

**IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

JEROLD FREEMAN, KAREN KUO, RAJVEER  
SACHDEV, PEIHU WANG, and ANDREW WOLFF,  
on their own behalf and on behalf of those similarly  
situated,

Plaintiffs,

v.

NEW YORK UNIVERSITY,

Defendant.

Case No.: 1:21-cv-01029

**NOTICE OF REMOVAL**

[Removed from Supreme Court of the  
State of New York, County of New York,  
Index No. 160861/2020]

**PLEASE TAKE NOTICE** that New York University (“NYU”), by and through its undersigned counsel, pursuant to 28 U.S.C. §§ 1332, 1441, 1446, and 1453, hereby removes the above-captioned action to the United States District Court for the Southern District of New York from the Supreme Court of the State of New York, County of New York (Index No. 160861/2020), where the action was originally filed and is currently pending.

NYU states the following in support of removal:

**PROCEDURAL BACKGROUND**

**A. Overview of the Plaintiffs’ Claims**

1. On December 9, 2020, plaintiffs Jenold Freeman, Mu-I “Karen” Kuo,<sup>1</sup> Rajveer Sachdev, Peihu Wang, and Andrew Wolff (collectively, the “plaintiffs”) filed a Summons with Complaint, captioned *Jerold Freeman, Karen Kuo, Rajveer Sachdev, Peihu Wang, and Andrew Wolff, on their own behalf, and on behalf of those similarly situated v. New York University,*

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<sup>1</sup> The Complaint refers to Jerold Freeman and Karen Kuo, but NYU’s records indicate that the Complaint likely is erroneous and intended to refer to Jenold (not Jerold) Freeman and Mu-I “Karen” Kuo. NYU will use the correct name of the students as identified in NYU’s records.

Index No. 160861/2020, in New York Supreme Court, County of New York (the “State Court Action”). A true and correct copy of the Complaint is attached hereto as **Exhibit A**.

2. The plaintiffs, who are or were graduate students enrolled in NYU’s Leonard N. Stern School of Business’s Executive Master of Business Administration (“EMBA”) program either in New York or Washington, D.C., allege that they “lost the benefit of the education for which they paid, and/or the services [f]or which their fees were paid” when NYU transitioned all classes to remote instruction due to the Covid-19 pandemic. (Ex. A, Compl., ¶ 1). They contend that the “online learning options being offered to NYU students are subpar in practically every aspect” and “in no way the equivalent of the in-person education that Plaintiffs and the putative class members contracted and paid for” (*id.* at ¶ 5), and they therefore seek a pro-rata refund of their “tuition and/or fees for in-person educational services, food, room, board and housing.” (*Id.* at ¶¶ 7, 31). They allege three causes of action: (1) breach of contract, (2) unjust enrichment, and (3) conversion.

3. The plaintiffs assert their claims on behalf of a putative class of “all people who were graduate students at the NYU Stern School of [B]usiness, EMBA Program, and paid NYU Spring and Summer Semester 2020 tuition and/or fees for in-person educational services, food, room, board and housing that NYU failed to provide, and whose tuition and fees have not been fully refunded.” (*Id.* ¶ 31). They also seek to certify three subclasses, each consisting of putative class members in specific cohorts within the EMBA program. (*Id.* ¶¶ 32-34).<sup>2</sup>

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<sup>2</sup> NYU relies upon the plaintiffs’ allegations solely for purposes of assessing eligibility for removal based on Class Action Fairness Act of 2005 (“CAFA”), 28 U.S.C. § 1332(d), jurisdiction. *Dunlop v. City of New York*, 2006 WL 2853972, at \*3 (S.D.N.Y. Oct. 4, 2006) (citing *Wisconsin Dept. of Corrs. v. Schacht*, 524 U.S. 381, 390 (1998)) (“In determining whether a federal court has jurisdiction over a removed action, the court must look to the face of the complaint.”). NYU reserves all rights to challenge these allegations for all other purposes, including, but not limited to, denying that the putative classes are properly defined, that the plaintiffs have standing to assert claims on behalf of the alleged putative classes, and that the claims in this case are proper for class treatment.

**B. NYU Has Not Been Served, Although It Has Repeatedly Agreed to Accept Service In Exchange for an Agreement on a Response Date.**

4. NYU has not yet been properly served in the State Court Action, as detailed below. While NYU has repeatedly indicated its willingness to reach an agreement whereby NYU would accept service by e-mail in exchange for a stipulated date for NYU to answer, move, or otherwise respond to the Complaint, the plaintiffs' counsel has refused to acknowledge the service requirements of the CPLR and, incredibly, has most recently tried to claim that he effected service via e-mail in reliance on a purported statement by a security officer that his process server apparently elicited after NYU's counsel had already made clear that, legally, e-mail service on NYU was not proper under the CPLR.

5. On December 15, 2020, the Assistant Office Manager for counsel for the plaintiffs sent an e-mail to the general e-mail address for NYU's Office of General Counsel (ogcrecordsrequest@nyu.edu), attaching a copy of the Complaint and requesting that NYU "confirm receipt of the attached Summons & Complaint and that [NYU] will be accepting service for the same." (See Declaration of Keara M. Gordon, dated February 4, 2021, at ¶ 2, attached as **Exhibit B**; see also Ex. 1 to Gordon Decl.). NYU did not respond to the e-mail, nor did it agree to accept service of the summons and complaint. (Gordon Decl., ¶ 2).

6. The plaintiffs' counsel's Assistant Office Manager's December 15, 2020 e-mail to NYU did not properly effectuate service of process and did not trigger the thirty-day window for removal. See N.Y. C.P.L.R. § 311; *TAGC Mgmt., LLC v. Lehman*, 842 F. Supp. 2d 575, 585 (S.D.N.Y. 2012) (under the CPLR, "service via email is improper" unless plaintiff first makes a showing that "other forms of service were impracticable"); *Murphy Bros., Inc. v. Michetti Pipe Stringing, Inc.*, 526 U.S. 344, 348-49 (1999) (faxed courtesy copy of complaint prior to formal service of process did not trigger removal period); *Maddaloni Jewelers, Inc. v. Rolex Watch*

*U.S.A., Inc.*, 2002 WL 31509881 (S.D.N.Y. Nov. 6, 2002) (e-mailed courtesy copy did not trigger time to remove).

7. On January 4, 2021, the plaintiffs' counsel's Assistant Officer Manager again e-mailed [ogcrecordsrequest@nyu.edu](mailto:ogcrecordsrequest@nyu.edu) to follow up on the December 15 e-mail. (Gordon Decl. Ex. 2).

8. On January 5, 2021, NYU's undersigned counsel e-mailed the plaintiffs' counsel explaining that the plaintiffs' counsel's Assistant Officer Manager's prior e-mail did not properly effect service as required by N.Y. CPLR § 311 but stating that NYU would be willing to discuss accepting service in exchange for an agreement as to the date by which NYU would answer, move, or otherwise respond to the Complaint. (Gordon Decl. Ex. 3).

9. On January 7, 2021, the plaintiffs' counsel responded, stating they were willing to enter into such an agreement and requesting that NYU draft a formal stipulation to that effect. (*Id.*).

10. On January 12, 2021, NYU's counsel responded suggesting that the parties memorialize their agreement by e-mail. (*Id.*).

11. On January 25, 2021, the plaintiffs' counsel agreed to do so, but in this e-mail, he purported to add a requirement that NYU waive defenses to personal jurisdiction as part of the parties' agreement, which NYU declined to do. (*Id.*).

12. On January 28, 2021, the plaintiffs' counsel's Assistant Office Manager e-mailed counsel for NYU both an affidavit of service and an affidavit of attempted service. (Gordon Decl. Ex. 4). Inexplicably, even though NYU's counsel had agreed – numerous times – to accept service of process contingent upon an agreed-upon response date, the affidavit of attempted service asserted that the plaintiffs' counsel attempted personal service on NYU on January 20, 2021 – thirteen days after the plaintiffs' counsel agreed to NYU's proposal that it would accept

service subject to reaching a mutually-agreed upon date for NYU to answer, move, or otherwise respond and five days before the plaintiffs' counsel's January 25, 2021 e-mail responding to NYU's e-mail trying to reach an agreement on service. (Gordon Decl. Exs. 3, 4). The affidavit of attempted service affirms that personal service was not effected. (*See* Gordon Decl. Ex. 4).

13. The affidavit of service is unclear as to when the plaintiffs' counsel's Assistant Office Manager asserts service was properly made. It asserts that, on January 20, an unnamed, unspecified security officer – who is not authorized to speak for NYU nor to make legal determinations on its behalf – informed the process server that if he sent process by e-mail to NYU that NYU would accept it. (Gordon Decl. Ex. 4). Leaving aside whether or not that assertion is true, obviously an unnamed security officer's statement cannot override both the mandates of the CPLR and NYU's counsel of record's legal position, previously directly conveyed to plaintiffs' counsel, that NYU had not accepted service and e-mail service was legally ineffective. Subsequent to January 20, 2021, the plaintiffs' counsel did not send process by e-mail to NYU and NYU has not accepted any e-mail service. (Gordon Decl., ¶ 8).

