DEFENDANT GUNNAR OPTIKS'S NOTICE OF REMOVAL

Gordon & Rees Scully Mansukhani LLP

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Defendant Gunnar Optiks, LLC ("Gunnar Optiks") hereby removes to this Court the action entitled Gimenez v. Gunnar Optiks, LLC, Case No. 37-2023-00007453-CU-FR-CTL, from the Superior Court of the State of California for the County of San Diego. As set forth below, the Court has jurisdiction over this action pursuant to the Class Action Fairness Act of 2005 ("CAFA"), codified in relevant part at 28 U.S.C. §§ 1332(d) and 1453. In support of removal, Gunnar Optiks states as follows:

I. BACKGROUND

- 1. On February 22, 2023, Plaintiff Gaston Procopio Gimenez ("Plaintiff") filed a complaint entitled Gimenez v. Gunnar Optiks, LLC, Case No. 37-2023-00007453-CU-FR-CTL in the Superior Court of the State of California for the County of San Diego (hereinafter the "State Court Action"). See Exhibit A ("Compl."). The State Court Action is a putative class action in which Plaintiff alleges Gunnar Optiks advertised, and continues to advertise, false information related to its blue light-filtering glasses. *Id.* at ¶3. Plaintiff further alleges that he represents a class of consumers who were misled by such statements. *Id.* at ¶¶22, 25.
- 2. Plaintiff claims that such practices constitute untrue or misleading advertising under California's Consumer Legal Remedies Act (Cal. Civ. Code § 1750); California's False Advertising Law (Cal. Bus. & Prof. Code § 17500); California's Song-Beverly Consumer Warranty Act (Cal. Civ. Code § 1791); and California's Unfair Competition Law (Cal. Bus. & Prof. Code § 17200); as well as various common law claims.

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¹ In accordance with 28 U.S.C. § 1446(a), a true and correct copy of the Complaint filed in the Superior Court of the State of California for the County of San Diego, and all pleadings, process, and orders served on, or obtained by, Gunnar Optiks are attached to the concurrently filed declaration of Timothy K. Branson.

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- 3. Plaintiff's Complaint seeks to certify the following class: "all consumers who purchased the Product from Defendant (the 'Class')." Ex. A at ¶22.
 - Gunnar Optiks is the only named defendant in the State Court Action. 4.
- 5. This Notice of Removal is timely as the complaint has not yet been properly served on Gunnar Optiks, and so the 30-day period within which to remove has not yet begun. See, e.g., Murphy Bros., Inc. v. Michetti Pipe Stringing, Inc., 526 U.S. 344, 350 (1999) ("defendant's period for removal will be no less than 30 days from service"); Horvath v. JP Morgan Chase & Co., 2022 WL 80474, at *2 (S.D. Cal. Jan. 7, 2022) ("actual notice of the action is insufficient; rather, the defendant must be notified of the action...by formal process, before the removal period begins to run").
- The Court has jurisdiction over this matter pursuant to 28 U.S.C. 6. §1332(d)(2).
- 7. Removal to the United States District Court for the Southern District of California is proper pursuant to 28 U.S.C. §§ 84(a) and 1391(a) because the State Court Action was filed in San Diego County.

II. INTRADISTRICT ASSIGNMENT

8. Plaintiff filed this case in the Superior Court of the State of California for the County of San Diego. Therefore, this case may properly be removed to the Southern District of California. See 28 U.S.C. § 1441(a).

THIS CASE IS REMOVABLE UNDER CAFA III.

9. Pursuant to Section 4 of CAFA, 28 U.S.C. § 1332(d)(2):

> The district courts shall have original jurisdiction of any civil action in which the matter in controversy exceeds the sum or value of \$5,000,000, exclusive of interest and costs, and is a class action in which -(A) any member of a class of plaintiffs is a citizen of a State different from any defendant.

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- 10. This Court has jurisdiction over this action pursuant to CAFA, and this case may be removed by Gunnar Optiks pursuant to the provisions of 28 U.S.C. § 1441(a), in that it is a civil class action wherein: (1) the proposed class is alleged to contain "thousands if not tens of thousands" of members (see Ex. A at ¶23); (2) the defendant is not a state, state official or other governmental entity; (3) the total amount in controversy exceeds \$5,000,000; and (4) there is diversity between at least one class member and the defendant. 28 U.S.C. §1332(d).
- 11. As a preliminary matter, Gunnar Optiks denies that it has any liability to Plaintiff or to the class he seeks to represent, denies that Plaintiff is an adequate class representative for the class that he seeks to represent, and denies that Plaintiff or the putative class members are entitled to recover any of the damages or other relief requested in the Complaint. Gunnar Optiks also submits that the Complaint's allegations do not satisfy the requirements for class certification. That said, based on the allegations as pled in the Complaint, which must be considered true for purposes of removal, and for the reasons set forth below, all requirements of CAFA are satisfied.

Α. The Proposed Class Contains Many Thousands of Members.

- 12. Plaintiff seeks to represent a class consisting of "thousands if not tens of thousands" of members. See Ex. A at ¶23.
- В. Gunnar Optiks Is Not A State, State Official, or Other Government Entity.
- 13. Gunnar Optiks is not a state, state official, or other governmental entity.

The Amount-In-Controversy Requirement is Satisfied. C.

14. To satisfy the amount in controversy, Gunnar Optiks need only establish that Plaintiff's putative class claims exceed the jurisdictional amount by a preponderance of the evidence. See Rodriguez v. AT&T Mobility Servs. LLC, 728 F.3d 975, 977 (9th Cir. 2013) ("[T]he proper burden of proof imposed upon a

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defendant to establish the amount in controversy is the preponderance of the evidence standard.").

- 15. Plaintiff in his complaint seeks restitution of monies paid to Gunnar Optiks; compensatory, statutory, and punitive damages in an unspecified amount; and attorneys' fees.
- 16. The purported class is "all consumers who purchased the Product from Defendant (the 'Class')." Plaintiff further alleges that "members of the Class number in the thousands if not tens of thousands."
- The amount in controversy readily exceeds the \$5 million threshold 17. requirement under CAFA based on Gunnar Optiks's sales in 2022 alone.

The Minimal Diversity Requirement Is Satisfied. D.

- 18. While diversity removal normally requires complete diversity between plaintiffs and defendants, removal of a putative class action under CAFA requires only "minimal diversity" -i.e., that at least one plaintiff be diverse from one defendant. 28 U.S.C. § 1332(d)(2)(A).
- As explained above, the putative class alleged in this case includes "all consumers who purchased the Product from Defendant"—regardless of location, residency, or citizenship. Ex. A at ¶22.
- Named Plaintiff Gaston Procopio Gimenez alleges he is a resident of 20. Spain. Ex. A at ¶10.
- Defendant Gunnar Optiks, LLC is a California limited liability 21. company with its headquarters and principal place of business in Carlsbad, California. Gunnar Optiks sells its products to California residents, to non-California residents throughout the United States, and to non-California residents internationally. Gunnar Optiks's products are carried in major retailers like Best Buy and Gamestop, and can also be found online.
- 22. Accordingly, all the jurisdictional requirements for CAFA removal are satisfied.

101 W. Broadway Suite 2000 San Diego, CA 92101

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IV.	CC	NCI	TICI	ON

- For the reasons stated above, removal pursuant to CAFA, 28 U.S.C. § 23. 1332(d)(2), is appropriate.
- Pursuant to 28 U.S.C. § 1446(d), a copy of this Notice of Removal is 24. being filed with the clerk of the Superior Court of California, County of San Diego.
- 25. Pursuant to 28 U.S.C. § 1446(d), Gunnar Optiks is providing written notice of the removal of this action to Plaintiff by serving Plaintiff's counsel.
- 26. Pursuant to 28 U.S.C. § 1446(a), copies of all processes, pleadings, and orders served on Gunnar Optiks are being filed with the Court contemporaneously with this Notice of Removal.
- 27. By filing this Notice of Removal, Gunnar Optiks does not waive any jurisdictional objection or other defenses available to it under the law.
- 28. Gunnar Optiks does not concede in any way that the allegations in Plaintiff's Complaint are accurate, or that Plaintiff is entitled to compensatory or statutory damages, attorney fees, or any other relief, or that class treatment is appropriate for this case.

