay increased during his employment and was \$31.33 per
hour at the time his employment with Defendant ended.<sup>5</sup> (Pussetto Decl., ¶¶ 3, 5,

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28 <sup>5</sup>Notably, as stated above, Plaintiff actually contends in his Complaint that he was an "Operator." Because Operators are paid at a higher rate than Maintenance Specialists pursuant to the CBA, Plaintiff actually

<sup>&</sup>lt;sup>4</sup>Plaintiff also claims he is entitled to the "maximum allowable penalty under [Labor Code] section 226(e)," which caps penalties at \$4,000 per employee. (*See* Exhibit B (Complaint, ¶¶ 1, 6-10, 38, 73-78).)

 $<sup>26 \</sup>parallel$  However, because section 226 has a one year statute of limitations, and Plaintiff filed his Complaint

<sup>27</sup> nearly two years after his employment with Defendant ended, he cannot recover any damages pursuant to Labor Code section 226.

Ex. A (CBA, pg. 71 (Schedule "A").) During his employment, Plaintiff alleges that 1 2 he typically worked 7-day hitches during which time he was paid for 12 on-duty 3 working hours each of the 7 days, but not compensated for the additional 12 hours 4 per day he was off-duty unless called back to work, despite purportedly being 5 entitled to pay for such hours. (Pussetto Decl., ¶ 3, 4; see also Exhibit B (Complaint, ¶¶ 9, 24-35, 41).) Each of Plaintiff's 7 day hitches was generally 6 7 followed by a period of 7 days off. (Id.) Throughout his employment, Plaintiff 8 reporting taking time off and/or being absent during four total hitches, and would 9 presumably then have worked at least 29 full 7-day hitches. (Pussetto Decl., ¶¶ 3-10 5.)

11 i. Plaintiff contends that he should have been compensated 12 for all 24 hours for each day of his 7-day hitch, with the 12 unpaid off-duty hours 13 being compensated at overtime rates (See Exhibit B (Complaint, ¶¶ 1, 6-9, 24-35, 14 39-45).) Specifically, if Plaintiff was paid for these 12 hours as he contends, he 15 claims he should have been compensated at two times his straight time rate for all 16 hours worked over 12 in a day, for which he received no pay, and on the seventh 17 day of each hitch should additionally receive double time for all hours worked 18 between 8 and 12 (for which he has already received time and one-half overtime 19 pay). (Id.) Because he reported working 29 full 7-day hitches, Plaintiff is thus 20 presumably contending that he should be paid for 2,465 additional double time 21 hours. (Pussetto Decl., ¶¶ 3-5, Ex. A (CBA, pgs. 11, 12, 24-28, 71 (Schedule "A"); 22 see also Exhibit B (Complaint, ¶¶ 1, 6-9, 24-35, 39-45.) Accordingly, even using 23 his starting straight time rate of \$29.64 per hour, Plaintiff is claiming that he is 24 owed at least \$146,125.20 in unpaid overtime. (Id.)

b. <u>Meal and Rest Period Premiums</u>. Plaintiff further claims that,
because he was always subject to Defendant's control while on its oil platforms, he

28 claims the amount in controversy in the instant matter is even higher than stated here. (Pussetto Decl., ¶ 5, Ex. A (CBA, pg. 71 (Schedule A)).) -21-

1 was never provided with a compliant meal or rest break on any shift and, 2 consequently, is owed two additional hours of pay for each day worked—one hour 3 as a meal period premium penalty, and a second as a rest period penalty. (See Exhibit B (Complaint, ¶¶ 1, 7-9, 24-37, 46-56).) Accordingly, because Plaintiff 4 5 reported working 29 7-day hitches, Plaintiff is claiming he is owed a total of 203 meal period premiums, and an additional 203 rest period premiums, for a total 6 7 value of \$12,033.84. (*Id.*; see also Pussetto Decl., ¶¶ 3-5, Ex. A (CBA, pgs. 11, 12, 8 71 (Schedule "A"), Santa Ynez Unit Meal and Rest Period Guideline.)

9 c. <u>Recovery of Other Statutory Penalties</u>.<sup>6</sup> Plaintiff additionally
10 alleges that he is owed waiting time penalties because Defendant did not pay him
11 all wages allegedly due him at the time his employment ended. (*See* Exhibit B
12 (Complaint, ¶¶ 1, 6-10, 24-38, 64-78.).)

Recovery of Statutory Attorneys' Fees. The Complaint also 13 d. 14 alleges that Plaintiff is entitled to recover attorneys' fees, which are available to a 15 prevailing plaintiff suing under the Labor Code. See Cal. Lab. Code §§ 218.5, 226, 16 1194 and 2802(c). (See Exhibit B (Complaint, Prayer for Relief).) Where 17 underlying statutes authorize an award of attorney fees, those fees should be 18 included in calculating the amount in controversy. See Galt G/S/ v. JSS 19 Scandinavia, 142 F.3d 1150, 1155-56 (9th Cir. 1998) (claims for attorneys' fees 20 are to be included in the amount in controversy when an underlying statute or 21 contract authorizes such an award, regardless of whether the award is discretionary 22 or mandatory). "[T]he measure of fees should be the amount that can reasonably 23 be anticipated at the time of removal, not merely those already incurred." Simmons v. PCR Technology, 209 F.Supp.2d 1029, 1035 (N.D.Cal. 2002). 24

e. <u>Injunctive Relief</u>. Plaintiff also seeks an injunction
commanding certain action by Defendant. The amount in controversy may include

<sup>28</sup>  $\begin{bmatrix} {}^{6}\text{Although he is not entitled to them based on the statute of limitations, Plaintiff also claims he is owed the "maximum allowable penalty under [Labor Code] section 226(e)," which is $4,000. ($ *Id.*) -22-

1 the cost of complying with such an injunction, which further increases the amount 2 in controversy. Simmons, supra, 209 F.Supp.2d at 1034. In determining the value 3 of injunctive relief, courts may examine either the "the defendant's cost of compliance with an injunction or the plaintiff's benefit from the injunction." 4 Tompkins v. Basic Research, 2008 WL 1808316 (E.D.Cal. 2008). 5

41. Plaintiff's allegations 6 Accordingly, satisfy the jurisdictional 7 prerequisite for the amount in controversy. It cannot be said to a legal certainty 8 that Plaintiff would not be entitled to recover the jurisdictional amount. Anthony v. 9 Security Pacific Financial Services, Inc., 75 F.3d 311, 315 (7th Cir. 1996); Watson v. Blankenship, 20 F.3d 383, 386-87 (10th Cir. 1994). Thus, the alleged claims, 10 11 damages, penalties and fees requested in Plaintiff's Complaint clearly demonstrate 12 the amount in controversy in this case exceeds well in excess of \$75,000.

13 42. Furthermore, "[w]here the other elements of jurisdiction are present 14 and at least one named plaintiff in the action satisfies § 1332(a)'s amount-incontroversy requirement, § 1367 authorizes supplemental jurisdiction over the 15 16 claims of other plaintiffs in the same Article III case or controversy, even if those 17 claims are for less than the requisite amount." Exxon Mobil Corp. v. Allapattah 18 Servs., Inc., 545 U.S. 546, 512-13 (2005). Accordingly, should this Court 19 determine that Plaintiff's claims are not subject to the grievance and arbitration 20provisions under the CBA, the Court may exercise supplemental jurisdiction over 21 the unnamed class members pursuant to 28 U.S.C. § 1367, and considerations of 22 efficiency, convenience, judicial economy and fairness to the litigants would all 23 strongly favor this Court exercising supplemental jurisdiction. (*Id.*)

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43. By filing this Notice of Removal, Defendant has not and does not waive any defenses it might assert or its right to bring any application anywhere in 25 26 relation to this litigation or its subject matter.

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44. By filing this Notice of Removal, Defendant does not concede that Plaintiff is entitled to any of the damages pled, including those damages referenced 28 -23-

1	herein. To the contrary, the damages referenced herein are those which are alleged
2	in connection with Plaintiff's Complaint to be "in controversy."

3 45. Defendant reserves the right to provide additional/supplemental 4 evidence regarding the amount in controversy.

### VII.

# JOINDER

7 46. Defendant is not aware of any other defendant that exists and who has 8 been named in the Complaint, or who has been properly joined and/or served with 9 the Summons and Complaint. (See Exhibit B (Complaint).) Accordingly, 10 Defendant is the only Defendant at-issue in this matter. (*Id.*)

11 WHEREFORE, having provided notice as is required by law, Defendant 12 prays that the original action brought by Plaintiff and now pending against it in the 13 Superior Court of the State of California, County of Santa Barbara, be removed to 14 this Court on all the aforementioned grounds and for all the aforementioned 15

reasons, and this Court accept jurisdiction of this action in its entirety.

DATED: March 26, 2018	STRADLING YOCCA CARLSON & RAUTH, P.C.

By: /s/ Jeffrev A. Dinkin Jeffrev A Dinkin John Wicker Attorneys for Defendant

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# EXHIBIT A

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA BARBARA         STREET ADDRESS:       1100 Anacapa Street         CITY AND ZIP CODE:       Santa Barbara CA 93101         BRANCH NAME:       Anacapa	FOR COURT USE ONLY <b>FILED</b> SUPERIOR COURT OF CALIFORNIA COUNTY OF SANTA BARBARA 02/21/2018	
CAPTION: Gabriel Guimary vs Exxon Mobil Corporation	Darrel E. Parker, Executive Officer EY_Chavez, Terri Deputy Clerk	
ORDER AND NOTICE OF CASE ASSIGNMENT; NOTICE OF CASE MANAGEMENT CONFERENCE	CASE NUMBER: 18CV00845	

The above case is hereby assigned to Judge Donna D Geck for ALL purposes, including trial. All future matters, including ex-parte matters, are to be scheduled with the assigned judge. Counsel shall include the name of the assigned judge in the caption of every document filed with the court. The above-entitled case is hereby ordered set for:

Case Management Conference on 06/22/2018 at 8:30 AM in SB Dept 4 at the court address above.

PLAINTIFF SHALL GIVE NOTICE of this assignment to ALL parties brought into the case, including but not limited to defendants, cross-defendants and intervenors. A Proof of Service of this ORDER & NOTICE OF CASE ASSIGNMENT is to be filed with the Court within five (5) working days after service. Failure to give notice and file proof thereof or failure to appear may result in the imposition of sanctions. Pursuant to California Rule of Court 3.725, no later than fifteen (15) calendar days before the date set for the Case Management Conference, each party must file a Case Management Statement (Judicial Council form CM110). In lieu of each party filing a separate Case Management Statement, any two or more parties may file a joint statement.

At the Court's discretion counsel, parties and insurance representatives (if any) with full settlement authority may be required to attend a CADRe Information Meeting within ten (10) days of the Conference date.

Dated: 2/21/2018

Judge of the Superior Court Michael Carrozzo

#### **CLERK'S CERTIFICATE OF MAILING**

I certify that I am not a party to this action and that a true copy of the foregoing was mailed first class, postage prepaid, in a sealed envelope addressed as shown, and that the mailing of the foregoing and execution of this certificate occurred at (place): Santa Barbara, California on: 02/21/18.

Michael A Strauss Strauss & Strauss APC ١

20 2028 [Bay, 7/4/02]		CIONMENT	
Darrel E. Parker, Executive Officer	Ву	Terri Chavez	Deputy Clerk
/entura CA 93001			

ORDER & NOTICE OF CASE ASSIGNMENT NOTICE OF CASE MANAGEMENT CONFERENCE

Local Rule 1309 CRC 3.222

SC-2028 [Rev. 7/1/02]

Case 2:18-cv-02430 Document 1 Filed 03/26/18 Page 34 of 62 Page ID #:34

# EXHIBIT B



# **Notice of Service of Process**

null / ALL Transmittal Number: 17805613 Date Processed: 02/22/2018

Primary Contact:	Joann Lee Exxon Mobil Corporation CORP-FB 1506 1301 Fannin Houston, TX 77002
Electronic copy provided	d to: Karen Cunningham
Entity:	Exxon Mobil Corporation Entity ID Number 1685331
Entity Served:	Exxon Mobil Corporation
Title of Action:	Gabriel Guimary vs. Exxon Mobil Corporation
Document(s) Type:	Summons/Complaint
Nature of Action:	Class Action
Court/Agency:	Santa Barbara County Superior Court, California
Case/Reference No:	18CV00845
Jurisdiction Served:	California
Date Served on CSC:	02/22/2018
Answer or Appearance I	Due: 30 Days
Originally Served On:	CSC
How Served:	Personal Service
Sender Information:	Michael A. Strauss 805-641-6600
Notes: Class Actio	n matter for failure to nav wages

Notes: Class Action matter for failure to pay wages

Information contained on this transmittal form is for record keeping, notification and forwarding the attached document(s). It does not constitute a legal opinion. The recipient is responsible for interpreting the documents and taking appropriate action.

#### To avoid potential delay, please do not send your response to CSC

251 Little Falls Drive, Wilmington, Delaware 19808-1674 (888) 690-2882 | sop@cscglobal.com

		Case 2:18-cv-02430	Document 1	Filed 03/26/18	Page 36 of 62	Page ID #:36
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	SUM-10
SUMMONS	FOR GOURT USE ONLY [SOLD PARA USD DE LA CORTE]
(CITACION JUDICIAL)	
NOTICE TO DEFENDANT:	ELECTRONICALLY FILED
(AVISO AL DEMANDADO):	Superior Court of California
EXXON MOBIL CORPORATION, a New Jersey corporation	n doing County of Santa Barbara
business in California; and DOES 1 through 100, inclusive,	parrel E. Parker, Executive Unic
YOU ARE BEING SUED BY PLAINTIFF:	2/20/2018 10:39 AM
(LO ESTÁ DEMANDANDO EL DEMANDANTE):	By: Terří Chavěz, Deputy
GABRIEL GUIMARY, an individual, for himself and those si	imilarly
situated,	
NOTICEI You have been suid. The court may decide against you without your being	l Ig heard unless you respond willhin 30 days. Read the Information
below, You have 30 CALENDAR DAYS after this summons and legal papers are served	on you to file a written response at this court and have a copy
served on the plainliff. A feither or phone call will not protect you. Your written responses. There may be a court form that you can use for your response. You can find the Online Sell-Help Center (www.courtinfo.ca.gow/selfhelp), your county law library, or 1 the court clerk for a fee waiver form. If you do not file your response on time, you may be taken without further warning from the court. There are other legal requirements. You may want to call an attorney right away, referral service. If you cannot alford an attorney, you may be eligible for free legal se	hese court forms and more information at the California Courts the courthouse nearest you. If you cannot pay the filing fee, ask ay lose the case by default, and your wages, money, and property . If you do not know an attorney, you may want to call an attorney
these nonprofit groups at the California Legal Services Web sits (www.lawholpcatifor (www.courlinfa.ca.gov/solfhe/p), or by contecting your local court or county bar assoc costs on any settlement or arbitration award of \$10,000 or more in a civil case. The c (AWSOI Lo han demandedo. Si no responde deniro de 30 días, la corte puede decir confinuación.	mia.org), the California Couds Online Self-Help Center Iciation, NOTE: The court has a statutory lien for weived fees and court's lien must be paid before the court will dismise the case.
Tieno 30 DIAS DE CALENDARIO después de que le entreguen este cifeción y par corte y hacer que se entregue una copia al demandante. Una carta o una llamade te en formalo legal correcto si dasca que procesor su caso en la corto. Es posibla que Puede encentrar estas formularios de la corte y más información en el Contro de Ayu biblioleca de levas de su condado o en la corte que la quedo más corca. Si no puede que la de un formulario de exención de pago do cuelas. Si no presenta su respuesta podrá que la su sudido, dinero y bienes sin más advertención. Hay otros regulsitos legales. Es recomendable que lamo a un abogado inmediatan	elofonica no lo protegen. Su respuesta por escrito llene que estar haya un formulario que usiad puede usar para su respuesta. uda de las Cortes da Calilomía (vrviv, succita ca.gov), en la lo pagar la cuola do presentación, pida al secretario de la corto a a liempo, puede perdor el caso por incumplimienta y la corto lo
rantisión a abogados. Si no puada pagar a un abogado, os posiblo quo cumple con la programó do sarvicios legalos sin fines de lucro. Pueda unconterrostos grupos sin fin (vr.w. lawhelpcalifornia:org), an el Centro de Ayuda da las Cortas do California, (www calegio de abogados localos. AVISO: Por ley, la corte tione daracho a raciamar las ci cualquier rocuparación do \$10,000 ó más do valor racibido medionte un acuardo o un pagar el gravamon do la corte antes da que la corte pueda dosochor el caso.	los requisitos para oblorior servicios logalos grafultos de un inus de lucro en el silio web de California Legal Services, v.sucode.ce.gov) o poniéndose en contacto con la code o el suolas y los costos oxontos por impener un gravaman sobre ima concesión de arbitraje en un caso de derocho civit. Tiene que
The name and address of the court is: (El nombre y dirección de la corte es):	(Numero out Caro): 18CV00845
Santa Barbara Superior Court	186700845
1100 Anacapa Street, Santa Barbara, CA 93101	vilhout an attorney, is: le o del demondarité que do liene ebagado, est:
The name, address, and telephone number of plaintiff's attorney, or plaintiff w (El nombre, la dirección y el número de teléfono del abogado del domandante Michael A. Strauss Esq Strauss & Strauss, APC, 121 N. Fir S	St., Ste. F, Ventura, CA 93001; (805) 641-6600
The name, address, and telephone number of plainliff's attorney, or plaintiff v (El nombre, la dirección y el número de teléfono del aborado del domandanti	St., Ste. F, Ventura, CA 93001; (805) 641-6600
The name, address, and telephone number of plainliff's attorney, or plaintiff'v. (El nombre, la dirección y el número de teléfono del abogado del domandante Michael A. Strauss Esq Strauss & Strauss, APC, 121 N. Fir S DATE: 2/20/2018 Clerk, by (Fecha) (Secretar (For proof of service of this summons, use Proof of Service of Summons (form	St., Ste. F, Ventura, CA 93001; (805) 641-6600 / /s/ Terri Chavez , Deputy //doj
The name, address, and telephone number of plainliff's attorney, or plaintiff v. (El nombre, la dirección y el número de teléfono del abogado del domandante Michael A. Strauss Esq Strauss & Strauss, APC, 121 N. Fir S DATE: 2/20/2018 Clerk, by (Fecha) (Secretar (For proof of service of this summons, use Proof of Service of Summons (form (Pere pryeba de entrega de este citatión use el formulario Proof of Service of	St., Ste. F, Ventura, CA 93001; (805) 641-6600 / /s/ Terri Chavez , Deputy /doj
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		CM-010
Michael A. Strauss - SBN 246718 ; Aris E.	Number, and pulmessl: Karukolos - SRN 240802	FOR COURT USE ONLY
STRAUSS & STRAUSS, APC		
121 N. Fir Street, Suite F Ventura, CA 93001		ELECTRONICALLY FILED
TELEPHONE NO: (805) 641-660()	FAXNO. (805) 641-6607	Superior Court of California
ATTORNEY FOR Manuel Plaintiff and the Putat		County of Santa Barbara
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Sa	nta Barbara	Darrel E. Parker, Executive Office
STREET ADDRESS: 1 1()() A nacupa Street		2/20/2018 10:39 AM
MAUJIAC ADDRESS		
CA 93 CONF. Santa Barbara, CA 93	101	By: Terri Chavez, Deputy
UIRANCH NAUL		
CASE NAME:		
Gabriel Guimary v. Exxon Mobil Co	rporation	CASE NUABER
CIVIL CASE COVER SHEET	<b>Complex Case Designation</b>	18CV00845
Limited	Counter Joinder	10000045
(Amount (Amount demanded is		AUDGE.
demanded demanded is exceeds \$25,000) \$25,000 or less)	Filed with first appearance by defen (Cal. Rules of Court, rule 3.402)	
	wy must be completed (see instructions	
1. Check one box below for the case type that		
Auto Tort	Contract	Provisionally Complex Civil Liligation
Auto (22)	Breach of contract/warranty (05)	(Cal. Rules of Court, rules 3.400-3.403)
Uninsured motorist (45)	Rule 3.740 collections (09)	Antitrus//Trade regulation (03)
Other PUPD/WD (Personal Injury/Property	Other collections (08)	Construction defect (10)
Daniage/Wrong(ul Death) Tori	Insurance coverage (18)	Mass tort (40)
Asbestos (04)	Olher contract (37)	Securities filigation (28)
Product liability (24)	Real Property	Environmental/Toxic tert (30)
Medical mnipractice (45)	Eminent domuin/invorse	Insurance coverage claims arising from the
Other PI/PD/WD (23)	condemontion (14)	abova listed provisionally complex case
Non-PUPD/WD (Other) Tort	Wrangful oviction (33)	types (41)
Business tort/unfair business practice (07)	Other real property (26)	Enforcement of Judgment
Civit rights (08)	Unlawful Detainor	Enforcement of Judgment (20)
Defamation (13)	Commercial (31)	Miscellanoous Civil Complaint
Fraud (16)	Residential (32)	RICO (27)
Intellectual property (19)	Orugs (38)	Other complaint (not specified above) (42)
Professional negligence (25)	Judicial Review	Miscellancous Civil Petition
Other non-PI/PD/WD ton (35)	Asset (orfeiture (05)	
Employment	Folltion re: arbitration award (11)	Partnorship and corporate governance (21)
Wronglul terminution (36)	Writ of mandato (02)	Other pellitan (not spocified above) (43)
Other employment (15)	Other judicial review (39)	-
		ules of Court. If the case is complex, mark the
factors requiring exceptional judicial manage		
a. Large number of separately ropres	. <b>(* *</b> **).	r of wilnesses
		with related actions pending in one or more courts
b. [] Extensive motion practice raising d issues that will be time-consuming		ties, states, or countries, or in a federal court
		osljudgment judicial supervision
c. L _! Substantial amount of documentary	vevinence . L: Substantial p	
3 Remedies sought (check all that apply): a.[	v monetary b. v nonmonetary,	declaratory or injunctive relief cpuncive
Number of causes of action (specify): Five		
a see a see and a see a se	action suit.	
b. If there are any known related cases, life an		mny use form CM-015.)
Date: February 20, 2018		- <sup>-</sup>
Aris E. Karakalos		- Carlor A
Triff Chilles - and		BUDATURE OF MARTY OR AT LEAVEN HOL MARTY
- Plaintiff must file this count short with the fu	NOTICE st paper filed in the action or proceedir (cliare and institutions Code), (Cal, Rul	ig (except sniall claims cases or cases filed us of Court, rule 3.220.) Failurd to file may result
in sanctions		
· File this cover sheet in addition to any cover	r sheet required by local court rule.	- must coard a conv of this cover check on all
<ul> <li>If this case is complex under rule 3,400 et s</li> </ul>	eq. of the California Rules of Court, you	a must serve a copy of this cover sheet on an
<ul> <li>other parties to the action or proceeding.</li> <li>Unless this is a collections case under rule.</li> </ul>	3.740 or a complex case. this cover sho	net will be used for statistical purposes only
فسيبوده ومقتلا التقاري والمستعل والمستعل	<u> </u>	Pore 1 of 2 Car Hauss of Court rates 2 49, 4 220 3 400-1 400, 3 740
Ton Account for Mannatory USA Junitar Course of California Califold Plan July (* 1997)	CIVIL CASE COVER SHEET	gan teallis in anns, soins a sig a sea anna a mar a sea Cat Stangaris chaide in Adres sta an sta 5-13 Iorain anns an sta 5-13