14. On February 2, 2021, NYU's counsel again e-mailed the plaintiffs' counsel, seeking clarity on precisely when they assert service was properly made, informing them that NYU's position remained that it had not yet been properly served, and reiterating that NYU is willing to accept service by e-mail in exchange for a stipulated date for NYU's response to the Complaint. (Gordon Decl. Ex. 5). This afternoon, the plaintiffs' counsel responded with a proposed stipulation suggesting that the parties agree that NYU's date to answer, move, or otherwise respond be set at April 2, 2021 but not explaining why they believe service was proper, or when they claim it was effective. (Gordon Decl., ¶ 9; Gordon Decl. Ex. 6).

15. As a result, as of today, NYU has not been properly served in the State Court Action. Without waiving and expressly reserving all of its rights or defenses, NYU intends to

seek leave to answer, move, or otherwise respond to the Complaint within 60 days of the date of this Notice.

16. NYU has not made an appearance in the State Court Action, and there has been no other process, pleading, or order in the State Court Action. (*See* Ex. A).

**THIS COURT HAS ORIGINAL JURISDICTION UNDER CAFA.**

17. This Court has original jurisdiction over the State Court Action under CAFA, and its removal is permitted under 28 U.S.C. §§ 1441(a) and 1453. Under CAFA, a district court shall have original jurisdiction over any civil action styled as a class action in which: (1) the number of members of the proposed class is not less than one hundred, in the aggregate; (2) “any member of a class of plaintiffs is a citizen of a State different from any defendant,” and (3) “the matter in controversy exceeds the sum or value of \$5,000,000, exclusive of interest and costs.” 28 U.S.C. §§ 1332(d)(2), (d)(5). If a state court putative class action meets all three requirements, the matter may be removed to federal court. *See* 28 U.S.C. § 1441(a) (“[A]ny civil action brought in a State court of which the district courts of the United States have original jurisdiction, may be removed by the defendant[.]”). Moreover, CAFA’s “provisions should be read broadly, with a *strong preference* that interstate class actions should be heard in a federal court if properly removed by any defendant.” *Dart Cherokee Basin Operating Co., LLC v. Owens*, 574 U.S. 81, 89 (2014) (quoting S. Rep. No. 109-14, p. 43 (2005)) (emphasis added).

18. As set forth below, all three CAFA jurisdictional requirements are met here.

**A. The Proposed Class Exceeds 100 Members.**

19. The plaintiffs seek to certify a class defined as all those “who were graduate students at the NYU Stern School of [B]usiness, EMBA Program, and paid NYU Spring and Summer Semester 2020 tuition and/or fees for in-person educational services, food, room, board and housing . . . and whose tuition and fees have not been fully refunded.” (Ex. A, Compl.,

¶ 31). They further allege that they “reasonably estimate[] that there are hundreds of members in the Class and Subclass.” (*Id.* ¶ 36).

20. NYU’s EMBA program had 340 students enrolled during the Spring 2020 semester, and 327 students enrolled in NYU’s EMBA program during the Summer 2020 semester. (February 1, 2020 Declaration of Robert Salomon, ¶ 4, attached hereto as **Exhibit C**).

21. While NYU reserves all rights including to challenge the viability of the claims asserted and the ability to certify the putative classes, as pled, there are more than 300 members in the putative class, which satisfies this CAFA requirement. *See, e.g., Musiello v. CBS Corp.*, 2020 WL 3034793, at \*2 (S.D.N.Y. June 5, 2020) (CAFA numerosity requirement was satisfied where plaintiff’s “complaint [was] fairly read as asserting class claims on behalf of all of defendants’ female employees” and defendants proffered that “based on human resources records, they employed upwards of 400 ‘female employees’ in their New York City offices during the period covered”).

**B. Minimal Diversity of Citizenship Exists.**

22. CAFA “requires only minimal diversity of the parties, which occurs when . . . ‘any member of a class of plaintiffs is a citizen of a State different from any defendant.’” *Blockbuster, Inc. v. Galeno*, 472 F.3d 53, 58-59 (2d Cir. 2006) (quoting 28 U.S.C. § 1332(d)(2)(A)).

23. Here, NYU is a non-profit university whose principal place of business is in New York. (*See* Ex. A, Compl., ¶ 10). The Complaint alleges that named plaintiffs Mu-I “Karen” Kuo, Jenold Freeman, Rajveer Sachdev, and Andrew Wolff “were residents of the State, City and County of New York.” (Ex. A, Compl., ¶ 8). It does not allege plaintiff Peihu Wang’s place of citizenship. (*See id.*).

24. Although Mr. Wang improperly chose not disclose the state in which he is a citizen in the Complaint, the information he provided to NYU establishes that Mr. Wang is a citizen of Massachusetts. (Ex. C, Salomon Decl., ¶ 7).

25. Similarly, notwithstanding Mr. Sachdev's allegation about his residence in the Complaint, the information he provided to NYU establishes that Mr. Sachdev is a citizen of New Jersey. (Ex. C, Salomon Decl., ¶ 7).

26. Moreover, based on the information provided to NYU by the members of the putative class, 181 of the 340 students enrolled in the EMBA program during the Spring 2020 semester and 177 of the 327 students enrolled in the EMBA program during the Summer 2020 semester were citizens of states other than New York. (Ex. C, Salomon Decl., ¶ 6).

27. To the extent any of the named plaintiffs or putative class members claim to be citizens of New York by virtue of attending school in New York, their contention fails. *See Hakkila v. Consol. Edison Co. of N.Y., Inc.*, 745 F. Supp. 988, 990 (S.D.N.Y. 1990) (third-year student at NYU not a resident of New York for purposes of diversity jurisdiction; "It is well established that domicile is not synonymous with residence . . . Courts have consistently recognized that out-of-state college students are temporary residents and not domiciliaries of the states in which they attend college, because residence at college is chosen primarily for the short-term purpose of pursuing an education"); 13E Charles Alan Wright & Arthur R. Miller, Federal Practice & Procedure § 3619 (3d ed. Oct. 2020) ("[T]he principle has been well established that [out-of-state students] have been presumed to lack the intention to remain in the state indefinitely that is required for the acquisition of a new domicile and to retain their prior, established domiciles while attending school.").

28. Because NYU is a citizen of New York and over half of the putative class (including two named plaintiffs) are citizens of states or countries other than New York, CAFA's minimal diversity requirement is satisfied here. 28 U.S.C. § 1332(d)(2)(A).

29. CAFA's "home state" and "local controversy" exceptions are not applicable here. Under the "home state" exception, "a court must decline to exercise jurisdiction in cases where 'two-thirds or more of the members of all proposed plaintiff classes in the aggregate, and the primary defendants, are citizens of the State in which the action was originally filed.'" *Henry v. Warner Music Grp. Corp.*, 2014 WL 1224575, at \*4 (S.D.N.Y. Mar. 24, 2014) (quoting 28 U.S.C. § 1332(d)(4)(B)). The 159 New York citizens enrolled in the EMBA program during the Spring 2020 semester constitute only approximately 47 percent of the 340 total student enrollment during that semester, and the 150 New York citizens enrolled in the EMBA program during the Summer 2020 semester constitute only approximately 46 percent of the 327 total student enrollment during that semester – well below the required two-thirds threshold. *See id.* at \*5 (denying motion to remand where "residency records maintained by Defendants and submitted in connection with their notice of removal" showed "at least 45% of the putative class members were citizens of states other than New York"). The "local controversy" exception similarly requires a plaintiff to show that "more than two-thirds of the putative class members are citizens of the state in which the action was filed," thus it too is inapplicable. *Id.* at \*4; *see also* 28 U.S.C. § 1332(d)(4)(A).

30. Moreover, the "local controversy" exception only applies where "during the 3-year period preceding the filing of th[e] class action, no other class action has been filed asserting the same or similar factual allegations against any of the defendants on behalf of the same or other persons." 28 U.S.C. § 1332(d)(4)(A)(ii). Here, four other putative class actions have been filed against NYU in the Southern District of New York during the past year seeking a

refund of tuition and fees as a result of the transition to remote instruction due to the Covid-19 pandemic and each proposed putative class purports to include EMBA students. *See Rynasko v. New York Univ.*, No. 1:20-cv-3250-GBD (S.D.N.Y., filed Apr. 24, 2020); *Zagoria v. New York Univ.*, No. 1:20-cv-3610-GBD (S.D.N.Y., filed May 8, 2020); *Morales v. New York Univ.*, No. 1:20-cv-4418-GBD (S.D.N.Y., filed Jun. 9, 2020); and *Romankow v. New York Univ.*, No. 1:20-cv-04616-GBD (S.D.N.Y., filed Jun. 16, 2020). These cases are currently before the Honorable Judge George B. Daniels in the United States District Court for the Southern District of New York, and NYU seeks to relate this case to those other pending matters before Judge Daniels.

