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notice of the removal of this action to the Court from the Superior Court in the State of California in the County of San Diego. The basis for removal is set forth below.

I. STATE-COURT ACTION

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- On November 29, 2017, Plaintiff Bryant Fonseca ("Fonseca") filed a class-action styled complaint asserting the following causes of action: (1) disparate treatment age discrimination in violation of California Government Code section 12940(a); (2) disparate impact age discrimination in violation of California Government Code sections 12940(a) and 12941; (3) wrongful termination in violation of public policy; (4) failure to prevent discrimination; (5) violation of the Cartwright Act; (6) violation of California Business and Professions Code section 16600; and (7) unfair competition in violation of California Business and Professions Code section 17200, captioned BRYANT FONSECA, an individual, on behalf of himself and all others similarly situated, and on behalf of the general public v. HEWLETT-PACKARD COMPANY, a Delaware Corporation; HP ENTERPRISE SERVICES, LLC, a Delaware Limited Liability Company; HP, Inc., a Delaware corporation; and DOES 1-100, inclusive, Case No. 37-2017-00045630-CU-WT-CTL (the "California Action"). A true copy of Fonseca's Complaint in the California Action is attached to this Notice of Removal as **Exhibit A**. Defendants incorporate the Complaint's allegations by reference without admitting the allegations' truth.
- 2. The Complaint also names as defendants "DOES 1 to 100." Defendants are informed and believe and on that basis allege that none of the fictitiously named defendants has been served with a Summons and a copy of the Complaint. The fictitiously named defendants are therefore not parties to the above-captioned action and need not join or consent to Defendants' notice of removal. See *Salveson v. Western States Bankcard Ass'n*, 731 F.2d 1426, 1429 (9th Cir. 1984) (holding named defendants not yet served in state court action need not join the notice of removal). Pursuant to 28 U.S.C. § 1446(b)(2)(A), all Defendants consent to the removal of this action.

II. TIMELINESS OF REMOVAL

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3. On December 14, 2017, Plaintiff Fonseca served Defendants. (Declaration of Khatereh S. Fahimi ("Fahimi Decl."), ¶ 3, Ex. C.) Because this Notice of Removal is filed within thirty days of Defendants having first received service of the Summons and Complaint, it is timely filed under 28 U.S.C. § 1446(b)(1).

III. FEDERAL-QUESTION JURISDICTION EXISTS UNDER SECTION1331

- 4. The Court has original jurisdiction under 28 U.S.C. § 1331 because the allegations in the Complaint present claims completely preempted by the Employee Retirement Income Security Act of 1974 ("ERISA"), 29 U.S.C. §§ 1001 et seq., as amended.
- 5. At issue in the current Complaint is Defendants' Workforce Reduction ("WFR) Plan.¹ "The purpose of the [WFR] Plan is to provide income replacement benefits to certain employees who incur an involuntary termination of employment." Declaration of Kim Ortolani ("Ortolani Decl."), Ex. A p. A-6. "This Plan is intended to be an employee welfare benefit plan within the meaning or ERISA Section 3(l) and Section 2510.3-1 of the regulations issued thereunder, as the total of all payments will in no event exceed two times the Participant's annual compensation during the year immediately preceding his or her termination; and all payments under the Plan shall be completed within 24 months of the Participant's Termination Date" *Id.* at A-14.
- 6. Specifically, as part of his Sixth and Seventh causes of action, Fonseca alleges that the Defendants violated Business and Professions Code sections 16600 and 17200, et seq. by conditioning severance payments offered as part of Defendants' WFR Plan on future employment, namely denying severance payments to those employees who later obtained employment with Defendants' competitors. However, a claim that benefits provided for under an ERISA plan have been improperly denied or

While not at issue in the current removal, it should be noted that Plaintiff was an employee of HP Inc. at the time of his termination. Ortolani Decl. ¶ 2.

conditioned on improper criteria is a claim that can only be brought under ERISA.

- 7. As relevant herein, an employee welfare benefit plan under ERISA is: [A]ny plan, fund, or program ... established or maintained by an employer ..., to the extent such plan, fund or program was established or is maintained for the purpose of providing for its participants ... (A) ... benefits in the event of ... unemployment ..., or (B) any benefit described in section 186(c) of this title [other than pensions].
- (29 U.S.C. §1002(1).) The benefits listed in Section 186(c) [29 U.S.C. §186(c)] includes "severance" benefits, and as the U.S. Supreme Court has declared: "plans to pay employees severance benefits, which are payable only upon termination of employment, are employee welfare benefit plans." *Massachusetts v. Morash*, 490 U.S. 107, 116, (1989). As such, there is no doubt that the WFR is an ERISA plan.
- 8. In short, Fonseca seeks to hold Defendants liable for alleged state statutory claims based upon the Plan's administration. (Ex. A ¶¶ 35,53, 54, 56, 59-62.)
- 9. Because Fonseca seeks to hold Defendants liable for alleged conduct directly related to Plan administration, his state statutory-law claims are properly characterized as arising under ERISA. *See* 29 U.S.C. § 1132(a)(1)(B) (ERISA provides a civil enforcement mechanism for denial of benefits and clarification of rights under a plan); *Metro. Life Ins. Co. v. Taylor*, 481 U.S. 58, 65 (1987).
- 10. ERISA is intended to ensure that employee benefit plan regulation remains "exclusively a federal concern." *Aetna Health Inc. v. Davila*, 542 U.S. 200, 209 (2004); see also 29 U.S.C. § 1132(a). Accordingly, ERISA preempts "any state-law cause of action that duplicates, supplements, or supplants the ERISA civil enforcement remedy." *Davila*, 542 U.S. at 209.
- 11. Complete preemption prevents a plaintiff from avoiding removal by omitting necessary federal questions in her pleadings. *Metro. Life Ins.*, 481 U.S. at 65. Thus, actions remain removable even when no federal question appears on the face of a plaintiff's complaint. *See id.* Claims that fall within the scope of the civil enforcement provisions of ERISA are removable to federal court and properly re-

characterized as ERISA claims. *Id.* at 67.

- 12. When "Congress so completely preempts a particular area [of law] that any civil complaint raising this select group of claims is necessarily federal in character," removal is proper. *See Stewart v. U.S. Bancorp*, 297 F.3d 953, 958 (9th Cir. 2002) (internal quotation and citation omitted). Here, Fonseca's claims result from his participation in an ERISA plan. The Court has federal-question jurisdiction when the legal duty implicated is dependent upon an ERISA plan. *Marin Gen. Hosp. v. Modesto & Empire Traction Co.*, 581 F.3d 941, 945 (9th Cir. 2009) (citation omitted).
- 13. More specifically, state-law claims are completely preempted when they meet the "Davila test." Davila, 542 U.S. at 210. This test asks (1) whether the plaintiff could have brought its claim under ERISA § 502(a) and (2) whether no other independent legal duty supports the plaintiff's claim. *Marin Gen. Hosp.*, 581 F.3d at 946 (citation omitted). Fonseca's Complaint meets both prongs of the *Davila* test.
- 14. As it relates to the first prong, Fonseca's claim for equitable relief pertaining to the WFR Plan could have been brought under Section 502(a). Section 502(a) provides, in pertinent part: "A civil action may be brought ... (3) by a participant, beneficiary, or fiduciary (A) to enjoin any act or practice which violates any provision of this subchapter or the terms of the plan, or (B) to obtain other appropriate equitable relief (i) to redress such violations or (ii) to enforce any provisions of this subchapter or the terms of the plan." 29 U.S.C. § 1132(a)(3) (emphasis added). "The civil enforcement provisions of ERISA, codified in §1132(a), are 'the exclusive vehicle for actions by ERISA-plan participants and beneficiaries asserting improper processing of a claim for benefits." *Gabriel v. Alaska Elec. Pension Fund*, 773 F.3d 945, 953 (9th Cir. 2014) (quoting *Pilot Life Ins. Co. v. Dedeaux*, 481 U.S. 41, 52 (1987)).
- 15. As it relates to the second prong, "[t]he question under the second prong of *Davila* is whether 'there is no other independent legal duty that is implicated by a

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- defendant's actions." *Marin*, 581 F.3d at 949 (quoting *Davila*, 542 U.S. at 210). "If there is some other independent legal duty beyond that imposed by an ERISA plan, a claim based on that duty is not completely preempted under § 502(a)[]." Id. Here, any duty HP Inc. had to pay Fonseca a severance payment exists solely due to the existence of the Plan, and not due to any independent legal obligation. Ortolani Decl., ¶ 3, Exhs. A-C.
- 16. United States District Courts have exclusive jurisdiction over civil actions brought by a plan participant or beneficiary to enforce their rights arising under ERISA. 29 U.S.C. § 1132(e).
- 17. Therefore, pursuant to 28 U.S.C. § 1331, the California Action is within the federal-question jurisdiction of the United States District Court.
- 18. For the reasons stated above, pursuant to 28 U.S.C. § 1441(a), the California Action is removable to the Court.

IV. SUPPLEMENTAL JURISDICTION

19. To the extent ERISA does not preempt any portion of Fonseca's Complaint, pursuant to 28 U.S.C. § 1367(a), the Court has supplemental jurisdiction over such claims.

V. VENUE

20. Venue is proper in this Court pursuant to 28 U.S.C. §§ 84(d), 1391, and 1446 because it was originally filed in San Diego Superior Court, located within the District of the Court.

VI. PLEADINGS ATTACHED

21. Pursuant to 28 U.S.C. § 1446(a), a true and correct copy of the Complaint is attached as **Exhibit A** and copies of the process, pleadings, and orders served and filed in the California Action are attached as **Exhibit B**. The attached exhibits constitute all process, pleadings and orders served upon Defendants or filed or received in this action by Defendant. Fahimi Decl. ¶¶ 2-4. To Defendants' knowledge, no other proceedings have been conducted or scheduled in this action. (*Id.*)

VII. PLAINTIFF AND STATE COURT TO BE NOTICED

22. Pursuant to 28 U.S.C. § 1446(d), Defendants will promptly provide written notice to Fonseca of the removal in this action and will promptly file a copy of this Notice of Removal in the California Action. Fahimi Decl., ¶5.

VIII. CONCLUSION

For the reasons discussed above, Defendants remove this action from the Superior Court in the State of California in the County of San Diego to the United States District Court for the Southern District.

Dated: January 11, 2018 LITTLER MENDELSON, P.C.

By: s/Khatereh S. Fahimi

JODY A. LANDRY

KHATEREH S. FAHIMI
CHRISTINA HAYES
Attorneys for Defendants
HP Inc. (formerly known as Hewlett-Packard Company) and Enterprise
Services LLC (formerly known as HP
Enterprise Services, LLC)

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The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)						
I. (a) PLAINTIFFS			DEFENDANTS			
Bryant Fonseca, an individual on behalf of himself and all others,			al. Hewlett-Packard Company; HP Enterprise Services, LLC; HP, Inc.			
(b) County of Residence of First Listed Plaintiff San Diego			County of Residence of	County of Residence of First Listed Defendant		
(EXCEPT IN U.S. PLAINTIFF CASES)			(IN U.S. PLAINTIFF CASES ONLY)			
			NOTE: IN LAND CO	NDEMNATION CASES, USE THOSE LAND INVOLVED.		
(c) Attorneys (Firm Name, A	Address and Telephone Number)	Attorneys (If Known)	18C	V0071 RTB BLM	
Jeffrey L Hogue, CSB 23	-		Jody A. Landry, CS	B 125743; Khatereh S.	Fahimi, CSB 252152	
HOGUE & BELONG, 1		, CDD 254545		LSON, P.C., 501 West I		
San Diego, CA 92101; (hoguebalonglaw a	G D: G + 001	01; (619) 232-0441; sfal	3 ,	
II. BASIS OF JURISDI			I. CITIZENSHIP OF PR		9	
II. DASIS OF JURISDI	CTION (Place an "X" in O	me Box Only)	(For Diversity Cases Only)	INCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)	
1 U.S. Government	3 Federal Question		PT	F DEF	PTF DEF	
Plaintiff	(U.S. Government N	ot a Party)	Citizen of This State	1 Incorporated or Print of Business In Th		
2 U.S. Government	4 Diversity		Citizen of Another State	2 Incorporated and Pr		
Defendant	(Indicate Citizenship	of Parties in Item III)	Citizen or Subject of a	of Business In A	another State	
			Foreign Country	3 Soreign Nation	L 6 L 6	
IV. NATURE OF SUIT					f Suit Code Descriptions.	
CONTRACT	TOR		FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
110 Insurance	PERSONAL INJURY	PERSONAL INJURY	625 Drug Related Seizure	422 Appeal 28 USC 158	375 False Claims Act	
120 Marine	310 Airplane	365 Personal Injury - Product Liability	of Property 21 USC 881	423 Withdrawal	376 Qui Tam (31 USC	
130 Miller Act	315 Airplane Product Liability	367 Health Care/	690 Other	28 USC 157	3729(a))	
140 Negotiable Instrument	320 Assault, Libel &	Pharmaceutical		PROPERTY RIGHTS	400 State Reapportionment	
150 Recovery of Overpayment	Slander	Personal Injury		820 Copyrights	410 Antitrust	
& Enforcement of Judgment	330 Federal Employers'	Product Liability		830 Patent	430 Banks and Banking	
151 Medicare Act	Liability	368 Asbestos Personal Injury Product		835 Patent - Abbreviated	450 Commerce	
152 Recovery of Defaulted Student Loans	340 Marine	Liability		New Drug Application	460 Deportation	
(Excludes Veterans)	345 Marine Product	PERSONAL PROPERTY		840 Trademark	470 Racketeer Influenced and Corrupt Organizations	
153 Recovery of Overpayment	Liability 350 Motor Vehicle	370 Other Fraud	LABOR	SOCIAL SECURITY	480 Consumer Credit	
of Veteran's Benefits	355 Motor Vehicle	371 Truth in Lending	710 Fair Labor Standards	861 HIA (1395ff)	490 Cable/Sat TV	
160 Stockholders' Suits	Product Liability	380 Other Personal	Act 720 Labor/Management	862 Black Lung (923)	850 Securities/Commodities/	
190 Other Contract	360 Other Personal	Property Damage	Relations	863 DIWC/DIWW (405(g))	Exchange	
195 Contract Product Liability	Injury	385 Property Damage Product Liability	740 Railway Labor Act	864 SSID Title XVI	890 Other Statutory Actions	
196 Franchise	362 Personal Injury -	1 Toduct Elability	751 Family and Medical	865 RSI (405(g))	891 Agricultural Acts	
REAL PROPERTY	Medical Malpractice CIVIL RIGHTS	PRISONER PETITIONS	Leave Act	FEDERAL TAX SUITS	893 Environmental Matters	
210 Land Condemnation	440 Other Civil Rights	Habeas Corpus:	790 Other Labor Litigation	870 Taxes (U.S. Plaintiff	895 Freedom of Information	
220 Foreclosure	441 Voting	463 Alien Detainee	791 Employee Retirement Income Security Act	or Defendant)	Act	
=	442 Employment	510 Motions to Vacate	IMMIGRATION	871 IRS—Third Party	896 Arbitration	
230 Rent Lease & Ejectment 240 Torts to Land	I=	Sentence	462 Naturalization Application	26 USC 7609	899 Administrative Procedure Act/Review or Appeal of	
=	443 Housing/ Accommodations	530 General	465 Other Immigration		Agency Decision	
245 Tort Product Liability	445 Amer. w/Disabilities-	Other:	Actions		950 Constitutionality of	
290 All Other Real Property	Employment	540 Mandamus & Other			State Statutes	
	446 Amer. w/Disabilities- Other	550 Civil Rights				
	448 Education	555 Prison Condition				
	446 Education	560 Civil Detainee -				
		Conditions of				
V. ORIGIN (Place an "X" i	. O. P. O.I.)	Confinement				
		Remanded from	4 Reinstated or 5 Transfer	red from 6 Multidistrict	t 8 Multidistrict	
		Appellate Court		District Litigation-		
		Tr	(specify)	Transfer	Direct File	
	Cite the U.S. Civil Star	tute under which you are f	filing (Do not cite jurisdictional stat	tutes unless diversity):		
VII. CALICE OF ACTIO	Employee Retirem		Act of 1974 ("ERISA"),		q.	
VI. CAUSE OF ACTIO	Brief description of car	use:	, , , , , , , , , , , , , , , , , , , ,	· ·		
Plaintiff alleges age discrimination, antitrust and non-compete claims in connection with severance plan						
VII. REQUESTED IN	CHECK IF THIS	IS A CLASS ACTION	DEMAND \$	CHECK YES only i	if demanded in complaint:	
COMPLAINT:	UNDER RULE 23			JURY DEMAND:	Yes No	
VIII. RELATED CASE	(S)					
IF ANY	(See instructions):	JUDGE Edward J. I	Davila	DOCKET NUMBER 5:	16-cv-04775	
		-				
DATE 1/11/18		SIGNATURE OF ATTOR	RNEY OF RECORD s/ Khatere	en S. Fanimi		
FOR OFFICE USE ONLY	AOLDIT.	A DDI AMBIG TOO	## CE	a ==	OF.	
RECEIPT # AN	MOUNT	APPLYING IFP	JUDGE	MAG. JUD	GE	



EXHIBIT A

EXHIBIT A

1 2	JEFFREY L. HOGUE (SBN 234557) TYLER J. BELONG (SBN 234543) ERIK A. DOS SANTOS (SBN 309998)	ELECTRONICALLY FILED Superior Court of California, County of San Diego					
3	HOGUE & BELONG 170 Laurel Street	11/29/2017 at 03:21:14 PM					
4	San Diego, CA 92101 Tel.: (619) 238-4720	Clerk of the Superior Court By Bruce Follis, Deputy Clerk					
5	Fax: (619) 238-5260 Attorneys for Plaintiff						
6	7 Kttorneys for Flamtini						
7	SUPERIOR COURT OF THE STATE OF CALIFORNIA						
8	FOR THE COUNTY OF SAN DIEGO—CENTRAL						
9	BRYANT FONSECA, an individual, on behalf of himself and all others similarly	CASE NO.: 37-2017-00045630-CU-WT-CTL					
10	situated, and on behalf of the general public,	CLASS ACTION COMPLAINT FOR DAMAGES					
11	Plaintiff,	1) DISPARATE TREATMENT –					
12	VS.	CALIFORNIA GOVERNMENT CODE §					
13	HEWLETT-PACKARD COMPANY, a	12940(a) 2) DISPARATE IMPACT –					
14	Delaware Corporation; HP ENTERPRISE SERVICES, LLC, a Delaware Limited	CALIFORNIA GOVERNMENT CODE §§					
15	Liability Company; HP, Inc., a Delaware corporation; and DOES 1-100, inclusive.	12940(A), 12941; 3) WRONGFUL TERMINATION					
16	•	IN VIOLATION OF PUBLIC					
17	Defendants.	POLICY; 4) FAILURE TO PREVENT DISCIMINATION;					
18		5) VIOLATION OF THE CARTWRIGHT ACT –					
19		CALIFORNIA BUSINESS AND PROFESSIONS CODE §§					
20		16270, et seq. 6) VIOLATION OF					
21		CALIFORNIA BUSINESS AND PROFESSIONS Code §§					
22		16600 et seq. 7) UNFAIR COMPETITION –					
23		CALIFORNIA BUSINESS & PROFESSIONS CODE §§					
24		17200, et seq.					
25		DEMAND FOR JURY TRIAL					
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Bryant Fonseca ("Fonseca" or "Plaintiff"), individually and on behalf of all others similarly situated, allege the following:

INTRODUCTION

- 1. This class action is brought by Plaintiff, on behalf of himself, and on behalf of all others similarly situated, and on behalf of the general public against Hewlett-Packard Company, a Delaware corporation and its successors, HP Enterprise Services, LLC, a Delaware Limited Liability Company, and HP Inc., a Delaware corporation (collectively, "HP"). Plaintiff alleges on information and belief, except for information on personal knowledge, as follows.
- 2. Plaintiff petitions this Court to allow him to represent and prosecute claims against HP in class action proceedings on behalf of all those similarly situated who are residing in the State of California.