WHEREFORE, Gunnar Optiks removes this action to this Court from the Superior Court of the State of California for the County of San Diego.

Dated: April 14, 2023

GORDON REES SCULLY MANSUKHANI

By: /s/ Timothy K. Branson Timothy K. Branson

Attorneys for Defendant GUNNĂR OPTIKS, LLC

EXHIBIT A

Plaintiff Gaston Procopio Gimenez ("Plaintiff"), by and through his attorneys, individually and on behalf of himself and classes of those similarly situated, makes the following allegations against defendant Gunnar Optiks, LLC ("GUNNAR" or "Defendant"):

JURISDICTION AND VENUE

- 1. Defendant is within the jurisdiction of this Court in that they are headquartered in and transact millions of dollars of business in the State of California and in San Diego County. As a result, Defendant has obtained the benefits of the laws of the State of California and its construction building materials market.
- 2. Venue is proper in this County because Defendant has conducted substantial business in this County, and the transactions in question occurred in this County. Further: (1) they operate within this County; (2) they are qualified with the California Secretary of State to do business and are doing business in California, and in this County; and (3) because many of the acts complained of occurred and arose in California, and specifically, this county. Additionally, Defendant CPC is either a citizen of California, has sufficient minimum contacts in California, or otherwise intentionally avails itself of the California market so as to render the exercise of jurisdiction over it by the California courts consistent with traditional notions of fair play and substantial justice.

NATURE OF THE ACTION

- 3. This is a class action for damages relating to the Defendant's formulation, manufacture, testing, marketing, promotion, distribution, and sale of its defective blue light blocking eyeglasses product including, but not limited to, the Gunnar Onyx Vinyl Crystalline Glasses (the "Gunnar Glasses" or the "Product").
- 4. Defendant advertises the Gunnar Glasses as doctor recommended to "block blue light", "reduce digital eyestrain", "prevent dry eyes", "minimize glare", and sleep better."
- 5. Gunnar Glasses have quickly grown to be one of the most widely sold blue light blocking eyewear products in the country, primarily due to Defendant's marketing. This includes the notable packaging and influential ambassadors backing Gunnar Glasses'—

¹ https://gunnar.com/pages/technology (Last visited on January 25, 2023)

advertising in various channels, including through social media and big names like "Call of Duty" and "Marvel" endorsements, and that it was featured on "Shark Tank" and recommended by "Rolling Stone" magazine.2

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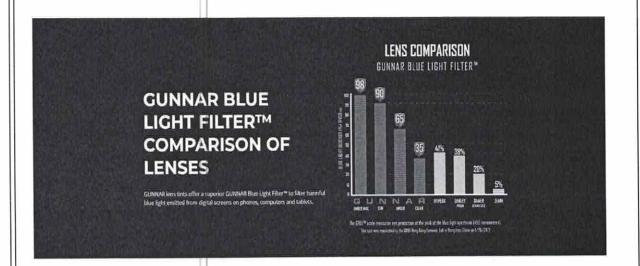
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6. The Product is sold throughout the United States in hundreds of thousands of retail locations, including by electronic stores and mass retailers, as well as through online retailers and Defendant's own website, www.gunnar.com.

7. When used as intended, the Product does not protect the consumer as Defendant claims with their "Gunnar Blue Light Filer ("GBLF")" or protect it as represented in comparison with other named brands, such as "Hyperx", "Oakley", "Gamer" and "Zenni". Per Defendant's lens comparison, their GBLF consists of four levels of blue light protection, 98%, 90%, 65%, and 35%, which Defendant's website makes clear relates to the percentage of blue light it claims is blocked by the Product. The "GBLF scale measures eye protection at the peak of the blue light spectrum."3



² https://gunnar.com/ (Last visited January 25, 2023)

³ https://gunnar.com/pages/technology (Last visited January 25, 2023)

- 8. However, the Product falls well short of the represented amount of blocked blue light. The Product lacks the protections claimed and Defendant has failed to adequately inform consumers or take adequate action to protect the public as it continues to manufacture, market, and sell the Product even though it does not meet these representations.
- 9. This action seeks redress on a class-wide basis for Defendant's deceptive business practices in selling the Product. Plaintiff brings claims individually and, on a class-wide basis, against Defendant for negligence, strict products liability, breach of express and implied warranties, violations of the California Consumer Legal Remedies Act ("CLRA"), Civil Code §§ 1750, et seq., California's Unfair Competition Law ("UCL"), Bus. & Prof. Code §§ 17200, et seq., California's False Advertising Law ("FAL"), Bus. & Prof. Code §§ 17500, et seq., Song-Beverly Consumer Warranty Act, Cal. Civ. Code §§ 1791.1 & 1792, unjust enrichment, and injunctive relief.

PARTIES

- 10. Plaintiff Gaston Procopio Gimenez, a resident of Mallorca, Spain, purchased a pair of Gunnar Glasses in October 2016. He purchased the Gunnar Onyx Vinyl Crystalline Product from Amazon, for which he paid \$87.93. He expected and relied on the Product to block blue light from screens, which would prevent eyes strain, dry eyes, and other reactions caused by blue light. After using the Product, Plaintiff developed neurosensory retinal and pigment epithelial detachment and was diagnosed with dry eye syndrome as well as evaporative dry eye syndrome and mild meibomian gland dysfunction. Plaintiff would not have purchased the Product or would not have paid as much as he did for the Product had he known it would not protect his eyes and did not do what Defendant represented it would do.
- 11. Defendant Gunnar Optiks, LLC, is a California limited liability company with its principal place of business at 2236 Rutherford Road, Suite 123, Carlsbad, California 92008. Gunnar manufactures, markets, distributes, and sells the Product. Gunnar sells the Products directly through its consumer website, www.gunnar.com, and through a variety of retailers nationwide.

FACTUAL ALLEGATIONS

12. Defendant manufactures, markets, and sells Gunnar Glasses. They are packaged in widely recognized, bright colors, and with big name collaborations. Defendant's Product is sold for approximately from \$24.99 to almost \$100 per pair of glasses. It is sold in a variety of designs, including, but not limited to, 6-Siege Ash Edition, 6-Siege Intercept, Apex, Atherton, Attache, Attache Reading Glasses, Berkeley, Call of Duty Convert Edition, Call of Duty Tactical Edition, Clip On, Cruz (children's lineup), and a variety of Cruz designs for kids including a Cruz, Black Panther Edition, Collection for St. Jude, Spider-Man Miles Morales Edition and many more design but all with the same options of GBLF to choose from: 35%, 65%, 90% or 98%.

13. While purchased by all manner of consumers, the eye-catching packaging, and fun designs that Gunnar offers in its Product have had special appeal for younger adults and children.

14. Defendant's own marketing touts itself as "the only patented gaming and computer

- 14. Defendant's own marketing touts itself as "the only patented gaming and computer eyewear recommend by doctors to protect and enhance your vision." In fact, it claims "GUNNAR blue light glasses address all short and long-term side effects of digital eye strain, including headaches, dry eyes, blurry vision, glare, negative effects of artificial blue light, eye strain and fatigue. The result, "improved, focus and performance." 5
- 15. Additionally, "gamers and streamers" endorsements have boosted Gunnar's robust sales, with figures such as Parallaxstella, FOXA, Nate Hill, among many other promoting the product.⁶
- 16. First introduced in 2008, the Product is sold today in 38 countries, in the U.S. by major retailers throughout the nation, including chain electronic stores and mass merchandisers, as

⁴ https://gunnar.com/collections/shop-all (Last visited on January 25, 2023)

⁵ https://gunnar.com/pages/technology (Last visited on January 25, 2023)

⁶ https://gunnar.com/pages/ambassadors (Last Visited January 25, 2023)

gunnar/#:~:text=The%20idea,who%20was%20fascinated%20by%20technology. (Last visited January 25,

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CLASS DEFINITION AND ALLEGATIONS