**C. The Alleged Amount in Controversy Exceeds \$5 Million.**

31. “To satisfy CAFA’s amount-in-controversy requirement, a removing defendant ‘must show that it appears ‘to a reasonable probability’ that the aggregate claims of the plaintiff class are in excess of \$5 million.’” *Smith v. Manhattan Club Timeshare Ass’n, Inc.*, 944 F. Supp. 2d 244, 250 (S.D.N.Y. 2013) (quoting *Blockbuster, Inc.*, 472 F.3d at 58). “In determining whether the removing defendant has met this burden, courts ‘look first to the plaintiffs’ complaint and then to [the defendant’s] petition for removal.’” *Id.* (alteration in original) (citation omitted). If the complaint is “inconclusive as to the amount in controversy,” the Court “may look outside those pleadings to other evidence in the record.” *Id.* (citation and internal quotation marks omitted); *see also Henry*, 2014 WL 1224575, at \*3 (considering allegations in complaint in conjunction with declaration from defendant to find amount-in-controversy requirement met). Moreover, a court may extrapolate or draw inferences to determine whether the aggregate damages meet the \$5 million threshold. *See, e.g., id.* (“Multiplying the number of putative class members (2,800) times the average hourly work week (30) times the average hourly minimum wage (\$7.15 or \$7.25 per hour) yields a figure that exceeds \$6 million – an amount well above CAFA’s jurisdictional minimum.”).

32. The plaintiffs here seek the “disgorgement of the pro rated portion of tuition and fees, proportionate to the amount of time that remained in the Spring Semester 2020, Summer Semester 2020 and continuing when classes moved online, and campus services ceased being provided.” (Ex. A, Compl., ¶ 7). The plaintiffs allege that the “[a]pproximate tuition costs at NYU for the Spring and Summer Semester 2020” for each student in the EMBA program was \$50,000. (*Id.* ¶ 20). The Complaint does not specify the specific amount of tuition or fees the plaintiffs seek to recover.

33. While NYU adamantly denies that it owed any amount to students for the Spring or Summer 2020 semesters, based on the plaintiffs’ allegations, which NYU is assuming to be true solely for purposes of this notice, the total amount of tuition at issue for each member of the putative class is \$50,000. Extrapolated out to the total 340 students enrolled during the Spring 2020 semester, the total amount of tuition potentially at issue is \$17,000,000. Assuming the plaintiffs seek the return of a pro rata portion of 50% of their tuition for “educational services, facilities, access and/or opportunities” that NYU allegedly failed to provide (Ex. A, Compl., ¶ 25; *see id.* ¶ 29), the total damages sought would be \$8,500,000, plus an unspecified amount for fees charged by NYU.

34. As a result, the Complaint seeks damages above the jurisdictional requirement of \$5 million. *See* 28 U.S.C. §§ 1332(d)(2).

**ALL PROCEDURAL REQUIREMENTS FOR REMOVAL  
HAVE BEEN SATISFIED.**

35. All process, pleadings, and orders received by NYU in the State Court Action, consisting of the Summons and Complaint and purported Affidavit of Service and Affidavit of Attempted Service, are annexed here as **Exhibit A**.

36. This notice is properly filed in the United States District Court for the Southern District of New York. The Supreme Court of New York for the County of New York is located within the Southern District of New York. Venue for removal is therefore proper because this is the “district and division embracing the place where such action is pending.” 28 U.S.C. § 1441(a); *see also* 28 U.S.C. § 112(b).

37. This notice is timely because it is being filed “within 30 days after the receipt by the defendant, through service or otherwise, of a copy of the initial pleading setting forth the claim for relief upon which such action or proceeding is based.” 28 U.S.C. § 1446(b)(1). As the United States Supreme Court and Second Circuit have made clear, “the commencement of the removal period c[an] only be triggered by formal service of process.” *Whitaker v. Am. Telecasting, Inc.*, 261 F.3d 196, 202 (2d Cir.2001) (citing *Murphy Bros., Inc.*, 526 U.S. at 349-356344); *accord Pietrangelo v. Alvas Corp.*, 686 F.3d 62, 65 (2d Cir. 2012) (agreeing with “the Supreme Court's holding in *Murphy Bros., Inc.* . . . that the thirty-day removal period begins upon formal service of process”).

38. NYU agreed to accept service of the Summons and Complaint by e-mail on January 5, 2021 subject to the parties agreeing upon a response date. As this notice is being filed within 30 days of January 5, 2021, it is timely under 28 U.S.C. § 1446(b)(1).

39. Because NYU is the sole defendant in this action, no other defendant is required to consent to this removal.

40. In accordance with 28 U.S.C. § 1446(d), a copy of this Notice of Removal is being served upon counsel for the plaintiffs and a copy, along with a Notice to Clerk of Removal, is being filed with the Clerk of the Supreme Court of New York, New York County.

**RESERVATION OF RIGHTS**

41. This Notice of Removal is filed subject to and with full reservation of all rights and defenses under federal or state law, including but not limited to defenses and objections to forum, venue, improper service, and personal jurisdiction. No admissions are intended hereby as to the propriety of liability or damages with respect to any aspect of this case. Nothing in this Notice of Removal should be taken as an admission that the plaintiffs' allegations are sufficient to state a claim for relief or have any merit, or that the plaintiffs or putative class members are entitled to or otherwise may recover any of the amounts described above.

**WHEREFORE**, NYU respectfully requests that the above action, now pending before the Supreme Court of the State of New York, County of New York, be removed to the United States District Court for the Southern District of New York, and that no further proceedings be had in this case in the Supreme Court of New York, County of New York.

Dated: New York, New York  
February 4, 2021

Respectfully submitted,

**DLA PIPER LLP (US)**

/s/ Keara M. Gordon

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*Attorneys for Defendant New York University*

# Exhibit A

SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK

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JEROLD FREEMAN, KAREN KUO,  
RAJVEER SACHDEV, PEIHU WANG  
and ANDREW WOLFF, on their own  
behalf, and on behalf of those similarly situated,

Plaintiffs,

-against -

NEW YORK UNIVERSITY.

Defendant.  
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Index No:

Date Purchased:

**SUMMONS**

Plaintiffs designate **NEW YORK  
COUNTY** as place of trial

Basis of venue: Plaintiffs' residence

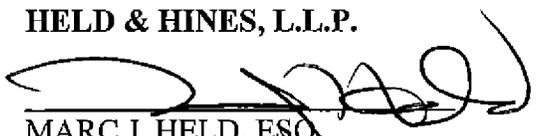
To the above-named Defendant:

**You are hereby summoned** to answer the complaint in this action and to serve a copy of your answer, or, if the complaint is not served with this summons, to serve a notice of appearance, on the Plaintiffs' Attorney(s) within twenty (20) days after the service of this summons, exclusive of the day of service (or within thirty (30) days after the service is complete if this summons is not personally delivered to you within the State of New York); and in case of your failure to appear or answer, judgment will be taken against you by default for the relief demanded in the complaint.

Dated: Brooklyn, New York  
December 14, 2020

Yours, etc.,

**HELD & HINES, L.L.P.**



MARC J. HELD, ESQ.  
*Attorneys for Plaintiffs*

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New York, New York 11977  
(212) 696-4529

Defendant's Addresses:

NEW YORK UNIVERSITY  
70 Washington Square South  
New York, New York 10012

SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK

-----X  
JEROLD FREEMAN, KAREN KUO,  
RAJVEER SACHDEV, PEIHU WANG  
and ANDREW WOLFF, on their own  
behalf, and on behalf of those similarly situated,

Index No.

**VERIFIED COMPLAINT**

Plaintiffs,

-against-

NEW YORK UNIVERSITY,

Defendant.

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Plaintiffs JEROLD FREEMAN, KAREN KUO, RAJVEER SACHDEV, PEIHU WANG and ANDREW WOLFF (“Plaintiff” or “Plaintiffs”) brings this action on behalf of herself and all others similarly situated against Defendant New York University (“NYU” or “Defendant”). Plaintiffs make the following allegations pursuant to the investigation of her counsel and based upon information and belief, except as to the allegations specifically pertaining to herself, which are based on personal knowledge.

**NATURE OF THE ACTION AND FACTS COMMON TO ALL CLAIMS**

1. This is a class action lawsuit on behalf of all students who paid tuition and fees for the Spring 2020 academic semester at NYU Leonard N. Stern of Business, Executive MBA Program (“Students”) and who, because of Defendant’s response to the Novel Coronavirus Disease 2019 (“COVID-19”) pandemic, lost the benefit of the education for which they paid, and/or the services or which their fees were paid, without having their tuition and fees fully refunded to them.
2. NYU is one of the country’s most preeminent universities with an enrollment of over 50,000 students, of which 25,000 are graduate students. The university offers programs for

undergraduate students, as well as a number of graduate programs including law, medicine, and business.

3. On or about March 13, 2020, NYU, through a news release, announced that because of the global COVID-19 pandemic, all classes would be suspended for several weeks. Students were discouraged from contacting their professors during this time. The announcement also informed students that following the closure, classes would be held remotely through online formats.