THE PARTIES

- 3. At all material times, Mr. Fonseca was a resident of the County of San Diego in the State of California. At all material times, Mr. Fonseca was the employee of HP within the meaning of California Government Code section 12940.
- 4. At all material times, HP conducted business within the County of San Diego. HP's headquarters and principal place of business are located in the city of Palo Alto, California. Palo Alto is the location where HP directs, controls, and coordinates its business operations.
- 5. The true names and capacities, whether individual, corporate, partnership, associate or otherwise of defendants DOES 1 through 100, inclusive, are unknown to Plaintiff who therefore sues these defendants by such fictitious names under California Code of Civil Procedure section 474. Plaintiff will either seek leave to amend this Class Action Complaint or file a DOE statement to allege the true names and capacities of DOES 1 through 100, inclusive, when the same are ascertained. The DOE defendants together with HP are collectively referred to herein as "Defendants."

- 6. Plaintiff is informed and believes, and thereon alleges, that Defendants are each responsible in some manner for one or more of the events and happenings that proximately caused the injuries and damages hereinafter alleged.
- 7. Plaintiff is informed and believes, and thereon alleges, that Defendants knowingly and willfully acted in concert, conspired together and agreed among themselves to enter into a combination and systemized campaign of activity to cause the injuries and damages hereinafter alleged, and to otherwise consciously and or recklessly act in derogation of the rights of Plaintiff, the Age Discrimination Class (defined below), and the Antitrust Class (defined below). Defendants further violated the trust reposed by Plaintiff, the Age Discrimination Class, and the Antitrust Class by their negligent and or intentional actions. Said conspiracy, and Defendants' concerted actions, were such that, on information and belief, and to all appearances, Defendants represented a unified body so that the actions of one defendant was accomplished in concert with, and with knowledge, ratification, authorization and approval of each and every other defendant.
- 8. Plaintiff is informed and believes and thereon alleges, that each and every defendant named in this Class Action Complaint, including DOES 1 through 100, inclusive, is, and at all times mentioned herein was, the agent, servant, alter ego, and or employee of each of the other defendants and that each defendant was acting within the course of scope of his, her or its authority as the agent, servant and or employee of each of the other defendants.

 Consequently, each and every defendant is jointly and severally liable to Plaintiff, the Age Discrimination Class, and the Antitrust Class for the damages sustained as a proximate result of their conduct.
- 9. At all times herein mentioned, the Defendants, and each of them, were members of, and engaged in, a joint venture, partnership and common enterprise, and acted within the course and scope of said agency, employment, and enterprise. Defendants operate as a single enterprise to transact their business through unified operation and common control. At all times herein mentioned, the acts and omissions of various Defendants, and each of them, concurrently contributed to the various acts and omissions of each and every one of the other Defendants in

proximately causing the wrongful conduct, harm, and damages alleged here

10. At all times herein mentioned, Defendants, and each of them, approved, condoned and/or otherwise ratified each and every one of the acts or omissions complained of herein. At all times herein mentioned, the Defendants, and each of them, aided and abetted the acts and omissions of each and every one of the other Defendants, thereby proximately causing the damages as herein alleged.

JURISDICTION AND VENUE

- 11. This Court has subject matter jurisdiction over this action pursuant to the California Constitution, Article VI, section 10, which grants the Superior Court, "Original Jurisdiction in all causes except those given by statute to other courts." The causes of action alleged herein are not reserved for any court other than the Superior Court of California. Additionally, the statutes under which this action is brought do not specify any other basis for jurisdiction.
- 12. This Court has jurisdiction over each of the defendants because upon information and belief, each defendant is either a citizen of California, has sufficient minimum contacts in California, or otherwise intentionally avails itself of the California market so as to render the exercise of jurisdiction over it by the California courts consistent with traditional notions of fair play and substantial justice.
- 13. Venue as to HP is proper in this judicial district under California Code of Civil Procedure sections 395(a) and 395.5 as a portion of the acts complained of herein occurred in the County of San Diego. The injuries to Plaintiff occurred in the County of San Diego. HP either owns, maintains offices, transacts business, has an agent or agents within the County of San Diego, or otherwise is found within the County of San Diego. Further, Plaintiff was employed by HP in the County of San Diego.

ADMINISTRATIVE PREREQUISITES

14. On November 3, 2017, Mr. Fonseca filed a charge against HP with the Department of Fair Employment and Housing ("DFEH") concerning HP's policy that targeted

1 himself and other employees aged 40 years and older through a pattern and practice of unlawful 2 terminations. The DFEH issued Mr. Fonseca an immediate right-to-sue letter. (See Exhibit A.) 3 4 **FACTUAL ALLEGATIONS** 5 Bryant Fonseca was a Talented and Experienced Employee that had Loyally Served 6 HP for More Than 35 Years. 7 8 15. Mr. Fonseca is currently 55 years old. 9 16. Mr. Fonseca was employed by HP for nearly 36 years. He worked out of HP's 10 San Diego site, located in Rancho Bernardo. 11 Mr. Fonseca first worked for HP as a part of a summer program while he was in 17. 12 high school in 1978. For most of his career, Mr. Fonseca worked in the "CHIL" work group, 13 where his title was "Procurement Ops Associate V." The CHIL group conducted research and 14 development related to HP's all-in-one printers. Mr. Fonseca would work with vendors in order 15 to obtain all supplies that the group required. 16 18. Over time, Mr. Fonseca's responsibilities began to increase dramatically. Mr. 17 Fonseca became an expert at using the SAP program - a business software program that makes a 18 business's purchasing department run more efficiently. Mr. Fonseca later became classified as 19 a "SAP Super User." 20 19. In approximately August 2016, the CHIL work group was dissolved, and Mr. 21 Fonseca began to work in an engineering support group. 22 HP's Employees Were Older, More Experienced, and Therefore More Expensive Than 23 the Employees at HP's Competitors. 24 20. In 2012, the median age of HP's workforce was 39 years old, the oldest in the 25 tech industry. With one-half of its workforce over the age of 39, HP's labor costs were higher 26 than other tech companies. HP employees with 10-19 years of experience are paid an average 27 of just over \$97,000 annually while employees with 20 or more years of experience are paid an 28 average of just over \$110,000 annually. By contrast, HP employees with less than 1 year of

1 experience are paid an average of just over \$64,000 while employees with 1-4 years of 2 experience are paid an average of just over \$65,000. 3 HP's Workforce Reduction Plan Sought to Replace Older, Experienced Employees 4 with Younger, Cheaper Ones. 5 6 21. On or about early 2012, HP implemented its "2012 U.S. Workforce Reduction 7 Plan" ("Workforce Reduction Plan"), which was a scheme to terminate its older, higher paid 8 employees and replace them with younger, lower paid employees. HP's Workforce Reduction 9 Plan involuntarily terminates employees on a rolling basis. Although HP's Workforce 10 Reduction Plan purports to lay off employees on a neutral basis, it actually is a companywide 11 practice that disproportionately targets employees who are 40 years of age or older – a protected 12 class – for termination. 13 22. HP has stated that its purpose in instituting the Workforce Reduction Plan was to 14 realign its "organization to further stabilize the business and create more financial capacity to 15 invest in innovation, but it's not enough. If [HP is] to position [itself] as the industry leader for 16 the future, then [HP] must take additional actions that, while tough, are necessary to move [its] 17 business forward. These actions include a reduction in [HP's] global workforce." 18 On October 9, 2013, HP's then-CEO Meg Whitman described HP's staffing objectives at the 19 company's "Hewlett-Packard Securities Analyst Meeting". Whitman explained that HP was 20 aggressively seeking to replace older employees with younger employees. On this topic, 21 some of Whitman's comments include, but are not limited to: 22 "... a question that is actually completely relevant for all large-cap IT companies, which is how do you keep up with this next generation of IT 23 and how do you bring people into this company for whom it isn't 24 something they have to learn, it is what they know." 25 "... we need to return to a labor pyramid that really looks like a triangle where you have a lot of early career people who bring a lot of knowledge 26 who you're training to move up through your organization, and then people fall out either from a performance perspective or whatever." 27 "And over the years, our labor pyramid . . . [has] become a bit more of a 28 diamond. And we are working very hard to recalibrate and reshape our

should have in any company and particularly in ES. If you don't have a 1 whole host of young people who are learning how to do delivery or 2 learning how to do these kinds of things, you will be in real challenges." 3 "So, this has a couple of things. One is we get the new style of IT strength and skills. It also helps us from a cost perspective . . . if your labor 4 pyramid isn't the right shape, you're carrying a lot of extra cost. The truth is we're still carrying a fair amount of extra costs across this company 5 because the overall labor pyramid doesn't look the way it should." 6 "Now, that's not something that changes like that. Changing the shape of 7 your labor pyramid takes a couple of years, but we are on it, and we're amping up our early career hiring, our college hiring. And we put in place 8 an informal rule to some extent which is, listen, when you are replacing someone, really think about the new style of IT skills." 9 10 23. HP's CFO Cathie Lesjak ("Lesjak") explained the scheme as a way to 11 proactively shift the makeup of HP's workforce towards low-level recent graduates: 12 13 "And the way I think about the restructuring charge . . ., it's basically catching up. It's actually dealing with the sins of the past in 14 which we have not been maniacally focused on getting the attrition out and then just agreeing to replace anyway and not thinking through it 15 carefully and thinking through what types of folks we hire as replacements . . . We hire at a higher level than what we really need to do. And the 16 smarter thing to do would be to prime the pipeline, bring in fresh new grads, and kind of promote from within as opposed to hiring a really 17 experienced person that is going to be much more expensive." 18 19 24. HP's Manager of Employee Relations for the Americas, Sheri Bowman, 20 explained that it was critical for some HP organizations to reduce expenses, and one way they 21 had done so was by changing the composition of their workforce: 22 23 The focus within the different organizations has evolved a lot over the past four or five years because of the turnaround that we have been 24 trying to achieve within the organization. And so there is a tremendous focus on increasing revenue, increasing client satisfaction 25 to help increase revenue and reducing, you know, overall expenses. 26 So that has just resulted in some organizations modifying their workforce to try to get to the right labor pyramid to achieve their 27 business goals. 28

HP Executed the Workforce Reduction Plan That Targeted Older Employees.

- 25. In November 2015, HP was still persistently eliminating the jobs of older, age-protected employees, like Mr. Fonseca, and replacing them with younger employees. Ms. Whitman confirmed as much in her public statements intended to reach the ears of HP investors:
 - "That should be it. I mean, that will allow us to right size our enterprise services business to get the right onshore/offshore mix, to make sure that we have a labor pyramid with lots of young people coming in right out of college and graduate school and early in their careers. That's an important part of the future of the company...

 This will take another couple of years and then we should be done."
- 26. Consistent with HP's strategy to eliminate the older members of its workforce in favor of younger workers, when selecting which employee to terminate under its Workforce Reduction Plan, HP's goal is to single out those workers who it thinks "will not fit the bill long term in [the] team growing to [an advisory] position."
- 27. Although purportedly neutral on their face, HP's terminations under its Workforce Reduction Plan are actually targeted to eliminate older, age-protected workers in grossly disproportionate numbers. As of October 2015, a total of 1,765 out of 2,076 California-based employees who were terminated under HP's Workforce Reduction Plan (or over 85%) are 40 years of age, or older.
- 28. HP's Workforce Reduction Plan is implemented on a rolling basis. That is, it does not terminate HP's employees all at once. But, it serves as a mechanism for HP to terminate members of a protected class of employees whenever it wants. Plaintiff is informed and believes that HP is *still* engaged in the systematic elimination of its age protected class of employees.
- 29. Also, HP implements its Workforce Reduction Plan to carefully avoid triggering a Workforce Adjustment and Retraining Notification ("WARN") event. A WARN Act event is triggered when a covered establishment terminates 50 employees in the same geographic region at any one time. If a WARN Act event is triggered, the company must provide terminated employees

with at least 60 days' notice of his or her termination, and pay them for 60 days' worth of pay. HP actively evades these requirements by not terminating 50 or more employees at any one time in the same geographic area.

HP's "Fake" Measures that Purportedly Helped Terminated Employees to Retain Employment in a Different Capacity were Illusory.

- 30. Theoretically, HP employees terminated under the Workforce Reduction Plan are encouraged to apply for other jobs at HP through HP's 60-day "Preferential Rehire Period." A termination is cancelled for any HP employee who is hired during this "Preferential Rehire Period." While the Preferential Rehire Period is supposed to be neutral in its application, it is not applied neutrally because it adversely impacts disproportionate numbers of age protected employees. In fact, during the Preferential Rehire Period, HP's older employees are almost never rehired. If an older employees are even offered a job, the job is rarely, if at all, comparable to the one that employee held before he or she was terminated.
- 31. From the time that the Workforce Reduction Plan was implemented in 2012 until approximately 2014, a terminated employee that was not rehired during the "Preferential Rehire Period" became ineligible for 12 months following termination according to HP's written policy. Beginning in August 2014, employees terminated under the workforce reduction plan were made completely ineligible for rehire despite continuing to be told that they could take advantage of the Preferential Rehire Period. Simply put, the Preferential Rehire Period is a façade that masks the systematic terminations of Defendants' older employees by making it appear as though HP was interested in retaining these individuals.
- 32. Since August 2013, HP's Human Resources has incorporated written guidelines that require HP to hire mostly younger employees. Specifically, those guidelines state: "New corporate requisition policy requires 75% of all External hire requisitions be 'Graduate' or 'Early Career' employees." Thus, age-protected employees who were terminated under the Workforce Reduction Plan and who sought rehiring under the Preferential Rehiring Period, were fighting an uphill battle

against HP's inherent prerogative to hire a disproportionate percentage of younger "early career" and "recent graduates". 1

- 33. Thus, available job postings included discriminatory language that made clear that HP was looking for a "younger" employee to fill those available jobs. Accordingly, age-protected employees were rejected for rehiring under the Preferential Rehire Period provision of the Workforce Reduction Plan in disparately greater numbers than their younger peers who applied either externally or pursuant to the Preferential Rehire Period provision.
- 34. HP also implemented an early retirement program in which employees of a certain age and tenure are eligible to "voluntarily" retire early. If the employee does not choose voluntary early retirement he or she may soon be unemployed. This retirement program presents age-protected employees with a Hobson's choice: either participate in the voluntary retirement program or risk being terminated under the Workforce Reduction Plan. The aforementioned dilemma works to HP's advantage.
- 35. The Workforce Reduction Plan also deters the recipient from looking for jobs from third party employers. Specifically, the Workforce Reduction Plan requires the employee to notify his or her manager "immediately" upon acceptance of employment with a "competitor" of HP, and the Workforce Reduction Plan further states: "If you accept a position with a competitor during the WFR Redeployment Period, you will terminate your Plan participation at that point you will not be eligible for the Cash Severance Pay."

HP Has Deliberately Avoided Confronting the Reality that Its Policies Disproportionately Impact Age Protected Employees.

36. Older employees were well aware of the fact that many of their age-protected peers had been selected for termination under the Workforce Reduction Plan. In the engineering support group, older employees would advise each other not to disclose their age or how long they had

¹ Notably, the Equal Employment Opportunity Commission views the use of "new grad" and "recent grad" in job notices to be illegal because it discourages older applicants from applying.

worked at HP in order to avoid being selected for termination under the Workforce Reduction Program.

- 37. HP has an "Adverse Impact Team" that evaluates various HP employment practices to determine whether or not those practices impact a significant number or percentage of a particular protected class of employees. Although HP has an "Adverse Impact Team," for unknown reasons, it does not investigate the facts related to whether or not the Workforce Reduction Plan adversely affects a class of *age* protected employees disproportionately.
- 38. According to its "HP 2016 Sustainability Report," HP provides workforce data regarding its diversity in the United States, but tellingly provides no facts about its age-protected workforce data.
- 39. On or about February 2017, HP set forth a "diversity mandate" when it hires outside attorneys to defend it from lawsuits. If a law firm does not fit HP's selective "diversity" requirements then it can withhold ten percent (10%) of the firm's attorneys' fees. Tellingly, "age" is not one of the criteria or factors included in this "diversity mandate." This omission further evidences HP's devaluation of age-protected class of persons.
- 40. Consequently, since July 2012 there have been approximately *forty* age discrimination charges filed against HP with the Department of Fair Employment & Housing ("DFEH") and California Superior Court.
- 41. According to a January February 2017 article published by AARP, HP has received more allegations of age discrimination than *any* other technology company in recent years.

Mr. Fonseca was Terminated under the Workforce Reduction Plan, and Was Not Rehired During Either the Redeployment Period or the Preferential Rehire Period Because He Was Replaced by Somebody Younger and Cheaper.