#### **INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET**

#### CM-010

To Plaintiffs and Others Filing First Papers. If you are filing a first paper (for example, a complaint) in a civil case, you must complete and file, along with your first paper, the *Civil Case Cover Sheet* contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check one box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in item 1, check the more specific one. If the case has multiple causes of action, check the box that best indicates the primary cause of action. To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party, its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

To Parties in Rule 3.740 Collections Cases. A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, arising from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property. (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3.740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

To Parties in Complex Cases. In complex cases only, parties must also use the *Civil Case Cover Sheet* to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3,400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiff's designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that the case is complex. CASE TYPES AND EXAMPLES

#### Auto Tort

Auto (22)-Personal Injury/Property Damage/Wrongful Death Uninsured Motorist (46) (If the case involves an uninsured motorist claim subject to arbitration, check this item instead of Auto) Other PI/PD/WD (Personal Injury/ Property Damage/Wrongful Death) Tort Asbestos (04) Asbestos Property Damage Asbestos Personal Injury/ Wrongful Death Product Liability (not asbestos or toxic/environmental) (24) Medical Matpractice (45) Medical Malpraclice-Physicians & Surgeons Other Professional Health Care Malpractice Other PI/PD/WD (23) Premises Liability (e.g., slip and fall) Intentional Bodily Injury/PD/WD (e.g., assault, vandalism) Intentional Infliction of **Emotional Distress** Negligent Infliction of **Emotional Distress** Other PI/PD/WD Non-PI/PD/WD (Other) Tort **Business Tor/Unfair Business** Practice (07) Civil Rights (e.g., discrimination, false arrest) (not civil harassment) (08) Defamation (e.g., stander, libel) (13) Fraud (16) Intellectual Property (19) Professional Negligence (25) Legal Malpractice Other Professional Malpractice (not medical or legal) Other Non-PI/PD/WD Tort (35) Employment Wrongful Termination (36) Other Employment (15)

Contract Breach of Contract/Warranty (06) Breach of Rental/Lease Contract (not unlawful detainer or wrongful eviction) Contract/Warranty Breach-Seller Plaintiff (not fraud or negligence) Negligent Breach of Contract/ Warranty Other Breach of Contract/Warranty Collections (e.g., money owed, open book accounts) (09) Collection Case-Seller Plaintiff Other Promissory Note/Collections Case Insurance Coverage (not provisionally complex) (18) Auto Subregation Other Coverage Other Contract (37) **Contractual Fraud Other Contract Dispute Real Property** Eminent Domain/Inverse Condemnation (14) Wronaful Eviction (33) Other Real Property (e.g., quiet tille) (26) Writ of Possession of Real Property Mortgage Foreclosure **Quiet Title** Other Real Property (not eminent domain, landlord/tenant, or foreclosure) **Unlawful Detainer** Commercial (31) Residential (32) Drugs (38) (If the case involves illegal drugs, check this item; otherwise, report as Commercial or Residential) **Judicial Review** Asset Forfeiture (05) Petition Re: Arbitration Award (11) Writ of Mandate (02) Writ-Administrative Mandamus Writ-Mandamus on Limited Court **Case Matter** Writ-Other Limited Court Case Review Other Judicial Review (39) Review of Health Officer Order Notice of Appeal-Labor **Commissioner** Appeals

Provisionally Complex Civil Litigation (Cal. Rules of Court Rules 3.400-3.403) Antitrust/Trade Regulation (03) **Construction Defect (10)** Claims Involving Mass Tort (40) Securities Litigation (28) Environmental/Toxic Tort (30) Insurance Coverage Claims (arising from provisionally complex case type listed above) (41) **Enforcement of Judgment** Enforcement of Judgment (20) Abstract of Judgment (Out of County) Confession of Judgment (nondomestic relations) Sister State Judgment Administrative Agency Award (not unpaid taxes) Petition/Certification of Entry of Judgment on Unpaid Taxes Other Enforcement of Judgment Case **Miscellaneous Civil Complaint RICO (27)** Other Complaint (not specified above) (42) Declaratory Relief Only Injunctive Relief Only (nonharassment) Mechanics Llen Other Commercial Complaint Case (non-tort/non-complex) Other Civil Complaint (non-tort/non-complex) **Miscellaneous Civil Petition** Partnership and Corporate Governance (21) Other Petition (not specified above) (43) Civil Harassment Workplace Violence Elder/Dependent Adult Abuse **Election Contest** Petition for Name Change Petition for Relief From Late Claim **Other Civil Petition** 

**CIVIL CASE COVER SHEET** 

1 2 3 4 5 6 7	Michael A. Strauss (State Bar No. 246718) mike@strausslawyers.com Aris E. Karakalos (State Bar No. 240802) aris@strausslawyers.com Andrew C. Ellison (State Bar No. 283884) andrew@strausslawyers.com STRAUSS & STRAUSS, APC 121 N. Fir St., Suite F Ventura, California 93001 Telephone: (805) 641.6600 Facsimile: (805) 641.6607 Attorneys for Plaintiff Gabriel Guimary and the	ELECTRONICALLY FILED Superior Court of California County of Santa Barbara Darrel E. Parker, Executive Officer 2/20/2018 10:39 AM By: Terri Chavez, Deputy			
8	SUPERIOR COURT OF T	HE STATE OF CALIFORNIA			
9	FOR THE COUNTY	OF SANTA BARBARA			
10	CARDIEL CHIMARY on individual for	Case No. 18000845			
11	GABRIEL GUIMARY, an individual, for himself and those similarly situated,	10010000			
12 13	Plaintiff,	PUTATIVE CLASS ACTION COMPLAINT;			
13 14	V.	DEMAND FOR JURY TRIAL			
15					
16	EXXON MOBIL CORPORATION, a New Jersey corporation doing business in California; and DOES 1 through 100,				
17	inclusive,				
18	Defendants.				
19 20					
20					
22	TO ALL INTERESTED PARTIES	HEREIN AND TO THEIR ATTORNEYS OF			
23	RECORD:				
24	COMES NOW, Plaintiff GABRIEL GUIMARY ("Plaintiff"), individually and on				
25	behalf of all other similarly situated current and former employees of Defendants EXXON				
26	MOBIL CORPORATION, a New Jersey co	prporation doing business in California (herein			
27	"Exxon") and Does 1 through 100, and eac	ch of them, for legal relief to redress unlawful			
28	violations of Plaintiff's rights under Californ	ia law and the rights of those similarly situated.			
	СОМ	1 PLAINT			

Plaintiff bring his claims against Defendants as a California statewide class action pursuant to California Code of Civil Procedure section 382.

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#### **INTRODUCTION**

California wage-and-hour laws apply within its territorial boundaries. Sullivan
 V. Oracle Corp., 51 Cal.4th 1191, 1197. California's wage-and-hour laws apply to "wage
 earners of California" when they perform work in its coastal waters, including waters outside
 the state's territorial boundaries. California Tidewater Marine W., Inc. v. Bradshaw, 14 Cal.
 4th 557, 579 (1996). Those same laws apply on oil platforms on the Outer Continental Shelf
 off the coast of California. Newton v. Parker Drilling Mgmt. Servs., Ltd., --- F.3d ----, 2018
 WL 706490, \*15 (9th Cir. Feb. 5, 2018).

Defendants provide services to drilling operations off the California coast,
 including on fixed oil platforms on the Outer Continental Shelf. Defendants employ hourly
 employees who work on these oil platforms and travel between them when necessary.
 Defendants mandate that these hourly workers perform their work in "hitches," which are
 multiple-day shifts (varying in length) that begin and end in California and are also spent
 either on vessels traveling to, back from, or between oil platforms or on the oil platforms
 themselves.

3. The employees' hitches begin on California soil, where the employees wait for a
vessel to transport them to an oil platform. While they wait, Defendants mandate that the
employees attend safety briefings. The employees board their vessel and travel to an oil
platform on the Outer Continental Shelf.

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4. Some employees travel to and back from their designated platform by helicopter. The process is similar to trips aboard a vessel. The primary difference is the length of the trip.

24 5. Regardless of which method of travel the employees take to their platform, it is
25 impossible for employees to take their own vessel and/or helicopter to reach the platform.
26 They must use the transportation provided by Defendants.

27 6. During these hourly employees' hitches, they cannot realistically leave their
28 vessel, helicopter, or oil platform. Their confinement ends only upon their return to California

1 soil, when they disembark from the vessel or helicopter.

7. California law mandates the payment of wages for every hour worked. Armenta
v. Osmose, Inc., 135 Cal. App. 4th 314, 324 (2005). California employers must also pay
overtime premium wages for all hours worked in excess of eight in one day or over 40 in one
workweek and double-time premium wages for all hours worked in excess of 12 in one day.
Lab. Code § 510(a).

7 8. California law defines as "hours worked" as "the time during which an employee 8 is subject to the control of an employer, and includes all the time the employee is suffered or 9 permitted to work, whether or not required to do so." 8 Cal. Code Regs. § 11160(2)(J). "An 10 employee who is subject to an employer's control does not have to be working during that 11 time to be compensated." Morillion v. Royal Packing Co., 22 Cal.4th 575, 592 (2000). 12 "When an employer directs, commands or restrains an employee from leaving the work place 13 ... and thus prevents the employee from using the time effectively for his or her own purposes, 14 that employee remains subject to the employer's control. According to [the definition of hours 15 worked], that employee must be paid." Id. at 583. An employer cannot exclude sleep time 16 for employees working shifts of 24 hours. Mendiola v. CPS Sec. Sols., Inc., 60 Cal. 4th 833, 848-49 (2015). 17

18 9. Defendants violated these key principles of California wage-and-hour law. 19 Defendants' hourly employees were restrained to their workplace for the entirety of their 20 hitches. They could not use the time effectively for their own purposes and always remained 21 subject to Defendants' control. Defendants, in contravention of California law, maintained a 22 policy and practice of paying their hourly employees for twelve hours each day. Defendants 23 maintained a policy whereby it did not pay their hourly employees for controlled stand-by 24 time, typically time spent on the platform between 6 p.m. and 6 a.m. (and relieving employees 25 worked the 6 a.m. to 6 p.m. shift), even though this entire time was on-call time and even 26 though their hourly employees were deprived several freedoms during this time. In short, 27 Defendants violated California law by not treating as compensable hours worked every hour 28 their hourly employees were restrained to the workplace, i.e., on Defendants' vessels and 1 || platforms, including sleeping time, and spent on California soil.

2 10. Plaintiff is one of the hourly employees impacted by Defendants' illegal wage-3 and-hour policies. He seeks relief on a collective and class-wide basis challenging the 4 unlawful business practices engaged in by Defendants of failing to properly compensate 5 Plaintiff and all others similarly situated for all wages owed, denied meal and rest periods, and 6 various other related penalties under California Labor Code. Plaintiff also seeks equitable 7 relief under the California Unfair Competition Law, Business and Professions Code section 8 17200 et seq. (the "UCL"), which is predicated on Defendants' violation of California laws 9 regarding the payment of wages. The UCL claim seeks to obtain disgorgement and restitution 10 of all ill-gotten gains from the unlawful conduct alleged herein and an injunction preventing Defendants from continuing to violate California law. 11

#### THE PARTIES

13 11. At all times herein mentioned, Plaintiff Gabriel Guimary was an hourly
14 employee of Defendants, working off the coast of and in the State of California, within the last
15 four (4) years as an Operator.

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12. Plaintiff stopped working for Defendants on or around March 2016.

17 13. At all times herein mentioned and relevant, Plaintiff was and is an individual
18 residing in the State of California, in the County of Los Angeles.

19 14. At all times herein mentioned, Plaintiff is informed and believes and, based on
20 such information and belief, thereon alleges that Exxon, is a New Jersey corporation, with its
21 Principal Executive Offices in Irving, Texas, but which does business and maintains an office
22 in the County of Santa Barbara, California, located at 12000 Calle Real, Goleta, California
23 93117-1207.

15. The true names and capacities, whether individual, corporate, associate, representative or otherwise, of the defendants identified herein as Does 1 through 100, inclusive, are unknown to Plaintiff, who therefore sue these defendants by said fictitious names. Plaintiff will amend this Complaint to allege the true names and capacities of Does 1 through 100 when they have been ascertained. Does 1 through 100 are in some manner legally

1 || responsible for the wrongs and injuries alleged herein.

2 16. Each of the Defendants acted as the agent or employee of the others and each
3 acted within the scope of that agency or employment.

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#### VENUE AND JURISDICTION

5 17. Venue is appropriate in the Santa Barbara County Superior Court because, on 6 information and belief, Defendant maintains an office and conducts business within said 7 County. The unlawful employment practices complained of herein occurred within the State 8 within said County as well as on oil platforms located off the shores of California.

9 18. Further, it is alleged that the unlawful employment practices complained of
10 herein were authorized, approved or otherwise ratified by Defendants within Santa Barbara
11 County, California.

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#### CLASS ACTION ALLEGATIONS

13 19. Plaintiff brings the causes of action stated herein on his own behalf and on 14 behalf of all persons similarly situated. The class consists of all current and former hourly 15 employees of Defendant Exxon, who, at any time within four years from the date of filing of 16 this lawsuit, worked on oil platforms off of the California coast for periods of 24 hours or 17 more (hereinafter the "Putative Class").

18 20. The Putative Class represents over 25 persons and is so numerous that the
19 joinder of each member of the putative class is impracticable.

There is a well-defined community of interest in the questions of law and fact 20 21. affecting the class Plaintiff represents. The Putative Class members' claims against Defendants 21 involve questions of common or general interest, in that each was employed by Defendants, 22 23 and each was not paid wages owed based on the same failure to compensate for all hours during which they were subject to the control of Defendants, including hours in excess of their 24 scheduled shifts and during meal and rest periods. These questions are such that proof of a 25 state of facts common to the members of the Putative Class will entitle each member to the 26 27 relief requested in this complaint.

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1 22. The members of the Putative Class that Plaintiff represents have no plain, speedy 2 or adequate remedy at law against Defendants, other than by maintenance of this class action, 3 because Plaintiff is informed and believes, and on such information and belief alleges, that the 4 damage to each member of the Putative Class may be relatively small and that it would be 5 economically infeasible to seek recovery against Defendants other than by a class action.