4. NYU EMBA has not held any in-person classes since March 7, 2020. Classes that have continued have only been offered in an online format, with no in-person instruction.

5. As a result of the closure of Defendant's facilities, Defendant has not delivered the educational services, room, board, food, facilities, access and/or opportunities that Plaintiff and the putative class contracted and paid for. The online learning options being offered to NYU students are subpar in practically every aspect, from the lack of facilities, materials, and access to faculty. Students have been deprived of the opportunity for collaborative learning and in-person dialogue, feedback, and critique. The remote learning options are in no way the equivalent of the in-person education that Plaintiffs and the putative class members contracted and paid for.

6. Plaintiffs and the putative class are therefore entitled to a full refund of tuition, and fees for in-person educational services, resources, facilities, access and/or opportunities that Defendant has not provided. Even if Defendant claims it did not have a choice in cancelling in-person classes, it nevertheless has improperly retained funds for services it did not and is not providing.

7. Plaintiffs seek, for themselves and Class members, Defendant's disgorgement of the pro-rated portion of tuition and fees, proportionate to the amount of time that remained in the Spring Semester 2020, Summer Semester 2020 and continuing when classes moved online, and campus services ceased being provided. Plaintiffs seek a return of these amounts on behalf of themselves

and the Class as defined below.

### **PARTIES**

8. Plaintiffs Karen Kuo (“Kuo”), Jerold Freeman (“Freeman”), Rajveer Sachdev (“Sachdev”) and Andrew Wolff (“Wolff”) were residents of the State, City and County of New York.

9. Plaintiffs were graduate students at NYU pursuing an Executive Master’s Degree in Business (“EMBA”). The Business program at NYU relies extensively on in-person instruction, meaningful student presentations, global studies tour, networking events, peer feedback, and access to facilities. None of these resources are available to Plaintiff while in-person classes are suspended. Plaintiff paid approximately \$50,000 in tuition and fees to Defendant for the Spring 2020 semester. Plaintiff has not been provided a refund of any tuition monies paid for Spring 2020 semester, despite the fact that in-person classes have not been held since March 13, 2020. Plaintiff has not been provided a pro rata refund of any these fees, despite the fact that all students were required to leave campus no later than March 22, 2020. Some class members were given a “meal and accommodations” credit of \$2140 towards the approximately \$50,000 cost for tuition and fees for the following semester.

10. Defendant NYU University is a private institution of higher education with its principal place of business at 70 Washington Square South, New York, New York in the State, County and City of New York.

### **JURISDICTION AND VENUE**

11. The Court has jurisdiction over this action as the parties reside and/or do business in the State, City and County of New York.

12. Venue is proper in New York county pursuant to CPLR §503(a) because at least one plaintiff and the putative class members reside in this county, the defendant operates its business

in this county, the contracts between the plaintiffs and putative class and defendant were entered into in this county, and a substantial part of the events or omissions giving rise to the claims occurred within this county.

13. This Court has personal jurisdiction over defendant because defendant maintains its principal place of business in the State, County and City of New York.

14. At all times hereinafter mentioned, the damages caused by the defendant to the plaintiffs and putative class occurred in the state, city, and county of New York.

### **FACTUAL ALLEGATIONS**

#### **Plaintiffs and Class Members Paid Tuition and Fees for Spring and Summer Semester 2020**

15. Plaintiffs and Class members in the NYU Stern School of Business, Executive MBA (“EMBA”) Program, who are individuals who paid the cost of tuition and other mandatory fees for the Spring and Summer 2020 Semesters at NYU.

16. Spring Semester 2020 classes at NYU began on or about January 10, 2020 and concluded on or around May 2, 2020.

17. Summer Semester 2020 classes at NYU began on or about May 15, 2020 and concluded on or around July 11, 2020.

18. Plaintiffs and Class members paid the cost of tuition for the Spring and Summer Semester 2020.

20. Approximate tuition costs at NYU for the Spring and Summer Semester 2020 are as follows:

- EMBA \$50,000

21. Fees paid by or on behalf of NYU EMBA graduate students vary slightly based on program of study.

22. The tuition and fees described in the paragraphs above are provided by way of example; total damage amounts – which may include other fees that are not listed herein but that were not refunded – will be proven at trial.

**In Response To COVID-19, NYU Closed Campuses and Cancelled All In-Person Classes**

23. On or about March 16, 2020, NYU, through a news release, announced that because of the global COVID-19 pandemic, all in-person classes would be suspended effective immediately. Students were required to leave the NYU campus no later than March 22, 2020. The announcement also informed students that classes would be held remotely through online formats. Online classes began between March 7, 2020 and April 3, 2020.

24. Since March 7, 2020, NYU has not held any in-person classes for the plaintiffs and the purported class members. The closure of NYU’s campuses has been extended through the end of Summer Semester 2020 and continuing. Classes that have continued have only been offered in an online format, with no in-person instruction. Even classes for students with concentrations in areas where in-person instruction is especially crucial have only had access to minimum online education options.

25. As a result of the closure of Defendant’s facilities, Defendant has not delivered the educational services, facilities, access and/or opportunities that Plaintiffs and the putative class contracted and paid for. Plaintiffs and the putative class are therefore entitled to a full refund of all tuition, room, board, food and fees for services, facilities, access and/or opportunities that Defendant has not

provided. Even if Defendant claims it did not have a choice in cancelling in-person classes, it nevertheless has improperly retained funds for services it is not providing.

26. Plaintiffs and members of the Class did not choose to attend an online institution of higher learning, but instead chose to attend Defendant's institution and enroll on an in-person basis.

27. Defendant markets the NYU on-campus experience as a benefit of enrollment.

28. The online learning options being offered to NYU EMBA graduate students are subpar in practically every aspect and a shadow of what they once were, from the lack of facilities, materials, and access to faculty. Students have been damaged as they were deprived of the opportunity for collaborative learning and in-person dialogue, feedback, networking, trips, food and critique. Students have been damaged as there has been no interaction between students, peers, mentors and colleagues.

29. The students have been damaged as they have received an inferior and un-equitable education. The remote learning options are in no way the equivalent of the in-person education putative class members contracted and paid for. The inferior education has also caused the students to fall behind academically and created a disparity in their educational progress. The remote learning also led to lack of accountability, support, guidance and oversight of the students. The remote education provided was not even remotely worth the amount charged class members for Spring and Summer Semester 2020 tuition. Tuition was approximately \$50,000 per student for the Spring and Summer Semester. The tuition and fees for in-person instruction at NYU are higher than tuition and fees for other online institutions because such costs cover not just the academic instruction, but encompass an entirely different experience which includes but is not limited to:

- Face to face interaction with professors, mentors, and peers;

- Access to school facilities such as libraries, laboratories, computer labs, classrooms and study room;
- Student governance and student unions;
- Extra-curricular activities, groups, intramural sports, etc.;
- Student art, cultures, and other activities;
- Social development and independence;
- Hands on learning and experimentation;
- Networking and mentorship opportunities.

30. Through this lawsuit Plaintiffs seek, for themselves and Class members, Defendant's disgorgement of the pro-rated portion of tuition and fees proportionate to the amount of time that remained in the Spring and Summer Semester 2020 when classes moved online, and campus services ceased being provided. Plaintiffs seek return of these amounts on behalf of themselves and the Class as defined below.

#### **CLASS ALLEGATIONS**

31. Plaintiffs seek to represent a class defined as all people who were graduate students at the NYU Stern School of business, EMBA Program, and paid NYU Spring and Summer Semester 2020 tuition and/or fees for in-person educational services, food, room, board and housing that NYU failed to provide, and whose tuition and fees have not been fully refunded (the "Class"). Specifically excluded from the Class are Defendant, Defendant's officers, directors, agents, trustees, parents, children, corporations, trusts, representatives, employees, principals, servants, partners, joint ventures, or entities controlled by Defendant, and their heirs, successors, assigns,

or other persons or entities related to or affiliated with Defendant and/or Defendant's officers and/or directors, the judge assigned to this action, and any member of the judge's immediate family.

32. Plaintiff Kuo also seeks to represent a subclass consisting of Class members who were in Class A20 (the "Subclass").

33. Plaintiff Sachdev also seeks to represent a subclass consisting of Class members who were in Class A21 (the "Subclass").

34. Plaintiff Freeman also seeks to represent a subclass consisting of Class members who were in Class DC21 (the "Subclass").

35. Subject to additional information obtained through further investigation and discovery, the foregoing definition of the Class and Subclass may be expanded or narrowed by amendment or amended complaint.

36. Numerosity. The members of the Class and Subclass are geographically dispersed throughout the United States and overseas and are so numerous that individual joinder is impracticable. Upon information and belief, Plaintiffs reasonably estimates that there are hundreds of members in the Class and Subclass. Although the precise number of Class members is unknown to Plaintiff, the true number of Class members is known by Defendant and may be determined through discovery. Class members may be notified of the pendency of this action by mail and/or publication through the distribution records of Defendant and third-party retailers and vendors.