42. On May 8, 2017, Mr. Fonseca was notified by his manager that his employment was being terminated pursuant to the Workforce Reduction Plan, and that his termination date would be May 19, 2017. In a letter, Mr. Fonseca was informed that "your position has been

eliminated." He was never given any further details regarding why he had been selected for termination under the Workforce Reduction Plan.

- 43. Mr. Fonseca was informed that he would have two weeks as part of his "redeployment period" to find another job with HP. If he was able to successfully find another position during that time, then he would be allowed to continue to work without interruption. If he was not able to find another position at HP within the redeployment period, then he would be terminated and the 60-day "Preferential Rehire Period" would commence. During that time, Mr. Fonseca would be allowed to apply for jobs within HP, and if he was selected then he would be re-hired without having to undertake the approval process normally required for a rehire.
- 44. At the time that Mr. Fonseca was terminated, he was the oldest person in his work group. He had previously worked with other individuals that were older than him, however, they had already been terminated pursuant to the Workforce Reduction Plan.
- 45. Mr. Fonseca received excellent performance reviews. In his most recent performance review, his manager stated that he was one of the employees who "consistently achieve[s] their goals and demonstrate[s] HP's Leader Attributes and Behaviors in achieving these goals. [His] contributions have a positive impact to the team, organization, and HP." That review praised a number of Mr. Fonseca's achievements, including work that he did with other labs and sites beyond what was required of his position. After listing the many contributions to HP that Mr. Fonseca had made during the period, his manager summarized, "That is an impressive list of accomplishments. Bryant, you've really stepped up with your additional responsibilities and done a great job."
- 46. Mr. Fonseca also received numerous performance related awards within his department.
- 47. After his termination, Mr. Fonseca sought to be rehired by HP. Mr. Fonseca applied to two different positions within the company, both of which he was incredibly qualified. One position was located in Corvallis, Oregon. He did not receive any response whatsoever with regard to that position. The other position was in Vancouver, Washington. He

visited Vancouver in order to interview for this job. Ultimately, high-level management denied him this position without giving any explanation. As a result, Mr. Fonseca was not rehired by HP.

- 48. As part of his benefits package under the Workforce Reduction Plan, HP paid for Mr. Fonseca to receive a four-month career transition program from Lee, Hecht, Henderson a firm focusing career counseling. Mr. Fonseca participated in this program, however, he found it to be largely ineffective because the career counselor was largely unavailable, and her advice was more or less, "Applying for jobs is worthless," and getting a job is all about "Who you know."
- 49. HP subsequently hired a new employee who was younger and less expensive than Mr. Fonseca to perform the tasks that he previously did. Despite submitting multiple job applications every day since his termination, Mr. Fonseca has yet to find gainful employment.
- 50. As a result of his unlawful termination, Mr. Fonseca has had to resort to government assisted welfare and food stamps in order to support his family and their three foster children.² Mr. Fonseca's foster children have lost their medical care providers as well because his family was kicked off HP's health insurance plan.

HP Conspired With 3D Systems, Inc. to Stop 3D Systems from Recruiting their Employees.

- 51. HP also engaged in a "no poach" secret arrangement with 3D Systems, Inc., a California corporation ("3D Systems"). 3D Systems competes with HP to build various printer products, including 3D printers.
- 52. Technology employees, such as the employees who work for 3D Systems, are frequently in high demand due to their specialized technology skills and ability.
- 53. Throughout its existence, 3D Systems has hired many HP employees away from HP, including multiple high-level managers. While Mr. Fonseca worked for the CHIL group,

² Mr. Fonseca has fostered approximately 35 children over the course of his life and has been the recipient of the Foster Parent of the Year award.

he saw a large number of other CHIL employees leave HP to work at 3D Systems. Furthermore, in approximately August 2016, soon after the CHIL group had been dissolved, the managers of that group held a meeting with all of that group's employees. At that meeting, employees were told that they were required to notify HP if they were offered a position with 3D Systems.

- 54. Any employee that was offered a position with 3D Systems would not be allowed to receive the severance check that he or she would otherwise be entitled to under the Workforce Reduction Plan's release agreement, according to the individuals conducting the meeting. As a result, outgoing employees stopped seeking employment with 3D Systems after this meeting.
- 55. Upon Plaintiff's information and belief, HP conspired and combined with 3D Systems in order to stop 3D Systems from attempting to hire outgoing HP employees. Also upon Plaintiff's information and belief, 3D Systems subsequently ceased contact with outgoing HP employees regarding potential employment.
- 56. The intended and actual effect of this "no poach" conspiracy was that it restricted recruitment, fixed and suppressed employee compensation, and imposed unlawful restrictions on employee mobility.
- 57. HP's conspiracy and agreements restrained trade and the overarching conspiracy is *per se* unlawful under California law. Plaintiff and the Antitrust Class seek injunctive relief and damages for violations of the Cartwright Act (Cal. Bus. and Code §§ 16720, et seq.) and California Business and Professions Code sections 16600 and 17200, *et seq*.
- In a lawfully competitive labor market, HP would have needed to consider the risk that a particular competitor would hire one of its employees when deciding whether to terminate that employee. The risk that an employee might begin working for a competitor also would have been prominent for HP in deciding how much it was willing to pay in order to retain that employee. Because of HP's agreement with 3D Systems, some of HP's employees became artificially disposable as their value to competitors was instantly eliminated. This allowed HP to terminate employees that it would not otherwise terminate because they did not

have to worry about whether the competitive labor market would drive their former employee to a competitor. HP and each of its co-participants would also have competed against each other for employees and would have hired employees according to the needs of their business and the going market rates for employee wages. And, in such a lawfully competitive labor market, the participants of the secret "no poach" agreements would have engaged in such employee hiring in direct competition with one another, resulting in employees accepting offers from the company who makes the most favorable offer of employment.

- 59. Additionally, in a lawfully competitive labor market, an outgoing employee would have the ability to apply to all possible employers and then accept a position with the employer that offered him or her the highest salary. Employers would be incentivized to offer higher salaries to more valuable prospective employees in order to ensure that they were not outbid. Because of the agreement in this case (1) outgoing employees were restricted from seeking employment with 3D Systems, and were denied any salary offer that they might have made and (2) HP and other potential employers were not pressured to outbid 3D Systems for outgoing employees' services, thus paid below-market rates for their employees' services.
- 60. The competitive marketplace helps to ensure that companies can benefit by taking advantage of rivals' efforts expended soliciting, interviewing, and training skilled employees provided they pay salaries sufficient to lure employees away from competitors. The competitive marketplace also benefits the public by fostering the flow of new non-proprietary information, skills, and technologies across competing industry leaders. And, for obvious reasons, this competitive process benefits our country's work force by compensating employees for the fair market value of their skills, knowledge, and experience.
- 61. For these reasons, competitive hiring serves as a critical role, particularly in the high technology industry where companies benefit from obtaining employees with advanced skills and abilities. By restricting hiring, employee salaries at competing companies are restricted and depressed, decreasing the pressure of an employee's current employer to match a rival's offer and vice versa. Restrictions on hiring also limit an employee's leverage when negotiating his or her salary with his or her current employer. Furthermore, when companies

restrict hiring of rival companies' employees the wages of those employees are suppressed because companies are not bidding against each other. As a result, the effects of hiring restrictions impact all employees of participating companies.

62. Plaintiff and each member of the Antitrust Class was harmed by this secretive no poach arrangement. The elimination of competition and suppression of compensation and mobility had a negative cumulative effect on all Class members.

CLASS ALLEGATIONS

63. This class action is properly brought under the provisions of California Code of Civil Procedure section 382, and, to the extent applicable, the procedural provisions of Rule 23 of the Federal Rules of Civil Procedure, which have been adopted by the California Supreme Court for use by the trial courts of this State. Plaintiff brings this class action on behalf of himself and all others similarly situated, with Plaintiff proceeding as the representative member of the following classes defined as:

All current, former, or prospective employees who worked for HP in the State of California between April 22, 2012, and present who were at least 40 years old at the time HP selected them for termination under HP's Workforce Reduction Plan. ("Age Discrimination Class").

All natural persons employed by HP in California at any time from November 28, 2013 to the present. ("Antitrust Class").

- 64. To the extent equitable tolling applies to toll claims by the above-referenced Class' against Defendants, the class period should be adjusted accordingly.
- 65. This action has been brought and may properly be maintained as a class action, under California Code of Civil Procedure section 382 because a well-defined community of interest in the litigation exists and because the proposed class is easily ascertainable, and for the other reasons explained in this Class Action Complaint.
- 66. <u>Numerosity:</u> The persons who comprise Age Discrimination Class and the Antitrust Class (collectively, the "Plaintiff Classes") are so numerous that joinder of all such persons would be unfeasible and impracticable. The membership of Plaintiff Classes is

1	unknown to Plaintiff at this time; however, the Age Discrimination Class alone is at least one					
2						
3	thousand seven hundred individuals, whose identities are readily ascertainable by inspection of					
4	HP's payroll records.					
5	67. <u>Commonality:</u> Common questions of fact or law arising from HP's conduct					
	exist, as described in this Complaint, as to all members of Plaintiff Classes, which predominate					
6	over any questions solely affecting individual members of the proposed class, including but not					
7	limited to:					
8	Whether HP's policies or practices relating to the Workforce Reduction Plan were based on discriminatory intent towards employees over 40 who were otherwise					
9	qualified for those positions;					
10	 Whether HP's Workforce Reduction Plan had a disproportionate adverse impact on 					
11	its California employees aged 40 or older;					
12	Whether HP's policy of selecting employees to terminate under its Workforce					
13	Reduction Plan had a disproportionate adverse effect on those California employees aged 40 or older;					
14	Whether HP's termination selection policy (i.e., the Workforce Reduction Plan)					
15	was a substantial factor in causing the Class member terminations (i.e., harm);					
16 17	 Whether HP failed to adequately investigate, respond to, and/or appropriately resolve instances of age discrimination in the workplace; 					
18	 Whether HP failed to implement policies and practices to prevent discrimination against older employees. 					
19	What a UD's Walfara Datation Discours on office and safet describes and					
20	 Whether HP's Workforce Reduction Plan was an unfair, unlawful, deceptive, and or fraudulent business practice; 					
21	Whether an alternative or modification to the Workforce Reduction Plan existed					
22	that would have had less of an adverse impact on employees aged 40 years and					
23	older;					
24	 Whether HP's anti-competitive conspiracies, associated agreements, and practices violated the Cartwright Act; 					
25	Whether HP's anti-competitive conspiracies, associated agreements, and practices					
26	restrained trade, commerce, or competition violated Business and Professions Code					
27	section 16600, et seq.;					
28	 Whether HP's anti-competitive conspiracies, associated agreements, and practices constituted unlawful or unfair business acts or practices in violation of California Business and Professions Code section 17220; and 					

 Whether HP's anti-competitive conspiracies, associated agreements, and practices caused antitrust injury;

- 68. HP's defenses, to the extent that any such defense is applied, are applicable generally to Plaintiff Classes and are not distinguishable to any degree relevant or necessary to defeat predominance in this case.
- 69. Typicality: Plaintiff's claims are typical of the claims for the members of the Age Discrimination Class and Antitrust Class as a whole, all of whom have sustained and/or will sustain injuries, including irreparable harm, as a legal (proximate) result of HP's common course of conduct as complained of in this operative complaint. Plaintiff's class claims are typical of the claims of the Age Discrimination Class and Antitrust Class because HP used its policies and practices (i.e., its Workforce Reduction Plan, accompanying Preferential Rehire Period, and anti-competitive practices) to subject Plaintiff and each member of the Age Discrimination Class and Antitrust Class to identical unfair, unlawful, deceptive, and/or fraudulent business practices, acts, and/or omissions.
- Adequacy: Plaintiff, on behalf of all others similarly situated, will fairly and adequately protect the interests of all members of the Age Discrimination Class and Antitrust Class in connection with which they have retained competent attorneys. Plaintiff is able to fairly and adequately protect the interests of all members of the aforementioned Classes because it is in Plaintiff's best interests to prosecute the claims alleged herein to obtain full compensation due to them. Plaintiff does not have a conflict with either the Age Discrimination Class nor the Antitrust Class, and his interests are not antagonistic to either of those Classes. Plaintiff has retained counsel who are competent and experienced in representing employees in complex class action litigation
- 71. <u>Superiority:</u> Under the facts and circumstances set forth above, class action proceedings are superior to any other methods available for both fair and efficient adjudication of the controversy. A class action is particularly superior because the rights of each member of the Age Discrimination Class or Antitrust Class, inasmuch as joinder of individual members of either Class is not practical and, if the same were practical, said members of the Age

Discrimination Class or the Antitrust Class could not individually afford the litigation, such that individual litigation would be inappropriately burdensome, not only to said citizens, but also to the courts of the State of California.

- 72. Litigation of these claims in one forum is efficient as it involves a single decision or set of decisions that affects the rights of thousands of employees. In addition, class certification is superior because it will obviate the need for unduly duplicative litigation that might result in inconsistent judgment concerning HP's practices.
- 73. To process individual cases would increase both the expenses and the delay not only to members of the Age Discrimination Class, but also to HP and the Court. In contrast, a class action of this matter will avoid case management difficulties and provide multiple benefits to the litigating parties, including efficiency, economy of scale, unitary adjudication with consistent results and equal protection of the rights of each member of the Age Discrimination Class and Antitrust Class, all by way of the comprehensive and efficient supervision of the litigation by a single court.
- 74. This case is eminently manageable as a class. Defendants' computerized records, including meticulous payroll and personnel data, provide an accurate and efficient means to obtain information on the effect and administration of the Workforce Reduction Plan *en masse*, including class-wide damages, meaning class treatment would significantly reduce the discovery costs to all parties.
- 75. In particular, since HP is obfuscating the import of its Workforce Reduction Plan, misleading its employees, suppressing their wages and mobility, the Age Discrimination Class and Antitrust Class are neither sophisticated nor legally knowledgeable enough be able to obtain effective and economic legal redress unless the action is maintained as a class action. Given the unlikelihood that many injured class members will discover, let alone endeavor to vindicate, their claims, class action is a superior method of resolving those claims.
- 76. There is a community of interest in obtaining appropriate legal and equitable relief for the common law and statutory violations and other improprieties, and in obtaining adequate compensation for the damages and injuries which HP's actions have inflicted upon

Plaintiff and the Age Discrimination Class or the Antitrust Class.

- 77. There is also a community of interest in ensuring that the combined assets and available insurance of HP are sufficient to adequately compensate the members of the Age Discrimination Class or Antitrust Class for the injuries sustained.
- 78. Notice of the pendency and any result or resolution of the litigation can be provided to members of the Age Discrimination Class or the Antitrust Class by the usual forms of publication, sending out to members a notice at their current addresses, establishing a website where members can choose to opt-out, or such other methods of notice as deemed appropriate by the Court.
- 79. Without class certification, the prosecution of separate actions by individual members of the Plaintiff Classes would create a risk of: (1) inconsistent or varying adjudications with respect to individual members of Age Discrimination Class and Antitrust Class that would establish incompatible standards of conduct for HP; or (2) adjudications with respect to the individual members of Age Discrimination Class and Antitrust Class that would, as a practical matter, be disparities of the interests of the other members not parties to the adjudication, or would substantially impair or impede their ability to protect their interest.

FIRST CAUSE OF ACTION

Age Discrimination: Disparate Treatment – Cal. Govt. Code § 12900 et seq.

(Plaintiff Bryant Fonseca, on Behalf of Himself and the Age Discrimination Class Against Defendants)

- 80. Mr. Fonseca, on behalf of himself and the Age Discrimination Class, re-alleges and incorporates by reference, as though fully set forth herein, all of the preceding paragraphs.
- 81. Under the Fair Employment & Housing Act ("FEHA"), it is unlawful for an employer to use its employee's age as a basis to terminate or lay off, refuse to hire, re-hire, or reinstate, or discriminate in compensation or in terms, conditions, or privileges of employment. (Cal. Govt. Code § 12940(a).)
- 82. The FEHA protects employees over the age of 40. (Cal. Govt. Code §§ 12926(b), 12941(a).) Mr. Fonseca was an employee of HP over the age of 40—when HP fired Mr. Fonseca,

he was 55 years old. Thus, because Mr. Fonseca was an employee over the age of 40 at the time of his firing, he is in a class of persons protected by the FEHA. Likewise, all members of the Age Discrimination Class were aged 40 or over at the time of their termination pursuant to the Workforce Reduction Plan and are thus protected by the FEHA.

- 83. The FEHA covers "employers" who are "regularly employing five or more persons." (Cal. Gov't Code § 12926(d).) HP employs more than five persons and is therefore an employer under the FEHA.
- 84. As referenced above, Mr. Fonseca filed timely charges with the DFEH against Hewlett-Packard Company, HP Enterprise Services, LLC, and HP Inc. and received an immediate right to sue notice. Mr. Fonseca served the charge and right-to-sue letter upon Hewlett-Packard Company, HP Enterprise Services, LLC, and HP Inc.
- 85. Defendants' terminating or laying off Mr. Fonseca and the members of the Age Discrimination Class because of their age constitutes willful, knowing, intentional, and unlawful discrimination in violation of the FEHA.
- 86. Defendants' not re-hiring, re-instating, or hiring Mr. Fonseca and the members of the Age Discrimination Class, especially in comparable positions, because of their age constitutes willful, knowing, intentional, and unlawful discrimination in violation of the FEHA.
- 87. Defendants denying Mr. Fonseca and the members of the Age Discrimination Class the benefits of their employment with Defendants because of their age constitutes willful, knowing, intentional, and unlawful discrimination in violation of the FEHA.
- 88. Mr. Fonseca is informed and believes, and based thereon alleges, that his and the members of the Age Discrimination Class's years of age was the substantial motivating factor in Defendants' decision to terminate Plaintiff and the members of the Age Discrimination Class.
- 89. In addition to the conduct described above, Defendants have failed to prevent, respond to, adequately investigate, and/or appropriately resolve instances of age discrimination in the workplace.
- 90. As a direct and proximate result of Defendants' willful, knowing, and intentional discrimination against Mr. Fonseca and the members of the Age Discrimination Class, Mr. Fonseca

and the members of the Age Discrimination Class have suffered and will continue to suffer pain and suffering, and extreme and severe mental anguish and emotional distress. Mr. Fonseca and the members of the Age Discrimination Class are therefore entitled to general and compensatory damages in an amount to be proven at trial.