6 23. Plaintiff will fairly and adequately represent the interest of the Putative Class,
7 because Plaintiff is a member of the Putative Class, and Plaintiff's claims are typical of those
8 in the Putative Class.

9 24. Plaintiff is a former employee of Defendants, and was assigned to work on
10 several platforms off the coast of California, but was assigned to stay overnight during his
11 hitches on various platforms located off of California's coast, namely in Santa Barbara
12 County.

13 25. Plaintiff was employed by Defendants during the four years preceding the filing
14 of the Complaint.

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26. Plaintiff worked as an Operator.

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27. Plaintiff was at all relevant times herein alleged paid an hourly rate.

28. Plaintiff stopped working for Defendants on or around March 2016.

18 29. During the employment with Defendants, Plaintiff sometimes worked on an oil
19 platform (or platforms) in the California coastal waters, performing non-exempt work.

30. During the employment with Defendants, Plaintiff sometimes worked onshore in
California, performing non-exempt work. Each of Plaintiff's hitches (typically 7-day periods
of work, but sometimes longer) began onshore in California and ended onshore in California.

31. Plaintiff typically received pay for only 12 hours each day while on the oil
platforms, but nothing for the remaining 12 hours of restricted/controlled stand-by which were
also spent on the platforms.

32. Plaintiff did not receive compensation for all hours worked on the platform.

33. Plaintiff could not reasonably leave the platform during his seven-day shift.

34. Plaintiff could not leave the platforms for his meal or rest periods.

COMPLAINT

1 35. As a consequence of not being able to leave the platform for his meal or rest 2 periods, he would remain subject to Defendant's control, "on duty," and "on call" as those 3 terms are defined under California law. All time subject to an employer's control is 4 compensable, and on-duty and on-call meal and rest periods are not permitted under California 5 law.

6 36. For each on duty meal or rest period, a California employer is required to pay its
7 employees one extra hour of pay at their normal hourly rate (known as a meal or rest period
8 "premium" wage).

9 37. Defendants did not pay Plaintiff one extra hour of pay for each on duty meal
10 period. Nor did Defendants pay Plaintiff an extra hour of pay for each on duty rest period, as
11 required by California law.

38. Plaintiff was denied accurate paycheck stubs, which lacked, among other things
required under California law, the requisite amount of overtime/double-time, meal period, and
rest period premium wages earned each pay period.

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 FIRST CAUSE OF ACTION

 Failure to Pay California Overtime and Double-time Premium Wages

 (Action Brought By Plaintiff On Behalf Of Himself

 And the Putative Class Against All Defendants)

 39. Plaintiff incorporates by reference and re-alleges each and every one of the allegations contained in the preceding and foregoing paragraphs of this Complaint as if fully set forth herein.

 40.
 California law requires payment of overtime premium pay for all hours worked by non-exempt employees in excess of eight in one day or 40 hours in one week and for the first eight hours on the seventh-straight day of work in one workweek. Lab. Code § 510; 8

 Cal. Code Regs. § 11160, subd. 3(A). It further requires payment of double-time premium pay

Cal. Code Regs. § 11160, subd. 3(A). It further requires payment of double-time premium pay
for all hours worked by non-exempt employees in excess of twelve hours in one day or in
excess of eight hours on the seventh-straight day of work in a single workweek. Lab. Code §
510; 8 Cal. Code Regs. § 11160, subd. 3(A).

7 COMPLAINT 41. Plaintiff and the Putative Class regularly worked hours for which they were not
 paid the overtime or double-time premium wages under California law. Defendants violated
 the California Labor Code's overtime and double-time provisions in numerous respects,
 including but not limited to the following:

5 a. Failing to compensate Plaintiff and the Putative Class at the proper 6 overtime rate for all hours worked in excess of eight (8) in a workday, forty (40) in a 7 workweek, or on the seventh (7th) straight day in a workweek or at the proper double-time rate 8 for all hours worked in excess of twelve (12) in a workday or in excess of eight (8) on the 9 seventh (7th) straight day of work in a workweek for the following categories of hours 10 worked:

i. Time spent on the employer's premises due to the reasonable
inability to leave;

13 ii. Time spent on-call on the employer's premises and engaged to
14 wait as those terms are defined by California regulations and case law;

15 iii. Time spent donning, doffing, and retrieving job-related protective
16 gear (such as fire-retardant clothing) before and after working their 12-hour shifts;

iv. Time spent "handing off" a shift to the relief employee and/or
receiving such a hands off from the employee who was relieved;

v. All time spent traveling to and back from shore, including but not
limited to time spent waiting for the ship to take them to the platform or back to shore;

vi. All time spent responding to alarms and drills or other calls to
muster after hours; and

vii. To the extent such a claim is not subsumed by the aforementioned
situations, time spent sleeping on the employer's premises; and

b. Failing to compensate Plaintiff and the Putative Class at the correct
overtime rate of pay for overtime hours worked because Defendants failed to include the
following in the Putative Class's regular hourly rates of pay:

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- i. Compensation for performance-related bonuses;
- ii. Compensation for meals provided by the employer; and
- iii. Compensation for lodging provided by the employer.

4 42. Plaintiff and the Putative Class seek such overtime and double-time premium
5 wages owed to them for the three-year period measured backward from the date of the filing of
6 the initial Complaint in this matter. (In the Unfair Competition cause of action stated herein
7 and brought pursuant to the UCL, Plaintiff and the Putative Class seek restitution of unpaid
8 overtime and double-time wages due for the four-year period measured backward from the
9 date of the filing of the initial Complaint in this matter.)

43. The exact amount of overtime and double-time premium wages owed will not be
fully ascertained until discovery is completed. Until Defendants produce the necessary
documents for an accounting, Plaintiff is unable to determine the exact amount of overtime
and double-time premium wages owed.

14 44. Plaintiff seeks interest on all overtime and double-time premium wages owed to 15 them for the three-year period measured backward from the date of the filing of the initial 16 Complaint in this matter pursuant to Labor Code section 1194. (In the Unfair Competition 17 cause of action stated herein and brought pursuant to the UCL, Plaintiff and the Putative Class 18 seek interest on all unpaid overtime and double-time wages due for the four-year period 19 measured backward from the date of the filing of the initial Complaint in this matter.)

20 45. Pursuant to Labor Code section 1194, Plaintiff requests the Court to award
21 Plaintiff's reasonable attorney's fees and costs incurred in this action.

#### SECOND CAUSE OF ACTION

# Failure to Provide Lawful Meal and Rest Periods (Action Brought By Plaintiff On Behalf Of Himself And the Putative Class Against All Defendants)

46. Plaintiff incorporates by reference and re-alleges each and every one of the
allegations contained in the preceding and foregoing paragraphs of this Complaint as if fully
set forth herein.

COMPLAINT

47. California law provides that no employer shall employ any person for a work
 period of more than five hours without a meal period of not less than 30 minutes. Lab. Code
 §§ 226.7, 512, 8 Cal. Code Regs. § 11160, subd. 10.

4 48. Employees are entitled to "a paid 10-minute rest period per four hours of work."
5 Bluford v. Safeway Stores, Inc., 216 Cal. App. 4th 864, 870; 8 Cal. Code Regs. § 11050, subd.
6 12(A). "State law prohibits on-duty and on-call rest periods. During required rest periods,
7 employers must relieve their employees of all duties and relinquish any control over how
8 employees spend their break time." Augustus v. ABM Sec. Servs., Inc., 2 Cal. 5th 257, 385-386
9 (2016).

49. "If an employer fails to provide an employee a ... meal ... period in accordance
with a state law..., the employer shall pay the employee one additional hour of pay at the
employee's regular rate of compensation for each workday that the ... meal ... period is not
provided." Lab. Code § 226.7; 8 Cal. Code Regs. § 11160, subd. 10.

50. "If an employer fails to provide an employee a ... rest ... period in accordance
with a state law..., the employer shall pay the employee one additional hour of pay at the
employee's regular rate of compensation for each workday that the ... rest ... period is not
provided." Lab. Code § 226.7(c); 8 Cal. Code Regs. § 11160, subd. 10.

18 51. Defendants have intentionally and improperly denied meal and rest periods to
19 Plaintiff and the Putative Class in violation of Labor Code sections 226.7 and 512 and 8 Cal.
20 Code Regs. § 11160, subd. 10.

52. At all times relevant hereto, Plaintiff and the other members of the Putative Class have worked more than five hours in a workday (and often more than ten, fifteen hours, and twenty hours). At all relevant times hereto, Defendants have failed to provide meal periods for every five-hour work period and to provide rest periods for every four hours of work as required by California law, because Plaintiff and the Putative Class could not reasonably leave the work premises and were not relieved of all duty and subject to their employer's control for their meal and rest periods.

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53. 1 Plaintiff and the other members of the Putative Class are informed and believe, 2 and based upon that information and belief allege, that Defendants know or should have 3 known that Plaintiff and the Putative Class were entitled to lawful meal and rest periods but 4 purposely elected not to provide these mandated periods.

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54. Plaintiff seeks meal and rest period premium wages owed to him and the 6 Putative Class for the three-year period measured backward from the date of the filing of the initial Complaint in this matter. (In the Unfair Competition cause of action stated herein and brought pursuant to the UCL, Plaintiff and the Putative Class seek restitution of unpaid meal and rest period premium wages due for the four-year period measured backward from the date of the filing of the initial Complaint in this matter.)

11 55. The exact amount of meal and rest period premium wages owed will not be fully 12 ascertained until discovery is completed. Until Defendants produce the necessary documents for an accounting, Plaintiff is unable to determine the exact amount of meal period premium 13 14 wages owed.

15 56. Labor Code section 218.6 states, "[I]n any action brought for the nonpayment of 16 wages, the court shall award interest on all due and unpaid wages at the rate of interest 17 specified in subdivision (b) of Section 3289 of the Civil Code, which shall accrue from the 18 date that the wages were due and payable as provided in Part 1 (commencing with Section 19 200) of Division 2." Plaintiff and the Putative Class seek such interest on all meal and rest 20 period premium wages owed to them for the three-year period measured backward from the 21 date of the filing of the initial Complaint in this matter. (In the Unfair Competition cause of 22 action stated herein and brought pursuant to the UCL, Plaintiff and the Putative Class seek 23 interest on all unpaid meal and rest period premium wages due for the four-year period 24 measured backward from the date of the filing of the initial Complaint in this matter.)

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#### THIRD CAUSE OF ACTION

# Unfair Competition (Action Brought By Plaintiff On Behalf Of Himself And the Putative Class Against All Defendants)

5 57. Plaintiff incorporates by reference and re-alleges each and every one of the 6 allegations contained in the preceding and foregoing paragraphs of this Complaint as though 7 fully set forth herein.

8 58. This cause of action is being brought pursuant to California Business and
9 Professions Code section 17200 et seq. and California case law including *Cortez v. Purolator*10 Air Filtration Products Co., 23 Cal. App. 4th 163 (2000).

59. It is alleged that Defendants have willfully failed to pay Plaintiff and the Putative
Class, overtime, double-time, meal, and rest period premium wages under California Iaw as
alleged throughout this Complaint. The failure to pay such premium wages constitutes unfair
business practices under California Business and Professions Code section 17200.

60. As a result of the conduct of Defendants, Defendants profited from breaking the
law. Plaintiff and the Putative Class seek disgorgement of this unlawfully obtained benefit
(plus interest thereon) for the four-year period measured backward from the date of filing of
the initial Complaint in this matter.

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61. California Business and Professions Code section 17203, under the authority of which a restitutionary order may be made, provides:

Any person who engages, has engaged, or proposes to engage in unfair competition may be enjoined in any court of competent jurisdiction. The court may make such orders or judgments, including the appointment of a receiver, as may be necessary to prevent the use of employment by any person of any practice which constitutes unfair competition, as defined in this chapter, or as may be necessary to restore to any person in interest any money or property, real or personal, which may have been acquired by means of such unfair competition. Any person may pursue representative claims or relief on behalf of others only if the claimant meets the standing requirements of Section 17204 and complies with Section 382 of the Code of Civil Procedure, but these limitations do not apply to claims brought under his chapter by the Attorney General, or any district attorney, county counsel, city attorney, or city prosecutor in this state.

Bus. & Prof. Code § 17203.

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62. As a result of the alleged aforesaid actions, Plaintiff and the Putative Class have suffered injury in fact and have lost money as a result of such unfair competition. It is requested that this Court order restitution under the UCL.

63. Plaintiff also seeks an injunction preventing Defendants from continuing to violate California's wage-and-hour laws.

#### FOURTH CAUSE OF ACTION

(Violation of Labor Code § 203 - Alleged by Plaintiff

#### Against All Defendants)

64. Plaintiff incorporates by reference and re-alleges each and every one of the allegations contained in the preceding and foregoing paragraphs of this Complaint as though fully set forth herein.

65. Pursuant to California Labor Code section 203, it is alleged that Defendants have willfully failed to pay without abatement or reduction all of the wages of Plaintiff.

66. Defendants are aware that they owe the wages claimed, yet have willfully failed to make payment.

67. As a result of Defendants willful failure to pay all wages owed at termination, Plaintiff seeks wages and penalties pursuant to Labor Code section 203. According to Labor Code section 203, these penalties consist of up to 30 days of pay for Plaintiff at his regular rate of pay, including overtime.

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68. Plaintiff has been available and ready to receive wages owed to him.

69. Plaintiff has never refused to receive any payment, nor has Plaintiff been absent from his regular place of residence.

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70. Defendants' failure to pay wages due and owing to Plaintiff as indicated in prior
 paragraphs was willful. Defendants have knowingly refused to pay any portion of the amount
 due and owing Plaintiffs.

4 71. Pursuant to Labor Code sections 218.5, Plaintiff requests the Court to award him
5 reasonable attorney's fees and costs incurred in this action.

72. Plaintiff also request all unpaid wages, Labor Code section 203 penalties and
interest. The exact amount of actual wages and Labor Code section 203 penalties owed will
not be fully ascertained until discovery is completed. Until Defendants produce the necessary
documents for an accounting, Plaintiff is unable to determine the exact amount of wages and
Labor Code section 203 penalties owed.

<b>FIFTH</b>	CAUSE	OF .	ACTION

#### Pay Stub Violations

#### (Action Brought By Plaintiff On Behalf Of Himself

#### and the Putative Class Against All Defendants)

15 73. Plaintiffs incorporate by reference and re-alleges each and every one of the
allegations contained in the preceding and foregoing paragraphs of this Complaint as if fully
17 set forth herein.

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74. California Labor Code section 226 provides, in relevant part:

Every employer shall, semimonthly or at the time of each payment of wages, furnish each of his or her employees, either as a detachable part of the check, draft, or voucher paying the employee's wages, or separately when wages are paid by personal check or cash, an itemized statement in writing showing (1) gross wages earned, (2) total hours worked by the employee, except for any employee whose compensation is solely based on a salary and who is exempt from payment of overtime under subdivision (a) of Section 515 or any applicable order of the Industrial Welfare Commission, (3) the number of piece-rate units earned and any applicable piece rate if the employee is paid on a piece-rate basis, (4) all deductions, provided, that all deductions made on written orders of the employee may be aggregated and shown as one item, (5) net wages earned, (6) the inclusive dates of the period for which the employee is paid, (7) the name of the employee and his or her social 14

security number, (8) the name and address of the legal entity that is the employer, and (9) all applicable hourly rates in effect during the pay period and the corresponding number of hours worked at each hourly rate by the employee.

Lab. Code § 226(a).

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To Plaintiff and the Putative Class in that their wage deduction statements do not include,
without limitation, their gross wages earned, all hours worked, net wages earned, or all
applicable hourly rates in effect during the pay period, and the corresponding number of hours
worked at each hourly rate by the employee.

76. Pursuant to Labor Code section 226(e), damages are appropriate. At this time, Plaintiff believes and alleges that he and the Putative Class are owed the maximum allowable penalty under section 226(e) because Defendants failed to provide adequate paycheck stubs.

77. However, the exact amount of damages under Labor Code section 226(e) will not be fully ascertained until discovery is completed. Until Defendants produce the necessary documents for an accounting, Plaintiff will be unable to determine the exact amount of damages under Labor Code section 226(e).

78. Pursuant to Labor Code section 226(e), Plaintiff requests the Court to award Plaintiffs' reasonable attorney's fees and costs incurred by Plaintiffs in this action.

### PRAYER FOR RELIEF

WHEREFORE, Plaintiffs and the Putative Class demand judgment against Defendants, and each of them, as follows:

1. For overtime, double-time, meal period, and rest period premium wages owed under California law according to proof;

2. For prejudgment interest pursuant to Labor Code sections 218.6 and 1194 and Civil Code sections 3288 and 3291 on all amounts claimed;

3. For attorney's fees and costs pursuant to Labor Code sections 218.5, 226, 1194,
and 2802(c);

For statutory penalties under Labor Code section 226;

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	Case 2:18-0	02430 Document 1 Filed 03/26/18 Page 54 of 62 Page ID #:54
÷.		
1	5.	For wages and penalties pursuant to Labor Code section 203.
2	6.	for an equitable order/injunction, ordering Defendants to comply with California
3	law and to p	all Putative Class members all wages and interest they are owed;
4	7.	For an appointment of a receiver to perform an accounting of all monies owed to
5	these emplo	es;
6	8.	For any and all injunctive relief this Court deems necessary pursuant to Business

and Professions Code section 17203; 7

> 9. For costs of suit; and

10. For any other and further relief that the Court considers just and proper.

DATED: February 20, 2018

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#### STRAUSS & STRAUSS, APC

By:

Michael A. Strauss Aris E. Karakalos Andrew C. Ellison Attorneys for Plaintiff

16 COMPLAINT

• د يو. <sup>2</sup>	Case 2:18-cv-02430 Document 1	Filed 03/26/18 Page 55 of 62 Page ID #:55								
1	DEMAND FOR JURY TRIAL									
2	Plaintiff Gabriel Guimary hereby demands a trial by jury.									
3										
4	DATED: February 20, 2018	STRAUSS & STRAUSS, APC								
5										
6		By:								
7		Michael A. Strauss								
8		Aris E. Karakalos Andrew C. Ellison								
9		Attorneys for Plaintiff								
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		COMPLAINT								

Case 2:18-cv-02430 Document 1 Filed 03/26/18 Page 56 of 62 Page ID #:56

# EXHIBIT C

#### Case 2:18-cv-02430 Document 1 Filed 03/26/18 Page 57 of 62 Page ID #:57

ATTORNEY OR PARTY WITHOUT ATTORNEY ( <i>NAME AND ADDRESS</i> ); — Michael A. Strauss - SBN 246718	TELEPHONE NO.: (805) 641-6600	FOR COURT USE ONLY
Strauss & Strauss, APC 121 N. Fir Street, Suite F, Ventura, CA 93001		
ATTORNEY FOR (NAME): Attorney for Gabriel Guimary and the Putative	Class	FILED SUPERIOR COURT OF CALIFORNIA
SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA BARB	ARA	COUNTY of SANTA BARBARA
STREET ADDRESS: 1100 Anacapa Street		02/23/2018
MAILING ADDRESS: CITY AND ZIP CODE: Santa Barbara, CA 93101		Darrel E. Parker, Executive Officer BY_Chavez, Terri
BRANCH NAME: Anacapa	te standa and data data da esta se especial que ditificante en escare de se	Deputy Clerk
PLAINTIFF: Gabriel Guimary	·····	
DEFENDANT: Exxon Mobil Corporation		
		CASE NUMBER:
DECLARATION OF PREJUDICE CCP 17 (PEREMPTORY CHALLENGE)	70.6	18CV00845
۰ 		
Michael A. Strauss		declares
(NAME OF DE	·	
that he/ she is <u>Attorney for Gabriel Guimary and the P</u> (INSERT "ATTORNEY FOR" OR LEAVE BL		rty to the within action hereinafter
called "case" therein as follows:		
Gabriel Guimary, et al. v. E	avon Mobil Corner	stion
(NAME OR NAME		
that The Hon. Donna Geck	the Judge	Court Commissioner or Referee to
that The Hon. Donna Geck (NAME OF JUDGE/COMMISSIONER/REFEREE BEING DISQUALI	FIED)	
whom the case has been assigned is prejudiced against	the Plaintiff Ga	briel Guimary or the interest
	(PLAINTIFF	OR DEFENDANT)
of the <u>Plaintiff Gabriel Guimary</u> so that Decl (PLAINTIFF OR DEFENDANT)	arant cannot or beli	eves that he/she cannot have a fair
and impartial trial or hearing before such Judge, Court C	Commissioner or Rel	eree.
I DECLARE UNDER PENALTY OF PERJURY THAT TH		TRUE AND CORRECT
I DECLARE UNDER PENALTI OF PENJORT THAT IT		
		F-) )
Dated: February 23, 2018	· / ,	
······································	(BIGN	IATURE OF DECLARANT)

Case 2:18-cv-02430 Document 1 Filed 03/26/18 Page 58 of 62 Page ID #:58

# EXHIBIT D

Garcia, April Deputy Clerk
·
aner L. Farker, LACOUIVE Officer
arrel E. Parker, Executive Officer
02/26/2018
COUNTY of SANTA BARBARA
JPERIOR COURT of CALIFORNIA
FILED
FOR COURT USE ONLY

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

The above case is hereby ordered re-assigned to **Thomas P Anderle** for ALL purposes, including trial. All future matters, including ex-parte matters, are to be scheduled with the new re-assigned judge.