37. Existence and predominance of common questions of law and fact. Common questions of law and fact exist as to all members of the Class and Subclass and predominate over any questions affecting only individual Class members. These common legal and factual questions include, but are not limited to, the following:

(a) whether Defendant accepted money from Class and Subclass members in exchange for the promise to provide services;

(b) whether Defendant has provided the services for which Class and Subclass members contracted; and

(c) whether Class and Subclass members are entitled to a refund for that portion of the tuition, room, board, food and fees that was contracted for services that Defendant did not provide.

(d) whether Defendant has unlawfully converted money from Plaintiff, the Class and Subclass; and

(e) whether Defendant is liable to Plaintiffs, the Class, and Subclass for unjust enrichment.

**38. Typicality.** Plaintiffs' claims are typical of the claims of the other members of the Class in that, among other things, all Class and Subclass members were similarly situated and were comparably injured through Defendant's wrongful conduct as set forth herein. Further, there are no defenses available to Defendants that are unique to Plaintiff.

**39. Adequacy of Representation.** Plaintiffs will fairly and adequately protect the interests of the Class and Subclass. Plaintiff has retained counsel that is highly experienced in complex consumer class action litigation, and Plaintiff intends to vigorously prosecute this action on behalf of the Class and Subclass. Furthermore, Plaintiffs have no interests that are antagonistic to those of the Class or Subclass.

**40. Superiority.** A class action is superior to all other available means for the fair and efficient adjudication of this controversy. The damages or other financial detriment suffered by individual Class and Subclass members are relatively small compared to the burden and expense of

individual litigation of their claims against Defendant. It would, thus, be virtually impossible for the Class or Subclass on an individual basis, to obtain effective redress for the wrongs committed against them. Furthermore, even if Class or Subclass members could afford such individualized litigation, the court system could not. Individualized litigation would create the danger of inconsistent or contradictory judgments arising from the same set of facts. Individualized litigation would also increase the delay and expense to all parties and the court system from the issues raised by this action. By contrast, the class action device provides the benefits of adjudication of these issues in a single proceeding, economies of scale, and comprehensive supervision by a single court, and presents no unusual management difficulties under the circumstances.

41. In the alternative, the Class and Subclass may also be certified because:

(a) the prosecution of separate actions by individual Class and Subclass members would create a risk of inconsistent or varying adjudications with respect to individual Class members that would establish incompatible standards of conduct for the Defendant;

(b) the prosecution of separate actions by individual Class and Subclass members would create a risk of adjudications with respect to them that would, as a practical matter, be dispositive of the interests of other Class members not parties to the adjudications, or substantially impair or impede their ability to protect their interests; and/or

(c) Defendant has acted or refused to act on grounds generally applicable to the Class as a whole, thereby making appropriate final declaratory and/or injunctive relief with respect to the members of the Class as a whole.

**COUNT I**  
**Breach of Contract**  
**(On Behalf of The Class and Subclass)**

42. Plaintiffs hereby incorporate by reference the allegations contained in all preceding paragraphs of this complaint.

43. Plaintiffs bring this claim individually and on behalf of the members of the Class and Subclass against Defendants.

44. Through the admission agreement and payment of tuition and fees, Plaintiffs and each member of the Class and Subclass entered into a binding contract with Defendant.

45. As part of the contract, and in exchange for the aforementioned consideration, Defendant promised to provide certain services, all as set forth above. Plaintiffs, Class, and Subclass members fulfilled their end of the bargain when they paid monies due for Spring and Summer Semester 2020 tuition. Tuition for Spring and Summer Semester 2020 was intended to cover in-person educational services, room, board, food, fees, etc. from January through July 2020. In exchange for tuition monies paid, Class and Subclass members were entitled to in-person educational services, room, board, food, use of facilities, school resources, in-person networking, etc. through the end of the Spring Semester.

46. Defendant has failed to provide the contracted for services and has otherwise not performed under the contract as set forth above. Defendant has retained monies paid by Plaintiffs and the Class for their Spring and Summer Semester 2020 tuition, food, trips and fees, without providing them the benefit of their bargain.

47. Plaintiffs and members of the Class and Subclass have suffered damage as a direct and proximate result of Defendant's breach, including but not limited to being deprived of the

education, experience, resources and services to which they were promised and for which they have already paid.

48. Plaintiffs and members of the Class and Subclass have also suffered financial damage for paying for services, resources and an education it did not receive.

49. As a direct and proximate result of Defendant's breach, Plaintiffs, the Class, and Subclass are entitled to damages, to be decided by the trier of fact in this action, to include but not be limited to reimbursement of certain tuition, fees, and other expenses that were collected by Defendant for services that Defendant has failed to deliver. Defendant should return the pro-rated portion of any Spring Semester 2020 tuition and fees for education services not provided since NYU shut down on March 13, 2020.

50. Defendant's performance under the contract is not excused due to COVID-19. Indeed, Defendant should have refunded the pro-rated portion of any education services not provided. Even if performance was excused or impossible, Defendant would nevertheless be required to return the funds received for services it will not provide.

**COUNT II**  
**Unjust Enrichment**  
**(On Behalf of The Class and Subclass)**

51. Plaintiffs hereby incorporate by reference the allegations contained in all preceding paragraphs of this complaint.

52. Plaintiffs bring this claim individually and on behalf of the members of the Class and Subclass against Defendant.

53. Plaintiffs and members of the Class and Subclass conferred a benefit on Defendant in the form of monies paid for Spring and Summer Semester 2020 tuition and other fees in exchange for certain service and promises. Tuition for Spring Semester and Summer 2020 was intended to

cover in-person educational services from January through July 2020. In exchange for tuition monies paid, Class members were entitled to in-person educational services through the end of the Spring and Summer Semester.

54. Defendant voluntarily accepted and retained this benefit by accepting payment.

55. Defendant has retained this benefit, even though Defendant has failed to provide the education, experience, resources and services for which the tuition and fees were collected, making Defendant's retention unjust under the circumstances. Accordingly, Defendant should return the pro-rated portion of any Spring and Summer Semester 2020 tuition and fees for education services not provided since NYU shut down on March 13, 2020.

56. It would be unjust and inequitable for Defendant to retain the benefit, and Defendant should be required to disgorge this unjust enrichment.

**COUNT III**  
**Conversion**  
**(On Behalf of The Class and Subclass)**

56. Plaintiffs hereby incorporate by reference the allegations contained in all preceding paragraphs of this complaint.

57. Plaintiffs bring this claim individually and on behalf of the members of the Class and Subclass against Defendant.

58. Plaintiffs and members of the Class and Subclass have an ownership right to the in-person educational services they were supposed to be provided in exchange for their Spring Semester 2020 tuition and fee payments to Defendant.

59. Defendant intentionally interfered with the rights of Plaintiffs, the Class, and Subclass when it moved all classes to an online format and discontinued in-person educational services for which tuition and fees were intended to pay.

60. Plaintiffs and members of the Class and Subclass demand the return of the pro-rated portion of any Spring and Summer Semester 2020 tuition and fees for education services not provided since NYU shut down on March 13, 2020.

61. Defendant's retention of the fees paid by Plaintiffs and members of the Class and Subclass without providing the educational services for which they paid, deprived Plaintiff, Class and Subclass members of the benefits for which the tuition and fees paid.

62. This interference with the services for which Plaintiffs and members of the Class and Subclass paid damaged Plaintiffs and Class members in that they paid tuition and fees for services that will not be provided.

63. Plaintiffs, Class and Subclass members are entitled to the return of pro-rated portion of any Spring and Summer Semester 2020 tuition, food, housing, trips and fees for education services not provided since NYU shut down on March 13, 2020.

### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs, individually and on behalf of all others similarly situated, seeks judgment against Defendant, as follows:

- (a) For an order certifying the Class and Subclass under Rule 23 of the Federal Rules of Civil Procedure and naming Plaintiffs as representatives of the Class and Plaintiffs' attorneys as Class Counsel to represent the Class and Subclass;
- (b) For an order finding in favor of Plaintiffs and the Class and Subclass on all counts asserted herein;
- (c) For compensatory and punitive damages in amounts to be determined by the Court and/or jury;
- (d) For prejudgment interest on all amounts awarded;
- (e) For an order of restitution and all other forms of equitable monetary relief;
- (f) For injunctive relief as pleaded or as the Court may deem proper; and

- (g) For an order awarding Plaintiffs and the Class and Subclass her reasonable attorneys' fees and expenses and costs of suit.

Dated: December 14, 2020

Respectfully submitted,



MARC J. HELD ESQ,  
Held & Hines, LLP  
Attorneys for Plaintiffs  
370 Lexington Avenue  
Suite 800  
New York, New York 10017  
(212) 696-4529  
[mheld@heldhines.com](mailto:mheld@heldhines.com)

**ATTORNEY VERIFICATION**

MARC HELD, an attorney duly licensed to practice in the courts of the State of New York, hereby affirms the following under penalties of perjury:

That I am a member of the law firm of HELD & HINES, L.L.P., attorneys for the Plaintiffs in the within action; that I have read the foregoing VERIFIED COMPLAINT and know the contents thereof; and that the same is true to my own knowledge, except as to the matters therein alleged to be on information and belief, and as to those matters, I believe them to be true. The reason this Verification is made by me and not by the plaintiff is that said plaintiff resides outside or is not currently inside the County in which the Affirmant's office is located.