- 22. Plaintiff seeks to represent as class defined as all consumers who purchased the Product from Defendant (the "Class").
- 23. Members of the Class are so numerous that their individual joinder herein is impracticable. According to information and belief, members of the Class number in the thousands if not tens of thousands. The precise number of Class members and their identities are unknown to Plaintiff at this time but may be determined through discovery. Class members may be notified of the pendency of this action by mail and/or publication through the distribution records of Defendant and third-party retailers and vendors.
- 24. Common questions of law and fact exist as to all members of the Class and predominate over questions affecting only individual Class members. Common legal and factual questions include, but are not limited to, whether Defendant's marketing of the Product was misleading and omitted material information.
- 25. The claims of the named Plaintiff are typical of the claims of the Class and any subclasses he seeks to represent in that the named Plaintiff was exposed to Defendant's misleading advertising, purchased the Product, and was damaged as a result of that purchase.
- 26. Plaintiff is an adequate representative of the Class because his interests do not conflict with the interests of the Class members he seeks to represent, he has retained competent counsel experienced in prosecuting class actions, and they intend to prosecute this action vigorously. The interests of Class members will be fairly and adequately protected by Plaintiff and his counsel.
- 27. The class mechanism is superior to other available means for the fair and efficient adjudication of the claims of Class members. Each individual member of the Class may lack the resources to undergo the burden and expense of individual prosecution of the complex and extensive litigation necessary to establish Defendant's liability. Individualized litigation increases the delay and expense to all parties and multiplies the burden on the judicial system presented by the complex legal and factual issues of this case. Individualized litigation also presents a potential

for inconsistent or contradictory judgments. In contrast, the class action device presents far fewer management difficulties and provides the benefits of single adjudication, economy of scale, and comprehensive supervision by a single court on the issue of Defendant's liability. Class treatment of the liability issues will ensure that all claims and claimants are before this Court for consistent adjudication of the liability issues.

FIRST CAUSE OF ACTION (California's Consumer Legal Remedies Act, Cal. Civil Code §§ 1750, et seq.)

- 28. Plaintiff repeats the allegations contained in the paragraphs above as if fully set forth herein.
 - 29. Plaintiff brings this individually and on behalf of the Class.
- 30. Plaintiff and class members are consumers who purchased Gunnar Glasses for eyecare purposes. Accordingly, Plaintiff and Class members are "consumers" as that term is defined by the CLRA in *Cal. Civ. Code § 1761(d)*. Plaintiff and Class members are not sophisticated experts with independent knowledge of the formulation, design and effects of the Product.
- 31. At all relevant times, the Product constituted a "good" as that term is defined in Cal. Civ. Code § 1761(a).
- 32. At all relevant times, Defendant was a "person" as that term is defined in Civ. Code § 1761(c).
- 33. At all relevant times, Plaintiff's purchase of the Product, and the purchases of the Product by other Class members, constituted "transactions" as that term is defined in Cal. Civ. Code § 1761(e). Defendant's actions, inactions, representations, omissions, and conduct has violated, and continues to violate the CLRA, because they extend to transactions that intended to result, or which have resulted in, the sale of the Product to consumers.
- 34. The policies, acts, omissions, and practices described in this Complaint were intended to and did result in the sale of the Product to Plaintiff and the Class. Defendant's

practices, acts, omissions, policies, and course of conduct violated the CLRA §1750 et seq. as described above.

- 35. Defendant represented that the Product had approval, characteristics, uses, and benefits which it did not have in violation of Cal. Civ. Code § 1770(a)(5).
- 36. Defendant represented that the Product was of a particular standard or quality when Defendant was aware it was of another, in violation of *Cal. Civ. Code § 1770(a)(7)*.
- 37. Defendant violated Cal. Civ. Code §§ 1770(a)(5) and (a)(7) by representing that the Product were glasses that would block a certain amount of blue light when, in fact, the Product does not have these effects and did not block anywhere near the claimed amount of blue light.
- 38. Defendant advertised the Product with the intent not to sell it as advertised in violation of § 1770(a)(9) of the CLRA. Defendant did not intend to sell the Product as advertised because Defendant knew that the Product would not block the blue light as advertised. As such, Defendant knew use of the Product would not prevent eye strain, dry eyes, irritation and other damages to consumers as claimed.
- 39. Plaintiff and Class members suffered injuries caused by Defendant's misrepresentations and omissions because: (a) Plaintiff and Class members would not have purchased the Product or would not have paid as much for the Product if they had known the true facts; (b) Plaintiff and Class members purchased the Product due to Defendant's misrepresentations and omissions; and (c) the Product did not have the level of quality, effectiveness, or value as promised.
- 40. Plaintiff and the Class seek an order enjoining Defendant's unfair or deceptive acts or practices, equitable relief, an award of attorneys' fees and costs under *Cal. Civ. Code § 1780(e)*, and any other just and proper relief available under the CLRA.
- 41. Prior to the filing of this Complaint, a CLRA notice letter was served on Defendant which complies in all respects with Cal. Civ Code § 1782(a). A true and correct copy of Plaintiff's letter is attached as Exhibit A. The letter was sent to Defendant via certified mail, return receipt requested, advising Defendant that it is in violation of the CLRA and must correct,

repair, replace, or otherwise rectify the goods alleged to be in violation of § 1770. In the event that the relief requested has not been provided within thirty (30) days, Plaintiff will amend this Complaint to include a request for damages pursuant to the CLRA.

SECOND CAUSE OF ACTION

(California's False Advertising Law, Cal. Bus. & Prof. Code §§17500, et seq.)

- 42. Plaintiff repeats the allegations contained in the paragraphs above as if fully set forth herein.
 - 43. Plaintiff brings this cause of action individually and on behalf of the Class.
- 44. California's FAL (Bus. & Prof. Code §§17500, et seq.) makes it "unlawful for any person to make or disseminate or cause to be made or disseminated before the public in this state, . . . in any advertising device . . . or in any other manner or means whatever, including over the Internet, any statement, concerning . . . personal property or services, professional or otherwise, or performance or disposition thereof, which is untrue or misleading and which is known, or which by the exercise of reasonable care should be known, to be untrue or misleading."
- 45. Defendant committed acts of false advertising, as defined by the FAL, by using false and misleading statements, and material omissions, to promote the sale of the Product, as described above, and including, but not limited to, representing that the Product blocked a certain percentage of blue light, when Defendant knew or should have known that use of the Product did not clock the claimed amount of blue light and thus did not prevent eye strain, dry eyes, irritation and other damages to consumers as claimed..
- 46. Defendant knew or should have known, through the exercise of reasonable care, that its statements were untrue and misleading.
- 47. Defendant's actions and omissions in violation of the FAL were false and misleading such that the general public is and was likely to be deceived.
- 48. As a direct and proximate result of these acts and omissions, consumers have been and are being harmed. Plaintiff and members of the Class have suffered injury and actual out-of-pocket losses as a result of Defendant's FAL violation because: (a) Plaintiff and Class members

would not have purchased the Product or would not have paid as much for it if they had known the true facts; (b) Plaintiff and Class members purchased the Product due to Defendant's misrepresentations and omissions; and (c) the Product did not have the level of quality, effectiveness, or value as promised.

49. Plaintiff brings this action pursuant to Bus. & Prof. Code § 17535 for injunctive relief to enjoin the practices described herein and to require Defendant to issue corrective disclosures to consumers. Plaintiff and the Class are therefore entitled to: (a) an order requiring Defendant to cease the acts of unfair competition alleged herein; (b) full restitution of all monies paid to Defendant as a result of its deceptive practices; (c) interest at the highest rate allowable by law; and (d) the payment of Plaintiff's attorneys' fees and costs pursuant to, *inter alia*, California Code of Civil Procedure §1021.5.