The Case Management Conference now scheduled is rescheduled as follows:

06/26/2018 at 8:30 AM in SB Dept 3 of the court address above.

Dated: 2/26/2018

Judge of the Superior Court Michael Carrozzo

#### CLERK'S CERTIFICATE OF MAILING

By

I certify that I am not a party to this action and that a true copy of the foregoing was mailed first class, postage prepaid, in a sealed envelope addressed as shown, and that the mailing of the foregoing and execution of this certificate occurred at (*place*): Santa Barbara, California on (*date*): 02/26/2018.

Michael A Strauss Strauss & Strauss APC 121 N Fir St Ste F Ventura CA 93001

Darrel E. Parker, Executive Officer

By , Deputy

SC-2056 [Rev. July 1, 2013]

ORDER & NOTICE OF CASE RE-ASSIGNMENT; NOTICE OF RESCHEDULED HEARING Case 2:18-cv-02430 Document 1 Filed 03/26/18 Page 60 of 62 Page ID #:60

# EXHIBIT E

Case 2:18-cv-02430 Document 1 Filed 03/26/18 Page	
ATTORNEY OR P, RTY WITH JUT ATTORNEY (Name, S <sup>1</sup> Jumber, and address) Aris Karakalos   SBN: 240802 Strauss & Strauss, APC 121 N. Fir Street, Suite F Ventura, CA 93001	FOR COURT USE ONLY ELECTRONICALLY FILED Superior Court of California
TELEPHONE NO.: (805) 641-6600   FAX NO. (805) 641-6607   E-MAIL ADDRESS (Optional): Jackle@sirausslawyers.com ATTORNEY FOR (Name): Plainiili:	County of Santa Barbara d Darrel E. Parker, Executive Officer
SANTA BARBARA COUNTY SUPERIOR COURT	
STREET ADDRESS: 1100 ANACAPA ST, 2ND FLOOR MAILING ADDRESS;	By: Johnny Aviles, Deputy
CITY AND ZIP CODE: SANTA BARBARA, CA 93101 BRANCH NAME: ANACAPA DIVISION	
PLAINTIFF: Gabriel Guimary	CASE NUMBER:
DEFENDANT: Exxon Mobil Corp.	18CV00845
PROOF OF SERVICE OF SUMMONS	Ref. No. or File No.: Guimary v. Exxon Mobil Corp.
(Separate proof of service is required for each party ser	ved.)
<ol> <li>At the time of service I was at least 18 years of age and not a party to this action.</li> <li>I served copies of:         <ul> <li>a.</li></ul></li></ol>	
b. ☑ Complaint c. □ Alternative Dispute Resolution (ADR) package	
d. ☑ Civil Case Cover Sheet <i>(served in complex cases only)</i> e Cross-complaint	
<ul> <li>f. ☑ other (specify documents): Summons; Complaint;Civil Case Cover Sheet</li> <li>a. Party served (specify name of party as shown on documents served):</li> <li>Exxon Mobil Corporation, a New Jersey corporation doing business in Cal</li> </ul>	ifornia
<ul> <li>b. Person (other than the party in item 3a) served on behalf of an entity or as an autitem 5b on whom substituted service was made) (specify name and relationship to Becky De George of CSC - Lawyers Incorporating Service - Registered</li> </ul>	o the party named in item 3a):
4. Address where the party was served: 2710 Gateway Oaks Dr Ste 150N Sacramento, CA 95833-3502	
5. I served the party (check proper box)	
a. by personal service. I personally delivered the documents listed in item 2 to the receive service of process for the party (1) on (date): 2/22/2018 (2) at (time): 3:0	

b. 🗋	by substituted service.	On (date):	at	(time):	I left the documents listed in item 2 with or
	in the presence of (name	and title or	rela	tionship	to person indicated in item 3b):

- (1) (business) a person at least 18 years of age apparently in charge at the office or usual place of business of the person to be served. I informed him of her of the general nature of the papers.
- (2) (home) a competent member of the household (at least 18 years of age) at the dwelling house or usual place of abode of the party. I informed him or her of the general nature of the papers.
- (3) (physical address unknown) a person at least 18 years of age apparently in charge at the usual mailing address of the person to be served, other than a United States Postal Service post office box. I informed him of her of the general nature of the papers.
- (4) I thereafter malled (by first-class, postage prepaid) copies of the documents to the person to be served at the place where the copies were left (Code Civ. Proc., §415.20). I mailed the documents on (date): from (city): or I a declaration of mailing is attached.
- (5) I attach a declaration of diligence stating actions taken first to attempt personal service.

Case 2:18-cv-02430	Document 1	Filed 03/26/18	Page 62 of	62 Page ID #:62
PETITIONER: Gabriel Guimary	7		i —	CASE NUMBER:
RESPONDENT: Exxon Mobil Corp.				18CV00845
c. by mail and acknowledgmer shown in item 4, by first-class	nt of receipt of ser mail, postage prep	vice. I mailed the doo aid,	uments listed in i	tem 2 to the party, to the address
(1) on <i>(date):</i>	Notice and Acknow	(2) from (ci wledament of Receipt	and a postage-pa	id return envelope addressed to me. § 415.30.)
<ul> <li>(4) □ to an address outside</li> <li>d. □ by other means (specify means)</li> </ul>				§ 415.40.)
Additional page describing set. The "Notice to the Person Served" (o	ervice is attached. n the summons) w	as completed as follow	VS	<u> </u>
<ul> <li>a. as an individual defendant.</li> <li>b. as the person sued under the</li> <li>c. as occupant.</li> </ul>	fictitious name of (	(specify):		
d. 🗹 On behalf of: Exxon Mobil under the following Code of C			ation doing bu	siness in California
<ul> <li>✓ 416.10 (corpo</li> <li>↓ 416.20 (defun</li> <li>↓ 416.30 (joint s</li> </ul>	ration) ct corporation) tock company/ass iation or partnersh	□ 415.96 □ 416.60 pciation) □ 416.70 (p) □ 416.90	(business organi (minor) (ward or conserv (authorized pers (occupant)	
<ul> <li>Person who served papers</li> <li>a. Name: Jeffrey W. Abegglen -</li> <li>b. Address: 674 County Square</li> <li>c. Telephone number: (805) 650-9</li> <li>d. The fee for service was; \$ 85,00</li> <li>e. I am:</li> </ul>	Drive, Suite 107	ocess Serving, Inc. Ventura, CA 9300	3	
(1) not a registered California (2) exempt from registration u	process server. Inder Business and	l Professions Code se	ction 22350(b).	
<ul> <li>(3) ▼ registered California proce</li> <li>(i) □ owner □</li> <li>(ii) Registration No.: 2009-</li> <li>(iii) County: Sacramento</li> </ul>	employee	independent ca		
B. 🗹 I declare under penalty of perjur	y under the laws o	f the State of California	a that the foregoir	ng is true and correct.
or 9. 🗌 I am a California sheriff or mai	shal and I certify t	hat the foregoing is tru	e and correct.	
Date: 2/22/2018				
Commercial Process Serv 674 County Square Drive Ventura, CA 93003 (805) 650-9291 www.comproserve.net	ving, Inc. , Suite 107		AM.	WAL
Jeffrey W. Abe (NAME OF PERSON WHO SERVED P	<b>ggien</b> Apers/Sheriff or Mar	SHAL)	-7-M	(BIGNATURE)
FAMILY OF THE OFFICE OFFICE			///	
POS-010 [Rev January 1, 2007]	PROOF OF	SERVICE OF SUM	IONS	

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POS-010/VN151644

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Ca	e 2:18-cv-02430 Document 1-1 Filed	03/26/18 Page 1 of 115 Page ID #:63
1 2 3 4 5 6 7 8		92023 & RAUTH, P.C. ES DISTRICT COURT
9		RICT OF CALIFORNIA
10		ERN DIVISION
11 12	GABRIEL GUIMARY, an individual, for himself and those similarly situated,,	CASE NO. [Santa Barbara Superior Court Case No.
12	Plaintiff,	18CV00845]
14	VS.	DECLARATION OF NICOLAS H. PUSSETTO IN SUPPORT OF
15	EXXON MOBIL CORPORATION,	DEFENDANT'S NOTICE OF REMOVAL
16	a New Jersey corporation doing business in California; and DOES 1	[Filed concurrently with Notice of
17	through 100, inclusive, Defendant.	<i>Removal of Action; Certification and Notice of Interested Parties; Civil Cover Sheet;</i>
18	Derendant.	Notice of Appearance of Counsel]
19		[State Court Complaint Filed: February
20		20, 2018]
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#### **DECLARATION OF NICOLAS H. PUSSETTO**

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1

I, Nicolas H. Pussetto, declare as follows:

1. I am currently employed as the HR Advisor – US Production/EM
Alaska for Defendant Exxon Mobil Corporation ("Defendant"). I am providing this
declaration in support of Defendant's Notice of Removal. I have personal
knowledge of the facts set forth in this declaration, which are known by me to be
true and correct, and if called as a witness, I could and would competently testify
to such facts under oath.

9 2. As HR Advisor – US Production/EM Alaska, I have access to and
 10 knowledge of records and other information pertaining to Defendant's current and
 11 former U.S. Production employees in California, including employee time and
 12 payroll records and applicable collective bargaining agreements.

3. Plaintiff Gabriel Guimary ("Plaintiff") was employed by Defendant in
Defendant's U.S. Production Santa Ynez Unit as a "Maintenance Specialist," as
that term is used in Schedule A of collective bargaining agreement ("CBA")
attached hereto as Exhibit A, from January 12, 2015 to March 25, 2016. As
detailed in Schedule A of the CBA, Plaintiff's starting rate of pay at the time he
was hired was \$29.64 per hour, and his pay increased during his employment and
was \$31.33 per hour at the time his employment with Defendant ended.

4. Based on Plaintiff's regular work schedule of 7 working days
followed by 7 off days, as alleged in the Complaint, as well as Plaintiff's reported
absences of taking time off and/or being absent during four total hitches, Plaintiff
presumably would have worked 29 full 7-day hitches for Defendant during his
employment.

<sup>25</sup> 5. I have reviewed the Complaint in the above-captioned matter,
 <sup>26</sup> including the definition of the proposed class contained in Paragraph 19. The
 <sup>27</sup> members of the proposed class, including Plaintiff, have worked or are currently

working on a total of three different oil platforms off the California coast. All three 1 2 of these oil platforms are located more than three (3) miles from the California coast and are connected to the seabed of the Outer Continental Shelf. The members 3 of the proposed class, including Plaintiff, are represented by the Federation of 4 5 Santa Ynez Unit ExxonMobil Employees (the "Union"), and their employment is governed by the CBA between Defendant's U.S. Production Santa Ynez Unit and 6 7 the Union, including the Santa Ynez Unit Meal and Rest Period Guideline, adopted 8 pursuant to the CBA prior to February 20, 2014, the enforcement of which is 9 subject to the CBA's grievance and arbitration provisions. A true and correct copy 10 of the active CBA between Defendant and the Union in effect for the proposed 11 putative class members and applicable to Plaintiff's employment with Defendant is 12 attached hereto as Exhibit A.

6. Defendant does business and maintains an office in the County of
Santa Barbara, State of California. Defendant is incorporated under the laws of the
State of New Jersey, and its principal place of business and headquarters is located
in Irving, Texas.

I declare under penalty of perjury under the laws of the State of California
and the State of Texas, and the laws of the United States of America, that the
foregoing is true and correct.

Executed on this 25 day of March, 2018, at Houston, Texas.

Nicolas H. Pussetto

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- 25 26
- 27 28

Case 2:18-cv-02430 Document 1-1 Filed 03/26/18 Page 4 of 115 Page ID #:66

# **EXHIBIT** A

### COLLECTIVE BARGAINING AGREEMENT

#### **Between**

ExxonMobil Production Company, (a division of ExxonMobil Corporation)

> U.S. Production Santa Ynez Unit

> > And

Federation of Santa Ynez Unit ExxonMobil Employees

**Reprinted - October 2014** 



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#### **COLLECTIVE BARGAINING AGREEMENT**

#### STATE OF CALIFORNIA

This Agreement is made and entered into by and between ExxonMobil Production Company, U.S. Production (a division of Exxon Mobil Corporation), Santa Ynez Unit, hereinafter called "ExxonMobil" or "the Company" and Federation of Santa Ynez Unit ExxonMobil Employees, hereinafter called "Federation".

#### WITNESSETH:

WHEREAS, ExxonMobil recognizes the Federation as the exclusive bargaining representative for the unit of employees described as follows: all production and maintenance employees employed in California, Washington, and Oregon by the Santa Ynez Unit of ExxonMobil Production Company, U.S. Production (hereinafter referred to as SYU) including all classifications in Schedule A or any subsequent Schedule, excluding all other employees, office clerical employees, professional employees, guards, and supervisors as defined in the National Labor Relations Act and Certification and case No. 21 RC12415, dated January 21, 1972, as modified by subsequent agreement of the parties. WHEREAS ExxonMobil and Federation have engaged in collective bargaining concerning the rates of pay, wages, hours, and other conditions of employment of the employees in the Production and Maintenance Unit, and pursuant to said bargaining have reached the agreement set out below:

NOW, THEREFORE, the Company and the Federation agree as follows:

## Article I

## SCOPE

- A. This agreement covers only employees in the Production and Maintenance Unit referred to above. Occupations in the Production and Maintenance Unit on the date of this agreement are listed in the attached Schedule A. Should ExxonMobil create new occupations or change the title of existing occupations, ExxonMobil will prepare a revised Schedule A and forward copies to the Federation.
- B. Upon certification by Federation as to its official representatives and officers to represent employees in the bargaining unit, ExxonMobil will recognize and deal with such representatives and officers, subject to the provisions of this contract.
- C. Official representatives of Federation, including members of the Federation council and officers shall be paid their regular rate of pay for time spent during their working hours in conference with Management of ExxonMobil, provided, however, the Company shall never be obligated to pay more than fourteen such employees for working hours spent in any one conference with Management. If Federation representatives are scheduled to be on duty at a time set for attending a meeting with Management, each of them shall make the necessary arrangement with the immediate supervisor before attending such meeting. (*Revised 1/10/96*)

- D. ExxonMobil shall retain all rights of management, without qualification, except to the extent that such rights are specifically limited by express provisions of this agreement. ExxonMobil and Federation agree that the relations between them shall be governed by the terms of this agreement only; and they both waive such rights as they may have to bargain with regard to any matter not covered by this agreement, except the right to bargain for amendments to or changes in this agreement as provided for herein.
- E. Except for the provisions expressly set out herein, there are no agreements between the parties either expressed or implied. However, this agreement may be amended or supplemented at any time by mutual agreement of ExxonMobil and Federation. Any such amendment or supplemental agreement shall be effective only if it is reduced to writing and signed on behalf of ExxonMobil by the SYU Production Manager and on behalf of Federation by its officers. Should either party desire to propose changes in or additions to this agreement, representatives of Management designated by ExxonMobil will meet and bargain with representatives of Federation at a time or times mutually convenient and agreeable.
- F. ExxonMobil agrees to furnish a printed copy of this agreement to each present employee and to each new employee when hired.

## Article II

### **DURATION OF AGREEMENT**

This agreement shall be and remain in force and effect for two years through March 1, 1995, and for consecutive two-year periods thereafter, unless terminated by either party on sixty (60) days written notice prior to the end of any contract period. (*Revised 2/1/95*)

# Article III

## **DUES DEDUCTION AND MEMBERSHIP**

- It is agreed that upon authorization in writing on the A. form set forth in Paragraph D below, ExxonMobil will deduct Federation dues from such employee, from the pay of such employee, upon the understanding that the authorization shall continue in effect during the period of this agreement with the Federation. An employee may cancel their authorization by giving thirty (30) days written notice to the Secretary-Treasurer of the Federation. ExxonMobil shall assume no responsibility in connection with the cancellation of an employee's authorization. It is further agreed that ExxonMobil shall assume no responsibility in connection with such deductions, except to forward the sum of money deducted as requested by the employee to the Secretary-Treasurer of the Federation.
- B. The question of membership or non-membership in the Federation is one of free individual decision of the employee. No employee shall be intimidated or coerced by the Company or the Federation regarding their

membership or non-membership in the Federation.

C. Within thirty (30) days of execution of this agreement and by December 31st of each succeeding year for as long as this agreement is in force, ExxonMobil will send an authorization letter, as set forth in Paragraph D below, to every current full-time employee represented under this agreement who is not currently paying Federation dues. ExxonMobil will present to any new employee in the bargaining unit during the term of this agreement the authorization letter set forth in Paragraph D.