- 91. As a direct and proximate result of Defendants' willful, knowing, and intentional discrimination against Mr. Fonseca and the members of the Age Discrimination Class, Mr. Fonseca and the members of the Age Discrimination Class have incurred and will continue to incur a loss of earnings and other employment benefits and job opportunities. Mr. Fonseca and the members of the Age Discrimination Class are therefore entitled to general and compensatory damages in amounts to be proven at trial.
- 92. Mr. Fonseca is informed and believes, and based thereon alleges, that Defendants' outrageous conduct directed at Mr. Fonseca and the members of the Age Discrimination Class described above, was done with malice, fraud, or oppression and with conscious and/or reckless disregard for the rights of Mr. Fonseca and the members of the Age Discrimination Class, and with the intent, design, and purpose of injuring them. Defendants, through their officers, managing agents, and or their supervisors, authorized, condoned, and or ratified the unlawful of all of the other defendants. Thus, Mr. Fonseca and the members of the Age Discrimination Class are entitled to exemplary or punitive damages from Defendants in amounts to be determined according to proof at trial.
- 93. As a further direct and proximate result of Defendants' actions, Mr. Fonseca and the members of the Age Discrimination Class are entitled to and seek their attorney fees and costs. (*See* Cal. Govt. Code § 12965(b).)
- 94. Mr. Fonseca and the members of the Age Discrimination Class also seek the "affirmative relief" or "prospective relief" afforded them under California Government Code section 12926(a).

SECOND CAUSE OF ACTION

Age Discrimination: Disparate Impact – Cal. Govt. Code §§ 12940(a), 12941

(Plaintiff Bryant Fonseca, on Behalf of Himself and the Age Discrimination Class Against Defendants)

- 95. Mr. Fonseca, on behalf of himself and the Age Discrimination Class, re-alleges and incorporates by reference, as though fully set forth herein, all of the preceding paragraphs.
- 96. The FEHA protects employees over the age of 40. (Cal. Govt. Code §§ 12926(b), 12941(a).) Mr. Fonseca was an employee of HP over the age of 40—when HP fired Mr. Fonseca, he was 55 years old. Thus, because Mr. Fonseca was an employee over the age of 40 at the time of his firing, he is in a class of persons protected by the FEHA. Likewise, all members of the Age Discrimination Class were aged 40 or over at the time of their termination pursuant to the Workforce Reduction Plan and are thus protected by the FEHA.
- 97. When Mr. Fonseca and the members of the Age Discrimination Class applied for other positions within HP and HP refused to select them for comparable positions within HP, Mr. Fonseca and the members of the Age Discrimination Class were aged 40 or over and were therefore in a class of persons the FEHA protects.
- 98. The FEHA covers "employers" who are "regularly employing five or more persons." (Cal. Govt. Code § 12926(d).) HP employs more than five persons and is therefore an employer under the FEHA.
- 99. As part of its reduction in workforce, HP implemented its Workforce Reduction Plan.
- 100. HP's Workforce Reduction Plan disproportionately selected for termination HP's employees aged at least 40 years. Further, HP's Workforce Reduction Plan disproportionately terminated the employment of HP's employees aged at least 40 years. For example, among all those terminated under the Workforce Reduction Plan, over 85% were at least 40 years old. In other words, out of a total of 2,076 employees laid off under the Workforce Reduction Plan, 1,765 were 40 years old or older. HP's Workforce Reduction Plan adversely affected Mr. Fonseca and the members of the Age Discrimination Class through HP selecting and terminating them. Mr. Fonseca and the members of the Age Discrimination Class were also adversely affected by

Defendants not re-hiring, re-instating, or hiring Mr. Fonseca and the members of the Age Discrimination Class, especially in comparable positions.

- 101. HP's implementation of the Workforce Reduction Plan was a substantial factor in directly and proximately causing harm to Mr. Fonseca and the members of the Age Discrimination Class.
- 102. In addition to the conduct described above, Defendants have failed to prevent, respond to, adequately investigate, and/or appropriately resolve instances of age discrimination in the workplace.
- 103. As a substantial direct and proximate result of HP implementing the Workforce Reduction Plan to terminate Mr. Fonseca and the members of the Age Discrimination Class, Mr. Fonseca and the members of the Age Discrimination Class have suffered and will continue to suffer pain and suffering, and extreme and severe mental anguish and emotional distress. Mr. Fonseca and the members of the Age Discrimination Class are therefore entitled to general and compensatory damages in an amount to be proven at trial.
- 104. As a substantial direct and proximate result of HP implementing the Workforce Reduction Plan against Mr. Fonseca and the members of the Age Discrimination Class, Mr. Fonseca and the members of the Age Discrimination Class have incurred and will continue to incur a loss of earnings and other employment benefits and job opportunities. Mr. Fonseca and the members of the Age Discrimination Class are therefore entitled to general and compensatory damages in amounts to be proven at trial.
- 105. Mr. Fonseca is informed and believes, and based thereon alleges, that Defendants' outrageous conduct directed at Mr. Fonseca and the members of the Age Discrimination Class described above, was done with malice, fraud, or oppression and with conscious and/or reckless disregard for the rights of Mr. Fonseca and the members of the Age Discrimination Class, and with the intent, design, and purpose of injuring them. Defendants, through their officers, managing agents, and or their supervisors, authorized, condoned, and or ratified the unlawful of all of the other defendants. Thus, Mr. Fonseca and the members of the Age Discrimination Class are entitled to exemplary or punitive damages from Defendants in amounts to be determined according to proof

- 106. As a further direct and proximate result of Defendants' actions, Mr. Fonseca and the members of the Age Discrimination Class are entitled to and seek their attorneys' fees and costs. (See Cal. Govt. Code § 12965(b).)
- 107. Mr. Fonseca and the members of the Age Discrimination Class also seek the "affirmative relief" or "prospective relief" afforded them under California Government Code section 12926(a).

THIRD CAUSE OF ACTION

Wrongful Termination in Violation of Public Policy

(Plaintiff Bryant Fonseca, on Behalf of Himself and the Age Discrimination Class Against Defendants)

- 108. Mr. Fonseca, on behalf of himself and the Age Discrimination Class, re-alleges and incorporates by reference, as though fully set forth herein, all of the preceding paragraphs.
- 109. It is the public policy of the State of California, as expressed in the FEHA (Cal. Gov't Code § 12940, *et seq.*) and Title VII of the Civil Rights Act of 1964 (42 U.S.C. § 2000e, *et seq.*) that employers shall not subject employees to age discrimination and terminate employees because of age. This public policy of the State of California is one that benefits the public at large and guarantees the rights of employees to perform their work free from discrimination. Further public policy support for the wrongful termination claims of Mr. Fonseca and the members of the Age Discrimination Class is also found in California Labor Code sections 6300, 6400, and the California Constitution Article I, section 8.
- 110. As a direct and proximate result of Defendants' willful, knowing, and intentional discriminatory termination against Mr. Fonseca and the members of the Age Discrimination Class, Mr. Fonseca and the members of the Age Discrimination Class have suffered and will continue to suffer pain and suffering and extreme and severe mental anguish and emotional distress. Mr. Fonseca and the members of the Age Discrimination Class are thereby entitled to general and compensatory damages in amounts to be proven at trial.
 - 111. As a direct and proximate result of Defendants' willful, knowing, and intentional

discriminatory termination against Mr. Fonseca and the members of the Age Discrimination Class, Mr. Fonseca and the members of the Age Discrimination Class have incurred and will continue to incur a loss of earnings and other employment benefits and job opportunities. Mr. Fonseca and the members of the Age Discrimination Class are thereby entitled to general and compensatory damages in amounts to be proven at trial.

- Mr. Fonseca is informed and believes, and based thereon alleges, that Defendants directed the outrageous conduct directed at Mr. Fonseca and the members of the Age Discrimination Class, as described above, with malice, fraud, and or oppression and with conscious disregard for the rights of Mr. Fonseca and the members of the Age Discrimination Class and with the intent, design, and purpose of injuring them. Defendants, through their officers, managing agents and or their supervisors, authorized, condoned and or ratified the unlawful conduct of all of the other defendants. Thus, Mr. Fonseca and the members of the Age Discrimination Class are entitled to punitive or exemplary damages in a sum according to proof at trial.
- 113. Plaintiff is entitled to an award of attorneys' fees and costs in prosecuting this action against Defendants under California Code of Civil Procedure section 1021.5 and other applicable law. A successful outcome in this action will confer on the general public and a large class of persons (the Age Discrimination Class) both a pecuniary and nonpecuniary benefit and will result in the enforcement of important rights affecting the public interest. The necessity and financial burden of private enforcement furthermore make such an award appropriate.

FOURTH CAUSE OF ACTION

Failure to Prevent Discrimination – Cal. Govt. Code §§ 12900, et seq.

(Plaintiff Bryant Fonseca on Behalf of Himself and the Age Discrimination Class Against Defendants)

- 114. Mr. Fonseca, on behalf of himself and the Age Discrimination Class, re-alleges and incorporates by reference, as though fully set forth herein, all of the preceding paragraphs.
- 115. The FEHA protects employees over the age of 40. (Cal. Gov't Code §§ 12926(b), 12941(a).) Mr. Fonseca was an employee of HP over the age of 40—when HP fired Mr. Fonseca, he was 55 years old. Thus, because Mr. Fonseca was an employee over the age of 40 at the time of

incur a loss of earnings and other employment benefits and job opportunities. Mr. Fonseca and the members of the Age Discrimination Class are therefore entitled to general and compensatory damages in amounts to be proven at trial.

- 121. Mr. Fonseca is informed and believes, and based thereon alleges, that Defendants' outrageous conduct directed at Mr. Fonseca and the members of the Age Discrimination Class described above, was done with malice, fraud, or oppression and with conscious and/or reckless disregard for the rights of Mr. Fonseca and the members of the Age Discrimination Class, and with the intent, design, and purpose of injuring them. Defendants, through their officers, managing agents, and or their supervisors, authorized, condoned, and or ratified the unlawful of all of the other defendants. Thus, Mr. Fonseca and the members of the Age Discrimination Class are entitled to exemplary or punitive damages from Defendants in amounts to be determined according to proof at trial.
- 122. As a further direct and proximate result of Defendants' actions, Mr. Fonseca and the members of the Age Discrimination Class are entitled to and seek their attorney fees and costs. (See Cal. Govt. Code § 12965(b).)
- 123. Mr. Fonseca and the members of the Age Discrimination Class also seek the "affirmative relief" or "prospective relief" afforded them under California Government Code section 12926(a).

FIFTH CAUSE OF ACTION

Violation of the Cartwright Act – California Business and Professions Code §§ 16720 et seq. (Plaintiff Bryant Fonseca, on Behalf of Himself and the Antitrust Class Against Defendants)

- 124. Mr. Fonseca, on behalf of himself and the Antitrust Class, re-allege and incorporate by reference, as though fully set forth herein, all of the preceding paragraphs.
- 125. Except as expressly provided in California Business and Professions Code sections 16720 *et seq.*, every trust is unlawful, against public policy, and void. A trust is a combination of capital, skill, or acts by two or more persons for any of the following purposes:
 - a. To create or carry out restrictions in trade or commerce.
 - b. To limit or reduce the production, or increase the price of

1 merchandise or of any commodity. 2 c. To prevent competition in manufacturing, making, transportation, sale 3 or purchase of merchandise, produce or any commodity. 4 d. To fix at any standard or figure, whereby its price to the public or 5 consumer shall be in any manner controlled or established, any article 6 or commodity of merchandise, produce or commerce intended for 7 sale, barter, use or consumption in this State. 8 126. HP, by and through its officers, directors, employees, agents or other representatives, 9 has entered into an unlawful agreement, combination, and conspiracy in restraint of trade, in 10 violation of California Business and Professions Code section 16720. 11 127. HP conspired with 3D Systems and entered into an unlawful trust agreement in 12 restraint of trade and commerce by, among other things, restricting and limiting, to a substantial 13 degree, competition among these defendants' skilled labor, and fixing the wages and salary ranges 14 for said class members, all with the purpose and effect of suppressing class members' compensation 15 and restraining competition in the market for services of members of the Antitrust Class. 16 128. As a direct and proximate result of HP's conduct members of the Antitrust Class 17 were also injured by incurring suppressed compensation to levels lower than the members 18 otherwise would have incurred in the absence of HP's unlawful trust, all in an amount to be proven 19 at trial. 20 129. HP, Plaintiff, and other members the Antitrust Class are "persons" within the 21 meaning of the Cartwright Act as defined in California Business and Professions Code section 22 16702. 23 130. HP's practices and associated agreements are per se violations of the Cartwright Act, 24 and their conduct violates the Cartwright Act. 25 131. As a result of the above violations, Plaintiff and the Antitrust Class have been 26 damaged in an amount according to proof. 27 28

SIXTH CAUSE OF ACTION

Violation of California Business and Professions Code §§ 16600 *et seq.*(Plaintiff Bryant Fonseca, on Behalf of Himself and the Antitrust Class Against Defendants)

- 132. Mr. Fonseca, on behalf of himself and the Antitrust Class, re-allege and incorporate by reference, as though fully set forth herein, all of the preceding paragraphs.
- 133. Under California Business and Professions Code section 16600, *et seq.*, except as expressly provided for by section 16600, et seq., every contract by which anyone is restrained from engaging in a lawful profession, trade, or business of any kind is to that extent void.
- 134. HP entered into, implemented, enforced agreements, and engaged in practices that are unlawful and void under Section 16600.
- 135. HP's practices, agreements, and conspiracy have included concerted action and undertakings among the Defendant and others with the purpose and effect of: (a) reducing open competition among Defendant and other companies for skilled labor; (b) reducing employee mobility; (c) reducing or eliminating opportunities for employees to pursue lawful employment of their choice; and (d) limiting employee professional betterment.
- 136. HP's practices, agreements, and conspiracy are contrary to California's settled legislative policy in favor of open competition and employee mobility, and are therefore void and unlawful.
- 137. HP's practices, agreements, and conspiracy were not intended to protect and were not limited to protecting any legitimate proprietary interest of Defendant.
- 138. HP's practices, agreements, and conspiracy do not fall within any statutory exception to Section 16600, *et seq*.
- 139. The acts done by HP and each of the parties to the anti-competitive practices and agreements as part of, and in furtherance of, their contracts, combinations or conspiracies were authorized, ordered, or done by their respective officers, directors, agents, employees, or representatives while actively engaged in the management of each defendant's affairs
- 140. Accordingly, Plaintiff and members of Antitrust Class seek a judicial declaration that Defendant's agreements and conspiracy are void as a matter of law under Section 16600, and a

1	gravity of the consequences to Plaintiff and the members of the
2	Plaintiff Classes;
3	b. that is immoral, unethical, oppressive, unscrupulous, or substantially
4	injurious to Plaintiff and the other members of the Plaintiff Classes;
5	c. that undermines or violates the stated policies underlying California
6	law which seek to protect employees aged 40 or over against age
7	discrimination, and thus provide a sufficient predicate for claims for
8	unfair competition;
9	d. Violating the Cartwright Act; and
10	e. Violation of the California Business and Professions Code sections §§
11	16600 et seq.
12	147. Defendants knew or should have known of their anti-competitive and discriminatory
13	conduct as alleged herein.
14	148. Defendants committed fraudulent business practices by engaging in conduct, as
15	alleged herein, that was and is likely to deceive employees acting reasonably under the
16	circumstances. Defendants' fraudulent business practices include, but are not limited to, failing to
17	disclose, concealing from, and/or failing to investigate whether Plaintiff and the members of the
18	Age Discrimination Class were being selected for termination, terminated, and not re-hired due to
19	their age, misrepresenting the reasons for those actions, including through reference to pretextual
20	explanations related to job performance or qualifications, and/or failing to prevent, respond to,
21	adequately investigate, and/or appropriately resolve instances of age discrimination in the
22	workplace, including the adverse impact of Defendants' employment practices on employees aged
23	40 or over.
24	149. Defendants also acted unlawfully and unfairly by engaging in anti-competitive
25	practices to suppress wages of their respective workforce by restricting the ability of its employees
26	from obtaining employment with other technology companies, to wit 3D Systems.
27	150. Defendants' use of such unfair, deceptive, fraudulent, and unlawful business
28	practices constitutes unfair, deceptive, fraudulent, and unlawful competition, provides an unfair
	advantage over Defendants' competitors, and an unfair benefit to Defendants at the expense of

Plaintiff, the members of the Age Discrimination Class and Antitrust Class, and the general public.

- During the class period, Defendants have engaged in unlawful, deceptive, fraudulent, and unfair business practices, proscribed by Business & Professions Code sections 17200, *et seq.*, including those described herein, thereby obtaining valuable property, money, and services from Plaintiff, members of the Age Discrimination Class and Antitrust Class, and all persons similarly situated, and have deprived Plaintiff, members of the Age Discrimination Class and Antitrust Class, and all persons similarly situated, of valuable rights and benefits guaranteed by law, all to their detriment.
- 152. By virtue of the direct injuries that Plaintiff and the members of the Plaintiff Classes have sustained from Defendants' wrongful conduct, Plaintiff and the members of the Plaintiff Classes have standing to sue in order to obtain the remedies that are available to them under the UCL.
- 153. The UCL authorizes restitutionary and injunctive relief to prevent unlawful, deceptive, unfair, or fraudulent business acts for practices, and both restitution and disgorgement of money or property wrongfully obtained by means of such unfair competition. (Cal. Bus. & Prof. Code § 17203.)
- 154. Plaintiff seeks, on his own behalf, and on behalf of the other members of the Plaintiff Classes and on behalf of the general public, equitable and injunctive relief, along with full restitution and disgorgement of monies, including interest, according to proof, to restore any and all monies withheld, acquired and/or converted by Defendants by means of the deceptive, unfair, fraudulent, and unlawful practices complained of herein.
- The illegal, deceptive, fraudulent, and unfair conduct alleged herein is continuing, and there is no indication that Defendants will cease and desist from such activity in the future. Plaintiff alleges that if Defendants are not enjoined from the conduct set forth in this Complaint, Defendants' illegal, deceptive, fraudulent, and unfair conduct will continue, i.e. they will continue to engage in practices that disparately impact and discriminate against employees on account of age. (See Herr v. Nestle U.S.A., Inc. (2003) 109 Cal. App. 4th 779, 789 "injunctive relief under the UCL is an appropriate remedy where a business has engaged in an unlawful practice of discriminating against older workers.")