THIRD CAUSE OF ACTION

Breach of Song-Beverly Consumer Warranty Act For Breach Of Express Warranty (Cal. Civ. Code §§ 1791.2 & 1793.2)

- 50. Plaintiff repeats and reallege the allegations above as if fully set forth herein.
- 60. This claim is brought by the Plaintiff on behalf of himself and the Class under the Song-Beverly Consumer Warranty Act ("SBCWA") for breach of express warranty.
- 61. The Plaintiff and members of the Class are "buyers" within the meaning of the SBCWA. See Cal. Civ. Code § 1791(b).
- 62. The Gunner Glasses are "consumer goods" within the meaning of Cal. Civ. Code § 1791(a).
- 63. Defendant is a "manufacturer" within the meaning of Cal. Civ. Code §1791(j). Plaintiff and members of the Class bought Gunnar Glasses manufactured and distributed by Defendant.
- 73. As set forth in detail above, Defendants provided the Express Warranty to the Plaintiff and members of the Class members within the meaning of Cal. Civ. Code §§ 1791.2 and 1793.2 as set forth herein.

CLASS ACTION COMPLAINT FOR DAMAGES AND EQUITABLE RELIEF

- 83. The Product is a "consumer goods" within the meaning of Cal. Civ. Code §
 - Defendant is a "distributor" within the meaning of Cal. Civ. Code § 1791(e).
- Defendant impliedly warranted to the Plaintiff and the members of the Class that its Product is "merchantable" within the meaning of Cal. Civ. Code §§ 1791.1(a) and 1792. Section 1791.1(a) defines "implied warranty of merchantability" or "implied warranty that goods are merchantable" to mean "that the consumer goods meet each of the following: (1) Pass without objection in the trade under the contract description; (2) Are fit for the ordinary purposes for which such goods are used; (3) Are adequately contained, packaged, and labeled; and (4) Conform to the promises or affirmations of fact made on the container or label."
- Defendant breached the implied warranty of merchantability because it distributed and sold the Product with the false advertainments that it would block certain amounts blue light
- As a result of the false advertisement of blue light blocking technology in the Product, the Plaintiffs and members of the Class received goods—at the point of sale—that contain a defect which substantially impairs the value of their Product, poses a substantial health hazard, and has caused them to incur out-of-pocket expenses.
- Pursuant to Cal. Civ. Code §§ 1791.1(d), 1793.2 and 1794, the Plaintiff and members of the Class are entitled to damages and other legal and equitable relief, including, at
- Pursuant to Cal. Civ. Code § 1794, the Plaintiff and members of the Class are also

FIFTH CAUSE OF ACTION

(California's Unfair Competition Law, Cal. Bus. & Prof. Code §§ 17200, et seq.)

- Plaintiff repeats the allegations contained in the paragraphs above as if fully set
 - Plaintiff brings this cause of action individually and on behalf of the Class.

- 92. The Unfair Competition Law, Cal. Business & Professions Code § 17200, et seq. ("UCL"), prohibits any "unlawful," "unfair," or "fraudulent," business act or practice and any false or misleading advertising.
- 93. The UCL, Bus. & Prof. Code § 17200 et seq., provides, in pertinent part: "Unfair competition shall mean and include unlawful, unfair or fraudulent business practices and unfair, deceptive, untrue or misleading advertising" The UCL also provides for injunctive relief and restitution for UCL violations. By virtue of its above-described wrongful actions, Defendant engaged in unlawful, unfair, and fraudulent practices within the meaning, and in violation of, the UCL.
- 94. "By proscribing any unlawful business practice, section 17200 borrows violations of other laws and treats them as unlawful practices that the UCL makes independently actionable." *Cel-Tech Communications, Inc. v. Los Angeles Cellular Telephone Co.*, 20 Cal. 4th 163, 180 (1999) (citations and internal quotation marks omitted).
- 95. Virtually any law or regulation federal or state, statutory, or common law can serve as a predicate for an UCL "unlawful" violation. *Klein v. Chevron U.S.A., Inc.*, 202 Cal. App. 4th 1342, 1383 (2012).
- 96. Defendant violated the "unlawful prong" by violating the CLRA, Song-Beverly and the FAL, as well as by breaching express and implied warranties as described herein.
- 97. Defendant's acts and practices constitute "unfair" business acts and practices in that the harm caused by Defendant's wrongful conduct outweighs any utility of such conduct, and that Defendant's conduct: (i) offends public policy; (ii) is immoral, unscrupulous, unethical, oppressive, deceitful and offensive, and/or (iii) has caused (and will continue to cause) substantial injury to consumers, such as Plaintiffs and the Class.
- 98. There were reasonably available alternatives to further Defendant's legitimate business interests, including changing the Product design, warning consumers and the public about the risks of and adverse effects caused by the Product, and recalling the Product, other than Defendant's wrongful conduct and omissions described herein.

- 99. The UCL also prohibits any "fraudulent business act or practice." Defendant's above-described claims, nondisclosures, and misleading statements were false, misleading, and likely to deceive the consuming public in violation of the UCL.
- 100. As a direct and proximate result of Defendant's above-described wrongful actions, inactions, and violation of the UCL. Plaintiff and members of the Class have suffered injury and actual out-of-pocket losses because: (a) Plaintiff and Class members would not have purchased the Product or would not have paid as much for it if they had known the true facts; (b) Plaintiff and Class members purchased the Product due to Defendant's misrepresentations and omissions; and (c) the Product did not have the level of quality, effectiveness, or value as promised.
- 101. Pursuant to Bus. & Prof. Code §17203, Plaintiff and the Class are therefore entitled to: (a) an order requiring Defendant to cease the acts of unfair competition alleged herein; (b) full restitution of all monies paid to Defendant as a result of its deceptive practices; (c) interest at the highest rate allowable by law; and (d) the payment of Plaintiff's attorneys' fees and costs pursuant to, *inter alia*, California Code of Civil Procedure §1021.5

SIXTH CAUSE OF ACTION (Breach of Express Warranty)

- 102. Plaintiff repeats the allegations in the foregoing paragraphs as if fully set forth herein.
- 103. Plaintiff brings this claim individually and on behalf of the members of the Class against Defendant.
- 104. In connection with the sale of the Product, Defendant, as the designer, manufacturer, marketer, distributor and/or seller issued written warranties by representing that the Product. These include that it gives consumers a "real world solution to keep your eyes safe", with "GUNNAR blue blockers glasses, you'll enhance your vision" and they are "doctor recommended" to prevent "eye strain", "dry eyes", and "block blue light."
- 105. Defendant's express warranties, and its affirmations of fact and promises made to Plaintiffs and the Class regarding the Product, became part of the basis of the bargain between

CLASS ACTION COMPLAINT FOR DAMAGES AND EQUITABLE RELIEF

EIGHTH CAUSE OF ACTION

(Unjust Enrichment)

- 113. Plaintiff repeats the allegations in the foregoing paragraphs as if fully set forth herein.
- 114. Plaintiff brings this claim individually and on behalf of the members of the Class against Defendant.
- 115. Plaintiff and members of the Class conferred benefits on Defendant by purchasing the Product.
- 116. Defendant has been unjustly enriched in retaining revenues derived from Plaintiffs' and Class members' purchases of the Product. Retention of that revenue under these circumstances is unjust and inequitable because Defendant misrepresented and omitted facts concerning the characteristics, uses, and benefits of the Product and caused Plaintiffs and Class members to purchase the Product and to pay more for the Product, which they would not have done had the true facts been known.
- 117. Because Defendant's retention of the non-gratuitous benefits conferred on it by Plaintiffs and members of the Class is unjust and inequitable, Defendant must pay restitution to Plaintiff and members of the Class for its unjust enrichment, as ordered by the Court.

NINETH CAUSE OF ACTION

(Negligence)

- 118. Plaintiff repeats the allegations in the foregoing paragraphs as if fully set forth herein.
- 119. Plaintiff brings this claim individually and on behalf of the members of the Class against Defendant.
- 120. Defendant negligently manufactured, designed, tested, researched, developed, labeled, packaged, distributed, promoted, marketed, advertised, and sold the Product.