#### D. Date: \_\_\_\_\_

ExxonMobil Production Company (a division of Exxon Mobil Corporation) U. S. Production P. O. Box 4967 Houston, Texas 77210-4697 Attention: Human Resources

Commencing with the last payroll period of the calendar month following receipt of this authorization, and for the duration of the agreement between ExxonMobil Production Company, U.S. Production and Federation of Santa Ynez Unit ExxonMobil Employees dated \_\_\_\_\_\_\_, you are authorized and directed to withhold from my earnings of each month my dues as established by said Federation and to pay all amounts so withheld to such officer of the Federation as the Federation may designate.

Signed: \_\_\_\_\_

Social Security #: \_\_\_\_\_

Date: \_\_\_\_\_

# Article IV

## **RATES OF PAY AND OCCUPATIONS**

- A. Employees covered by this agreement shall be paid the applicable rates as set out in Schedule A except as otherwise noted in this agreement.
- B. Hourly-paid employees whose regular schedules require work in more than one occupation will be paid the appropriate rate for each occupation in accordance with the time worked in each occupation.
- C. When employees are temporarily assigned for as long as thirty minutes to perform work in an occupation other than their regular occupation, they will be paid the rate applicable to the occupation in which they are working for the time worked therein; provided, however, when the occupation in which they are working has a lower hourly rate they will continue to receive the rate applicable to their regular occupation except when they are working on their scheduled off day, or working on an extra shift over and above their regular schedule on a job regularly assigned to another employee, in which event they will be paid the rate applicable to the occupation in which they are working. (This provision does not apply when employees of one occupation may assist or work with employees in other occupations without their being assigned to a specific occupation.)
- D. Employees who are qualified to perform the duties of a beat or job and are temporarily assigned to work with

another employee for the purpose of orientation to the beat or job shall be paid at the rate applicable to the occupation associated with the beat or job. Employees who are temporarily assigned to work with another employee for the purpose of training, or skill development, shall be considered "in training" and shall be paid at their normally assigned occupational rate.

- E. ExxonMobil agrees to pay at least the prevailing scale of wages for similar work as determined by the rates paid for such work by the leading integrated oil companies in California.
- F. ExxonMobil shall have the right to establish and alter the duties of occupations, to create, fill, and place into effect new occupations, or combined occupations, and to determine from time to time the number of employees, if any, to be assigned to any occupation or job covered by this agreement. Should ExxonMobil elect to create or combine an occupation or occupations, ExxonMobil shall notify Federation in advance of the effective date. Upon request of Federation, ExxonMobil shall bargain upon the rate of pay for the new or combined occupation, and if no agreement is reached prior to such effective date, the new or combined occupation shall be placed into effect at the rate proposed by ExxonMobil. If a different rate is agreed upon prior to the next anniversary date of this contract following the effective date of the new or combined occupation, the agreed rate shall be made retroactive to such effective date.

G. ExxonMobil and the Federation expressly agree that the most efficient method of accomplishing the work to be performed by the bargaining unit, as covered by this agreement, requires that every member of the bargaining unit be available to perform any duties for which they are qualified and as may be assigned as bargaining unit work. Any classification of employees in this agreement (e.g., Sr. Technician-Mechanical, Sr. Technician-Electrical, etc.) shall not be interpreted as any limitation on ExxonMobil's right to assign work to bargaining unit members to perform for which they are qualified.

## Article V

## SHIFT DIFFERENTIAL PAY

A. Shifts and Shift Rates

1. For the purpose of determining shift differential pay, the following shifts are designated:

Day Shift - 8:00 a.m. to 4:00 p.m. Evening Shift - 4:00 p.m. to midnight Morning Shift - Midnight to 8:00 a.m.

The designation of these shifts with the starting and ending times shown above shall not be construed to prevent ExxonMobil from establishing shifts with other starting and ending times.

- 2. "A shift differential of one dollar per hour shall be paid for all hours worked within the morning shift, and fifty cents per hour for all hours worked within the evening shift."
  - a. Employees scheduled to work a shift other than one of the shifts designated above shall receive for their entire shift the differential, if any, applicable to the shift designated in Subparagraph
    1 above within which more than 50 percent of their scheduled shift falls. If the employee's scheduled shift falls exactly 50 percent within each of two shifts designated in Subparagraph
    1 above, then they shall receive for their entire shift the higher differential.

b. Employees who are required to begin work before their scheduled shift or work continuously after their scheduled shift shall receive for all such hours the differential, if any, applicable to their scheduled shift, provided however, when employees work continuously before or after their scheduled shift for four hours or more, then the employees shall receive for all hours worked before or after the end of their scheduled shift the differential, if any, applicable to the time in which such work was performed, or the differential applicable to their scheduled shift, whichever is greater.

3. When overtime and shift differentials are both applicable, the straight-time rate used to compute the overtime shall include any applicable shift differential.

## Article VI

## **EXPENSE ALLOWANCES**

### A. General

- 1. The Company will reimburse any employee for actual and reasonable expenses incurred in carrying out an assignment for the Company. In order to be eligible for an expense allowance of any kind, the employee must have actually incurred an authorized expense on behalf of the Company or for the Company's convenience.
- 2. Employees who are given a temporary assignment requiring them to change their place of abode shall be reimbursed for actual living expenses while on the assignment, including travel expense to the place of work and travel expense in returning to the point of their regular assignment. If the temporary assignment does not require a change in place of abode, but caused employees to incur out-of-pocket expense over and above their expenses on their regular assignment, they shall be reimbursed for the actual amount of such additional expense.
- 3. Employees returning from Military Service shall be allowed the moving and traveling expenses applicable to a regular transfer for the Company's convenience if instructed to report at a location other than the one at which they were working when called to Military Service.

4. If an employee works a period of two or more hours continuously beyond his assigned work tour, the Company will provide or reimburse such employee for a suitable meal at reasonable intervals. Normally, depending on requirements of operations, such meals will be provided upon completion of two hours work beyond the scheduled work tour and upon completion of each additional four hours continuous work.

Employees who are required to continue work after the meal period shall receive their regular pay for the meal period. Employees who have completed their work assignment shall be eligible for reimbursement of reasonable and actual costs of such meal, however, the employee will not be paid for time spent consuming the meal.

## **B. Expenses Allowed on Transfers**

## 1. **Definitions:**

a. *A transfe*r for the purpose of this article is a change in an employee's place of work from one Field Headquarters to any other Field Headquarters, other than temporary assignment. A Field Headquarters is the place an individual normally reports to work.

b. *Company convenience transfers* are personnel movements to augment or replace working

forces needed to carry on operations in a particular area. There are two types of Company convenience transfers:

- (1) transfers initiated by the Company,
- (2) transfers requested by the employee and authorized by Management because the transfer serves as a convenience to the Company.
- c. *Employee convenience transfers* are exchanges in place of work mutually agreed upon between two or more employees or transfers requested by the employee and authorized for the employee's convenience only. Such transfers are made on the employees' time and at their own expense.

## 2. Transfer Expense Allowances Applicable to Company Convenience Transfers

a. No allowances of any kind will be paid unless one of the following situations develop as a result of the transfer.

(1) Employees are required to move their residence because the change in Field Headquarters would have added at least 35 miles (one way) to the distance to work if the employees had not moved to a new residence.

(2) Employees are required, because of having been transferred, to travel, in going to and from the place they report to work, 35 or more miles (one way) further than they traveled prior to being transferred.

If either of the above situations result, the following provisions of this Subparagraph 2 shall be applicable, subject to the provisions of Paragraph D of Article XIII.

- b. **Purpose**. The purpose of these relocation expense allowances is to facilitate the transfer of employees from one Field Headquarters to another at the Company's convenience. Employee convenience transfers are excluded from these provisions.
- c. Administration. The provisions of this program as they are set out in this article shall be administered by Management, and all determinations and decisions as to the details of such administration shall rest solely with Management. Reimbursement for all relocation expense provisions under the entire Section B of this agreement will require approval by the SYU Production Manager. Each item of expense will be carefully reviewed to assure that payments under this Agreement are appropriate. The applicable portions of existing guidelines (Resettlement Administrative Guide and supplemental letters in effect as of September

1, 1980) will be used by Management to assist in its decisions with regard to all provisions of the agreement dealing with relocation expense allowances.

## d. Permanent Transfer Requiring a Change in Residence

- (1) When an employee accepts a transfer that requires a change in residence meeting the requirements for a company convenience transfer as defined in this Article, the following additional conditions must be met in order to be eligible for reimbursement under this provision:
- (2) Employees must relocate their residence closer to their new Field Headquarters assembly point than the distance from their old residence to the new Field Headquarters assembly point such that their commute will be reduced by up to 30%.
- (3) Employees must relocate their residence to within an 80 mile radius of their new Field Headquarters assembly point.
- e. The following shall apply when an employee is required to move his/her residence under the conditions set forth in this Article, Paragraph b.

- (1) **Travel and Moving Expenses**. The following expenses will be assumed by the Company:
  - (a) The cost of packing, moving, storing (for a reasonable time, when necessary), and unpacking of household goods, and insurance covering such moving and storing.
  - (b) Travel expenses for the employee and members of the employee's household to the new location or automobile mileage at the appropriate allowances in addition to the cost of lodging and meals en route.
- (2) **Real Estate**. If an employee owns a home at the location from which the employee has been transferred, the Company will undertake to purchase the home at its appraised fair market value and arrange for its sale or reimburse the employee for real estate brokerage fees and closing costs under the following procedure:
- (a) *Home Sale Agreement*. The employee will have 30 days after notification of the fair market value or until the effective date of transfer, whichever is later, in which to enter into a home sale agreement with the Company. The fair

market value shall be determined in accordance with the Resettlement Administrative Guide and supplemental letters in effect as of September 1, 1980. The employee should request an appraisal as soon as possible after accepting the transfer but no later than the effective date of the transfer.

- (b) Direct Sale Other Than Home Sale Agreement. A transferred employee who does not enter into a home sale agreement may make a direct sale of the home and be reimbursed for legal expenses, brokerage fees, and other normal and necessary selling costs actually incurred and customarily borne by the seller. To be eligible for reimbursement, the employee must furnish the Company with a copy of a firm contract of sale before the effective date of the employees transfer or within 30 days from the date the employee receives notification of the fair market value, whichever is later. The employee must also furnish applicable receipts to support the costs of that sale.
- (c) *Reimbursement for Canceling Leases.* If an employee is renting a residence at the time of the transfer and has a lease on the property for a specified period, an attempt should be made by the

employee to sublease. If the employee is unable to do so, the actual cost of canceling the lease shall be reimbursed by the Company. Such cost should normally not exceed the unexpired portion of the lease or three months lease rental, whichever is less.

- (3) Home Selection Trip. Management will determine when a home selection trip is appropriate depending on the distance involved and the particular circumstances of the move. When approved, the Company will reimburse an employee for the expense of a round trip by the employee and a member of the employee's immediate family to assist in the selection of a home. Reimbursement of these expenses will occur only if the transfer is accepted. The employee is eligible to receive up to five (5) days for the home selection trip. This trip will be taken during the employee's scheduled days off unless specific approval for a deviation is given by the Operations Superintendent.
- (4) **Interim Relocation Expenses at the New Location**. Management will determine when interim relocation expenses at the new location are appropriate depending on the distance involved and the particular circumstances of the move. When approved,

the Company will reimburse an employee for temporary lodging and other reasonable and necessary expenses of the type normally associated with travel at the new location prior to establishment of the employee's new residence. The employee is eligible to receive reimbursement for up to five (5) days for interim living expenses.

#### (5) Purchase of Home at New Location.

- (a) The Company will reimburse an employee for transaction taxes, closing fees, legal expenses, and mortgage acquisition expenses actually incurred by the employee and customarily borne by the purchaser of real estate in the area where the purchase occurred for the purchase of a home at the employee's new location provided the purchase is made within one (1) year from date of transfer.
- (b) If the employee owned a home at the location from which the employee has been transferred and acquires a mortgage on a home at the new location at a higher contract interest rate, mortgage interest assistance shall be determined in accordance with the Resettlement Administrative Guide and supplemental letters in effect as of September 1, 1980.

- (6) **Relocation Payment**. The Company will pay each employee at the time the employee is permanently transferred an amount equal to one (1) month's pay at the straight time rate. For wage-hour employees, the one (1) month's pay shall be calculated as a product of the base straight time hourly rate in effect as of the date of the new assignment and a factor of 173.333 (one month average).
- (7) **Income Tax Assistance**. The Company will make additional payments to provide income tax assistance to the employee, based on tables developed by the Company, for additional federal, state, and city income taxes resulting from the reimbursement, or payment on the employee's behalf by the Company of that portion of the employee's moving expenses that are covered under this Agreement, and are not deductible expenses for income tax purposes.

#### 3. Advance Expense Allowance

Employees who have been notified of their transfer for the Company's convenience may, at their option, be advanced up to one-half of the transfer allowance to which they will be entitled as a result of the transfer.

## C. Use of Personal Car

Employees who are authorized by Management to use their personally-owned automobiles on Company business, shall be reimbursed on the following basis:

- 1. When use of personal car is authorized for actual performance of assigned job, or for purposes other than actual performance of assigned job, or when the personally-owned automobile is used to complete a Company convenience transfer, or when it is used in lieu of other forms of transportation to reach a point to which an employee is instructed by Management to appear, or is used to get to and from work in the case of a "call-out" as defined in Article VIII, reimbursement for the expense of using the car shall be at the current prevailing mileage rate.
- 2. While the Company does not provide any insurance protecting the employee's interest in using their personal car on Company business, the reimbursement rates include insurance costs. The employees, therefore, are expected to provide and pay for insurance for their own protection. (*Revised 2/1/95*)

# Article VII

## HOURS OF WORK

- A. The workweek shall begin at 12:01 a.m. Monday and end at 12:00 midnight the following Sunday. The workday shall begin at midnight and end the following midnight for employees assigned to onshore operations.
- B. ExxonMobil shall establish regular schedules of work for each employee and if such schedules are changed by the Company without 48-hour advance notice, premium pay provided for in Article VIII, Paragraph A4 shall be due. A change in work schedule of less than five workdays shall not be considered a change in a regular work schedule.
- C. ExxonMobil shall have the right to require employees to work on scheduled days off or in excess of scheduled working hours. No provision of this agreement shall be construed as a guarantee that any employee will receive any specified number of hours of work per day or per week. ExxonMobil will endeavor to schedule days off consecutively.

# Article VIII

## **OVERTIME AND PREMIUM PAY**

- A. Employees shall be paid one and one-half times the applicable straight-time rate for:
  - 1. Time worked on a holiday.
  - 2. Time worked in excess of 40 hours in a workweek.
  - 3. Time worked in excess of eight hours in any workday, provided however, the following shall not be considered overtime under this Subparagraph 3.
  - a. Time worked in excess of eight hours in a workday as a result of a change in regular work schedule.
  - b. Time worked in excess of eight hours in a workday as a result of scheduled shift changes with less than sixteen hours between such shift changes.
  - c. Time worked in excess of eight hours in a workday as a result of returning to a regular work schedule after completing one or more shifts of a changed schedule lasting for less than five workdays, if overtime was paid at the beginning of the changed schedule.

- d. Time worked in excess of eight hours in a workday in order to make up time lost during the same workweek, however, if the employee is working a gang or crew that works overtime, or the employee alone is instructed to work overtime to complete a job, then the overtime rate shall be applicable for time worked in excess of eight hours in a workday.
- e. Time worked in excess of eight hours in a workday as a result of schedule change for the employee's convenience only, or as a result of exchanging shifts by mutual consent for the employee's convenience only.
- 4. Time worked on the first shift of a changed work schedule where the hours have been changed and where 48 hours advance notice has not been given. If notice at the beginning of an employee's shift would have constituted 48 hours notice, notification at any time during that shift shall constitute 48 hours notice.
- 5. Time worked continuously prior to or after an employee's scheduled shift.
- 6. Time worked on an employee's scheduled off day if the employee is required to work. If the employee is working on an off day at the employee's own request, this provision shall not apply.

- 7. Time worked on a "call-out". A "call-out" occurs when employees who have finished their work and left the premises are called back for duty outside of their regular working hours, necessitating an additional trip to the place of work, and includes only the hours worked outside of normal working hours. Employees shall be paid overtime rates for all such hours worked, with a minimum of four hours straight-time pay. In the event no work is required of employees so called back, they shall be paid for four hours at their regular straight-time rate and no overtime.
- 8. Time spent in Company-requested training/schools/ seminars on an employee's scheduled off day. (*Revised 2/1/95*)
- B. Excluding those employees who are given at least eight hours' notice not to report to work, when employees report to their regular shift and then shall not be required to work or shall be required to work less than four hours, they shall be paid for four hours at their regular straight-time rate, including any shift differential applicable to the hours during which they reported and no overtime.
- C. Except on regular work schedule changes, no employees shall be required by the Company to take off a scheduled workday to offset time worked on a scheduled day off, or in anticipation of working a scheduled day off. Employees who have worked longer than a normal work week shift will not be required to take off

equivalent time later during the workweek. Employees may, with the approval of the Field Superintendent, exchange off days of work shifts for their own convenience without involving overtime, provided such exchanges do not result in working more than sixteen hours continuously or more than forty hours in a workweek.

- D. When overtime pay is applicable under this agreement, any part of the first hour that is worked in excess of eight hours in a workday or forty hours in a workweek shall be counted as one full hour. Thereafter, excess time that is less than a full hour shall be adjusted upward to the next quarter of an hour.
- E. Two overtime or premium rates will not be paid for the same hours. In determining when overtime is due for work performed in excess of forty hours in a workweek, there shall be deducted all hours for which rate and one-half was paid under some other provision of this Agreement, except as provided in Article XI, Section F, Paragraph 4. In determining when overtime is due for worked performed in excess of eight hours in a workday, there shall be deducted from the hours worked in excess of eight, all hours in the workday for which rate and one-half was paid under some other provisions of this Agreement.

# Article IX

# TRAVEL TIME

A. Except as otherwise specifically provided herein, all employees covered by this Agreement shall travel on their own time to and from the place where they have been instructed to report for duty on their scheduled assignments.

## B. Transfer-Travel Time

- Transfer-travel time shall be allowed only when the employees are required as a result of transfer at Company convenience to move either their own place of abode or the residence of their family. Time to be allowed will, at the option of employees, if operations permit, be given at the time they move their household belongings or their own place of abode.
- 2. For purposes of allowing transfer-travel time, the definition of "transfer" as given in Article VI, Expense Allowances, shall apply.
- 3. Transfer-travel time shall be computed on the basis of one hour for each 40 miles between the points of transfer. Employees shall be released from duty for the amount of time so computed for the purpose of traveling to the location of their new assignment, and they shall be paid for such transfer-travel time at the straight-time rate applicable to the occupation

to which they were assigned at the time they transferred. Transfer-travel time shall not be counted as "hours worked" for the purpose of determining when employees are entitled to overtime pay for completing 40 hours of work.