- 156. Plaintiff, the members of the Age Discrimination Class, and all persons in interest, are entitled to, and do seek restitution and such relief as may be necessary to disgorge the profits which HP acquired, or of which Plaintiff and the members of the Age Discrimination Class have been deprived, by means of the above-described unfair, unlawful, deceptive, and or fraudulent business practices.
- 157. Plaintiff and the members of the Age Discrimination Class and Antitrust Class have no plain, speedy, and or adequate remedy at law to redress the injuries which they have suffered as a consequence of HP's unfair, unlawful, deceptive, and/or fraudulent business practices. As a result of the unfair, unlawful, deceptive, and/or fraudulent business practices described above, Plaintiff and the members of the Age Discrimination Class and Antitrust Classes have suffered and will continue to suffer irreparable harm unless HP, and each of the defendants, are restrained from continuing to engage in said unfair, unlawful, and/or fraudulent business practices.
- 158. Plaintiff and the members of the Age Discrimination Class and Antitrust Class also request an order that HP identify, locate, and make restitution to affected members of the general public, and specifically those terminated under the Workforce Reduction Plan, all funds and the value of all things or property acquired by the acts of unfair competition and deceptive practices set forth above, and all additional orders necessary to accomplish this purpose, under California Business & Professions Code section 17203.
- 159. For the four (4) years preceding the filing of this action, as a result of HP's unfair, deceptive, fraudulent, and unlawful business practices alleged herein, Plaintiff and the members of the Age Discrimination Class and Antitrust Class request restitution, damages to compensate them fully, and disgorgement of all monies and profits from HP in an amount according to proof at time of trial.
- 160. Plaintiff is entitled to an award of attorneys' fees and costs in prosecuting this action against Defendants under California Code of Civil Procedure section 1021.5 and other applicable law. A successful outcome in this action will confer on the general public and a large class of persons (the Age Discrimination and Antitrust Classes) both a pecuniary and nonpecuniary benefit and will result in the enforcement of important rights affecting the public interest. The necessity and financial burden of private enforcement furthermore make such an award appropriate.

PRAYER FOR RELIEF 1 Plaintiff, on behalf of himself individually and on behalf of Plaintiff Classes prays for 2 3 relief and judgment against Defendant and any later named defendant, jointly and severally as follows: 4 1. Certification of the case as a class action and appointment of Plaintiff as Class 5 Representative of each class and his counsel of record as Class Counsel; 6 2. All damages to which Plaintiffs and each member of the Age Discrimination Class and Antitrust Class are entitled due to Defendants' conduct, including, 7 but not limited to, back pay, front pay, general and special damages for lost compensation and job benefits that they would have received but for the 8 discrimination anti-competitive practices of Defendants; 9 3. To preliminarily and permanently enjoin Defendants from implementation of the Workforce Reduction Plan that disparately impacts and discriminates 10 against employees on account of their age; 11 4. For an order requiring Defendants to restore to the general public all funds acquired by means of any act or practice declared by this Court to be unlawful 12 or fraudulent or to constitute unfair competition under California Business and Professions Code section 17200, et seq.; 13 5. For restitution, including, without limitation, restitutionary disgorgement; 14 6. For affirmative or prospective relief; 15 7. For exemplary and punitive damages; 16 8. For attorneys' fees, expenses, and costs of suit; 17 9. For pre-judgment and post-judgement interest; 18 10. An order enjoining Defendants from continuing the unfair, deceptive, 19 fraudulent, and unlawful business practices alleged herein; and 20 11. For all such other and further relief the Court may deem just and proper. 21 22 DATED: November 29, 2017 **HOGUE & BELONG** 23 s/ Jeffrey Hogue 24 Jeffrey L. Hogue Tyler J. Belong 25 Erik A. Dos Santos Attorneys for Plaintiff Bryant Fonseca on 26 behalf of himself and all others similarly situated 27 28

1	DEMAN	ND FOR HIDV TRIAL	
1	DEMAND FOR JURY TRIAL Plaintiffs Bryant Fonseca hereby demands a jury trial.		
2	Flaminis Bryant Ponseca hereby C	demands a jury trial.	
3	D. 4 300 0017	WOOLER & PRIVANCE	
4	DATED: November 29, 2017	HOGUE & BELONG	
5			
6		Jeffrey L. Hogue	
7		s/ Jeffrey Hogue Jeffrey L. Hogue Tyler J. Belong Erik A. Dos Santos	
8 9		Attorneys for Plaintiff Bryant Fonseca on behalf of himself and all others similarly situated	
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1 2 3 4 5 6 7 8 9	LITTLER MENDELSON, P.C. JODY A. LANDRY, Bar No. 125743 ilandry@littler.com KHATEREH S. FAHIMI, Bar No. 25213 sfahimi@littler.com CHRISTINA HAYES, Bar No. 267153 chayes@littler.com LITTLER MENDELSON, P.C. 501 W. Broadway, Suite 900 San Diego, California 92101.3577 Telephone: 619.232.0441 Facsimile: 619.232.4302 Attorneys for Defendants HP Inc. (formerly known as Hewlett-Pac Company) and Enterprise Services, LLC known as HP Enterprise Services, LLC)	kard		
10	A D WEED OF A TIPE DISCRIPTION COLUDE			
11	UNITED STATES DISTRICT COURT			
12	SOUTHERN DISTRICT OF CALIFORNIA			
13	SAN DIEGO DIVISION			
14				
15	BRYANT FONSECA, an individual, on behalf of himself and all others similarly situated, and on behalf of the	Case No.		
16	general public,	(San Diego Superior Court Case No. 37-		
17	Plaintiff,	2017-00045630-CU-WT-CTL)		
18	V.	DECLARATION OF KHATEREH S. FAHIMI IN SUPPORT OF NOTICE		
19	HEWLETT-PACKARD COMPANY, a Delaware Corporation; HP ENTERPRISE SERVICES, LLC, a Delaware Limited Liability Company; HP, INC., a Delaware corporation; and DOES 1-100, inclusive,	OF REMOVAL		
20	ENTERPRISE SERVICES, LLC, a Delaware Limited Liability Company			
21	HP, INC., a Delaware corporation; and DOES 1-100 inclusive			
22	Defendants.			
23				
24	I, Khatereh S. Fahimi, declare as for	follows:		
25		practice in the State of California and am an		
26	7	elson, A Professional Corporation, counsel of		
27		y known as Hewlett-Packard Company) and		
28	100010 101 Detendants 111 1110. (101111011	y known as mewicu-i ackaiu company) and		

- Enterprise Services LLC (formerly known as HP Enterprise Services, LLC) (hereinafter "Defendants") in this action. I make this Declaration in support of Defendants' Notice to Federal Court of Removal of Civil Action pursuant to 28 U.S.C. sections 1332(d), 1441, and 1446. All of the information set forth herein is based on my personal and firsthand knowledge and if called and sworn as a witness, I could and would competently testify thereto.
- 2. On November 29, 2017, Plaintiff Bryant Fonseca ("Fonseca") filed a class-action styled complaint asserting the following causes of action: (1) disparate treatment age discrimination in violation of California Government Code section 12940(a); (2) disparate impact age discrimination in violation of California Government Code sections 12940(a) and 12941; (3) wrongful termination in violation of public policy; (4) failure to prevent discrimination; (5) violation of the Cartwright Act; (6) violation of California Business and Professions Code section 16600; and (7) unfair competition in violation of California Business and Professions Code section 17200, captioned BRYANT FONSECA, an individual, on behalf of himself and all others similarly situated, and on behalf of the general public v. HEWLETT-PACKARD COMPANY, a Delaware Corporation; HP ENTERPRISE SERVICES, LLC, a Delaware Limited Liability Company; HP, Inc., a Delaware corporation; and DOES 1-100, inclusive, Case No. 37-2017-00045630-CU-WT-CTL (the "California Action"). A true copy of Fonseca's Complaint in the California Action is attached to the Notice of Removal as **Exhibit A**.
- 3. On December 14, 2017 Defendants received a copy of Plaintiff's Complaint and accompanying case documents by personal service on their agent for service of process, CT Corporation. Defendants received identical packets except for the Summons, which underlined the respective Defendant being served. True and correct copies of the accompanying case documents sent to Defendants on December 14, 2017, including the Summons for each Defendant, the Civil Cover Sheet, Notice of Case Assignment, Notice of Eligibility to eFile and Assignment to Imaging

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1	Department, Alternative Dispute Resolution (ADR) Information, and Stipulation To
2	Use Alternative Dispute Resolution (ADR) are attached to the Notice as Exhibit B.
3	True and correct copies of the Service of Process Transmittal sheets provided by CT
4	Corporation are attached hereto as Exhibit C .
5	4. Other than Exhibits A and B, Defendants are not aware of any further
6	proceedings, filings or orders regarding this action in the San Diego County Superior
7	Court.
8	5. Contemporaneously with the filing of this Notice of Removal in the
9	United States District Court for the Southern District of California, our office is
10	providing written notice of the removal to Plaintiff's counsel of record: Hogue &
11	Belong, 170 Laurel St, San Diego, CA 92101. In addition, a copy of the Notice of
12	Removal will be filed with the Clerk of the Court for the San Diego County Superior
13	Court.
14	I declare under penalty of perjury under the laws of the United States of
15	America that the foregoing is true and correct.
16	Executed this 11th day of January, 2018 at San Diego, County of San Diego,
17	State of California.
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19	s/ Khatereh S. Fahimi Khatereh S. Fahimi
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EXHIBIT C

EXHIBIT C

Case 3:18-cv-00071-BEN-BLM Document 1-3 Filed 01/11/18 PageID.50 Page 5 of 7



Service of Process Transmittal

12/14/2017

CT Log Number 532470598

TO: Susan Huang

HP, Inc.

1501 Page Mill Rd MS 1060 Palo Alto, CA 94304-1126

RE: Process Served in California

FOR: Hewlett-Packard Company (Former Name) (Domestic State: DE)

HP Inc. (True Name)

ENCLOSED ARE COPIES OF LEGAL PROCESS RECEIVED BY THE STATUTORY AGENT OF THE ABOVE COMPANY AS FOLLOWS:

TITLE OF ACTION: BRYANT FONSECA, ETC., PLTF. vs. HEWLETT-PACKARD COMPANY, ETC., ET AL., DFTS.

DOCUMENT(S) SERVED: Summons, Complaint, Attachment(s), Notice

COURT/AGENCY: San Diego County - Superior Court - Central Division, CA

Case # 37201700045630CUWTCTL

NATURE OF ACTION: Class action complaint for damages

ON WHOM PROCESS WAS SERVED: C T Corporation System, Los Angeles, CA

DATE AND HOUR OF SERVICE: By Process Server on 12/14/2017 at 12:50

JURISDICTION SERVED: California

APPEARANCE OR ANSWER DUE: Within 30 calendar days after this summons and legal papers are served on you

ATTORNEY(S) / SENDER(S): Jeffrey L. Hogue

Jeffrey L. Hogue HOGUE & BELONG 170 Laurel Street San Diego, CA 92101 619-238-4720

ACTION ITEMS: CT has retained the current log, Retain Date: 12/14/2017, Expected Purge Date:

12/19/2017

Image SOP

Email Notification, Susan Huang susan.huang@hp.com

Email Notification, Brenda Sherman brenda.s.sherman@hp.com

Email Notification, Angela Gustafson angelagus@hp.com

SIGNED: C T Corporation System ADDRESS: 818 West Seventh Street

Los Angeles, CA 90017 213-337-4615

TELEPHONE: 213-337-4615

Page 1 of 1 / MB

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Case 3:18-cv-00071-BEN-BLM Document 1-3 Filed 01/11/18 PageID.51 Page 6 of 7



Service of Process Transmittal

12/14/2017

CT Log Number 532470679

TO: Susan Huang

HP, Inc.

1501 Page Mill Rd MS 1060 Palo Alto, CA 94304-1126

RE: Process Served in California

FOR: Hewlett-Packard Company (Former Name) (Domestic State: DE)

HP Inc. (True Name)

ENCLOSED ARE COPIES OF LEGAL PROCESS RECEIVED BY THE STATUTORY AGENT OF THE ABOVE COMPANY AS FOLLOWS:

TITLE OF ACTION: BRYANT FONSECA, ETC., PLTF. vs. HEWLETT-PACKARD COMPANY, ETC., ET AL., DFTS.

DOCUMENT(S) SERVED: Summons, Complaint, Attachment(s), Notice

COURT/AGENCY: San Diego County - Superior Court - Central Division, CA

Case # 37201700045630CUWTCTL

NATURE OF ACTION: Employee Litigation - Wrongful Termination - 05/08/2017

ON WHOM PROCESS WAS SERVED: C T Corporation System, Los Angeles, CA

DATE AND HOUR OF SERVICE: By Process Server on 12/14/2017 at 12:50

JURISDICTION SERVED: California

APPEARANCE OR ANSWER DUE: Within 30 calendar days after this summons and legal papers are served on you

ATTORNEY(S) / SENDER(S): JEFFREY L. HOGUE

HOGUE & BELONG 170 Laurel Street San Diego, CA 92101 619-238-4720

ACTION ITEMS: CT has retained the current log, Retain Date: 12/14/2017, Expected Purge Date:

12/19/2017

Image SOP

Email Notification, Susan Huang susan.huang@hp.com

Email Notification, Brenda Sherman brenda.s.sherman@hp.com

Email Notification, Angela Gustafson angelagus@hp.com

SIGNED: C T Corporation System ADDRESS: 818 West Seventh Street

Los Angeles, CA 90017 213-337-4615

TELEPHONE: 213-337-4615

Page 1 of 1 / MB

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Case 3:18-cv-00071-BEN-BLM Document 1-3 Filed 01/11/18 PageID.52 Page 7 of 7



Service of Process Transmittal

12/14/2017

CT Log Number 532470713

TO: Susan Huang

HP, Inc.

1501 Page Mill Rd MS 1060 Palo Alto, CA 94304-1126

RE: Process Served in California

FOR: HP Inc. (Domestic State: DE)

ENCLOSED ARE COPIES OF LEGAL PROCESS RECEIVED BY THE STATUTORY AGENT OF THE ABOVE COMPANY AS FOLLOWS:

TITLE OF ACTION: BRYANT FONSECA, ETC., PLTF. vs. HEWLETT-PACKARD COMPANY, ETC., ET AL., DFTS.

// To: HP, INC.

Name discrepancy noted.

DOCUMENT(S) SERVED: Summons, Complaint, Attachment(s), Notice

COURT/AGENCY: San Diego County - Superior Court - Central Division, CA

Case # 37201700045630CUWTCTL

NATURE OF ACTION: Employee Litigation - Wrongful Termination - 05/19/2017

ON WHOM PROCESS WAS SERVED: C T Corporation System, Los Angeles, CA

DATE AND HOUR OF SERVICE: By Process Server on 12/14/2017 at 12:50

JURISDICTION SERVED: California

APPEARANCE OR ANSWER DUE: Within 30 calendar days after this summons and legal papers are served on you

ATTORNEY(S) / SENDER(S): Jeffrey L. Hogue

HOGUÉ & BELONG 170 Laurel Street San Diego, CA 92101 619-238-4720

ACTION ITEMS: CT has retained the current log, Retain Date: 12/14/2017, Expected Purge Date:

12/19/2017

Image SOP

Email Notification, Susan Huang susan.huang@hp.com

Email Notification, Brenda Sherman brenda.s.sherman@hp.com

Email Notification, Angela Gustafson angelagus@hp.com

SIGNED: C T Corporation System
ADDRESS: 818 West Seventh Street

Los Angeles, CA 90017 213-337-4615

TELEPHONE: 213-337-4615

Page 1 of 1 / MB

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6	San Diego, California 92101.3577			
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8	Attorneys for Defendants HP Inc. (formerly known as Hewlett-Page)	ekard		
9	HP Inc. (formerly known as Hewlett-Packard Company) and Enterprise Services LLC (formerly known as HP Enterprise Services, LLC) UNITED STATES DISTRICT COURT			
10				
11	SOUTHERN DISTRICT OF CALIFORNIA			
12	SAN DIEGO DIVISION			
13				
14	BRYANT FONSECA, an individual, on behalf of himself and all others	Case No.		
15	similarly situated, and on behalf of the			
16	general public,	(San Diego Superior Court Case No. 37-		
17	Plaintiff,	2017-00045630-CU-WT-CTL)		
18	V.	DECLARATION OF KIM ORTOLANI IN IN SUPPORT OF		
19	HEWLETT-PACKARD COMPANY, a Delaware Corporation; HP ENTERPRISE SERVICES, LLC, a	DEFENDANTS' REMOVAL OF ACTION TO FEDERAL COURT		
20	Delaware Limited Liability Company:			
21	HP, INC., a Delaware corporation; and DOES 1-100, inclusive,			
22	Defendants.			
23				
24 25	I, Kim Ortolani, hereby declare as follows:			
26	1. I am an adult over the age of 18. I am currently employed as Americas			
20 27	Manager, HR Global Services for HP In-	c. As part of my duties as Americas Manager,		
28	HR Global Services, I have access to the	ne human resources records of HP Inc. which		
-0				

was formerly known as Hewlett-Packard Company. I am also familiar with HP Inc's Workforce Reduction Plan documents. Both the human resources records and the Workforce Reduction Plan records are maintained in the regular course of HP Inc.'s business. If called and sworn as a witness, I could and would competently testify to the facts set forth in this declaration.

- 2. Based on my review of HP Inc.'s personnel records, I determined that Plaintiff Bryant Fonseca worked for Hewlett-Packard Company from June 15, 1981 until the company changed its name to HP Inc. in 2015. At that point, Plaintiff Bryant Fonseca was employed by HP Inc. until his employment was terminated on or about May 19, 2017.
- 3. Attached as **Exhibit A** is a true and correct copy of HP Inc.'s Workforce Reduction plan in effect in 2017, when Mr. Fonseca's employment was terminated. While **Exhibit A** was amended on or about November 2012, July 2014, September 2015, December 2016, and January 2017, these changes only affected the definition of "Year(s) of Full-Time Equivalent Service" and recognized that Hewlett-Packard Company changed its name to HP Inc. A true and correct copy of the December 19, 2016 amendment reflecting the name change is attached hereto as Exhibit B. Attached hereto as Exhibit C is a true and correct copy of a June 2, 2017 email (without exhibits) sent to Plaintiff Fonseca regarding his placement in the HP Workforce Reduction Plan and documents he was provided with respect to benefits under the plan.