The grounds of my belief as to all matters stated upon my own knowledge are as follows: the records, reports, contracts, and documents contained in the plaintiff's file.

  
\_\_\_\_\_  
MARC HELD, ESQ.

Affirmed to December 14, 2020

SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK

-----X

JEROLD FREEMAN, KAREN KUO,  
RAJVEER SACHDEV, PEIHU WANG  
and ANDREW WOLFF, on their own  
behalf, and on behalf of those similarly situated,

Index No.

Plaintiff,

-against-

NEW YORK UNIVERSITY,

Defendants.

-----X

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**SUMMONS AND VERIFIED COMPLAINT**

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**HELD & HINES, LLP**  
**Attorneys for Plaintiff**  
**Office & Post Office Address**  
**2004 Ralph Avenue**  
**Brooklyn, New York 11234**  
**(718) 531-9700**

---

Signature (Rule 130-1.1-a)

  
\_\_\_\_\_  
MARC HELD, ESQ.

SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK

-----X  
JEROLD FREEMAN, KAREN KUO, RAJVEER  
SACHDEV, PEIHU WANG AND ANDREW WOLFF,  
on their own behalf, and on behalf of those similarly  
situated,

Plaintiffs,

Index No.: 160861/2020

**AFFIDAVIT OF  
SERVICE**

-against-

NEW YORK UNIVERSITY,

Defendants.

-----X

State of New York }  
County of Brooklyn } ss.:

I, DAVID SQUEO, having been duly sworn, deposes and says under penalty of perjury:

I am over 18 years of age and not a party to this action. I am an employee of the firm of **HELD & HINES, L.L.P.**, and my business address is 2004 Ralph Avenue, Brooklyn, New York 11234.

On December 15, 2020, at approximately 4:43 p.m. I served the Summons & Verified Complaint upon defendant New York University by sending an electronic mail to said defendant to ogcrecordsrequest@nyu.edu, the email address designated by said defendant to accept service during the COVID-19 pandemic, this was confirmed by "John Doe" security officer when personal service was then attempted on January 20, 2021. Said officer advised that the office that accepts legal process is operating remotely due to the COVID-19 pandemic and any process is to be emailed to ogcrecordsrequest@nyu.edu and will be accepted. A follow up email was also sent on January 4, 2021 at approximately 5:21 PM.

Dated: Brooklyn, New York  
January 28, 2021

  
DAVID SQUEO

Sworn to before me this  
28<sup>th</sup> day of January 2021

  
NOTARY PUBLIC

Edward S. Miller  
Notary Public, State of New York  
No. 02M14787199  
Qualified in Nassau County  
Commission Expires May 31, 2023

Form 09 - AFFIDAVIT OF ATTEMPTED SERVICE



HELD & HINES, LLP HELD & HINES, LLP  
SUPREME COURT NEW YORK COUNTY STATE OF NEW YORK

JEROLD FREEMAN, ETAL

PLAINTIFF

index No. 160861/2020  
Date Filed  
Office No.  
Court Date.

- vs -

NEW YORK UNIVERSITY

DEFENDANT

STATE OF NEW YORK, COUNTY OF NEW YORK :SS:

DONDRE DENNIS being duly sworn, deposes and says; I am over 18 years of age, not a party to this action, and reside in the State of New York.

That on  
01/20/2021, 12:43PM

deponent attempted to make personal service of a true copy of the **SUMMONS AND VERIFIED COMPLAINT** in the above entitled action upon **NEW YORK UNIVERSITY the DEFENDANT at 70 WASHINGTON SQUARE SOUTH NEW YORK NY 10012** and was unable to do so.

DEPONENT ATTEMPTED TO SERVE THE DEFENDANT AT THE ADDRESS OF 70 WASHINGTON SQUARE SOUTH, NEW YORK, NY 10012 . DEPONENT WAS ADVISED EVERYONE IS WORKING FROM HOME DUE TO THE PANDEMIC. DEPONENT WAS PROVIDED WITH AN EMAIL TO SEND DOCUMENTS TO, [OGCRECORDSREQUEST@NYU.EDU](mailto:OGCRECORDSREQUEST@NYU.EDU).

Sworn to before me this  
28TH day of JANUARY, 2021

SELENA INES ADAMES  
Notary Public, State of New York  
No. 01AD6365042  
Qualified in NEW YORK COUNTY  
Commission Expires 09/25/2021

*[Handwritten signature]*  
DONDRE DENNIS 2082979  
PM Legal, LLC  
75 MAIDEN LANE 11TH FLOOR  
NEW YORK, NY 10038  
Reference No: 3-HLLP-4172722

2a

# Exhibit B

**IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

JEROLD FREEMAN, KAREN KUO, RAJVEER SACHDEV, PEIHU WANG, and ANDREW WOLFF, on their own behalf and on behalf of those similarly situated,

Case No.: 1:21-cv-01029

Plaintiffs,

v.

NEW YORK UNIVERSITY,

Defendant.

**DECLARATION OF KEARA M. GORDON**

KEARA M. GORDON declares pursuant to 28 U.S.C. § 1746:

1. I am a member of the bar of the State of New York and am admitted to the bar of this Court. I am a partner with the law firm of DLA Piper LLP (US), attorneys for New York University (“NYU”). I have personal knowledge of the facts set forth herein, except where otherwise indicated. I submit this declaration in support of NYU’s Notice of Removal.

2. On December 15, 2020, counsel for plaintiffs Jenold Freeman, Mu-I “Karen” Kuo, Rajveer Sachdev, Peihu Wang, and Andrew Wolff of the firm Held & Hines, LLP e-mailed NYU’s Office of General Counsel’s general e-mail “ogcrecordsrequest@nyu.edu” requesting that NYU “confirm receipt of the attached Summons & Complaint and that [NYU] will be accepting service for the same.” A true and correct copy of this e-mail is attached hereto as **Exhibit 1**. NYU did not confirm receipt or accept the plaintiffs’ attempted service of the Summons and Complaint at that time and later made clear that it did not consider the e-mail to be proper service.

3. On January 4, 2021, the plaintiffs' counsel's Assistant Office Manager again e-mailed ogcrecordsrequest@nyu.edu to follow up on the December 15 e-mail and to ask NYU to confirm receipt. A true and correct copy of this e-mail is attached hereto as **Exhibit 2**.

4. On January 5, 2021, I e-mailed the plaintiffs' counsel explaining that their prior e-mail did not properly effect service as required by N.Y. CPLR § 311 but stating that NYU would be willing to discuss accepting service in exchange for an agreement as to the date by which NYU would answer, move, or otherwise respond to the Complaint and suggesting a 60-day period for NYU's response. On January 7, 2021, the plaintiffs' counsel responded, stating they were willing to enter into such an agreement and requesting that NYU draft a formal stipulation to that effect. On January 12, 2021, I responded suggesting that the parties memorialize their agreement by e-mail. A true and correct copy of this e-mail exchange is attached hereto as **Exhibit 3**.

5. On January 25, 2021, the plaintiffs' counsel responded stating he had no issue with the proposal but would only agree if NYU would agree to waive its defenses as to personal jurisdiction. (Ex. 3). That same day, I responded that NYU will not agree to waive any applicable defense, including lack of personal jurisdiction, and that if the plaintiffs' counsel insisted on that condition, NYU would insist that the plaintiffs formally serve the complaint in accordance with the CPLR. (Ex. 3).

6. On January 28, 2021, the plaintiffs' counsel's Assistant Office Manager e-mailed counsel for NYU both an affidavit of service and an affidavit of attempted service. A true and correct copy of this e-mail with the attachments is attached hereto as **Exhibit 4**.

7. The affidavit of attempted service asserts that the plaintiffs' counsel attempted personal service on NYU on January 20, 2021 – thirteen days after the plaintiffs' counsel agreed to NYU's proposal that it would accept service subject to reaching a mutually-agreed upon date

for NYU to answer, move, or otherwise respond and five days before the plaintiffs' counsel once again corresponded regarding such an agreement. (Exs. 3, 4).

8. The affidavit of attempted service states that on January 20, 2021, an unnamed unspecified security officer informed the plaintiffs' process server that the legal department was working remotely and he suggested that the process server send process by e-mail to NYU and that, if he did so, NYU would accept it. (Ex. 4). Subsequent to January 20, 2021, the plaintiffs' counsel did not send process by e-mail to NYU, and NYU has not accepted any e-mail service.