- 121. At all times relevant and material hereto, Defendant had a duty to exercise reasonable care in the design, manufacture, research and development, testing, processing, advertising, marketing, labeling, packaging, distribution, promotion and sale of the Product.
- 122. Defendant breached its duty and was negligent in its actions, misrepresentations, and omissions in numerous ways including, but not limited to, the following:
 - Failing to use due care in the formulation, design, and development of the Product to prevent and/or minimize the risk of injury and adverse effect to individuals when the Product was used;
 - Failing to test the Product properly and thoroughly before releasing it on the market;
 - Failing to conduct adequate post-market monitoring and surveillance of the Product and analysis for adverse reports and effects;
 - Designing, manufacturing, marketing, advertising, distributing, and selling the
 Product to consumers, including Plaintiffs and Class members, without adequate
 warnings of the risks associated with using the Product and without proper and/or
 adequate instructions to avoid the harm which could foreseeably occur as a result
 of using the Products;
 - Failing to exercise due care when advertising and promoting the Products;
 - Negligently continuing to manufacture, market, distribute, and sell the Product,
 after Defendant knew or should have known of the risks of serious injury
 associated with using the Product;
 - Failing to conduct adequate post-market surveillance and studies to determine the safety of the Product;
 - Failing to label the Product to adequately warn Plaintiff, Class members, and the public of the risk of injury and adverse effects associated with the Product.
- 123. Defendant advertised, marketed, sold and distributed the Product despite the fact that the Defendant knew or should have known of the risks associated with using the Product.

- 124. Defendant had a duty to warn their customers and the public about the risks of injury and adverse effects and refused to do so placing profit ahead of consumer safety.
- 125. Defendant knew or should have known that the Product had unreasonably dangerous risks of which consumers would not be aware and did not block blue light as represented. Defendant nevertheless advertised, marketed, sold and distributed the Product.
- 126. Despite the fact that Defendant knew or should have known that the Product did not block blue light as represented and did not prevent the risk of injury as claimed, Defendant continued to manufacture, market, advertise, promote, sell and distribute the Product to consumers, including Plaintiff and Class members.
- 127. Defendant recklessly and/or negligently failed to disclose to Plaintiff and Class members the true amount of blue light blocked and adverse effects associated with the Product, thereby suppressing material facts about the Product, while having a duty to disclose such information, which duty arose from its actions of making, marketing, promoting, distributing and selling the Product as alleged.
- 128. Defendant led Plaintiffs and Class members to rely upon the safety of the Product in their use of the Product.
- 129. Defendant's false representations were recklessly and/or negligently made in that the Product did not block blue light as represented and did not prevent the risk of injury as claimed and in fact caused injury, was unsafe, and the benefits of its use were far outweighed by the risk associated with use thereof.
- 130. Defendant knew or should have known that its representations and/or omissions were false. Defendant made such false, negligent and/or reckless representations with the intent or purpose that Plaintiff and Class members would rely upon such representations, leading to the use of the Product as described.
- 131. Defendant recklessly and/or negligently misrepresented and/or omitted information with respect to the Product as set forth above.

- 132. Defendant omitted, suppressed, and/or concealed material facts concerning the amount of blue light blocked, dangers and risk of injuries associated with the use of the Product. Furthermore, Defendant was willfully blind to, ignored, downplayed, avoided, and/or otherwise understated the nature of the risks associated with the Product in order to continue to sell the Product.
- 133. At the time Defendant made these misrepresentations and/or omissions, they knew or should have known that the Product was unreasonably dangerous and not what Defendant had represented to Plaintiff and Class members.
- 134. Defendant's misrepresentations and/or omissions were undertaken with an intent that Plaintiff and Class members rely upon them.
- 135. Plaintiff relied on and were induced by Defendant's misrepresentations, omissions, and/or active concealment of the dangers of the Product to Purchase and use the Product.
- 136. Plaintiff did not know that these representations were false and therefore were justified in their reliance.
- 137. As a direct and proximate consequence of Defendant's negligent, willful, wanton, and/or intentional acts, omissions, misrepresentations and/or otherwise culpable acts described herein, Plaintiff and Class members sustained injuries and damages as alleged herein.
- 138. Had Plaintiff and Class members been aware of the increased risk of injury associated with the Product and the relative efficacy of the Product compared with other readily available products, they would not have purchased the Product or would not have paid as much for it.
- 139. Defendant's negligence was a substantial factor in causing Plaintiff's harm and that of Class members.
- 140. Plaintiff and Class members are entitled to compensatory damages, and exemplary and punitive damages together with interest, and such other and further relief as this Court deems just and proper.

1 PRAYER FOR RELIEF 2 WHEREFORE, Plaintiff, individually and on behalf of all others similarly situated, seek 3 a judgment against Defendant, as follows: 4 For an order certifying the Class under Rule 23 of the Federal Rules of Civil a. 5 Procedure and naming Plaintiffs as representative of the Class and Plaintiff's 6 attorneys as Class Counsel to represent the Class; 7 b. For an order declaring that Defendant's conduct violates the statutes referenced 8 herein: 9 For an order finding in favor of Plaintiff and the Class on all causes of action C. 10 asserted herein; 11 For compensatory, statutory, and punitive damages in amounts to be d. 12 determined by the Court and/or jury; 13 For prejudgment interest on all amounts awarded; e. 14 For an order of restitution and all other forms of equitable monetary relief; f. 15 For an order enjoining Defendant from continuing the unlawful practices g. 16 detailed herein; and 17 For an order awarding Plaintiff and the Class their reasonable attorneys' fees h. 18 and expenses and costs of suit. 19 **DEMAND FOR JURY TRIAL** 20 Plaintiff hereby demand a trial by jury on all issues so triable. 21 DATED: February 21, 2023 BISNAR CHASE LLP 22 23 24 IAN M. SILVERS 25 Counsel for Plaintiffs 26 27 21 28 CLASS ACTION COMPLAINT FOR DAMAGES AND EQUITABLE RELIEF

EXHIBIT B

Case 3:23-cv-00671-AGS-WVC	Document 1-4 Filed 04/14	/23 PageID.33 Page 2 of @M-010			
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar nu Ian M. Silvers	mber, and address): SBN: 246416	FOR COURT USE ONLY			
BISNAR CHASE					
1301 Dove Street, Suite 120, Newport Beach,	CA 92660 FAX ND. (Optional): 949-752-2777				
E-MAIL ADDRESS isilvers@bisnarchase.com	177322111				
ATTORNEY FOR (Name): Gaston Procopio Gimenez		ELECTRONICALLY FILED Superior Court of California,			
SUPERIOR COURT OF CALIFORNIA, COUNTY OF	SAN DIEGO	County of San Diego			
STREET ADDRESS: 330 West Broadway MAILING ADDRESS: 330 West Broadway		02/22/2023 at 08:35:04 AM			
CITY AND ZIP CODE San Diego, 92101		Clerk of the Superior Court			
BRANCH NAME Hall of Justice Courthouse	By Mary Kaneshiro, Deputy Clerk				
CASE NAME: Gaston Procopio Gimenez v. Gur	nnar Optiks, LLC				
011/11 0405 001/55 01/55					
CIVIL CASE COVER SHEET	Complex Case Designation	CASE NUMBER: 37-2023-00007453-CU-FR-CTL			
X Unlimited Limited (Amount (Amount	Counter Joinder	Jersey Democratic Colored Devictors Colored Description (Colored Colored Color			
demanded demanded is	Filed with first appearance by defendan (Cal. Rules of Court, rule 3.402)	Judge Joel R. Wohlfeil			
exceeds \$25,000) \$25,000 or less)		DEPT.:			
	ow must be completed (see instructions of	on page 2).			
Check one box below for the case type that Auto Tort	t best describes this case:	Provisionally Complex Civil Litigation			
Auto (22)	Breach of contract/warranty (06)	(Cal. Rules of Court, rules 3.400–3.403)			
Uninsured motorist (46)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)			
Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort	Other collections (09)	Construction defect (10)			
Asbestos (04)	Insurance coverage (18)	Mass tort (40)			
Product liability (24)	Other contract (37)	Securities litigation (28) Environmental/Toxic tort (30)			
Medical malpractice (45)	Real Property Eminent domain/Inverse	Insurance coverage claims arising from the			
Other PI/PD/WD (23)	condemnation (14)	above listed provisionally complex case			
Non-PI/PD/WD (Other) Tort	Wrongful eviction (33)	types (41) Enforcement of Judgment			
X Business tort/unfair business practice (07)	Other real property (26)	Enforcement of judgment (20)			
Civil rights (08)	Unlawful Detainer Commercial (31)	Miscellaneous Civil Complaint			
Defamation (13) X Fraud (16)	Residential (32)	RICO (27)			
X Fraud (16) Intellectual property (19)	Drugs (38)	Other complaint (not specified above) (42)			
Professional negligence (25)	Judicial Review	Miscellaneous Civil Petition			
X Other non-PI/PD/WD tort (35)	Asset forfeiture (05)	Partnership and corporate governance (21)			
Employment	Petition re: arbitration award (11)	Other petition (not specified above) (43)			
Wrongful termination (36)	Writ of mandate (02)				
Other employment (15)	Other judicial review (39)				
2. This case is X is not compared in a section of the compared in a sectio		es of Court. If the case is complex, mark the			
a. X Large number of separately repres		r of witnesses			
b. X Extensive motion practice raising of	lifficult or novel e. X Coordination	with related actions pending in one or more			
issues that will be time-consuming to resolve courts in other counties, states, or countries, or in a federal					
c. X Substantial amount of documentar	y evidence court f. x Substantial p	ostjudgment judicial supervision			
3. Remedies sought (check all that apply): a. [eclaratory or injunctive relief c. X punitive			
Number of causes of action (specify):9		-			
	ss action suit.				
If there are any known related cases, file ar Date: February 21, 2023	nd serve a notice of related case. (You ma	ay use form CM-015.)			
Ian M. Silvers		Ian M. Silvers			
(TYPE OR PRINT NAME)		GNATURE OF PARTY OR ATTORNEY FOR PARTY)			
NOTICE Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed					
under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of Court, rule 3.220.) Failure to file may result					
in sanctions.					
 File this cover sheet in addition to any cover sheet required by local court rule. If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all 					
other parties to the action or proceeding.					
Unless this is a collections case under rule 3	3.740 or a complex case, this cover sheet	will be used for statistical purposes only.			