I declare under penalty of perjury under the laws of the United States of America and the State of California that the foregoing is true and correct.

Executed this 10th day of January, 2018, in Plano, Texas

KIM ORTOLANI

Firmwide:152169271.1 086660.1015

EXHIBIT A

HEWLETT-PACKARD COMPANY

WORKFORCE REDUCTION PLAN

As amended and restated effective for notifications on and after May 23, 2012

TABLE OF CONTENTS

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L. Establishment And Purpose Of Plan

The Hewlett-Packard Company Workforce Reduction Plan (the "Plan"), previously named the Hewlett-Packard Company Workforce Restructuring Plan, was originally established effective November 1, 2003, and has been amended and restated from time to time since then, most recently for notifications occurring on and after May 23, 2012. The purpose of the Plan is to provide income replacement benefits to certain employees who incur an involuntary termination of employment.

2. Definitions

The following capitalized words and phrases shall have the following meaning:

"Affiliate" means any HP subsidiary or other entity partially-owned by HP, including joint ventures.

"Career Transition Period" means the period between the date the Employee is placed into a workforce reduction program and his Termination Date, as more fully described in Section 4.

"Cash Severance Payment" means the cash benefit payable to a Participant under Section 6 of this Plan.

"Designated Employee" means an Employee who is designated (either in writing or electronically) by HP as eligible to participate in this Plan.

"<u>Direct Assignment Offer</u>" means an offer of a specific employment position with HP or an HP affiliate:

"Employee" means an individual on the U.S. payroll of HP and an affiliate who is classified by HP as a regular full-time or part-time employee working not less than 20 hours per week. The term "Employee" shall not include any individual classified by HP as an independent contractor, limited term employee, transitional employee, or who is classified as part of HP's non-regular or "contingent work force" including, but not limited to, temporary employees, freelancers, on-call employees, contract employees, or other short term employees. In addition, any individual whose wages are paid directly by a third party agency, and any individual for whom HP does not withhold income and employment taxes, is not an Employee for purposes of this Plan. HP shall determine an individual's status as an Employee in its discretion, and its determination shall be conclusive and binding on all persons. The fact that an individual is determined to be a common law employee of HP by any governmental agency or other entity shall not entitle such individual to be treated as an "Employee" for purposes of this Plan.

"ERISA" means the Employee Retirement Income Security Act of 1974, as amended, and regulations issued thereunder.

"Hourly Base Pay Rate" is the rate at which an Employee is paid per hour, as indicated on his earnings statement. For an Employee paid on an incentive or other sales-related basis, "Hourly Base Pay Rate" shall be determined on a reasonable basis by reference to the determination of the Employee's "Weekly Base Pay," as defined below.

"HP" means Hewlett-Packard Company, a Delaware corporation.

"Participant" means a Designated Employee who participates in this Plan in accordance with Section 3. Participation in the Plan shall cease when the Participant begins another job at HP, terminates employment from HP, or is otherwise no longer due a benefit under this Plan.

"Plan" means the Hewlett-Packard Company Workforce Reduction Plan, as amended from time to time.

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"Plan Year" means the calendar year.

"Release" means a writing signed by the Participant in the form prescribed by HP, in which the Participant releases any and all claims it might have against HP, its affiliates and agents. A Release shall be effective after the revocation period, so long as the Participant does not revoke the release during that period.

"Termination Date" means the date specified by HP in the workforce reduction notification on which the Designated Employee will cease to be an Employee of HP and its affiliates.

"WARN Act" means, collectively, the Federal Worker Adjustment and Retraining Notification Act and, to the extent applicable, similar law(s) of any jurisdiction.

"WARN Payments" shall consist of two amounts payable to a Participant after his Termination Date, consisting of a "WARN Base Pay Equivalent" and a "WARN Benefits Equivalent," as more fully defined in Section 5.

"Weekly Base Pay" means the carnings an Employee receives, or would receive, for a calendar week if the individual worked a standard work week not exceeding 40 hours, exclusive of bonus, overtime pay, gap pay, shift differential, weekend premium or any other additional compensation. For an Employee paid on an incentive or other sales related basis, "Weekly Base Pay" means the weekly earnings determined with reference to the on-target earnings established for that Employee. Weekly Base Pay shall be determined as of the date a Participant is notified of his participation in this Plan, except as otherwise provided in Section 6(e).

"Year(s) of Full-Time Equivalent Service" means each 12-month period of service during which an Employee is in full-time active pay status on the U.S. payroll of HP and its affiliates, calculated from the Participant's most recent hire date, as determined by HP. In the case of an Employee employed in less than full-time status, "Years of Full-Time Equivalent Service" shall mean such longer period of service required to aggregate 2088 standard hours. "Years of Full-Time Equivalent Service" shall be determined in one-tenth year increments. Such Service shall include, without limitation, paid time off, flexible time off, vacation, jury duty, holidays, bereavement leave, and military leaves of absence; and such Service shall not include, for example, personal or medical leaves of absence.

"Year(s) of Full-Time Equivalent Service" shall not include any period of time during which a Participant was not an Employee. In addition, service with an employer prior to the date an organization is acquired by HP (including through a merger, acquisition, asset purchase, outsourcing, joint venture, or any other form of business combination) shall not be included in "Year(s) of Full-Time Equivalent Service" for any purpose under the Plan unless (a) the governing agreement by which HP acquired the organization specifically so provides, or (b) HP (or its delegate) amends this Plan to provide for such service-crediting.

3. Eligibility And Participation

- (a) Eligibility. An Employee is eligible to participate in this Plan if, on or after May 23, 2012, he or she is designated in writing (which may include an electronic notice) by HP on or after as being subject to a workforce reduction action and eligible to participate in this Plan. The notification provided by HP shall indicate the date on which the Employee is to become a Plan Participant and his Termination Date.
- (b) <u>Participation</u>. A Designated Employee shall become a Participant in the Plan on the date so indicated in writing by HP if he remains an active Employee and does not incur a voluntary or misconduct termination of employment on or before that date.

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(c) <u>Misconduct Termination</u>. If a Designated Employee or Participant is terminated by reason of misconduct (as determined under HP policy) before his Termination Date, his participation in this Plan shall cease and no further benefits shall be payable from this Plan with respect to such Employee.

4. Career Transition Period

- (a) Entry into Career Transition Period. Upon becoming a Plan Participant, the Participant will enter the Career Transition Period, during which time he or she will remain an active Employee in his current employment position at his current salary grade, shall continue to perform the essential functions of his job while transferring assignments according to the director of his manager, and shall continue to participate in HP's employee benefit plans on the same terms and conditions as in effect for all other similarly situated active Employees. Participants who are not subject to corrective action plans may also seek new employment within HP and/or any HP affiliate, and HP may provide internal job search assistance such Participants. Such assistance shall be provided to the extent and in a manner determined by HP.
- (b) Acceptance of Job or Termination of Employment During Career Transition Period. If a Participant starts a new job with HP or an affiliate during his or her Career Transition Period, such Participant's participation in the Plan shall end effective as of the start date for such job.

If a Participant terminates employment with HP (or its affiliates) during his or her Creer Transition Period, such Participant's participation in the Plan shall end effective as of the Participant's termination of employment, and such Participant shall not be eligible to receive any further benefits under this Plan.

5. WARN Payments Following Termination

- (a) Amount and Form of WARN Payments. A Participant who remains employed until the Termination Date specified in his notification of workforce reduction shall be paid WARN Payments, consisting of a WARN Base Pay Equivalent, and a WARN Benefits Equivalent, determined as follows:
 - (1) The WARN Base Pay Equivalent shall be determined by multiplying the Participant's Hourly Base Pay Rate by his weekly scheduled hours, and then multiplying that number by 8.5714 weeks (60 days). For commissioned sales Employees or Employees without an Hourly Base Pay Rate, the Hourly Base Pay Rate used to determine the WARN Base Pay Equivalent shall consist of the rate used to determine the prior year's Beneflex pay, or such other rate as determined to be appropriate by the Administrator.
 - (2) The WARN Benefits Equivalent shall be determined by taking into account the Employee's Beneflex dollars provided by HP under its medical, dental, life, AD&D and LTD benefit plans; any additional fringe benefits payable to employees performing work covered by the Service Contract Act; vacation hours that would have accrued during the WARN period, and employer matching contributions that would have been made to the HP 401(k) (or similar) Plan during the period; in each case, annualized and then reduced to a 60-day equivalent amount, and grossed up, if appropriate to represent the potential loss of pre-tax savings, based on the discretion and such factors as determined reasonable and appropriate by the Administrator.

The WARN Payments made be paid in more than one payment, and no Release shall be required for receipt of these payments.

(d) <u>Career Transition Counseling</u>. A Participant shall be eligible to receive career transition counseling immediately following the date the Participant enters the Career Transition Period, for a period as determined from time to time by HP. A Participant must register for such

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counseling within 30 days after notification, and must commence career transition counseling within 60 days after that.

(c) Non-Duplication of Benefits. The WARN Payments, comprised of the WARN Base Pay Equivalent and the WARN Benefits Equivalent are intended to satisfy the requirements of the WARN Act, and shall constitute pay in lieu of notice for the purpose of satisfying any liability that HP may have under the WARN Act.

6. Cash Severance Benefits

- (a) Participants Eligible for A Cash Severance Payment.
- 1. A Participant whose Termination Date is the last day of the Career Transition Period shall be eligible to receive a Cash Severance Payment, unless determined to be ineligible for such Payment under this Section.
- 2. A Participant whose Termination Date occurs before the last day of the Career Transition Period because the Participant accepted a job with a competitor of HP (or an HP Affiliate) shall be eligible to receive a Cash Severance Payment, so long as the Participant notified his manager promptly upon acceptance of such position with the competitor.
 - (b) Participants Not Eligible for a Cash Severance Payment,
- 1. A Participant who declined to accept a Direct Assignment Offer during the Career Transition Period shall not be eligible to receive a Cash Severance Payment if such Direct Assignment Offer was located within 50 miles of the Participant's then-current work location and within two salary grades of the Participant's then-current salary grade.
- 2. A Participant who accepted a job offer with a competitor and did not promptly notify his management about such job shall not be eligible to receive a Cash Severance Payment.
- 3. A Participant who is terminated for misconduct at any time after becoming a Participant in the Plan shall not be eligible to receive a Cash Severance Payment, and shall only be eligible to receive regular pay and benefits (if any) through his termination date.
- (c) Amount of Cash Severance Payment. The Cash Severance Payment shall equal the Participant's Weekly Base Pay multiplied by his Years of Full-Time Equivalent Service, both determined as of the Participant's Termination Date, except that the minimum Cash Severance Payment shall equal (11 times Weekly Base Pay), and the maximum Cash Severance Payment shall equal (52 times Weekly Base Pay), in all cases (including the minimum and maximum amounts) reduced by the amount of the WARN Base Pay Equivalent.
- (d) <u>Release Required</u>. A Participant's receipt of a Cash Severance Payment under this Plan is conditioned upon the Participant signing and not revoking (during the application revocation period) the Release required by HP.
- (e) Form and Time of Payment. Cash Severance Payments shall be made as soon as administratively practicable following expiration of the revocation period during which the Participant may revoke his acceptance of the Release. All payments shall be reduced by all applicable payroll withholdings. If a Participant is indebted to HP or its affiliates as of the Termination Date, then HP reserves the right to offset any Cash Severance Payment to be made under this Plan by the amount of such indebtedness to the full extent permitted by law.
- (f) Retiree Medical Plan Eligibility for Certain Participants. A Participant who would be eligible for an HP retiree medical benefit program within 365 days of his or her Termination Date, shall be eligible upon his Termination Date for benefits under that program. Premiums for retiree coverage, time of payment, and other plan provisions will be as for any other eligible retiree. In addition, if a Participant would have gained access to HP credits to his or her Retirement Medical

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Savings Account ("RMSA"), if any, within 365 days of his or her Termination Date, then, upon his or her Termination Date, HP credits to his or her RMSA will be available for reimbursement of eligible medical expenses.

(g) Other Benefits. HP may provide Participants such other benefits as HP may determine from time to time. Other benefits may include, without limitation, outplacement programs, educational assistance, financial counseling, time off for job search, or voluntary time off. Participants will be informed of such other benefits in the information provided with respect to each offering of benefits made under this Plan.

7. Payment of Benefits, Funding Policy

The benefits of this Plan shall be paid solely from the general assets of HP. No contributions are required from or permitted by any Participant.

HP is under no obligation to fund the benefits provided herein, but if it chooses to do so, any assets that HP may set aside shall not cause this to be a funded plan within the meaning of ERISA.

8. Claims and Appeal Procedure

- (a) <u>Claim for Benefits</u>. If an individual or his or her authorized representative (the "Claimant") believes that he or she is incorrectly denied a benefit or entitled to a greater benefit under the Plan than he or she received, then the Claimant must submit a written claim to HP at the address indicated in the summary plan description ("SPD").
- (b) <u>Time for Review</u>. The Claimant shall be notified of the Plan Administrator's decision within 90 days after receipt of the claim by the Plan, unless the Plan Administrator determines that special circumstances require an extension for processing the claim. If an extension is required, notice shall be furnished to the Claimant before termination of the initial 90-day period. Such notice shall indicate the special circumstances requiring the extension, and the date by which the Plan expects to render its decision on the claim. In no event shall such extension exceed a period of 180 days after the date the claim is received by the Plan.
- (c) <u>Denial of Claim</u>. If the Claimant's initial claim under this Section is denied, in whole or in part, the Plan Administrator, shall provide the Claimant with written or electronic notification of such denial. Such notice shall set forth (i) the specific reason(s) for the denial, (ii) specific reference to the Plan provision(s) on which the denial is based, (iii) a description of any additional material or information necessary for the Claimant to perfect his or her claim and an explanation of why such additional material or information is necessary, and (iv) a description of the Plan's review procedures and the time limits applicable to such procedures.
- (d) Right to Appeal. A Claimant whose initial claim has been denied may appeal the denial by submitting written comments, documents, records and other information relating to the claim for benefits to the Appeals Committee within 60 days after receiving notice from HP of the denial of a claim. The reviewing committee shall provide the Claimant, upon request and free of charge, with reasonable access to and copies of, all documents, records, and other information relevant to the Claimant's claim for benefits (other than that which is legally-privileged). The request for review shall set forth all of the grounds upon which it is based, all facts in support thereof and any other matters which the Claimant deems pertinent. The reviewing committee may require the Claimant to submit such additional facts, documents or other material as he or she or it may deem necessary in making its review. The period for making the benefit determination on review shall be tolled from the date on which the request for additional items is sent to the Claimant until the date on which the Claimant responds to such request.

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- (e) Timing of Decision on Appeal. The reviewing committee shall act upon any request for review within 60 days after receipt thereof, unless special circumstances require an extension of time for review. If an extension of time for review is required, notice shall be furnished to the Claimant prior to the end of the initial 60-day period, indicating the special circumstances requiring an extension, and the date by which the committee expects to render its decision. In no event shall the decision of the revewing committee be rendered more than 120 days after it receives the request for review. Notwithstanding the foregoing, if the reviewing committee constitutes a committee, then the time period may be as determined under ERISA Regulation Section 2560.503-1(i)(1)(ii).
- (f) Decision on Review. The reviewing committee shall give notice of its decision on review to the Claimant within the applicable time period. If it is determined on review that benefits are payable under the Plan, HP will promptly establish the Claimant as a Participant and provide benefits as soon as administratively practicable thereafter. In the event that the reviewing committee confirms the denial of the claim, in whole or in part, the committee will provide the Claimant with written notice that sets forth: (i) the specific reason(s) for the denial, (ii) specific reference to the Plan provision(s) on which the denial is based, (iii) a statement that the Claimant is entitled to receive, upon request and free of charge, reasonable access to, and copies of, all documents, records, and other information (other than that which is legally privileged) relevant to the Claimant's claim for benefits, and (iv) a statement of the Claimant's right to bring an action under ERISA Section 502(a).
- (g) <u>Limitation of Time Period for Bringing Action</u>. No action in law or equity shall be brought under this Plan until exhaustion of the claims and appeal sprocess described in Section 8. In addition, no legal or equitable action may be brought any later than (a) one year after the date that the reviewing committee communicated the adverse benefit determination on appeal, or (b) two years after the facts giving rise to the legal or equitable action occurred.

9. Administration of the Plan; Amendment and Termination

- (a) Plan Sponsor and Plan Administrator. HP is the sponsor of the Plan, and the HP Plan Committee (the "Committee) is the "plan administrator" for purposes of ERISA. The Committee has full discretionary authority to control and manage the operation and administration of the Plan and to take any and all action it deems advisable, in its sole discretion, including, without limitation, the authority of the Committee as set out in its charter. In addition, HP has sole discretion to determine elements of employment with respect to any Employee, Designated Employee or Participant, including, without limitation, all elements of eligible pay and employment dates. All determinations made by HP and the Committee in their respective capacities shall be final and conclusive upon all persons.
- (b) Amendment And Termination Of The Plan. HP may amend and terminate the Plan at any time for any reason. In the event of an conflict between this Plan document and the summary plan description or any documents containing information about the Plan, the terms of this Plan will control.

10. General Provisions

- (a) Choice of Law. This Plan shall be interpreted and construed in accordance with law of the State of Delaware, to the extent not preempted by ERISA.
- (b) <u>Assignment</u>. No benefit paid or payable under this Plan shall be subject to assignment or alienation, and any attempt to do so shall be null and void. Notwithstanding the foregoing, HP may withhold from any amounts payable under this Plan such amounts as are necessary or appropriate to be withheld under applicable law or regulation.