9. On February 2, 2021, I again e-mailed the plaintiffs' counsel to inform them NYU had not yet been properly served and to once again reiterate NYU's willingness to accept service by e-mail in exchange for a stipulated date for NYU to answer, move, or otherwise respond to the Complaint. A true and correct copy of this e-mail is attached hereto as **Exhibit 5**. This afternoon, the plaintiffs' counsel responded with a proposed stipulation suggesting that the parties agree that NYU's date to answer, move, or otherwise respond be set at April 2, 2021 but not explaining why they believe service was proper, or when they claim it was effective. A true and correct copy of this e-mail is attached hereto as **Exhibit 6**.

I declare under penalty of perjury that the foregoing is true and correct.

Dated: February 4, 2021  
New York, New York

/s/ Keara M. Gordon  
Keara M. Gordon

# Exhibit 1

From: **David Squeo** <[dsqueo@heldhines.com](mailto:dsqueo@heldhines.com)>  
Date: Tue, Dec 15, 2020 at 4:43 PM  
Subject: Service  
To: <[ogcrecordsrequest@nyu.edu](mailto:ogcrecordsrequest@nyu.edu)>  
Cc: Marc Held <[mheld@heldhines.com](mailto:mheld@heldhines.com)>

Good Afternoon,

Please confirm receipt of the attached Summons & Complaint and that you will be accepting service for the same.

Thank you.

--

Regards,

David Squeo

Assistant Office Manager

Held & Hines, LLP  
2004 Ralph Avenue  
Brooklyn, NY 11234  
P [718-531-9700](tel:718-531-9700) F [718-444-5768](tel:718-444-5768)

Held & Hines, LLP  
370 Lexington Avenue, Ste. 800  
New York, NY 10017  
P [212-696-4529](tel:212-696-4529)

# Exhibit 2

----- Forwarded message -----

From: **David Squeo** <[dsqueo@heldhines.com](mailto:dsqueo@heldhines.com)>

Date: Mon, Jan 4, 2021, 5:21 PM

Subject: Re: Service

To: <[ogcrecordsrequest@nyu.edu](mailto:ogcrecordsrequest@nyu.edu)>

Good Afternoon,

Please confirm receipt of my prior email.

Thank you.

On Tue, Dec 15, 2020 at 4:43 PM David Squeo <[dsqueo@heldhines.com](mailto:dsqueo@heldhines.com)> wrote:

Good Afternoon,

Please confirm receipt of the attached Summons & Complaint and that you will be accepting service for the same.

Thank you.

--

Regards,

David Squeo  
Assistant Office Manager

Held & Hines, LLP  
2004 Ralph Avenue  
Brooklyn, NY 11234  
P [718-531-9700](tel:718-531-9700) F [718-444-5768](tel:718-444-5768)

Held & Hines, LLP  
370 Lexington Avenue, Ste. 800  
New York, NY 10017  
P [212-696-4529](tel:212-696-4529)

# Exhibit 3

**Kessler, Rachael**

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**From:** Gordon, Keara  
**Sent:** Monday, January 25, 2021 1:31 PM  
**To:** Marc Held  
**Cc:** Gulliver, Colleen Carey; Kessler, Rachael  
**Subject:** RE: NYU/Freeman: Complaint

Marc,

NYU will not agree to waive any applicable defense, including lack of personal jurisdiction. We would like to come to an agreement whereby we accept service and set an agreed response date, but we will not waive defenses to do so. If you insist on this condition (which we consider to be unreasonable), then NYU will insist that you formally serve the complaint in accordance with the CPLR and NYU will respond accordingly.

Let us know how you would like to proceed.

Keara

**Keara M. Gordon**

Partner

---

T +1 212 335 4632  
F +1 212 884 8632  
M +1 914 380 0125  
keara.gordon@us.dlapiper.com

**DLA Piper LLP (US)**  
dlapiper.com

**From:** Marc Held <mheld@heldhines.com>  
**Sent:** Monday, January 25, 2021 8:00 AM  
**To:** Gordon, Keara <keara.gordon@us.dlapiper.com>  
**Cc:** Gulliver, Colleen Carey <Colleen.Gulliver@us.dlapiper.com>; Kessler, Rachael <rachael.kessler@us.dlapiper.com>  
**Subject:** Re: NYU/Freeman: Complaint

[EXTERNAL]

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Keara:

I do not have any issues with your proposal so far as NYU is waiving the defense of personal jurisdiction.

Please confirm.

Regards,  
Marc Held

On Tue, Jan 12, 2021 at 11:08 AM Gordon, Keara <[keara.gordon@dlapiper.com](mailto:keara.gordon@dlapiper.com)> wrote:

Hi Marc,

Do you think that a formal stipulation is necessary? Can't we just agree via e-mail?

What I would propose is that we agree, via e-mail, that NYU will accept service – expressly reserving all rights and not waiving any defenses – as of today and that the date by which NYU will answer, move, or otherwise respond will be on or before 60 days from today (which is March 13).

Do you agree? If so, then you can just file an affidavit of service that informs the court of our agreement.

Thanks,

Keara

**Keara M. Gordon**

Partner

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T +1 212 335 4632  
F +1 212 884 8632  
M +1 914 380 0125

[keara.gordon@us.dlapiper.com](mailto:keara.gordon@us.dlapiper.com)

DLA Piper LLP (US)  
[dlapiper.com](http://dlapiper.com)

**From:** Marc Held <[mheld@heldhines.com](mailto:mheld@heldhines.com)>

**Sent:** Monday, January 11, 2021 11:56 AM

**To:** Gordon, Keara <[keara.gordon@us.dlapiper.com](mailto:keara.gordon@us.dlapiper.com)>

**Cc:** Gulliver, Colleen Carey <[Colleen.Gulliver@us.dlapiper.com](mailto:Colleen.Gulliver@us.dlapiper.com)>; Kessler, Rachael <[rachael.kessler@us.dlapiper.com](mailto:rachael.kessler@us.dlapiper.com)>

**Subject:** Re: NYU/Freeman: Complaint

[EXTERNAL]

---

Keara:

Please advise as to when I should expect a draft of the stipulation.

Regards,

Marc Held

On Thu, Jan 7, 2021 at 1:04 PM Marc Held <[mheld@heldhines.com](mailto:mheld@heldhines.com)> wrote:

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Please send me a proposed stipulation for my review.

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NYU reserves all rights.

Thank you,

Keara

**Keara M. Gordon**

Co-Chair, Class Action Practice Group

Partner

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M +1 914 380 0125  
[keara.gordon@us.dlapiper.com](mailto:keara.gordon@us.dlapiper.com)

**DLA Piper LLP (US)**  
1251 Avenue of the Americas  
27th Floor  
New York, NY 10020-1104



[dlapiper.com](http://dlapiper.com)

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370 Lexington Avenue

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New York, New York 10017

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Tel: (212) 696-4529

Email: [mheld@heldhines.com](mailto:mheld@heldhines.com)

Mail to:

Held & Hines LLP

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Brooklyn, N.Y. 11234

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# Exhibit 4

**Kessler, Rachael**

---

**From:** David Squeo <dsqueo@heldhines.com>  
**Sent:** Thursday, January 28, 2021 3:27 PM  
**To:** Marc Held  
**Cc:** Gordon, Keara; Gulliver, Colleen Carey; Kessler, Rachael  
**Subject:** Re: NYU/Freeman: Complaint  
**Attachments:** Affidavits of Service (1).pdf

[EXTERNAL]

---

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Regards,

David Squeo

Assistant Office Manager

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Brooklyn, NY 11234

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# NYSCEF - New York County Supreme Court Confirmation Notice



The NYSCEF website has received an electronic filing on 01/28/2021 02:58 PM. Please keep this notice as a confirmation of this filing.

**160861/2020**

**Jerold Freeman et al v. NEW YORK UNIVERSITY**

**Assigned Judge: None Recorded**

## Documents Received on 01/28/2021 02:58 PM

Doc #	Document Type
2	AFFIRMATION/AFFIDAVIT OF SERVICE
3	AFFIRMATION/AFFIDAVIT OF SERVICE Affidavit of Attempted Service

## Filing User

Philip M Hines | phines@heldhines.com | 718-531-9700  
2004 Ralph Avenue, Brooklyn, NY 11234

## E-mail Notifications

An email regarding this filing has been sent to the following on 01/28/2021 02:58 PM:

**PHILIP M. HINES - phines@heldhines.com**

## Email Notifications NOT Sent

Role	Party	Attorney
Respondent	NEW YORK UNIVERSITY	No consent on record.

\* Court rules require hard copy service upon non-participating parties and attorneys who have opted-out or declined consent.

**Hon. Milton A. Tingling, New York County Clerk and Clerk of the Supreme Court**

Phone: 646-386-5956 Website: [http://www.nycourts.gov/courts/1jd/suptctmanh/county\\_clerk\\_operations.shtml](http://www.nycourts.gov/courts/1jd/suptctmanh/county_clerk_operations.shtml)

**NYSCEF Resource Center, [nyscef@nycourts.gov](mailto:nyscef@nycourts.gov)**

Phone: (646) 386-3033 | Fax: (212) 401-9146 | Website: [www.nycourts.gov/efile](http://www.nycourts.gov/efile)

SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK

-----X  
JEROLD FREEMAN, KAREN KUO, RAJVEER  
SACHDEV, PEIHU WANG AND ANDREW WOLFF,  
on their own behalf, and on behalf of those similarly  
situated,

Plaintiffs,

Index No.: 160861/2020

**AFFIDAVIT OF  
SERVICE**

-against-

NEW YORK UNIVERSITY,

Defendants.