www.courts.ca.gov

INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET

To Plaintiffs and Others Filing First Papers. If you are filing a first paper (for example, a complaint) in a civil case, you must complete and file, along with your first paper, the Civil Case Cover Sheet contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check one box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in item 1, check the more specific one. If the case has multiple causes of action, check the box that best indicates the primary cause of action. To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party. its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

To Parties in Rule 3.740 Collections Cases. A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, arising from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property, (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3,740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

To Parties in Complex Cases. In complex cases only, parties must also use the Civil Case Cover Sheet to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3,400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiffs designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that CASE TYPES AND EXAMPLES the case is complex.

Auto Tort

Auto (22)-Personal Injury/Property Damage/Wrongful Death Uninsured Motorist (46) (if the case involves an uninsured motorist claim subject to arbitration, check this item instead of Auto)

Other PI/PD/WD (Personal Injury/ Property Damage/Wrongful Death) Tort

Asbestos (04) Asbestos Property Damage Asbestos Personal Injury/ Wrongful Death Product Liability (not asbestos or toxic/environmental) (24) Medical Malpractice (45)

Medical Malpractice-Physicians & Surgeons Other Professional Health Care Malpractice

Other PI/PD/WD (23)

Premises Liability (e.g., slip and fall)

Intentional Bodily Injury/PD/WD (e.g., assault, vandalism) Intentional Infliction of

Emotional Distress Negligent Infliction of

Emotional Distress Other PI/PD/WD

Non-PI/PD/WD (Other) Tort

Business Tort/Unfair Business Practice (07)

Civil Rights (e.g., discrimination, false arrest) (not civil harassment) (08)

Defamation (e.g., slander, libel)

(1.3)

Fraud (16)

Intellectual Property (19) Professional Negligence (25)

> Legal Malpractice Other Professional Malpractice (not medical or legal)

Other Non-PI/PD/WD Tort (35)

Employment

Wrongful Termination (36) Other Employment (15)

Breach of Contract/Warranty (06) Breach of Rental/Lease

Contract (not unlawful detainer or wrongful eviction) Contract/Warranty Breach-Seller Plaintiff (not fraud or negligence)

Negligent Breach of Contract/ Warranty

Other Breach of Contract/Warranty

Collections (e.g., money owed, open

book accounts) (09)

Collection Case-Seller Plaintiff Other Promissory Note/Collections

Insurance Coverage (not provisionally

complex) (18) Auto Subrogation

Other Coverage Other Contract (37)

Contractual Fraud

Other Contract Dispute

Real Property

Eminent Domain/Inverse Condemnation (14)

Wronaful Eviction (33)

Other Real Property (e.g., quiet title) (26)

Writ of Possession of Real Property

Mortgage Foreclosure

Quiet Title

Other Real Property (not eminent domain, landlord/tenant, or

foreclosure)

Unlawful Detainer

Commercial (31)

Residential (32)

Drugs (38) (if the case involves illegal drugs, check this item; otherwise, report as Commercial or Residential)

Judicial Review

Asset Forfeiture (05)

Petition Re: Arbitration Award (11)

Writ of Mandate (02)

Writ-Administrative Mandamus Writ-Mandamus on Limited Court

Case Matter

Writ-Other Limited Court Case

Review

Other Judicial Review (39)

Review of Health Officer Order

Notice of Appeal-Labor Commissioner Appeals Provisionally Complex Civil Litigation (Cal. Rules of Court Rules 3.400-3.403)

> Antitrust/Trade Regulation (03) Construction Defect (10) Claims Involving Mass Tort (40) Securities Litigation (28)

Environmental/Toxic Tort (30)

Insurance Coverage Claims (arising from provisionally complex

case type listed above) (41)

Enforcement of Judgment

Enforcement of Judgment (20) Abstract of Judgment (Out of County)

Confession of Judgment (non-

domestic relations) Sister State Judgment

Administrative Agency Award (not unpaid taxes)

Petition/Certification of Entry of

Judgment on Unpaid Taxes Other Enforcement of Judgment

Case

Miscellaneous Civil Complaint

RICO (27)

Other Complaint (not specified above) (42)

Declaratory Relief Only Injunctive Relief Only (non-

harassment) Mechanics Lien

Other Commercial Complaint Case (non-tort/non-complex)

Other Civil Complaint

(non-tort/non-complex)

Miscellaneous Civil Petition

Partnership and Corporate

Governance (21)

Other Petition (not specified

above) (43) Civil Harassment

Workplace Violence Elder/Dependent Adult

Abuse

Election Contest

Petition for Name Change Petition for Relief From Late

Claim

Other Civil Petition

EXHIBIT C

SUM-100

SUMMONS (CITACION JUDICIAL)

NOTICE TO DEFENDANT: GUNNAR OPTIKS, LLC, (AVISO AL DEMANDADO):

YOU ARE BEING SUED BY PLAINTIFF: GASTON PROCOPIO GIMENEZ, (LO ESTÁ DEMANDANDO EL DEMANDANTE): individually and on behalf of all others similarly situated,

FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)

ELECTRONICALLY FILED

Superior Court of California, County of San Diego

02/22/2023 at 08:35:04 AM

Clerk of the Superior Court By Mary Kaneshiro, Deputy Clerk

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information below.

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. NOTE: The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case. ¡AVISO! Lo han demandado. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a continuación.