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- (c) <u>Death Benefit and Beneficiary</u>. If a Participant dies before receipt of benefits that he was entitled to, then any remaining benefits will be paid to the beneficiary named (or deemed named) under his HP-provided life insurance.
- (d) <u>Competency to Handle Benefits</u>. If any Participant or beneficiary appears to be unable to properly handle any property distributable to him under the Plan, the Administrator may make any reasonable arrangement for the distribution of Plan benefits on such person's behalf as it deems appropriate, and payment pursuant to such arrangements shall release HP from all further liability to the extent of the payment made.
- (e) No Right to Employment. The establishment of the Plan, the granting of the benefits, and any action of HP or any other person shall not be held or construed to confer upon any person any right to be continued or rehired as an Employee or in any other capacity. No provision of the Plan shall restrict the right of HP or its affiliates to discharge any employee at any time, with or without cause.
- (f) <u>Unemployment Compensation</u>. Nothing contained in this Plan shall entitle nor disentitle a Participant to unemployment compensation under the laws of the jurisdiction in which the Participant terminates employment. The determination of whether a Participant is entitled to unemployment compensation shall be made solely by the state agency with jurisdiction for making such determinations in each case.
- (g) <u>Severability of Provisions</u>. If any provision of the Plan shall be held invalid or unenforceable, such invalidity or unenforceability shall not affect any other provision hereof, and the Plan shall be construed and enforced as if such provision had not been included.
- (h) WARN Act Coverage. The WARN Payments provided under this Plan are intended to satisfy any and all statutory obligations that may arise out of a Participant's employment loss including, without limitation, the obligations under the WARN Act. The Plan shall be construed and interpreted to comply with such intention. In the event that an Employee's employment loss is deemed covered by the WARN Act and payments under this Plan are deemed not to satisfy the requirements of the WARN Act, the benefit payable under the Plan (including without limitation, the Salary Continuation Pay and associated benefits, and the Cash Severance Payment) shall be reduced (but not below zero) by an amount equal to 60 days of pay (or less, if required to meet the requirements of the WARN Act).
- (i) No Pension Payments; Effect of Code Section 409A. This Plan is intended to be an employee welfare benefit plan within the meaning of ERISA Section 3(1) and Section 2510.3-1 of the regulations issued thereunder, as the total of all payments will in no event exceed two times the Participant's annual compensation during the year immediately preceding his or her termination; and all payments under the Plan shall be completed within 24 months of the Participant's Termination Date.

In addition, benefits under the Plan are not intended to be covered by Section 409A of the Internal Revenue Code of 1986, as amended, as the Plan is intended to constitute an exempt severance plan under regulations issued under Section 409A.

- (j) <u>Unknown Whereabouts</u>. It shall be the duty of each Participant to inform HP of his or her current mailing address. If a Participant fails to inform HP of his or her current mailing address, HP shall not be responsible for any late payment, loss of benefits, or failure of any notice to be provided in a timely manner.
- (k) <u>Separate Agreements</u>. The provisions under this Plan will apply to an Employee who is entitled to receive severance benefits under a managed services or other transactional agreement (including agreements pursuant to a merger, acquisition, asset purchase, outsourcing, or joint venture) in the same manner as these provisions apply to an Employee otherwise receiving benefits under this Plan, except that the benefit formula with respect to the amount of any cash severance payable to that Employee will be determined by the separate agreement, while other provisions

Rev. eff. 052312

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under this Plan, including the claims, appeals and limitations on action provisions, will continue to apply.

(I) <u>Use of Vacation During Company Shut-Down; Non-Duplication of Benefits.</u> Notwithstanding any other provision in the Plan, an Employee may be required to use his or her accrued vacation if his or her Career Transition Period coincides with a company shut-down (including, without limitation, the year-end holiday shut-down) during which employees are otherwise required to use vacation time. In addition, an Employee shall not be eligible for benefits under this Plan if the Administrator determines that in connection with a change in control or transaction between the Employee's previous employer and HP (including a merger, acquisition, asset purchase, outsourcing, joint venture, or any other form of business combination), the Employee received or will receive severance, salary continuation, pay in lieu of notice, and/or similar benefits from the Empoyee's previous employer or from HP.

Rev. eff. 052312

- (c) <u>Death Benefit and Beneficiary</u>. If a Participant dies before receipt of benefits that he was entitled to, then any remaining benefits will be paid to the beneficiary named (or deemed named) under his HP-provided life insurance.
- (d) Competency to Handle Benefits. If any Participant or beneficiary appears to be unable to properly handle any property distributable to him under the Plan, the Administrator may make any reasonable arrangement for the distribution of Plan benefits on such person's behalf as it deems appropriate, and payment pursuant to such arrangements shall release HP from all further liability to the extent of the payment made.
- (e) No Right to Employment. The establishment of the Plan, the granting of the benefits, and any action of HP or any other person shall not be held or construed to confer upon any person any right to be continued or rehired as an Employee or in any other capacity. No provision of the Plan shall restrict the right of HP or its affiliates to discharge any employee at any time, with or without cause.
- (f) <u>Unemployment Compensation</u>. Nothing contained in this Plan shall entitle nor disentitle a Participant to unemployment compensation under the laws of the jurisdiction in which the Participant terminates employment. The determination of whether a Participant is entitled to unemployment compensation shall be made solely by the state agency with jurisdiction for making such determinations in each case.
- (g) <u>Severability of Provisions</u>. If any provision of the Plan shall be held invalid or unenforceable, such invalidity or unenforceability shall not affect any other provision hereof, and the Plan shall be construed and enforced as if such provision had not been included.
- (h) WARN Act Coverage. The WARN Payments provided under this Plan are intended to satisfy any and all statutory obligations that may arise out of a Participant's employment loss including, without limitation, the obligations under the WARN Act. The Plan shall be construed and interpreted to comply with such intention. In the event that an Employee's employment loss is deemed covered by the WARN Act and payments under this Plan are deemed not to satisfy the requirements of the WARN Act, the benefit payable under the Plan (including without limitation, the Salary Continuation Pay and associated benefits, and the Cash Severance Payment) shall be reduced (but not below zero) by an amount equal to 60 days of pay (or less, if required to meet the requirements of the WARN Act).
- (i) No Pension Payments: Effect of Code Section 409A. This Plan is intended to be an employee welfare benefit plan within the meaning of ERISA Section 3(1) and Section 2510.3-1 of the regulations issued thereunder, as the total of all payments will in no event exceed two times the Participant's annual compensation during the year immediately preceding his or her termination; and all payments under the Plan shall be completed within 24 months of the Participant's Termination Date.

In addition, benefits under the Plan are not intended to be covered by Section 409A of the Internal Revenue Code of 1986, as amended, as the Plan is intended to constitute an exempt severance plan under regulations issued under Section 409A.

- (j) <u>Unknown Whereabouts</u>. It shall be the duty of each Participant to inform HP of his or her current mailing address. If a Participant fails to inform HP of his or her current mailing address, HP shall not be responsible for any late payment, loss of benefits, or failure of any notice to be provided in a timely manner.
- (k) <u>Separate Agreements</u>. The provisions under this Plan will apply to an Employee who is entitled to receive severance benefits under a managed services or other transactional agreement (including agreements pursuant to a merger, acquisition, asset purchase, outsourcing, or joint venture) in the same manner as these provisions apply to an Employee otherwise receiving benefits under this Plan, except that the benefit formula with respect to the amount of any cash severance payable to that Employee will be determined by the separate agreement, while other provisions

Rev. eff. 052312

under this Plan, including the claims, appeals and limitations on action provisions, will continue to apply.

(l) <u>Use of Vacation During Company Shut-Down; Non-Duplication of Benefits.</u> Notwithstanding any other provision in the Plan, an Employee may be required to use his or her accrued vacation if his or her Career Transition Period coincides with a company shut-down (including, without limitation, the year-end holiday shut-down) during which employees are otherwise required to use vacation time. In addition, an Employee shall not be eligible for benefits under this Plan if the Administrator determines that in connection with a change in control or transaction between the Employee's previous employer and HP (including a merger, acquisition, asset purchase, outsourcing, joint venture, or any other form of business combination), the Employee received or will receive severance, salary continuation, pay in lieu of notice, and/or similar benefits from the Empoyee's previous employer or from HP.

This amended and restated Plan is hereby adopted this 244 day of August, 2012, effective as of the date indicated above.

HEWLETT-PACKARD COMPANY

Tracy S. Keogh

Executive Vice President, Human Resources

FIRST AMENDMENT TO THE HEWLETT-PACKARD COMPANY WORKFORCE REDUCTION PLAN As amended and restated May 25, 2012

Summary of amendment: Provides credit for employees of Autonomy, Inc. for periods of continuous service with Autonomy under the Hewlett-Packard Workforce Reduction Plan, as amended and restated effective May 23, 2012 (the "HP WFR Plan").

WHEREAS, Section 2 of the HP WFR Plan defines the term "Year(s) of Full-Time Equivalent Service" to exclude an employee's service with a company acquired by HP for purposes of calculating benefit amounts under the HP WFR Plan, unless the agreement under which the company was acquired requires such service crediting, or the HP WFR Plan is amended to provide such credit; and

WHEREAS, the Plan Committee wishes to amend the HP WFR Plan to provide that prior continuous service with Autonomy shall be credited for those former employees of Autonomy who become employees of HP effective January 1, 2013;

NOW THEREFORE, the following sentence shall be added to the end of the definition of the term "Year(s) of Full-Time Equivalent Service" in Section 2 of the HP WFR Plan, effective January 1, 2013:

Effective January 1, 2013, employees of Autonomy, Inc. who transfer directly from Autonomy to HP on or after January 1, 2013 shall have their prior continuous service with Autonomy included for purposes of determining their "Year(s) of Full-Time Equivalent Service" under this Plan.

This First Amendment to the HP WFR Plan is hereby adopted this <u>LD</u> day of November, 2012, effective as of the date indicated above.

Α

HEWLETT-PACKARD COMPANY

Tracy S. Keouli

Executive Vice President, Human Resources

EXHIBIT B

Case 3:18-cv-00071-BEN-BLINDURGH AND HEWLETT-PACKARD COMPANY WORKFORCE REDUCTION PLAN

For employees notified on or after May 23, 2012 of inclusion in a workforce reduction

The Hewlett-Packard Company Workforce Reduction Plan (the "Plan"), as amended and restated for employee notifications occurring on or after May 23, 2012, is hereby amended, effective as of November 1, 2015, to change the name of the Plan and reflect the name change of the Plan sponsor as follows:

 Section 1 of the Plan shall be amended to add the following paragraph to the end thereof, to read as follows:

"Effective on or about November 1, 2015, the Company's name was changed to HP Inc. In connection with the corporate name change, effective November 1, 2015, the name of the Plan was changed to the HP Inc. Workforce Reduction Plan."

2. The definition of "HP" in Section 2 of the Plan shall be amended to add the following sentence to the end thereof, to read as follows:

"Effective on or about November 1, 2015, the name of the Company was changed to HP Inc."

3. The definition of "Plan" in Section 2 of the Plan shall be amended to add the following sentence to the end thereof, to read as follows:

"Effective November 1, 2015, the name of the Plan was changed to the HP Inc. Workforce Reduction Plan."

This Fourth Amendment is executed this $\boxed{9}$ day of December, 2016, to be effective as of the date indicated above.

HP INC. PLAN COMMITTEE

Cheryl Mohr

HP Inc. Plan Committee and

Senior Vice President, Human Resources

HP Inc.

В

18

EXHIBIT C

From: HRGS_WFRDocumentation Sent: Friday, June 02, 2017 7:16 PM

To: bryfon2030@gmail.com

Subject: HP Inc: Important Legal Documents. Action required Bryant M Fonseca

US HR Global Services



Timing of execution of release agreement and Attachment A

Dear Bryant M,

You were previously notified in writing of your placement into the HP Workforce Reduction Plan (the "Plan") or your separation by MSA. The following attachments are your legal and informational documents related to these workforce reduction programs:

• Release agreement:

Depending on your level or role at HP you have been provided a specific release agreement applicable to those employees placed into, and terminated under, the guidelines of (the Plan) or HP's MSA program. In order to be eligible to receive severance, the release agreement that you have been provided must be printed, signed and returned to HP after your termination date but within 60 calendar days of your termination date. Electronic (email) copies will not be accepted.

• Attachment A (if age forty or over)

If you are age 40 or over, you will also receive Attachment A, which is a notice required under the Older Workers

Benefit Protection Act (OWBPA). Please refer to your release agreement for additional information related to this notice. This document does not need to be returned to HP.

If you are under age 40, there will not be an Attachment A provided.

Please review all of the materials. If you still require additional information or answers to your questions, please feel free to email us at HRGS AMS FWFM (https://exams.gwfm.dm@hp.com), and include your complete name and employee number.

Please confirm receipt of this email.

Regards

HP Inc.

US HR Global Services

C

LITTLER MENDELSON, P.C. 501 W. Broadway Suile 900 San Diego, CA 92101.3577 619.232.0441

- 1	
1	1. DEFENDANTS HP INC. AND ENTERPRISE SERVICES LLC NOTICE TO FEDERAL COURT OF REMOVAL OF CIVIL ACTION w/Exhibits
2	A and B
3	2. CIVIL COVER SHEET
4	3. DECLARATION OF KHATEREH S. FAHIMI IN SUPPORT OF NOTICE OF REMOVAL w/Exhibit C
5	4. DECLARATION OF KIM ORTOLANI IN IN SUPPORT OF
6	DEFENDANTS' REMOVAL OF ACTION TO FEDERAL COURT w/ exhibits
7	exilibits
8,	on the parties in this action addressed as follows:
9	JEFFREY L. HOGUE Attorneys for Plaintiff TYLER J. BELONG BRYANT FONSECA
10	ERIK A. DOS SANTOS
11	HOGUE & BELONG 170 Laurel Street
12	San Diego, CA 92101 Tel.: (619) 238-4720
13	Fax: (619) 238-5260
14	BY U.S. MAIL: I placed a true and correct copy of the above document(s) in a
15	sealed envelope, addressed as indicated above. I am readily familiar with the firm's
16	practice of collection and processing correspondence for mailing. It is deposited with
17	the U.S. Postal Service on that same day in the ordinary course of business with postage thereon fully prepaid. I am aware that on motion of party served, service is
18	presumed invalid if postal cancellation date or postage meter date is more than one
19	day after date of deposit for mailing in affidavit.
20	I declare under penalty of perjury under the laws of the State of
21	California that the foregoing is true and correct and that this declaration was executed on January 11, 2018 at San Diego, California.
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24	Ann Posthill
25	Firmwide:152234575.1 086660.1015
26	
27	
28 SON, P.C.	7 Casa No

LITTLER MENDELSON, P.C. 501 W. Broadway Suite 900 San Diego, CA 92101.3577 619.232.0441

2.

Case No.____

EXHIBIT B

EXHIBIT B

12/13'17 @ 2:50pm

SUM-100

SUMMONS (CITACION JUDICIAL)

NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

HEWLETT-PACKARD COMPANY, a Delaware Corporation; HP ENTERPRISE SERVICES, LLC, a Delaware Limited Liability Company; HP, Inc., a Delaware corporation; and DOES 1-100, inclusive

YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):

BRYANT FONSECA, an individual, on behalf of himself and all others similarly situated, and on behalf of the general public

FOR COURT USE ONLY

ELECTRONICALLY FILED Superior Court of California. County of San Diego

11/30/2017 at 10:38:00 AM

Clerk of the Superior Court By Rhonda Babers Deputy Clerk

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information below.

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filling fee, ask the court clerk for a fee walver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcelifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/salfhelp), or by contacting your local court or county bar association. NOTE: The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case. ¡AVISO! Lo han demandado. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carte o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formularlo que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la bíblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte quo lo dó un formulario do exención de pago de cuotas. Si no presenta su respuesta a tiempo, puedo perder el case por incumplimiente y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia.

Hay otros regulsitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llemar a un servicio de remisión à abogados. Si no puede pagar a un abogado, os posiblo que cumpla con los requisitos para obtonor corvicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sillo web de California Legal Services, (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.ca.gov) o ponióndoso en contacto con la corte e el colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a reclamer las cuotas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desachar el caso.

The name and address of the court is: (El nombre y dirección de la corte es): Superior Court of San Diego

CASE NUMBER

330 W. Broadway

San Diego, CA 92101

The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attorney, is: (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es): Jeffrey L. Hogue, Hogue & Belong, 170 Laurel Street, San Diego, Ca 92101, 619-238-4720

DATE: (Fecha)	12/08/2017	Clerk, by (Secretario)	K Beubrs R. Babers	, Deputy (Adjunto)
(For proof	of service of this summons, use Proof of Service of	Summons (form POS-0	0101.)	

(Fecha)	12/06/20 17		(Secretario)	R. Babers	(Adjunto)
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12/13/<u>17</u>

Page 1 of 1

37-2017-00045630-CU-WT-CTL

12/13/17 @ 2:50pm

SUM-100

SUMMONS (CITACION JUDICIAL)

NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

HEWLETT-PACKARD COMPANY, a Delaware Corporation; HP ENTERPRISE SERVICES, LLC, a Delaware Limited Liability Company; HP, Inc., a Delaware corporation and DOES 1-100, inclusive

YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):

BRYANT FONSECA, an individual, on behalf of himself and all others similarly situated, and on behalf of the general public

FOR COURT USE ONLY

ELECTRONICALLY FILED

Superior Court of California. County of San Diego

11/30/2017 at 10:38:00 AM

Clerk of the Superior Court By Rhonda Babers, Deputy Clerk

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information below

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfheip), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee walver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

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Hay otros regulsitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrer estos grupos sin fines de lucro en el sitio web de California Legal Servicies, (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.ca.gov) o poniéndose en contacto con la corte o el colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a reclamar las cuotas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

The name and addres	s of the court is:	1			
(El nombre y dirección	de la corte es):	Superior	Court	of San	Diego

330 W. Broadway

CASE NUMBER:

37-2017-00045830-CU-WT-CTL

, Deputy

(Adjunto)

San Diego, CA 92101

The name, address, and telephone number of plaintliff's attorney, or plaintliff without an attorney, is: (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es): Jeffrey L. Hogue, Hogue & Belong, 170 Laurel Street, San Diego, Ca 92101, 619-238-4720

Clerk, by DATE: 12/08/2017 (Fecha) (Secretario)

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).) (Pera prueba de entrega de esta citatión use el formularlo Proof of Service of Summons, (POS-010)).