# Article X

# EXCUSED ABSENCES WITH PAY

## A. Jury Service and Election Judges

Employees covered by this Agreement shall be allowed the regularly scheduled rates of pay, exclusive of overtime, which they would have received during the time they are absent from work performing jury service or serving as election judge in a county, state, or national election. When employees can do so without undue hardship or inconvenience, they shall be expected to work their regular shift upon dismissal from jury service or from service as an election judge, however, no employees shall be required to work their scheduled shift if doing so would deprive them of sufficient rest before reporting either to jury service or for work.

## B. Witness Duty

Pay shall be allowed for excused absences caused by witness duty only if the employee appears at the request of the Company. Time thus allowed shall be counted in the 40 hour workweek.

## C. Death in Immediate Family

Employees who experience a death in their immediate family, as defined herein, shall be excused from work at their regularly scheduled rates of pay for a period not to exceed three regular scheduled workdays upon authorization of the Field Superintendent. The term "immediate family" shall mean: wife, husband, child, brother, sister, mother, father, grandmother, grandfather, grandchild, mother-in-law, father-in-law, brother-in-law, sister-in-law, son-in-law, and daughter inlaw, and any other individuals who are members of an employee's immediate household. Immediate family also shall mean the above-named relatives of an employee's wife or husband. (*Revised 8/31/04*)

#### D. Sickness or Accident Disability While On the Job

If employees do not complete a scheduled shift because of sickness or accident disability, they shall be allowed pay for all remaining hours of their scheduled shift. Time thus allowed shall be counted in the 40-hour workweek. If the work on the scheduled shift was at premium or overtime rates, the time allowed shall be at such rate.

E. **Reporting to Company Doctors for Medical Examinations** Employees not receiving disability benefits who report to Company doctors for physical examinations in connection with Company convenience transfer, securing chauffeur's license, or other matters of such nature that the Company requires physical examinations, shall be allowed pay for all time spent in securing examination, including travel time. Such time allowed shall be considered time worked in applying the provisions of this agreement covering overtime pay.

### F. War Veterans Reporting to Military Doctors

War veterans who are ordered or requested by the Armed Forces to report to military doctors for periodic physical examination shall be excused from work at their regularly scheduled rates of pay for a reasonable period of time provided that letters of verification from military authorities are presented to the Field Superintendent. Whether employee's military service was before or after their employment with the Company shall have no bearing on their eligibility to this benefit. Time allowed shall be counted in the 40-hour workweek.

### G. Scout Leaders

Subject to approval of the Operations Superintendent, employees who are Scout Leaders may be excused from work at their regularly scheduled rates of pay for a period not to exceed one week to attend a scout encampment.

### H. Selective Service

Employees shall be excused from work at their regularly scheduled rates of pay for a reasonable period of time to register for the draft by the Armed Forces or to report to the official Draft Board or induction center for physical examination in connection with military service.

## I. Time Off for Serious Illness/Accident in Immediate Family

Employees who experience a serious illness/accident in their immediate family, as defined herein, shall be excused from work at their scheduled rates of pay for a period not to exceed three regularly scheduled workdays upon authorization of the Field Superintendent. The term "immediate family" shall mean relatives or members of an employee's family who reside in the employee's household. In addition, the following relatives who may reside outside the employee's household may qualify provided the illness is serious to the extent that there is an apparent and logical need for the employee's presence: employee's father, mother, or children. (*Revised 9/24/97*)

## Article XI

## HOLIDAYS

A. The Company recognizes the following days as holidays:

New Year's Day	January 1
Washington's Birthday	Third Monday in February
Good Friday	Friday before Easter
Memorial Day	Last Monday in May
Independence Day	July 4
Labor Day	First Monday in September
Thanksgiving Day	Fourth Thursday in
	November
Thanksgiving Friday	Friday after Thanksgiving
Christmas Day	December 25

- A floating holiday to be determined as follows: when the Christmas Holiday is a Monday or Thursday, the floating holiday shall be the day after the Christmas Day Holiday. Otherwise, the floating holiday shall be the day before the Christmas Day Holiday.
- B. The Company shall suspend operations on a holiday whenever the Company considers it practical to do so.
- C. Employees who work on one of the above holidays will be paid for all hours worked at one and one-half times their straight-time rate of pay, including any applicable shift differential.

- D. In addition to any pay for working on a holiday, each employee will receive a holiday allowance, including any applicable shift differential. This allowance will be as follows:
  - 1. If the holiday falls on an employee's scheduled off day, the holiday allowance will be eight hours pay at the straight-time rate of the occupation worked if work is performed on the holiday, or at the straight time rate of the occupation worked on the last workday preceding the holiday if no time is worked on the holiday.
  - 2. If the holiday falls on a day the employee is scheduled to work, then regardless of whether the employee works or is given the day off, the employee's holiday allowance will be eight hours pay or pay for the number of hours the employee is normally scheduled to work, whichever is greater.
  - 3. If the holiday occurs during an employee's vacation or while the employee is receiving sickness or accident benefits or while on an excused absence for 15 days or less, the employee will receive the allowance provided for in Subparagraph 1 above if the holiday falls on one of the employee's normal off days, and the employee will receive the allowance provided for in Subparagraph 2 above if the holiday falls on one of the employee's normal workdays.

- 4. No holiday allowance will be paid employees who are on an excused absence in excess of 15 days or who are suspended for disciplinary reasons, except as provided in Subparagraph 3 above.
- E. The applicable shift differential to be included in any holiday allowance paid under this Article is:
  - 1. The shift differential, if any, applicable to the hours worked when an employee works on a day observed as a holiday.
  - 2. The shift differential, if any, the employee would have received had the employee worked when the day observed as the holiday falls on the employee's scheduled workday and the employee does not work.
  - 3. The shift differential, if any, paid on the last day the employee worked prior to the day observed as the holiday when the holiday falls on the employee's scheduled off day and the employee does not work.
- F. In determining what hours should be counted toward 40 hours for the purpose of computing overtime due for work performed in excess of 40 hours in a workweek, the following rules shall apply to employees eligible for a holiday allowance:
  - 1. When the holiday falls on the employee's scheduled day off and the employee does not work, no time will be counted toward the 40 hours.

- 2. When the holiday falls on an employee's scheduled day off and the employee does work, no time will be counted toward the 40 hours since the time worked will be paid at one and one-half times the straight-time rate.
- 3. When the holiday falls on an employee's scheduled day of work and the employee does not work, the number of hours the employee is normally scheduled to work will be counted toward the 40 hours.
- 4. When the holiday falls on an employee's scheduled day of work and the employee does work, the number of hours in a normal scheduled workday will be counted toward the 40 hours.
- G. In no event will the holiday allowance be paid on the basis of overtime rate.

## Article XII

## VACATIONS

A. The Company agrees to grant all employees vacation with pay after six months of service under the following schedule:

Vacation Service	Weeks of Vacation
1 year	2*
2 years - 4 years	2
5 years - 9 years	3
10 years - 19 years	4
20 years - 29 years	5
30 years or more	6

\* Eligible for one of these two weeks after six months of employment.

Service for the purposes of this Article shall be Company accredited service computed in accordance with the Company's service rules, the last year of which shall have been continuous active service. In scheduling vacations, the Company will give consideration to employees' preferences as to time, however, the Company reserves the right to schedule vacations.

B. Employees shall take their vacation during the calendar year in which they become eligible. Vacations shall not accumulate from year to year, except:

- 1. when employees become eligible too late in the calendar year to take their full vacation during that year, their vacation shall be extended into the next calendar year a sufficient number of days to enable them to receive their full vacation; or
- 2. when the Company is unable to schedule employees for a vacation to which they are entitled in one year, the employees may take the vacation the following year.
- C. Employee's vacation pay shall be computed at the rate or rates applicable to their regular occupation, and their vacation pay shall include any regularly scheduled overtime and shift differential pay which they would have received had they been working their normal assigned schedule. In the case of employees regularly assigned to a combination of two or more occupations, their vacation pay shall be determined on the basis of what they would have received had they worked. If employees have been on a temporary assignment for as much as 80 percent of the last 90 calendar days, their vacation pay shall be on the basis of the normal hourly rate for the temporary assignment. If such employees have worked at more than one occupation on such temporary assignments, their vacation pay shall be on the basis of the weighted average normal hourly rates for the assignments for less than 80 percent of the last 90 calendar days, their vacation pay shall be determined on the basis of the rate applicable to their regular assignment prior to going on the temporary assignments.

- D. When employees leave the service of the Company without having taken vacation for which they are eligible, they shall be paid for such vacation.
- E. Employees who are to be demoted shall be entitled to take their vacation to which they are eligible prior to the date they are scheduled for demotion.
- F. Employees may, at their option and with Management's approval, defer one or two weeks of their vacation for an indefinite time subject to the following conditions:
  - In order to defer vacation in any calendar year, the deferment request must be made prior to February 1, and deferrals of less than one-week increments will not be permitted.
  - 2. No more than two weeks of vacation may be deferred, but employees who have taken a deferred vacation may again defer one or two weeks, subject to the condition in "1." above.
  - 3. The deferred vacation is to be reserved for emergencies and non-emergency situations subject to the following conditions:
    - (a) a maximum of one week per calendar year may be taken for non-emergency situations;
    - (b) the remainder of the deferred vacation not taken for non-emergency situations may be taken for

emergencies. An "emergency" is considered to be a situation in the employee's personal affairs which would normally and reasonably require that they be excused from work, such as severe illness of close relatives or serious and urgent family and personal problems, whether occurring in the year in which the week of vacation is deferred or later. Nothing contained in this sub-section shall alter employee's rights under Article X.

- 4. Employee's vacation pay while taking the deferred vacation shall be the same as would be allowed for a regular vacation.
- 5. One week of deferred vacation, but only one week per year, may be taken in increments of less than five days at a time, provided prior approval is secured from the Field Superintendent. Any remaining weeks of deferred vacation in a single calendar year must be taken in increments of one week at a time.

# Article XIII

## ASSIGNMENTS OF PERSONNEL

#### A. **Definitions**

For the purpose of this agreement, the following definitions shall apply:

- 1. *Seniority* is the amount of Company service accredited under the service rules applicable to all ExxonMobil employees. Seniority is broken when employees:
  - a. Resign
  - b. Are discharged
  - c. Are laid off for more than one year.

Should employees whose seniority is broken thereafter be employed by ExxonMobil, they shall not be entitled to include in their seniority any accredited service earned before the break.

- 2. A *promotion* is a change, other than of a temporary nature, in an employee's occupation to an occupation with a higher rate of pay, unless otherwise provided herein.
- 3. A *demotion* is a change, other than of a temporary nature, in an employee's occupation to an occupation with a lower rate of pay, unless otherwise provided herein.

- 4. A *vacancy* is the situation existing when Exxon-Mobil determines there are fewer employees in an occupation than are needed in SYU.
- 5. A *surplus* is the situation existing when ExxonMobil determines there are more employees than are needed in an occupation in SYU.
- 6. *Field Headquarters* is the place an individual normally reports to work.
- 7. A *transfer* is a change in an employee's place of work from one Field Headquarters to any other Field Headquarters, regardless of whether a change in rate of pay is involved. A lateral transfer is one in which the employee is transferred in the same or an equal occupation.
- 8. *Equal occupations* are occupations carrying the same base rate of pay.
- 9. A *layoff* is a removal of an employee from the Company's payroll because of lack of work.

## **B.** Posting

Before selecting an employee to fill a vacancy in an occupation shown in Schedule A, the Company will post for a minimum of fourteen days a notice that the vacancy exists, identifying the Field Headquarters where the employee will be utilized. Employees may during this period express their desire to fill the vacancy by notifying their supervisors in writing. The Company may promote or transfer any employee to fill the vacancy but will first consider those employees who have expressed their desire for the assignment.

The Company will not be required to post a notice of a vacancy when there is a surplus in SYU in the same, equal, or higher occupation. Pending the assignment of an employee to a vacancy in accordance with the foregoing or in filling temporary vacancies, the Company shall be entitled to assign to the vacancy an available, qualified employee.

## **C.** Promotions

When a vacancy is filled by a promotion, the best qualified person will be promoted. The Company recognizes that in determining qualifications length of service is one of the factors that must be considered. If qualifications are equal, the Company will promote the employee with the most seniority. Promotions will be made on an SYU-wide basis.

## **D.** Transfers and Demotions

1. Where a surplus exists in an occupation at a Field Headquarters, the employee in that occupation assigned to that Field Headquarters with the least seniority (called the "surplus employee") shall be reassigned in accordance with the following procedure:

- a. The surplus employee shall be given the following options:
  - (1) The employee may elect to fill any vacancy that exists in the employee's occupation or any equal occupation at any Field Headquarters in SYU provided the employee is qualified; or
  - (2) The employee may elect to be demoted to a lower occupation in which a vacancy exists at any Field Headquarters in SYU provided the employee is qualified; or
  - (3) The employee may elect to be reassigned in accordance with provisions of Paragraph b set out below.
- b. Should there be no vacancy for the surplus employee to fill under Paragraph a(1) or a(2) above, or should the surplus employee elect not to accept the available assignments, then the surplus employee shall be reassigned in the following manner:
  - (1) The surplus employee shall displace the one employee in SYU in the surplus employee's occupation with the least amount of seniority, however, if there is no employee in that occupation in SYU with less seniority than the surplus employee, then

- (2) The surplus employee shall displace the one employee with the least seniority of all employees assigned in the surplus employee's occupation equal to the surplus employee's occupation, however, if the surplus employee is not qualified to replace this employee, or if there is no employee in SYU in such equal occupations with less seniority than the surplus employee, then
- (3) The surplus employee shall be demoted, in the manner set out below, to the next lower occupation for which the employee is qualified and in which there are one or more employees in SYU with less seniority than the surplus employee. The surplus employee shall be demoted to such occupation by displacing the one employee in SYU in such lower occupation with the least amount of seniority. If there are two or more lower equal occupations for which an employee is qualified and in which there are one or more employees with less seniority than the surplus employee, then such lower equal occupations shall be treated as single occupations for the purpose of determining the employee to be displaced under this subparagraph.

#### E. Layoffs

1. When ExxonMobil determines that a layoff of

employees in the Production and Maintenance Unit should take place, the following procedure shall be applicable:

- a. The employees in SYU with the least service shall be the first laid off, provided that a qualified replacement is available.
- b. In the event the Company hires new employees in the bargaining unit within twelve months after a layoff, those laid off will be offered employment in the reverse order to that in which the layoff occurred, provided they are still qualified and their past service with ExxonMobil was satisfactory.
- c. In the event any former employee is given notice at the former employee's last known address, by oral advice direct to the former employee or by written notice, of opportunity of reemployment and does not accept the employment offer within forty-eight hours thereafter, the former employee shall lose all right to consideration by the Company. Any employee accepting reemployment shall be required to appear for work within a reasonable time, which in no event will exceed five days from the date of acceptance.

#### F. Miscellaneous

1. The following assignments, which the Company may elect to make from time to time, shall not

be considered promotions or demotions under this Article even though a change in rate of pay is involved; a change in the amount of time an employee assigned to a combination occupation works in each of the occupations making up the combinations; an assignment of an employee from one occupation to an equal occupation.

- 2. Without regard to other provisions hereof, an employee may be temporarily assigned to work in the same or a different occupation at a different Field Headquarters or in a different occupation at the same Field Headquarters. The rate of pay for such an assignment will be that provided in Article IV, Paragraph C.
- 3. Regardless of any provision hereof, the Company in no event shall be required to assign or retain an employee on any job or occupation for which the employee is not qualified. The Company shall determine qualifications under this contract, and the good-faith decision of the Company shall be conclusive.
- 4. The provisions of this Agreement shall in no way limit the right of the Company to select employees at its discretion to fill assignments not listed in Schedule A. An employee in the bargaining unit who is moved to a job with the Company outside the bargaining unit (other than a temporary assignment) and who returns to the bargaining unit shall be, at the option of the Company:

- a. Assigned to fill any vacancy in the bargaining unit in the occupation held at the time the employee left the bargaining unit or any equal occupation; or
- b. Returned to the occupation and Field Headquarters to which the employee was last assigned in the bargaining unit (if this assignment results in a surplus at that Field Headquarters, the provisions of Paragraph D1 of this Article shall be applicable); or
- c. Displace the one employee in SYU (in the occupation last held by the returning employee) with the least amount of seniority, provided the employee being returned has more seniority than the employee to be displaced.
- 5. The Company shall have the right to schedule employees to work in more than one occupation. For the purpose of determining whether such combination occupations have a rate of pay higher, lower, or equal to another occupation, the hourly earnings for the regularly scheduled workweek shall be determined on a weighted-average basis.
- 6. It is recognized that certain former occupations no longer exist as a result of being combined with other occupations. For the purpose of applying the various provisions of this Article XIII, an employee who was assigned to such a former occupation will be considered as having been assigned to the occupation with which it was combined. This same

rule shall apply to occupations that are combined in the future.

7. It is recognized that regular ExxonMobil employees transferred into the bargaining unit shall enter the bargaining unit no higher than the Maintenance Specialist (hired before 10/1/84) classification as set forth in Schedule A.

# Article XIV

## GRIEVANCES

- Employees, the Federation, and the Company will cooperate A. in immediately preparing and answering grievances, in order that they may be disposed of promptly and fairly. Should the Federation or the employee fail to process a grievance within the time limits set out below, the grievance may not be processed further without consent of the Company and shall not be subject to arbitration. Should the Company fail to answer a grievance within the time limits set out below, the grievance may be appealed to the next step in the grievance procedure or to arbitration from the last step in the grievance procedure without awaiting a reply from the Company. For the purpose of this agreement, a grievance is defined as an alleged violation of this agreement. Employees may take up personal complaints and problems, not involving an alleged violation of this agreement, with their supervisors without following the procedure set out herein.
- B. Grievances of employees arising under this agreement shall be presented to the Field Superintendent of the aggrieved employee no later than fifteen days after the occurrence of the event complained of either by the employee, the employee's representative of Federation, or if preferred, jointly with the employee and representative. The Field Superintendent shall give an oral reply either at the time the grievance is presented or within

ten calendar days thereafter. If the grievance is not thus satisfactorily settled, the grievance may be appealed in accordance with the procedure set out below. (*Revised 1/10/96*)

- 1. If the answer of the Field Superintendent is not satisfactory, the grievance may be appealed by an Officer and the local representative by written notice to the Operations Superintendent within ten calendar days after the reply is given by the Field Superintendent. The Operations Superintendent shall hear the grievance within ten calendar days after receiving the appeal and shall give a reply in writing within ten calendar days after hearing the grievance. (*Revised 1/10/96*)
- 2. If the answer of the Operations Superintendent is not satisfactory, the grievance may be appealed by the Officers by written notice to the Production Manager within ten calendar days after the reply is given by the Operations Superintendent. The Production Manager shall hear the grievance within fifteen calendar days after receiving the appeal and shall give an answer in writing within ten calendar days after hearing the grievance. (*Revised 1/10/96*)
- C. Notwithstanding any of the foregoing provisions, an individual employee shall have the right to present grievances to the Company at any step in the above procedure without the assistance or presence of a Federation representative provided however, that the

local representative, and one Officer, will be given the opportunity to be present at any adjustment of such grievance made at the Operations Superintendent level, and the Officers will be given the opportunity to be present at any adjustment of such grievance made at the Production Manager level.