-----X

*State of New York* }  
*County of Brooklyn* } ss.:

I, **DAVID SQUEO**, having been duly sworn, deposes and says under penalty of perjury:

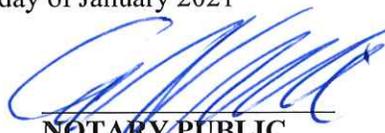
I am over 18 years of age and not a party to this action. I am an employee of the firm of **HELD & HINES, L.L.P.**, and my business address is 2004 Ralph Avenue, Brooklyn, New York 11234.

On December 15, 2020, at approximately 4:43 p.m. I served the Summons & Verified Complaint upon defendant New York University by sending an electronic mail to said defendant to ogcrecordsrequest@nyu.edu, the email address designated by said defendant to accept service during the COVID-19 pandemic, this was confirmed by "John Doe" security officer when personal service was then attempted on January 20, 2021. Said officer advised that the office that accepts legal process is operating remotely due to the COVID-19 pandemic and any process is to be emailed to ogcrecordsrequest@nyu.edu and will be accepted. A follow up email was also sent on January 4, 2021 at approximately 5:21 PM.

Dated: Brooklyn, New York  
January 28, 2021

  
\_\_\_\_\_  
**DAVID SQUEO**

Sworn to before me this  
28<sup>th</sup> day of January 2021

  
**NOTARY PUBLIC**

Edward S. Miller  
Notary Public, State of New York  
No. 02M14787199  
Qualified in Nassau County  
Commission Expires May 31, 2023

Form 09 - AFFIDAVIT OF ATTEMPTED SERVICE



P4172722

HELD & HINES, LLP HELD & HINES, LLP  
SUPREME COURT NEW YORK COUNTY STATE OF NEW YORK

JEROLD FREEMAN, ETAL

PLAINTIFF

index No. 160861/2020

Date Filed

Office No.

Court Date.

- vs -

NEW YORK UNIVERSITY

DEFENDANT

STATE OF NEW YORK, COUNTY OF NEW YORK :SS:

DONDRE DENNIS being duly sworn, deposes and says; I am over 18 years of age, not a party to this action, and reside in the State of New York.

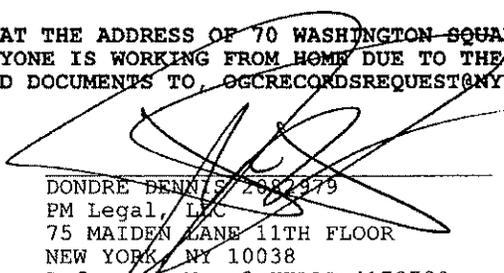
That on  
01/20/2021, 12:43PM

deponent attempted to make personal service of a true copy of the **SUMMONS AND VERIFIED COMPLAINT** in the above entitled action upon **NEW YORK UNIVERSITY the DEFENDANT at 70 WASHINGTON SQUARE SOUTH NEW YORK NY 10012** and was unable to do so.

DEPONENT ATTEMPTED TO SERVE THE DEFENDANT AT THE ADDRESS OF 70 WASHINGTON SQUARE SOUTH, NEW YORK, NY 10012 . DEPONENT WAS ADVISED EVERYONE IS WORKING FROM HOME DUE TO THE PANDEMIC. DEPONENT WAS PROVIDED WITH AN EMAIL TO SEND DOCUMENTS TO, [OGCRECORDSREQUEST@NYU.EDU](mailto:OGCRECORDSREQUEST@NYU.EDU).

Sworn to before me this  
28TH day of JANUARY, 2021

SELENA INES ADAMES  
Notary Public, State of New York  
No. 01AD6365042  
Qualified in NEW YORK COUNTY  
Commission Expires 09/25/2021

  
DONDRE DENNIS 2082979  
PM Legal, LLC  
75 MAIDEN LANE 11TH FLOOR  
NEW YORK, NY 10038  
Reference No: 3-HLLP-4172722

2a

# Exhibit 5

**Kessler, Rachael**

---

**From:** Gordon, Keara  
**Sent:** Tuesday, February 2, 2021 11:58 AM  
**To:** David Squeo; Marc Held  
**Cc:** Gulliver, Colleen Carey; Kessler, Rachael  
**Subject:** RE: NYU/Freeman: Complaint

Marc and David,

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Thank you.  
Keara

**Keara M. Gordon**  
Partner

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[EXTERNAL]

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Thank you.

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Regards,

David Squeo  
Assistant Office Manager

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New York, NY 10017  
P [212-696-4529](tel:212-696-4529)

# Exhibit 6

**From:** David Squeo <dsqueo@heldhines.com>  
**Sent:** Thursday, February 4, 2021 2:56 PM  
**To:** Gordon, Keara <keara.gordon@us.dlapiper.com>  
**Cc:** Marc Held <mheld@heldhines.com>  
**Subject:** Re: NYU/Freeman: Complaint

[EXTERNAL]

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Good Afternoon,

Please see the attached proposed stipulation.

Thank you.

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Regards,

David Squeo

Assistant Office Manager

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SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK

-----X  
JEROLD FREEMAN,  
KAREN KUO, RAJVEER SACHDEV  
PEIHU WANG and ANDREW WOLFF,

Index No. 160861/2020

Plaintiffs,

**STIPULATION**  
**EXTENDING**  
**TIME TO ANSWER**

-against-

NEW YORK UNIVERSITY,

Defendant.

-----X

**IT IS HEREBY STIPULATED AND AGREED** that the defendants accept personal service of the summons and complaint which was served by email.

**IT IS HEREBY STIPULATED AND AGREED** that the defendants' time to move to dismiss is extended until April 2, 2021, the plaintiff's opposition papers are due on May 3, 2021 and the defendants reply papers are due on May 10, 2021.

This stipulation may be signed in Counterparts and a faxed signed copy of same shall be deemed an original.

Dated: Brooklyn, New York  
February 4, 2021

\_\_\_\_\_  
*/s/ Marc Held*  
MARC HELD, ESQ.  
HELD & HINES, LLP  
*Attorneys for Plaintiffs*  
2004 Ralph Avenue  
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(718) 531-9700 (phone)  
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# Exhibit C

**IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

JEROLD FREEMAN, KAREN KUO, RAJVEER  
SACHDEV, PEIHU WANG, and ANDREW WOLFF,  
on their own behalf and on behalf of those similarly  
situated,

Plaintiffs,

v.

NEW YORK UNIVERSITY,

Defendant.

Civ. No. \_\_\_\_\_

**DECLARATION OF ROBERT SALOMON**

ROBERT SALOMON declares pursuant to 28 U.S.C. § 1746:

1. I am over the age of twenty-one and competent to make this declaration.
2. I am Vice Dean and Dean of Executive Programs at New York University (“NYU”) Leonard N. Stern School of Business (“Stern”). I joined Stern in 2005 and assumed the titles of Vice Dean and Dean of Executive Programs in 2019. As part of my duties, I am responsible for supervising and overseeing the administrative functions of the Executive MBA (“EMBA”) program.
3. The facts set forth below are based on my personal knowledge and my familiarity with and access to records maintained by Stern in the regular course of administering the EMBA program. If called as a witness, I could and would competently testify to the matters set forth in this declaration. The following facts are within my personal knowledge and are true and correct.
4. Based on NYU’s records, there were 340 students enrolled in the EMBA program during the Spring 2020 semester, and there were 327 students enrolled in the EMBA program during the Summer 2020 semester.

5. Stern collects permanent addresses from students at the time they start the EMBA program. When students move to a new permanent address and alert Stern of their move, Stern updates its records to reflect the student's new address.

6. Based on Stern's records and information provided to Stern by each EMBA student, 181 of the 340 students enrolled in the EMBA program during the Spring 2020 semester had a permanent address outside of New York state, constituting approximately 53% of the total enrolled students. During the Summer 2020 semester, 177 of the 327 students enrolled in the EMBA program had a permanent address outside of New York state, constituting approximately 54% of the total enrolled students.

7. Similarly, based on the information provided to NYU by the named plaintiffs, two do not have permanent addresses in the state of New York. Specifically, plaintiff Rajveer Sachdev lists a permanent address in Upper Saddle River, New Jersey, and plaintiff Peihu Wang lists a permanent address in Brighton, Massachusetts.

I declare under penalty of perjury that the foregoing is true and correct.

Dated: February 4, 2021  
New York, New York

  
\_\_\_\_\_  
Robert Salomon

# ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: [Class Action: NYU Grad Students Seek Refunds for Spring, Summer 2020 Semesters Moved Online](#)

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