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.ca.gov) o poniéndose en contacto con la corte o el colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a reclamar las cuotas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

pagar er gravamen de la corte ar	ntes de que la corte pueda desechar e	н caso.			
The name and address of the (El nombre y dirección de la co Superior Court of California, O		CASE NUMBER: (Número del " 37-2023	-00007453-CU-FR-CTL		
330 West Broadway					
	none number of plaintiff's attorney Imero de teléfono del abogado de			ne abogado, es):	
BISNAR CHASE					
1301 Dove Street, Suite 120, N	Newport Beach, CA 92660		11 111	949-752-2999	
DATE: 02/22/2023 (Fecha)		Clerk, by (Secretario)	M. Kaneshiro	, Deputy (Adjunto)	
	mmons, use Proof of Service of S				
(Para prueba de entrega de es	ta citatión use el formulario Proof				
[SEALT	NOTICE TO THE PERSON SE		1		
Court of Calle	as an individual defen as the person sued ur	ndant. Inder the fictitious name	of (specify):		
	3. X on behalf of (specify): Gunnar Optiks, LLC				
	under: X CCP 416.10 (.60 (minor) .70 (conservatee)	
on y of San Use		(defunct corporation) (association or partners	NOT THE PROPERTY AND IN	.90 (authorized person)	
The same of the sa	other (specify	0: 1 1			
	4. by personal delivery o	n (date): Z / Z8/	23	Page 1 of 1	

EXHIBIT D

Case 3:23-cv-00671-AGS-WVG Document 1-6 Filed 04/14/23 PageID.38 Page 2 of 6

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO

STREET ADDRESS:

330 W Broadway

MAILING ADDRESS:

330 W Broadway

CITY AND ZIP CODE: San Diego, CA 92101-3827

DIVISION:

Central

TELEPHONE NUMBER: (619) 450-7073

PLAINTIFF(S) / PETITIONER(S):

Gaston Procopio Gimenez

DEFENDANT(S) / RESPONDENT(S): Gunnar Optiks LLC

GIMENEZ VS GUNNAR OPTIKS LLC [E-FILE]

NOTICE OF CASE ASSIGNMENT AND CASE MANAGEMENT CONFERENCE

(CIVIL)

CASE NUMBER:

37-2023-00007453-CU-FR-CTL

CASE ASSIGNED FOR ALL PURPOSES TO:

Judge: Joel R. Wohlfeil

Department: C-73

COMPLAINT/PETITION FILED: 02/22/2023

TYPE OF HEARING SCHEDULED

DATE

TIME

DEPT

JUDGE

Civil Case Management Conference

07/28/2023

02:00 pm

C-73

Joel R. Wohlfeil

Due to the COVID-19 pandemic, all Case Management Conferences (CMCs) are being conducted virtually unless there is a court order stating otherwise. Prior to the hearing date, visit the "virtual hearings" page for the most current instructions on how to appear for the applicable case-type/department on the court's website at www.sdcourt.ca.gov.

A Case Management Statement (JC Form #CM-110) must be completed by counsel for all parties and by all self-represented litigants and timely filed with the court at least 15 days prior to the initial CMC. (San Diego Superior Court (SDSC) Local Rules, rule 2.1.9; Cal. Rules of Court, rule 3.725).

All counsel of record and self-represented litigants must appear at the CMC, be familiar with the case, and be fully prepared to participate effectively in the hearing, including discussions of Alternative Dispute Resolution (ADR) options.

It is the duty of each plaintiff (and cross-complainant) to serve a copy of this Notice of Case Assignment and Case Management Conference (SDSC Form #CIV-721) with the complaint (and cross-complaint), the Alternative Dispute Resolution (ADR) Information Form (SDSC Form # CIV-730), a Stipulation to Use Alternative Dispute Resolution (ADR) (SDSC Form # CIV-359), and other documents on all parties to the action as set out in SDSC Local Rules, rule 2.1.5.

TIME FOR SERVICE AND RESPONSE: The following rules apply to civil cases except for collections cases under California Rules of Court, rule 3.740(a), unlawful detainer actions, proceedings under the Family Code, and other proceedings for which different service

- requirements are prescribed by law (Cal. Rules of Court, rule 3.110; SDSC Local Rules, rule 2.1.5):

 Service: The complaint must be served on all named defendants, and proof of service filed with the court within 60 days after filing the complaint. An amended complaint adding a defendant must be served on the added defendant and proof of service filed within 30 days after filing of the amended complaint. A cross-complaint against a party who has appeared in the action must be accompanied by proof of service on that party at the time it is filed. If it adds a new party, the cross-complaint must be served on all parties and proof of service on the new party must be filed within 30 days of the filing of the cross-complaint.
 - Defendant's appearance: Unless a special appearance is made, each defendant served must generally appear (as defined in Code of Civ. Proc. § 1014) within 30 days of service of the complaint/cross-complaint.

 Extensions: The parties may stipulate without leave of court to one 15-day extension beyond the 30-day time period prescribed
 - for the response after service of the initial complaint (SDSC Local Rules, rule 2.1.6). If a party fails to serve and file pleadings as required under this rule, and has not obtained an order extending time to serve its pleadings, the court may issue an order to show cause why sanctions shall not be imposed.

JURY FEES: In order to preserve the right to a jury trial, one party for each side demanding a jury trial shall pay an advance jury fee in the amount of one hundred fifty dollars (\$150) on or before the date scheduled for the initial case management conference in the action.

COURT REPORTERS: Official Court Reporters are not normally available in civil matters, but may be requested in certain situations no later than 10 days before the hearing date. See SDSC Local Rules, rule 1.2.3 and Policy Regarding Normal Availability and Unavailability of Official Court Reporters (SDSC Form #ADM-317) for further information.

ALTERNATIVE DISPUTE RESOLUTION (ADR): The court discourages any unnecessary delay in civil actions; therefore, continuances are discouraged and timely resolution of all actions, including submitting to any form of ADR is encouraged. The court encourages and expects the parties to consider using ADR options prior to the CMC. The use of ADR will be discussed at the CMC. Prior to the CMC, parties stipulating to the ADR process may file the Stipulation to Use Alternative Dispute Resolution (SDSC Form #CIV-359).

NOTICE OF E-FILING REQUIREMENTS AND IMAGED DOCUMENTS

Effective April 15, 2021, e-filing is required for attorneys in represented cases in all limited and unlimited civil cases, pursuant to the San Diego Superior Court General Order: In Re Procedures Regarding Electronically Imaged Court Records, Electronic Filing and Access to Electronic Court Records in Civil and Probate Cases. Additionally, you are encouraged to review CIV-409 for a listing of documents that are not eligible for e-filing. E-filing is also encouraged, but not mandated, for self-represented litigants, unless otherwise ordered by the court. All e-filers are required to comply with the e-filing requirements set forth in Electronic Filing Requirements (Civil) (SDSC Form #CIV-409) and Cal. Rules of Court, rules 2.250-2.261.

All Civil cases are assigned to departments that are part of the court's "Imaging Program." This means that original documents filed with the court will be imaged, held for 30 days, and then destroyed, with the exception of those original documents the court is statutorily required to maintain. The electronic copy of the filed document(s) will be the official court record, pursuant to Government Code § 68150. Thus, original documents should not be attached to pleadings filed with the San Diego Superior Court, unless it is a document for which the law requires an original be filed. Any original documents necessary for a motion hearing or trial shall be lodged in advance of the hearing pursuant to California Rules of Court, rule 3.1302(b).

It is the duty of each plaintiff, cross-complainant, or petitioner to serve a copy of this Notice of Case Assignment and Case Management Conference (Civil) (SDSC Form #CIV-721) with the complaint, cross-complaint, or petition on all parties to the action.

On all pleadings filed after the initial case originating filing, all parties must, to the extent it is feasible to do so, place the words "IMAGED FILE" in all caps immediately under the title of the pleading on all subsequent pleadings filed in the action.

The official court file will be electronic and accessible at one of the kiosks located in the Civil Business Office and may be found on the court's website at www.sdcourt.ca.gov.