- D. If a grievance is not satisfactorily disposed of under the foregoing procedure, it may be submitted to arbitration in accordance with Article XV if it is subject to arbitration under the provisions of that Article and the Federation wishes to make such a submission.
- E. The time limits provided for in this Article for filing and appealing grievances and submitting replies to grievances may be extended in individual cases by mutual agreement of the parties in writing. However, if not so extended in writing, the time limits set forth herein shall be considered of the essence of this Agreement and failure of the aggrieved employee or the Federation to abide by the time limits as set for each individual case shall constitute a forfeiture and waiver of all subsequent rights they would otherwise have under this Article.

### Article XV

#### ARBITRATION

- A. When the decision of the Production Manager on any grievance appealed to the Production Manager under the procedure set out in Article XIV is not satisfactory to Federation, and when such issue involves an alleged violation of this contract, then upon written demand of Federation, the dispute may be referred to an Arbitration Board, provided that such demand is made within thirty (30) days following the decision of the Production Manager. The Board shall be composed of one member appointed by ExxonMobil, one member appointed by the Federation, and a third member selected as provided below.
- B. Upon receipt of the written demand for arbitration, ExxonMobil and the Federation shall reduce to writing the question to be submitted to the Arbitration Board. After the question has been agreed upon, each party shall promptly name its respective arbitrator. These arbitrators shall then choose by mutual agreement a third arbitrator, who shall be Chairman of the Arbitration Board. If the two arbitrators are unable to agree upon the third arbitrator within thirty (30) days, they shall request the American Arbitration Association to furnish a panel of five qualified arbitrators and the third arbitrator shall be selected from this panel by alternately striking names until four have been stricken. A toss of the coin shall determine which member of the Arbitration Board

shall strike the first name. The remaining one shall be the third arbitrator and Chairman of the Arbitration Board. No issues shall be considered by the Arbitration Board except those that are submitted in writing to it. The Arbitration Board may not by its decision add to, take away from, or modify this agreement, nor shall an Arbitration Board have the authority to inflict a punitive award against ExxonMobil or the Federation. The decision of a majority of the Arbitration Board shall be final and binding upon ExxonMobil and Federation for the duration of this agreement.

C. Each party will bear the cost and expenses of its arbitrator and witnesses, but the fees and expenses of the Chairman of the Arbitration Board shall be borne equally by the parties.

# Article XVI

#### **DISCIPLINE AND DISCHARGE**

- A. The Company may discipline or discharge employees for just cause. The commission of any of the following offenses may, at the discretion of ExxonMobil, be cause for discharge without prior notice:
  - 1. Violation of any criminal law or the commission of any offense such as the following: carrying prohibited weapons; fighting or attempting bodily injury to another (provided that employees shall not be penalized for defending themselves when improperly attacked); stealing; making fraudulent records; malicious mischief resulting in the injury or destruction of property of other employees of the Company; or any conduct which violates the common decency or morality of the community.
  - 2. Violation of any established safety rule. Among these are: carelessness in regard to accidents and safety of fellow employees; violation of rules governing employees in repairing or oiling of moving machinery; smoking or carrying matches other than safety matches or having open lights or fires within prescribed limits where such practice is forbidden; failure to wear fresh air breathing apparatus, goggles, or other safety equipment when required by safety rules.

- 3. Failure to report immediately accidents or personal injuries to delegated authority wherever possible.
- 4. Falsifying or refusing to give testimony when accidents are being investigated, or for false statements when application for employment and physical examination is being made.
- 5. Insubordination (including refusal or failure to perform work assigned) or use of profane or abusive language toward fellow employees or officials of the Company.
- 6. Absence from duty without notice to and permission from immediate supervisor, except in case of sickness or cause beyond the employee's control of a character that prevents giving notice.
- 7. Harboring a disease which on account of the individual's own carelessness will endanger fellow workers.
- 8. Neglect or carelessness resulting in damage to the Company's property or equipment.
- 9. Obtaining material at warehouses, plants, stations, or other assigned places on fraudulent orders.
- 10. Sleeping while on duty.

- 11. Offering or receiving money or other valuable consideration in exchange for a job, better working place, or any change in working conditions.
- 12. Introduction, possession, or use on the property of the Company of intoxicating liquors or illegal drugs, narcotics, and substances.
- 13. Reporting for or being at work in an unfit condition, such as being under the influence of intoxicants, narcotics, drugs, etc.
- 14. Intimidation or coercion of one employee by another employee because of membership or non-membership in any church, society, fraternity, union, or other labor organization.
- 15. Disloyalty to the State or Federal Government or to the Company.
- 16. Membership in an organization which advocates the overthrow of the United States government through violence or subversion.
- 17. Excessive number of garnishments of an employee's wages in any twelve-month period. (Three shall be presumed to be excessive.)
- Any unauthorized disclosure of confidential Company information or willful violation of the Company's Conflict of Interest or Antitrust Policies.

For committing an offense not on the above list, employees with one or more years of continuous active service shall not be discharged without first having been notified in writing that the commission of an additional offense or repetition of the offense or offenses will make them subject to dismissal.

- B. When an employee is discharged or suspended without pay as a disciplinary measure, and a grievance is filed as a result of such discharge or suspension, the matter shall be handled as any other grievance under the provisions of this agreement, except that any such grievance shall begin at the level of the SYU Production Manager. If such a grievance is filed, and if the dismissal or suspension is not sustained, the employee shall receive pay for the time lost from work pending the decision, and all references to the dismissal or suspension shall be removed from the employee's active service record except a statement to the effect that charges were brought and dismissed may be entered therein. If the employee and/or the Federation fail to protest in writing within seven days after the employee is discharged or suspended without pay, no future action shall be taken by such employee or the Federation, and the termination or suspension shall become final.
- C. Employees with less than one year of continuous active service may be immediately terminated for any reason at Management's discretion. Warning notices as provided for above shall not be necessary.

# Article XVII

# **CONTRACT LABOR**

- A. The Company's right to contract work shall be subject only to the following limitations:
- B. The Company will not contract work customarily performed by employees covered by this agreement for the purpose of causing a layoff of employees qualified to perform the work with available Company-owned equipment.
- C. The Company will avoid, where possible, entry into work which is periodic, seasonal, or temporary. Certain types of work, such as cement work, carpentry, painting, road building, etc., are obviously periodic, seasonal, or temporary in nature and ordinarily will be done by contract, since this type of work is not a primary function of the Production Department.
- D. In completing a job begun by ExxonMobil employees, it is not intended that contract labor be used as a means of keeping regular Company employees from acquiring overtime when overtime is necessary.
- E. The provisions of this Article XVII shall not be construed as requiring that ExxonMobil engage in unsound or unsafe business practices.

# Article XVIII

### BENEFITS

- A. This agreement shall not prevent employees covered hereunder from participating in the applicable published benefit plans and programs of the Company, relating without limitation to those set out below. However, the Company shall be entitled to create new benefit plans and programs and to administer, interpret, change, or discontinue all such plans and programs in accordance with the provisions thereof.
  - 1. The benefit plans and programs referred to above are:

Annuity Thrift Disability (Sickness and Accident) Survivor Insurance Group Life Insurance Group Hospitalization Insurance Group Dental Assistance Plan Military Leaves of Absence Coin-Your-Ideas System Service Emblems, Watches, and Awards Education Refund Policy Discounts on Company Products

2. In addition to the plans and programs set out above, holidays, vacations, and excused absences are specifically covered elsewhere in this agreement. In the event the Company creates any new benefit plans applicable to the employees covered by this agreement or makes any substantial changes in the provisions of existing benefit plans and programs, the Company shall notify the Federation of such new plans or changes in benefit plans and the effective date thereof in advance of the effective date.

# Article XIX

#### SAFETY AND SANITATION

- A. The Company will use every reasonable means of protecting the safety of its employees and will put into effect any reasonable precautions to achieve safe working conditions for all employees. The Company will welcome suggestions from all employees for such future precautions as may be deemed advisable by them.
- B. The Company will promote sanitary and healthful working conditions based on sound medical principles and accepted industrial standards.

## Article XX

#### **WORKING RULES**

A. The Company will from time to time, as it deems advisable adopt working rules as a means of directing the working force, directing and controlling operations, maintaining discipline and standards of individual and group conduct. Such rules shall not be in conflict with any provisions of this agreement.

## Article XXI

#### **BULLETIN BOARDS**

A. The Company will provide and maintain bulletin boards at locations as may be agreed upon from time to time by the Operations Superintendent and the location Federation representative. Such bulletin boards may be used by Federation for the posting of notices which are of general interest to its members, provided no notice or bulletins of a controversial or political character shall be posted. Nor will the boards be used for maliciously attacking any individual or the Company or for advertising or other solicitation not relating to Federation or Company matters. The Federation and Company jointly will remove from the bulletin boards any matter which does not comply in all aspects with the provisions of this Article.

# Article XXII

#### NO WORK STOPPAGE

A. Federation agrees that neither it nor any of the employees covered by this agreement will collectively or individually engage in or participate, directly or indirectly, in any strike, slowdown, stoppage, or other interference of production or work during the term of this agreement. The Company agrees that there shall be no lockout during the term of this agreement. The provisions of this article are unconditional, and neither the Company nor the union shall be excused from its obligations under this article for any reasons.

## Article XXIII

## VALIDITY

- A. If any court shall hold any part of this agreement invalid, such holding shall not invalidate any other part of this agreement.
- B. Notwithstanding anything contained in this agreement, the Company shall at all times be free to comply with any and all laws, regulations, and rulings pertaining to the matters covered hereby, and such compliance by the Company shall not be a violation of this agreement.

## Article XXIV

### RIGHTS OF FEDERATION REPRESENTATIVES AND OTHER EMPLOYEES

- A. The official acts of officers and representatives of Federation shall be construed as the official acts of Federation, not of the individual, and shall not reflect upon the work or the standing of such person.
- B. The Company and Federation shall refrain from any and all forms of interference, intimidation, and coercion by word or deed, in the rights of any employees to exercise their freedom of action in joining or not joining any labor organization, church, society, or fraternity.
- C. The Company and the Federation shall refrain from any form of discrimination and/or harassment against any employees because of race, religion, color, sex, national origin, mental condition, age, marital status, Federation activities, or physical handicap.

# Article XXV

## **EFFECTIVE DATE**

This agreement was originally executed the 5th day of April, 1989. On the effective date of the agreement, March 1, 1989, all other agreements between the parties were superseded and canceled.

The reprinting of this agreement between the parties, including revisions, is authorized by their duly authorized officers, and is effective April 16, 1997. All future revisions will be duly noted by (revision date) and distributed.

## **EXXONMOBIL PRODUCTION COMPANY**

(a division of Exxon Mobil Corporation) **U.S. PRODUCTION** 

R. D. Schilhab Production Manager

## FEDERATION OF SANTA YNEZ UNIT EXXONMOBIL EMPLOYEES

R. S. Harris President

P. A. Rodriquez Onshore Vice President

B. S. Velasquez Offshore Vice President

N. D. Kinchen Secretary/Treasurer

# Schedule A Production and Maintenance Unit

# **RATE SCHEDULE** Effective January 1, 2015

A. Hourly	
Occupation Title	Hourly Rate of Pay
Maintenance Foremen	\$48.41
Sr. Technician-Mechanical	\$45.01
Sr. Technician-Electrical	\$45.01
Senior Operator	\$45.01
Technician	\$40.56
Operator	\$40.56
Maintenance Specialist - A (After 36 months)	\$35.06
Maintenance Specialist - B (After 24 months)	\$32.79
Maintenance Specialist - C (After 12 months)	\$30.49
Maintenance Specialist - D (Entry Rate)	\$29.64

# Schedule B OFFSHORE WORK

- A. The following rules apply only to employees assigned to offshore Santa Ynez Unit operations.
- 1. For employees assigned offshore and working a 7-7 schedule, a change in assigned shift will not constitute a changed work schedule unless such change results in a change of scheduled days off.
- 2. The workweek for employees assigned offshore and working a 7-7 schedule shall be designated by the Company to begin on a particular hour of a particular day for a particular employee or group of employees. The workweek as designated shall consist of seven 24-hour consecutive periods. The workday shall begin at midnight and end the following midnight. All employees will be furnished notice of any changes in the designated workweek.
- 3. In establishing and revising scheduled work periods for employees working a 7-7 schedule, the Company shall not schedule employees to change out on Sunday except in case of an emergency; and to the extent feasible, based on operating requirements as determined by Management, the Company shall not schedule employees to change out on Saturday.
- 4. Boat Travel Time
  - a. All time spent in boat travel between the dock

and such offshore operations shall be counted toward the forty hour workweek.

- b. The following provision shall apply to employees assigned offshore and working a 7-7 schedule: travel time between the onshore assembly point and the offshore quarters and other work locations if not a part of the normal work tour will be paid at a travel time rate equal to the occupational rate including applicable shift differential.
- c. The provisions of Article VIII, Paragraph D, shall not be applicable to any boat travel time to and from any offshore operation.
- 5. An offshore employee who regularly works a 7-7 schedule may, at their option and with Management's approval, carry over one week of vacation to the following year, subject to the following conditions:
- a. In order to carry over a week of vacation in any calendar year, an employee must make the request by January 1 of that year. However, there is an exception: an employee who transfers from another schedule to a 7-7 schedule in a particular year will have 30 days from the effective date of transfer to make such a request.
- b. The week of vacation that may be carried over

must be an "odd week" for which the employee is eligible for one of these reasons:

- (1) By completing service which entitles him to an odd number of weeks of vacation.
- (2) By deferring vacation for an indefinite period of time under the provision of Paragraph F of Article XII and thereby having an odd number of weeks of vacation remaining.
- c. An employee's vacation pay while taking the days of carry-over vacation shall be the same as would be allowed for a regular vacation taken at that time.
- d. A maximum of one week's vacation can be carried over. The carry-over vacation must be taken in the succeeding year unless the employee notifies Management by January 1 of that succeeding year that he/she wishes to carry over one week into the subsequent year.
- 6. For employees assigned to a 7-7 work schedule, the holiday allowance shall be:
- a. If the holiday falls on a day the employee does not work, the allowance will be eight (8) hours normal straight-time pay; however, if the holiday falls on a day that the employee is normally scheduled to work, but the employee does not work because the employee is on vacation,

then the allowance will be equal to eight hours normal straight-time pay or the number of hours the employee would have worked according to the employee's normal schedule, whichever is greater. (*Revised 1/4/14*)

- b. Eight hours normal straight-time pay or the number of hours at normal straight-time rates actually worked on the holiday, not to exceed 12. (*Revised 2/1/95*)
- c. A vacation shall not be extended as a result of a holiday for employees assigned to a 7-7 work schedule.
- 7. ExxonMobil will provide, to the extent possible and practical, meals of high quality and of a balanced nutritional value.

NOTE: In cases where the provisions of this Schedule B are in conflict with other provisions of this Agreement, the provisions of this Schedule shall govern.

# Schedule C ONSHORE WORK (Deleted 11/21/95)

## Schedule D LAS FLORES CANYON WORK (Added 3/30/92)

- A. The following rules apply only to employees assigned to Las Flores Canyon operations.
  - 1. Work schedules covered by the provisions of this Schedule D:

### Normally Scheduled

Work Schedule	Workday Hours
7-7 (modified)	12
4-4	12
4-3 / 3-4	12

- 2. For employees assigned to work the 4-3 / 3-4 work schedule, employees will be assigned to work one week consisting of four consecutive workdays (Monday through Thursday), and one week consisting of three consecutive workdays (Monday through Wednesday). Associates will alternate between the four-day workweek and the three-day workweek. The 4x3 / 3x4 work schedule applies only to the onshore Maintenance / Technical Group. (*Revised 2/2005*)
- 3. For employees assigned to Las Flores Canyon and working a work schedule listed in A.1 above, a change in assigned shift will not constitute a

changed work schedule unless such change results in a change of scheduled days off.

- 4. For employees with work schedules described in Paragraph A.1. of this schedule, the workweek shall be designated by the Company to begin on a particular hour of a particular day for a particular employee or group of employees. The workweek as designated shall consist of seven 24-hour consecutive periods. The workday shall begin at midnight and end the following midnight. All affected employees will be furnished notice of any changes in the designated workweek.
- 5. In establishing and revising scheduled work periods for employees with work schedules described in Paragraph A.1. of this Schedule, the Company shall not schedule employees to change out on Sunday except in case of an emergency; and to the extent feasible, based on operating requirements as determined by Management, the Company shall not schedule employees to change out on Saturday.
- 6. A Las Flores Canyon employee assigned to a work schedule described in Paragraph A.1. of this Schedule may, at their option and with Management approval, carry over one week of vacation to the following year, subject to the following conditions:
- a. In order to carry over a week of vacation in any calendar year, an employee must make the re-

quest by January 1 of that year. However, there is an exception: an employee who transfers from another schedule to a work schedule listed in A.1. above will have 30 days from the effective date of transfer to make such a request.

- b. The week of vacation that may be carried over must be an "odd week" for which the employee is eligible for one of these reasons:
  - (1) By completing service which entitles him to an odd number of weeks of vacation.
  - (2) By deferring a week of vacation for an indefinite period of time under the provision of Paragraph F of Article XII, and thereby having an odd number of weeks of vacation remaining.
- c. An employee's vacation pay while taking the days of carry over vacation shall be the same as would be allowed for a regular vacation taken at that time.
- d. A maximum of one week's vacation can be carried over. The carried over vacation must be taken in the succeeding year unless the employee notifies Management by January 1 of that succeeding year that he/she wishes to carry over one week into the subsequent year.

7. For employees with work schedules described in Paragraph A.1 of this Schedule, the holiday allowance shall be: (*Revised 2/1/95*)

a. If the holiday falls on a day the employee does not work, the allowance will be eight (8) hours normal straight-time pay; however, if the holiday falls on a day that the employee is normally scheduled to work, but the employee does not work because the employee is on vacation, then the allowance will be equal to eight hours normal straight-time pay or the number of hours the employee would have worked according to the employee's normal schedule, whichever is greater. (*Revised 1/4/14*)

- b. Eight hours normal straight-time pay or the number of hours at normal straight-time rates actually worked on the holiday, not to exceed 12. (*Revised 2/1/95*)
- c. A vacation shall not be extended as a result of a holiday for employees assigned to work schedules described in Paragraph A.1.
- 8. For employees assigned to Las Flores Canyon with work schedules described in Paragraph A.1 of this Schedule, hours worked in a workday in excess of eight, but less than or equal to the Normally Scheduled Workday Hours (as set forth in Paragraph A.1 of this Schedule), shall not be considered overtime hours under any applicable California Wage Orders

as set forth in the California Code of Regulations or under Article VIII.A.3.

- 9. Employees assigned to work the morning shift will receive the shift differential rate of \$1.50 per hour.
- 10. This Schedule shall remain in force and effect for six months from the date of execution and for consecutive six month periods thereafter, unless expressly terminated by either party on thirty (30) days written notice prior to the end of any six-month period.

NOTE: In cases where the provisions of this Schedule D are in conflict with other provisions of this Agreement, the provisions of this Schedule shall govern.

To: Federation Officers

In response to the Federation's request, this letter will evidence my understanding that the Federation and Management will meet to discuss future operating plans for the SYU expansion Project's LFC onshore operations. As a first step, Management will solicit the Federation's ideas, questions, and corresponding justifications for the appropriate operating system for LFC onshore operations.

To: Federation Officers

In response to the Federation's request, we agree to the following general guidelines for conducting formal communication/ bargaining meetings between Management and the Federation:

Management agrees to schedule and conduct periodic Management/ Federation communication meetings every three to four months.

Bargaining meetings will normally be held in conjunction with the scheduled communication meetings on an asneeded basis (normally on a twice per year basis).

We agree with the Federation that it is in the best interest of both parties to continue the current practice of positive and constructive communication on an ongoing basis.

To: Federation Officers

In response to the Federation's request, Management agrees to provide time off without pay for Federation Officers and Representatives to conduct Federation business. It is expected that these requests will be infrequent (typically not to exceed three times per year), and that the Federation will provide proper advance notice and obtain approval from the Operations Superintendent prior to scheduling these meetings.

**To: Federation Officers** 

It has recently come to my attention that some exempt field personnel have been performing work ordinarily done by hourly employees. As you are aware, it is our intention that exempt personnel will only perform work of this nature during training assignments and in emergency situations; hourly or contract personnel should be utilized in all other cases, even if it requires a call out.

To: Federation Officers

In response to the Federation's request, Management has agreed to reissue the January 1987 Division Procedures for Resolving Work Schedule and Military Reserve Duty Conflicts. It is Management's intent to comply with all laws (e.g., Gramm-Rudman) and work with employees on an individual basis when conflicts arise.

September 2, 1998

Luis A. Rodriguez President Federation of Santa Ynez Unit ExxonMobil Employees

The purpose of this letter is to clarify representation of those employees who may be assigned to the POPCO facilities in the event ExxonMobil acquires POPCO. Any employees assigned to the POPCO facility will be considered a part of the LFC onshore operations and will be covered by the Collective Bargaining Agreement as "production and maintenance employees employed in California by the Santa Ynez Unit of ExxonMobil Production Company, U.S. Production" Wage rates for those employees are found in Schedule "A" and work rules are found in Schedule "D" of the CBA.

> /s/R. D. Schilhab Production Manager

This agreement is to be effective March 1, 1989.

Executed April 5, 1989

Reprinted April 16, 1997.

# EXXONMOBIL PRODUCTION COMPANY

(A Division of ExxonMobil Corporation) **U.S. PRODUCTION** 

R. D. Schilhab Production Manager

# FEDERATION OF SANTA YNEZ UNIT EXXONMOBIL EMPLOYEES

R. S. Harris President

P. A. Rodriquez Onshore Vice President

B. S. Velasquez Offshore Vice President

N. D. Kinchen Secretary/Treasurer

# Santa Ynez Unit Expansion Supplement

89

## LAS FLORES CANYON WORK SCHEDULE AND PAY PRACTICES

- 7 days on, 7 days off
  - Modified vs. True
  - Seven full twelve hour work days
  - Maintain current rates in Schedule A
  - Maintain current classifications and relief pay
  - If Management and Federation mutually agree, Associates can change to 4&4 (or back to 7&7) with 30 days notice
- Overtime after 40 hours per week, 12 hours per day
  - Overtime paid at time and a half
  - Work week ends Sunday at midnight
  - Change day is Thursday
  - 8 hours of scheduled overtime per hitch
  - Equivalent to being paid 88 straight time hours per hitch
- Shifts
  - Twelve hours per day
  - 6:00 a.m. to 6:00 p.m. (day)
  - 6:00 p.m. to 6:00 a.m. (morning)
  - Two Sr. Operators, one Sr. Operator Lab and five

Operators working mornings per hitch

- Expect some technical work will also be done on shift (based on operational need; greater number during start-up, decreasing as facility is lined out)
- Employees who work mornings get shift differential of \$1.00/hour
- Company will have six months from first oil to evaluate morning shift Operators for satisfactory performance; the replacement procedure for an unsatisfactory performer would be as follows:
  - + Laterally move to day shift
  - + Offer morning position to other Operators based on seniority
  - + If no interest, least senior person is assigned to morning shift
- Associates will have on duty lunch period
  - Facilitates ridesharing by having majority of people with same hours
  - Allows more time at home
  - No common lunch period will be scheduled
  - Lunch will be taken as time is available to maximize productive work time
  - Associates will not leave the work site
  - Assumes pass on will be handled in the current manner
  - Federation will encourage Associates to rideshare
  - + Advantages to employees (less fatigue, lowers transportation expense)
  - + Helps Company avoid additional costs in future
  - + If Associates who carpool are held over to work overtime, ExxonMobil will provide transportation home

- + If an Associate is required to work extensive overtime, the Company will continue to consider safety first by providing lodging or in scheduling future work
- Provisions will be outlined in new "Schedule D" for LFC operations

# PORT ARANSAS TEMPORARY ASSIGNMENTS

- Currently expect up to 8 hourly employees will go on temporary assignments for several months to help oversee pre-commissioning of topside modules
- Associates will be asked to go beginning in March
- Criteria for selecting Associates will include safety performance, skill areas which are needed, ability to teach, and ability to relieve behind and maintain current operations
  - Current Associates will relieve in temporary assignments behind those who go to Port Aransas
     Promotions will occur when definite date for topside loadout is established
- Work schedule will be 14 days on, 14 days off; Sunday to Sunday

	Su	Mo	Tu	We	Th	Fr	Sa	
ST	8	8	8	8	8	8	0	
OT	0	4	4	4	4	4	12	
	Su	Mo	Tu	We	Th	Fr	Sa	Su
ST		<u>Mo</u> 8			<u>Th</u> 8	Fr 8	<u>Sa</u> 0	Su 8

- Work week ends Sunday at midnight
- Temporary schedule for Port Aransas only
- Associates will be kept on their same schedule and kept whole versus their normal pay when they return unless they request a location with a different schedule (i.e. LFC)
- Travel day will be Sunday (estimated at eight hours)
- Travel will be paid at straight time rate
- Middle Sunday will be planning/training day
- Total pay equals ~207 straight time equivalent hours
- Current shift workers will continue to receive their normal shift pay
- If required to work beyond the normal schedule on days off, overtime will be paid
- Associates will stay in rental condominiums (two bedroom)
  - On the beach, 20 minutes to work location
  - Two employees per condo, one per room
  - Fully equipped with kitchens
  - Maid service provided
- Two employees per rental car
- Normal expense guidelines apply (meals, etc.)

# BIDDING

- Bid initial Senior positions on new platforms
  - Currently plan eight Sr. Operators, eight Sr. Technicians (four Mechanical, four I&E)
  - Award Federation lateral transfer requests first
  - Select qualified Associates to fill remaining jobs approximately two months prior to when topsides are ready to be staffed (current estimate is 10/92)
  - Successful bidders may need to stay in current position for extended period for operational reasons prior to going to new facility
    - + Letter of commitment will be given to employees regarding their future assignment
  - Bid behind those who move at the time they go to new Assignment
- Bid all LFC positions second quarter 1992
  - Consider lateral transfer requests first
  - Select qualified Associates to fill remaining jobs
  - Bid behind those who move at the time they go to LFC
  - Successful bidders may need to stay in current position for extended period for operational reasons prior to going to new facility

+ Letter of commitment will be given to employees regarding their future assignment

 The Company will inform and discuss with the Federation Council when all onshore and offshore jobs have been bid on and assigned to current qualified Western Division Federation Associates

 Consideration will be given to Western Division Federation Associates who may be qualified for skilled positions not initially filled through the bidding process
 After that, if LFC positions remain open, those jobs can be offered at levels above Maintenance Specialist to ExxonMobil employees until first oil at LFC
 Successful bidders may need to stay in current position for extended period for operational reasons prior to going to new facility
 Letter of commitment will be given to employees

regarding their future assignment

- Starts bidding process early
- Allows current Seniors to be considered for location/ shift preferences
- Identifies current employees who want to go to LFC
- Promotions will be awarded when jobs are needed, contingent upon maintaining satisfactory performance
- Bid remaining positions prior to actual staffing of new Facilities

# **OS&T DEMOBILIZATION**

- It is the intent of Federation and the Company to work together to try to avoid a surplus situation

   Permit conditions require the OS&T to be shut down within three months after Las Flores Canyon start-up
- Plan to fill OS&T technical positions up to the number of future offshore slots
   After that, some positions may be contracted
- Do not plan to contract OS&T operating positions
- Will utilize ExxonMobil employees for relief if qualified
   Contract gang work and relieve up to extent possible
   OS&T technical jobs will be contracted once all future technical jobs are filled

## FEDERATION COMMUNICATION MEETINGS

• It is ExxonMobil's intent to pay for elected Representatives who attend meetings with Management as follows:

- Consistent with current pay guidelines

- Up to 17 official representatives of the Federation for time spent in conference with Management

- As facilities or fields are sold or taken out of service, this number will be reduced by two for OS&T, one for WTU, one for Belmont Island, and one for Northern California fields

# TRANSFERS FOR SYU EXPANSION

- Consider lateral transfer requests of Western Division Federation members for LFC and offshore
  - When positions are available
  - Consider operational needs of onshore fields
- Bids for offshore positions from Associates at LFC will not be considered until three years after first oil
- Offer ExxonMobil Equity Loss Protection Program to represented employees who are transferred for Las Flores Canyon
- Offer ExxonMobil spousal relocation assistance for spouses of Associates who are transferred for SYU expansion staffing

- Reimbursement for expenses associated with job search at new location

- Can utilize either of two national firms; Drake Beam Morin or Options Resource and Career Center or

- Be reimbursed for actual costs up to \$2,000

- Expenses covered include resume preparation, job search counseling, agency fees, or other actual expenses (i.e. travel, hotel, etc.).

- Spouses must be employed at time of transfer to qualify for assistance

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NOTES





# **U.S. Production Organization**

# Santa Ynez Unit

# MEAL AND REST PERIOD GUIDELINE

The following ExxonMobil US Production - SYU guideline is applicable to all US Production - SYU non-exempt employees.

Sec	tion	1	Basic	requireme	nts
		•			

- Section 2 How will this work?
- Section 3 What if I miss or can't take a meal or rest period?
- Section 4 Complaint procedure
- Section 5 Timesheet
- Section 6 Missed period form

Guidelines are in effect as of 9/1/2008 and are subject to future revision

# Section 1 – Basic Requirements



# The following guidance on meal and rest periods assumes that employees are scheduled 12 hours and work 12 hours each day.

#### **Meal Periods**

- Employees are entitled to and the employer will make available an opportunity for employees to take a 30-minute, uninterrupted, meal period before the end of the 5th hour of work (this means, if the shift starts at 6a.m. the meal must start at or before 11a.m.).
- The first meal period cannot be waived.
- Employees will be relieved of all duty and will not be interrupted for the full 30-minute period. See below for procedures when this guideline is unavoidably violated.
- The second meal period has been waived by Federation on behalf of represented employees, but the second meal period is not waived if:
  - the employee works more than 12 hours during the work day.

#### **Rest Periods**

- Employees are authorized and permitted to take a 10 minute; continuous, paid rest period for each four hours worked.
- The 10 minute rest periods should be taken in the middle of each 4-hour work period.
- Employees are relieved of all work duties during this rest period.
- There are three rest periods in a twelve hour shift. Employees are not required to take these periods and taking them or not are an employee's choice.

#### Record keeping

- Requirement: employees will keep records on their time sheets of the beginning and end of <u>meal periods</u> and total hours worked daily.
- Rest period documentation is not required.

# Section 2 – How will this work?



#### **Working Hours**

• Employees will work a 12 hour schedule.

#### **Meal Periods**

- A. Meal Periods for all employees
  - To the extent possible and practical, supervisors will schedule the first meal period for all employees.
    - Due to business circumstances, employees may not be able to take their meal period exactly as scheduled.
    - Nonetheless, meal periods must begin before the end of the fifth hour of work.
  - Employees will be relieved of all job duties while on a meal period.
  - Employees offshore and onshore are not prohibited from leaving the worksite (i.e. quarters, galley and TV room are not part of the worksite for offshore employees).
  - The second meal period is waived by the company and Federation.
  - If an employee is scheduled more than 12 hours in a day (e.g., first day out operations offshore) the employee will be scheduled for a 2nd meal period.

#### B. Meal Periods for Board Operators

- Board Operators will have their meal periods scheduled. The relief will be either:
  - 1. **qualified personnel** paid at the appropriate rate for the job performed, or
  - 2. **employees in training** paid at the employee's normal rate for training.
- Management reserves the right to schedule relief for board operators as appropriate.

#### **Rest Periods**

- Rest periods are authorized and may be taken in 10 minute time periods every 4 hours. The choice to take rest periods is the employees' choice.
- Rest periods may be taken at employees' work stations at employee's option.
- Due to business circumstances, employees may need to adjust their rest period schedules.
- Employees are encouraged to take all of their rest periods and supervisors should not do or say anything that discourages employees from taking their rest periods.

#### **Certification Requirements**

- Upon submission of timesheets, employees will be responsible to document for each day,
  - o total hours worked,
  - o if a rest or meal period was missed or interrupted and
  - o if a meal started at a different time than scheduled.

# ExonMobil USE ONLY

# Section 3 – What if I miss or can't take a Meal or Rest Period?

#### **Missed Meal/Rest Period definition**

- Due to urgent business needs, employee is prevented from taking a meal or rest period.
  - o 1<sup>st</sup> meal period must commence prior to the end of the 5<sup>th</sup> hour of work.
  - Rest periods will generally occur in 10 minute rest periods every 4 hours.

#### Interrupted Meal Periods definition

• Due to urgent business needs, employee must return to work before completing his or her meal or rest period.

#### Additional Pay for Missed Meal or Rest Periods

- Whenever a meal period is missed or interrupted due to the needs of the business, the employee will be entitled to receive an amount equal to one (1) additional straight time hour of pay for that day.
- If a rest period being taken is interrupted or a rest period is denied, the employee may be entitled to receive one (1) additional straight time hour of pay for that day.
- <u>Employees may receive no more than two (2) hours of straight time pay for each day</u>. One for meal periods and one for rest periods.
- Straight time pay will be at the occupation worked for the day and will include the appropriate shift differential.

#### Required Documentation for missed or interrupted Meal or Rest Period

- In the event of a missed or interrupted meal or rest period the employee is required to fill out a **Missed Meal/Rest Period Form (Section 6)**.
- The form requires the employee to explain the reason the meal or rest period was missed or interrupted and to obtain approval.
- Employees are required to submit the completed form to their supervisor as soon as possible during the shift, and at the latest, the end of the workday during which the meal or rest period(s) was missed.
- In the event a rest period is not taken, it will be presumed that not taking the rest period was the employee's voluntary choice, unless the employee brings the matter to the attention of his/her supervisor either immediately when the rest period is missed or as soon as possible thereafter
- Supervisors will file these forms with the employee timesheets on site. There is no need to send these forms in to timekeeping.

# ExonMobil USE ONLY

# Section 3 (cont) – What if I miss or can't take a Meal or Rest Period Scenarios?

Scenario	Answer
Offshore Employee is riding in on the	The employee should take the time to rest on the boat
boat to GPL when he/she is	on the way to shore. Rest periods are paid by the
scheduled to have a rest period.	company.
An employee decides, on his or her	The employee does not have to take his or her rest
own accord, to not take a rest or meal	period and will be compensated for the time worked.
period when scheduled and charge	The employee will not receive a payment for a missed
an hour on his or her timesheet.	rest period. But the supervisor should not do or say
	anything that may discourage that employee from
	taking his or her rest period.
A senior operator calls an employee	Employee will receive an amount equal to one hour of
back to work when he or she is on an	wages for an interruption. The employee should follow
off duty meal period for an	the steps in section 3 to receive the payment for this
operational need.	interruption. If business permits the employee to finish
	the meal period later, the supervisor may allow this
	later in the shift.
An employee is scheduled more than	The employee will be scheduled for a 2 <sup>nd</sup> meal period.
12 hours in a day (e.g., first day out	Note: after 12 hours of work the company and
operations offshore).	employee cannot waive the 2 <sup>nd</sup> meal period.
An employee is required to carry a	Carrying the radio in itself is not an interruption or
radio while on a meal or rest period.	missed meal or rest period; however, if the employee is
	called back to work (on the radio) while on a meal or
	rest period it could be considered an interruption.

# **Section 4 – Complaint Procedure**



#### **Complaint Procedure**

- In the event any employee believes this Guideline is being violated by his/her local management -- for example, an employee has been required to work through a meal period without being paid and/or without being permitted to fill out and submit a Missed or Interrupted Meal/Rest Period Form -- that employee must promptly notify USP Human Resources regarding the matter as soon as possible and no later than the next business day.
- Promptly notifying USP HR gives ExxonMobil USP the opportunity to investigate the matter and, if appropriate, take corrective action which can minimize or eliminate any loss by the employee.

# ExonMobil USE ONLY

# **Section 5 – Timesheet**

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					Work Ha	ours & Shif	t Exception Code & Comments			Accounting Information	Accounting Information Adju			
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# Section 6 – Missed period form

#### MISSED OR INTERRUPTED MEAL/REST PERIOD FORM

This form is used when:

(1) an Associate was unable to take his/her meal or rest period due to business need; or

(2) a meal period was interrupted because the Associate was requested or required to return to work before it was completed.

Associates need to submit the completed form to their Supervisor during the work day and no later than the end of the shift in which the meal or rest period was missed or as soon as possible thereafter.

Name:		Person	nel No.:
Date of Missed/Interrupted Mea Time of Missed/Interrupted Mea			
Type (Circle Applicable):	Meal	Rest	Number Missed:
Reason for missed/interrupted	meal/rest period(	(s): (che	ck the appropriate reason or explain in "other")
			d rest period or meal period due to
Other			
Associate's Signature:			
Date:			
Supervisor's signature:			
Date:			

**E**xonMobil

**USE ONLY** 

# **ClassAction.org**

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>Offshore Drilling Employee Sues Exxon Mobil Over Alleged Wage Violations</u>