Case 3:23-cv-00671-AGS-WVG Document 1-6 Filed 04/14/23 PageID.40 Page 4 of 6

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO STREET ADDRESS: 330 West Broadway MAILING ADDRESS: 330 West Broadway CITY, STATE, & ZIP CODE: San Diego, CA 92101-3827 BRANCH NAME: Central PLAINTIFF(S): Gaston Procopio Gimenez DEFENDANT(S): Gunnar Optiks LLC SHORT TITLE: GIMENEZ VS GUNNAR OPTIKS LLC [E-FILE]	FOR COURT USE ONLY
STIPULATION TO USE ALTERNATIVE DISPUTE RESOLUTION (ADR)	CASE NUMBER: 37-2023-00007453-CU-FR-CTL
Judge: Joel R. Wohlfeil	Department: C-73
The parties and their attorneys stipulate that the matter is at issue a alternative dispute resolution (ADR) process. Selection of any of the	nd the claims in this action shall be submitted to the following ese options will not delay any case management timelines.
Mediation (court-connected)	nding private arbitration
Mediation (private)	g private arbitration
☐ Voluntary settlement conference (private) ☐ Non-bit	nding judicial arbitration (discovery until 15 days before trial)
☐ Neutral evaluation (private) ☐ Non-bi	nding judicial arbitration (discovery until 30 days before trial)
Other (specify e.g., private mini-trial, private judge, etc.):	
It is also stipulated that the following shall serve as arbitrator, mediator or oti	her neutral: (Name)
Alternate neutral (for court Civil Mediation Program and arbitration only):	
Date:	Date:
None of Photograph	
Name of Plaintiff	Name of Defendant
Signature	Signature
Name of Plaintiff's Attorney	Name of Defendant's Attorney
Signature	Signature
If there are more parties and/or attorneys, please attach additional completes	5 - 10 - 12 - 10 - 10 - 10 - 10 - 10 - 10
It is the duty of the parties to notify the court of any settlement pursuant to C the court will place this matter on a 45-day dismissal calendar.	al. Rules of Court, rule 3.1385. Upon notification of the settlement,
No new parties may be added without leave of court.	
IT IS SO ORDERED. Dated: 02/22/2023	JUDGE OF THE SUPERIOR COURT
SELECTION MANUFACTOR OF THE PROPERTY OF THE PR	



SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO

ALTERNATIVE DISPUTE RESOLUTION (ADR) INFORMATION

CASE NUMBER: 37-2023-00007453-CU-FR-CTL

CASE TITLE: Gimenez vs Gunnar Optiks LLC [E-FILE]

NOTICE: All plaintiffs/cross-complainants in a general civil case are required to serve a copy of the following three forms on each defendant/cross-defendant, together with the complaint/cross-complaint:

- (1) this Alternative Dispute Resolution (ADR) Information form (SDSC form #CIV-730),
- (2) the Stipulation to Use Alternative Dispute Resolution (ADR) form (SDSC form #CIV-359), and
- (3) the Notice of Case Assignment form (SDSC form #CIV-721).

Most civil disputes are resolved without filing a lawsuit, and most civil lawsuits are resolved without a trial. The courts, community organizations, and private providers offer a variety of Alternative Dispute Resolution (ADR) processes to help people resolve disputes without a trial. The San Diego Superior Court expects that litigants will utilize some form of ADR as a mechanism for case settlement before trial, and it may be beneficial to do this early in the case.

Below is some information about the potential advantages and disadvantages of ADR, the most common types of ADR, and how to find a local ADR program or neutral. A form for agreeing to use ADR is attached (SDSC form #CIV-359).

Potential Advantages and Disadvantages of ADR

ADR may have a variety of advantages or disadvantages over a trial, depending on the type of ADR process used and the particular case:

Potential Advantages

- Saves time
- Saves money
- Gives parties more control over the dispute resolution process and outcome
- Preserves or improves relationships

Potential Disadvantages

- May take more time and money if ADR does not resolve the dispute
- Procedures tolern about the other side's case (discovery), jury trial, appeal, and other court protections may be limited or unavailable

Most Common Types of ADR

You can read more information about these ADR processes and watch videos that demonstrate them on the court's ADR webpage at http://www.sdcourt.ca.gov/adr.

Mediation: A neutral person called a "mediator" helps the parties communicate in an effective and constructive manner so they can try to settle their dispute. The mediator does not decide the outcome, but helps the parties to do so. Mediation is usually confidential, and may be particularly useful when parties want or need to have an ongoing relationship, such as in disputes between family members, neighbors, co-workers, or business partners, or when parties want to discuss non-legal concerns or creative resolutions that could not be ordered at a trial.

Settlement Conference: A judge or another neutral person called a "settlement officer" helps the parties to understand the strengths and weaknesses of their case and to discuss settlement. The judge or settlement officer does not make a decision in the case but helps the parties to negotiate a settlement. Settlement conferences may be particularly helpful when the parties have very different ideas about the likely outcome of a trial and would like an experienced neutral to help guide them toward a resolution.

Arbitration: A neutral person called an "arbitrator" considers arguments and evidence presented by each side and then decides the outcome of the dispute. Arbitration is less formal than a trial, and the rules of evidence are usually relaxed. If the parties agree to binding arbitration, they waive their right to a trial and agree to accept the arbitrator's decision as final. With nonbinding arbitration, any party may reject the arbitrator's decision and request a trial. Arbitration may be appropriate when the parties want another person to decide the outcome of their dispute but would like to avoid the formality, time, and expense of a trial.

Other ADR Processes: There are several other types of ADR which are not offered through the court but which may be obtained privately, including neutral evaluation, conciliation, fact finding, mini-trials, and summary jury trials. Sometimes parties will try a combination of ADR processes. The important thing is to try to find the type or types of ADR that are most likely to resolve your dispute. Be sure to learn about the rules of any ADR program and the qualifications of any neutral you are considering, and about their fees.

Local ADR Programs for Civil Cases

Mediation: The San Diego Superior Court maintains a Civil Mediation Panel of approved mediators who have met certain minimum qualifications and have agreed to charge \$150 per hour for each of the first two (2) hours of mediation and their regular hourly rate thereafter in court-referred mediations.

On-line mediator search and selection: Go to the court's ADR webpage at www.sdcourt.ca.gov/adr and click on the "Mediator Search" to review individual mediator profiles containing detailed information about each mediator including their dispute resolution training, relevant experience, ADR specialty, education and employment history, mediation style, and fees and to submit an on-line Mediator Selection Form (SDSC form #CIV-005). The Civil Mediation Panel List, the Available Mediator List, individual Mediator Profiles, and Mediator Selection Form (CIV-005) can also be printed from the court's ADR webpage and are available at the Mediation Program Office or Civil Business Office at each court location.

Settlement Conference: The judge may order your case to a mandatory settlement conference, or voluntary settlement conferences may be requested from the court if the parties certify that: (1) settlement negotiations between the parties have been pursued, demands and offers have been tendered in good faith, and resolution has failed; (2) a judicially supervised settlement conference presents a substantial opportunity for settlement; and (3) the case has developed to a point where all parties are legally and factually prepared to present the issues for settlement consideration and further discovery for settlement purposes is not required. Refer to SDSC Local Rule <u>2.2.1</u> for more information. To schedule a settlement conference, contact the department to which your case is assigned.

Arbitration: The San Diego Superior Court maintains a panel of approved judicial arbitrators who have practiced law for a minimum of five years and who have a certain amount of trial and/or arbitration experience. Refer to SDSC Local Rules <u>Division II, Chapter III</u> and Code Civ. Proc. § 1141.10 et seq or contact the Arbitration Program Office at (619) 450-7300 for more information.

More information about court-connected ADR: Visit the court's ADR webpage at www.sdcourt.ca.gov/adr or contact the court's Mediation/Arbitration Office at (619) 450-7300.

Dispute Resolution Programs Act (DRPA) funded ADR Programs: The following community dispute resolution programs are funded under DRPA (Bus. and Prof. Code §§ 465 et seq.):

- In Central, East, and South San Diego County, contact the Naional Conflict Resolution Center (NCRC) at <u>www.ncrconline.com</u> or (619) 238-2400.
- In North San Diego County, contact North County Lifeline, hc. at www.nclifeline.org or (760) 726-4900.

Private ADR: To find a private ADR program or neutral, search the Internet, your local telephone or business directory, or legal newspaper for dispute resolution, mediation, settlement, or arbitration services.

Legal Representation and Advice

To participate effectively in ADR, it is generally important to understand your legal rights and responsibilities and the likely outcomes if you went to trial. ADR neutrals are not allowed to represent or to give legal advice to the participants in the ADR process. If you do not already have an attorney, the California State Bar or your local County Bar Association can assist you in finding an attorney. Information about obtaining free and low cost legal assistance is also available on the California courts website at www.courtinfo.ca.gov/selfhelp/lowcost.

ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>Gunnar Glasses Block Far Less Blue Light Than Advertised</u>, <u>Class Action Claims</u>