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CCP	2 416.40 (association or partnership)		CCP 416.90 (authorized person)	
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12/13/17 @ 2:50pm

SUM-100

SUMMONS (CITACION JUDICIAL)

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FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)

ELECTRONICALLY FILED

Superior Court of California. County of San Diego

11/30/2017 at 10:38:00 AM

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The name and address of the court is: (El nombre y dirección de la corte es): Superior Court of San Diego

CASE NUMBER: 37-2017-00045630-CU-WT-CTL

330 W. Broadway San Diego, CA 92101

The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attorney, is: (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es):

Jeffrey L. Hogue, Hogue & Belong, 170 Laurel Street, San Diego, Ca 92101, 619-238-4720

DATE: (Fecha)	12/08/2017		Clerk, by (Secretario)	R. Bubrs	, Deputy (Adjunto
(For proof	f of service of this s	ummons, use Proof of Ser	vice of Summons (form POS-0	10).)	
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r		NOTICE TO THE PER	RSON SERVED: You are serve	od .	
(SEAL)		1. as an individ	ual defendant.		
	Court of	2. as the person	n sued under the fictitious name	e of (specify):	

(SEAL)		-		
1	ST CON	ri of		
13	R	F -	1	1
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1.6		N.		1
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	V.	184		

3. X on beha	HP, Inc., a Delaware co alf of (specify):	rpora	ation
under: X	CCP 416.10 (corporation) CCP 416.20 (defunct corporation) CCP 416.40 (association or partnership) other (specify):		CCP 416.60 (minor) CCP 416.70 (conservatee) CCP 416.90 (authorized person)

by personal delivery on (date): 12/13 17

Case 3:18-cv-00071-BEN-BLM Document 1-6 Filed 01/11/18 PageID.79 Page 5 of 11

		CM-010
ATTORNEY OR PARTY WITHOUT ATTORNEY (Namo, State Bar of Jeffrey L. Hogue, SBN: 234557	number, and address):	FOR COURT USE ONLY
Tyler J. Belong, SBN: 234543		
170 Laurel Street San Diego, CA 92101		
TELEPHONE NO.: 619-238-4720	FAX NO.: 619-238-5260	ELECTRONICALLY FILED
ATTORNEY FOR (Name): Plaintiff, Bryant Fons		Superior, Court of California, County of San Diego
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Sal STREET ADDRESS: 330 W. Broadway	n Diego	
MAILING ADDRESS: 330 VV. DIORUWAY		11/29/2017 at 03:21:14 PM
CITY AND ZIP CODE: San Diego, 92101		Clerk of the Superior Court By Bruce Follis, Deputy Clerk
BRANCH NAME: Hall of Justice - Centr	ral	*ay/midde/rollis,Deputy Oterk
CASE NAME:	_	
Bryant Fonseca v. Hewlett-Packard (Company, et al.	
CIVIL CASE COVER SHEET	Complex Case Designation	CASE NUMBER: 37-2017-00045630-CU-WT-CTL
Unlimited Limited	Counter Joinder	
(Amount (Amount demanded is	Filed with first appearance by defend	dant JUDGE: Judge Timothy Taylor
exceeds \$25,000) \$25,000 or less)	(Cal. Rules of Court, rule 3.402)	DEPT:
Items 1–6 beld	ow must be completed (see instructions	
1. Check one box below for the case type that		Broadstandling and the first transfer
Auto Tort	Contract Breach of contract/uprmpty (06)	Provisionally Complex Civil Litigation (Cal. Rules of Court, rules 3.400–3.403)
Auto (22)	Breach of contract/warranty (06) Rule 3.740 collections (09)	Antitrust/Trade regulation (03)
Uninsured motorist (46) Other PI/PD/WD (Personal Injury/Property	Other collections (09)	Construction defect (10)
Damage/Wrongful Death) Tort	Insurance coverage (18)	Mass tort (40)
Asbestos (04)	Other contract (37)	Securities litigation (28)
Product liability (24)	Real Property	Environmental/Toxic tort (30)
Medical malpractice (45)	Eminent domain/inverse	Insurance coverage dalms adsign from the
Olher PI/PD/WD (23)	condemnation (14)	above listed provisionally complex case types (41)
Non-PI/PD/WD (Other) Tort	Wrongful eviction (33) Other real property (26)	Enforcement of Judgment
Business tort/unfair business practice (07)	Unlawful Detainer	Enforcement of judgment (20)
Civil rights (08) Defamation (13)		Miscellaneous Civil Complaint
Fraud (16)	Residential (32)	RICO (27)
Intellectual property (19)	Drugs (38)	Other complaint (not specified above) (42)
Professional negligence (25)	Judicial Review	Miscellaneous Civil Petition
Other non-PI/PD/WD tort (35)	Asset forfeiture (05)	Partnership and corporate governance (21)
Employment	Petition re: arbitration award (11)	Other pellition (not specified above) (43)
Wrongful termination (36)	Writ of mandate (02)	
Other employment (15)	Other judicial review (39)	
2. This case is is not is factors requiring exceptional judicial manag	lex under rule 3,400 of the California Ru	les of Court. If the case is complex, mark the
a. Large number of separately repres	The state of the s	r of witnesses
b. Extensive motion practice raising d		with related actions pending in one or more court
issues that will be time-consuming		ies, states, or countries, or In a federal court
c. Substantial amount of documentar		ostjudgment judicial supervision
_	·	
 Remedies sought (check all that apply): a.l. Number of causes of action (specify): 7 	✓ monetary b. ✓ nonmonetary; c	declaratory or injunctive relief c. punitive
 4. Number of causes of action (specify): 7 5. This case	s action puit	
6. If there are any known related cases, file ar		nav use form CM-015)
Date: November 29, 2017	1	11
Jeffrey L. Hogue	. \ \ \	1/ h.1/
(TYPE OR PRINT NAME)		IGNATURE OF PARTY OR ATTORNEY FOR PARTY)
	NOTICE	- Consent annul alsi
 Plaintiff must file this cover sheet with the fill under the Probate Code, Family Code, or W 		g (except small claims cases or cases filed es of Court, rule 3.220.) Fallure to file may result
in sanctions.	, ,	on or other transportation of the may result
• File this cover sheet in addition to any cove		universal company of the company of
 If this case is complex under rule 3.400 et s other parties to the action or proceeding. 	eq. of the Camomia Rules of Court, you	must serve a copy of this cover sheet on all
Unless this is a collections case under rule:	3.740 or a complex case, this cover she	et will be used for statistical purposes only.
1		Page 1 of 2

CM-010

INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET

To Plaintiffs and Others Filing First Papers. If you are filing a first paper (for example, a complaint) in a civil case, you must complete and file, along with your first paper, the Civil Case Cover Sheet contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check one box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in Item 1. check the more specific one. If the case has multiple causes of action, check the box that best indicates the primary cause of action, To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party. its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

To Parties in Rute 3.740 Collections Cases. A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, arising from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property, (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3.740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

To Parties in Complex Cases. In complex cases only, parties must also use the Civil Case Cover Sheet to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3.400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in Items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiff's designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that the case is complex.

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Auto Tort
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Auto (22)-Personal Injury/Property Damage/Wrongful Death Uninsured Motorist (46) (if the case involves an uninsured motorist claim subject to arbitration, check this item Instead of Auto)

Other PI/PD/WD (Personal injury/ Property Damage/Wrongful Death)

Asbestos (04) Asbestos Property Damage Asbestos Personal Injury/ Wrongful Death

Product Liability (not asbestos or toxic/environmental) (24) Medical Malpractice (45)

Medical Malpractice-

Physicians & Surgeons Other Professional Health Care

Malpractice

Other PI/PD/WD (23) Premises Liability (e.g., stip

and fall) Intentional Bodily Injury/PD/WD

(e.g., assault, vandalism) Intentional Infliction of

Emotional Distress Negligent Infliction of **Emotional Distress**

Other PI/PD/WD

Non-PI/PD/WD (Other) Tort

Business Tort/Unfair Business Practice (07)

Civil Rights (e.g., discrimination, false arrest) (not civil

harassment) (08)

Defamation (e.g., slander, libel)

(13)Fraud (16)

Intellectual Property (19) Professional Negligence (25)

Legal Maipractice Other Professional Malpractice (not medical or legal)

Other Non-PI/PD/WD Tort (35)

Employment

Wrongful Termination (36) Other Employment (15)

CASE TYPES AND EXAMPLES

Contract

Breach of Contract/Warranty (06) Breach of Rental/Lease

Contract (not unlawful detainer or wronaful eviction) Contract/Warranty Breach-Seller

Plaintiff (not fraud or negligence) Negligent Breach of Contract/

Warranty

Other Breach of Contract/Warranty

Collections (e.g., money owed, open book accounts) (09)

Collection Case-Seller Plaintiff Other Promissory Nate/Collections

Case Insurance Coverage (not provisionally complex) (18)

Auto Subrogation

Other Coverage

Other Contract (37) Contractual Fraud

Other Contract Dispute

Real Property

Eminent Domain/Inverse Condemnation (14)

Wrongful Eviction (33)

Other Real Property (e.g., quiet title) (26) Writ of Possession of Real Property

Mortgage Foreclosure

Quiet Title

Other Real Property (not eminent domain, landlord/tenant, or

foreclosure)

Unlawful Detainer

Commercial (31)

Residential (32)

Drugs (38) (if the case involves lilegal drugs, check this item; otherwise, report as Commercial or Residential)

Judicial Review

Asset Forfelture (05)

Petition Re: Arbitration Award (11) Writ of Mandate (02)

Writ-Administrative Mandamus Writ-Mandamus on Limited Court

Case Matter

Writ-Other Limited Court Case

Review

Other Judicial Review (39)
Review of Health Officer Order Notice of Appeal-Labor Commissioner Appeals

Provisionally Complex Civil Litigation (Cal. Rules of Court Rules 3,400~3,403)

Antitrust/Trade Regulation (03) Construction Defect (10)

Claims Involving Mass Tort (40) Securities Litigation (28)

Environmental/Toxic Tort (30)

Insurance Coverage Claim's

(arising from provisionally complex case type listed above) (41)

Enforcement of Judgment

Enforcement of Judgment (20)

Abstract of Judgment (Out of County)

Confession of Judgment (non-

domestic relations) Sister State Judgment

Administrative Agency Award (not unpaid taxes)

Petition/Certification of Entry of

Judgment on Unpald Taxes Other Enforcement of Judgment Case

Miscellaneous Civil Complaint **RICO (27)**

Other Complaint (not specified above) (42)

Declaratory Relief Only Injunctive Relief Only (non-

harassment)

Mechanics Lien

Other Commercial Complaint Case (non-tort/non-complex)

Other Civil Complaint

(non-tart/non-complex)

Miscellaneous Civil Petition Partnership and Corporate

Governance (21)

Other Petition (not specified above) (43)

Civil Harassment

Workplace Violence Elder/Dependent Adult

Abuse **Election Contest**

Petition for Name Change Petition for Relief From Late

Claim Other Civil Petition

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO

STREET ADDRESS:

330 W Broadway

MAILING ADDRESS:

330 W Broadway

CITY AND ZIP CODE: San Diego, CA 92101-3827

BRANCH NAME:

Central TELEPHONE NUMBER: (619) 450-7072

PLAINTIFF(S) / PETITIONER(S):

Bryant Fonseca

DEFENDANT(S) / RESPONDENT(S): Hewlett-Packard Company et.al.

FONSECA VS HEWLETT-PACKARD COMPANY (IMAGED)

NOTICE OF CASE ASSIGNMENT

and CASE MANAGEMENT CONFERENCE

CASE NUMBER:

37-2017-00045630-CU-WT-CTL

CASE ASSIGNMENT

Judge: Timothy Taylor

Department: C-72

COMPLAINT/PETITION FILED: 11/29/2017

TYPE OF HEARING SCHEDULED

DATE

TIME

DEPT

JUDGE

Civil Case Management Conference

05/04/2018

09:45 am

C-72

Timothy Taylor

A case management statement must be completed by counsel for all parties or self-represented litigants and timely filed with the court at least 15 days prior to the initial case management conference. (San Diego Local Rules, Division II, CRC Rule 3,725).

All counsel of record or parties in pro per shall appear at the Case Management Conference, be familiar with the case, and be fully prepared to participate effectively in the hearing, including discussions of ADR* options.

IT IS THE DUTY OF EACH PLAINTIFF (AND CROSS-COMPLAINANT) TO SERVE A COPY OF THIS NOTICE WITH THE COMPLAINT (AND CROSS-COMPLAINT), THE ALTERNATIVE DISPUTE RESOLUTION (ADR) INFORMATION FORM (SDSC FORM #CIV-730), A STIPULATION TO USE ALTERNATIVE DISPUTE RESOLUTION (ADR) (SDSC FORM #CIV-359), AND OTHER DOCUMENTS AS SET OUT IN SDSC LOCAL RULE 2.1.5.

ALL COUNSEL WILL BE EXPECTED TO BE FAMILIAR WITH SUPERIOR COURT RULES WHICH HAVE BEEN PUBLISHED AS DIVISION II, AND WILL BE STRICTLY ENFORCED.

TIME STANDARDS: The following timeframes apply to general civil cases and must be adhered to unless you have requested and been granted an extension of time. General civil cases consist of all civil cases except: small claims proceedings, civil petitions, unlawful detainer proceedings, probate, guardianship, conservatorship, juvenile, parking citation appeals, and family law proceedings.

COMPLAINTS: Complaints and all other documents listed in SDSC Local Rule 2.1.5 must be served on all named defendants.

DEFENDANT'S APPEARANCE: Defendant must generally appear within 30 days of service of the complaint. (Plaintiff may stipulate to no more than 15 day extension which must be in writing and filed with the Court.) (SDSC Local Rule 2.1.6)

JURY FEES: In order to preserve the right to a jury trial, one party for each side demanding a jury trial shall pay an advance jury fee in the amount of one hundred fifty dollars (\$150) on or before the date scheduled for the initial case management conference in the action.

COURT REPORTERS: Court reporters are not provided by the Court in Civil cases. See policy regarding normal availability and unavailability of official court reporters at www.sdcourt.ca.gov.

*ALTERNATIVE DISPUTE RESOLUTION (ADR): THE COURT ENCOURAGES YOU TO CONSIDER UTILIZING VARIOUS ALTERNATIVES TO TRIAL, INCLUDING MEDIATION AND ARBITRATION, PRIOR TO THE CASE MANAGEMENT CONFERENCE. PARTIES MAY FILE THE ATTACHED STIPULATION TO USE ALTERNATIVE DISPUTE RESOLUTION (SDSC FORM #CIV-359).



Superior Court of California County of San Diego

NOTICE OF ELIGIBILITY TO eFILE AND ASSIGNMENT TO IMAGING DEPARTMENT

This case is eligible for eFiling. Should you prefer to electronically file documents, refer to General Order in re procedures regarding electronically imaged court records, electronic filing, and access to electronic court records in civil and probate cases for rules and procedures or contact the Court's eFiling vendor at www.onelegal.com for information.

This case has been assigned to an Imaging Department and original documents attached to pleadings filed with the court will be imaged and destroyed. Original documents should not be filed with pleadings. If necessary, they should be lodged with the court under California Rules of Court, rule 3.1302(b).

On August 1, 2011 the San Diego Superior Court began the Electronic Filing and Imaging Pilot Program ("Program"). As of August 1, 2011 in all new cases assigned to an Imaging Department all filings will be imaged electronically and the electronic version of the document will be the official court file. The official court file will be electronic and accessible at one of the kiosks located in the Civil Business Office and on the Internet through the court's website.

You should be aware that the electronic copy of the filed document(s) will be the official court record pursuant to Government Code section 68150. The paper filing will be imaged and held for 30 days. After that time it will be destroyed and recycled. Thus, you should not attach any original documents to pleadings filed with the San Diego Superior Court. Original documents filed with the court will be imaged and destroyed except those documents specified in California Rules of Court, rule 3.1806. Any original documents necessary for a motion hearing or trial shall be lodged in advance of the hearing pursuant to California Rules of Court, rule 3.1302(b).

It is the duty of each plaintiff, cross-complainant or petitioner to serve a copy of this notice with the complaint, cross-complaint or petition on all parties in the action.

On all pleadings filed after the initial case originating filing, all parties must, to the extent it is feasible to do so, place the words "IMAGED FILE" in all caps immediately under the title of the pleading on all subsequent pleadings filed in the action.



SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO

ALTERNATIVE DISPUTE RESOLUTION (ADR) INFORMATION

CASE NUMBER: 37-2017-00045630-CU-WT-CTL

CASE TITLE: Fonseca vs Hewlett-Packard Company [IMAGED]

<u>NOTICE</u>: All plaintiffs/cross-complainants in a general civil case are required to serve a copy of the following three forms on each defendant/cross-defendant, together with the complaint/cross-complaint:

- (1) this Alternative Dispute Resolution (ADR) Information form (SDSC form #CIV-730),
- (2) the Stipulation to Use Alternative Dispute Resolution (ADR) form (SDSC form #CIV-359), and
- (3) the Notice of Case Assignment form (SDSC form #CIV-721).

Most civil disputes are resolved without filing a lawsuit, and most civil lawsuits are resolved without a trial. The courts, community organizations, and private providers offer a variety of Alternative Dispute Resolution (ADR) processes to help people resolve disputes without a trial. The San Diego Superior Court expects that litigants will utilize some form of ADR as a mechanism for case settlement before trial, and it may be beneficial to do this early in the case.

Below is some information about the potential advantages and disadvantages of ADR, the most common types of ADR, and how to find a local ADR program or neutral. A form for agreeing to use ADR is attached (SDSC form #CIV-359).

Potential Advantages and Disadvantages of ADR

ADR may have a variety of advantages or disadvantages over a trial, depending on the type of ADR process used and the particular case:

Potential Advantages

- Saves time
- · Saves money
- Gives parties more control over the dispute resolution process and outcome
- · Preserves or improves relationships

Potential Disadvantages

- May take more time and money if ADR does not resolve the dispute
- Procedures to learn about the other side's case (discovery), jury trial, appeal, and other court protections may be limited or unavailable

Most Common Types of ADR

You can read more information about these ADR processes and watch videos that demonstrate them on the court's ADR webpage at http://www.sdcourt.ca.gov/adr.

Mediation: A neutral person called a "mediator" helps the parties communicate in an effective and constructive manner so they can try to settle their dispute. The mediator does not decide the outcome, but helps the parties to do so. Mediation is usually confidential, and may be particularly useful when parties want or need to have an ongoing relationship, such as in disputes between family members, neighbors, co-workers, or business partners, or when parties want to discuss non-legal concerns or creative resolutions that could not be ordered at a trial.

Settlement Conference: A judge or another neutral person called a "settlement officer" helps the parties to understand the strengths and weaknesses of their case and to discuss settlement. The judge or settlement officer does not make a decision in the case but helps the parties to negotiate a settlement. Settlement conferences may be particularly helpful when the parties have very different ideas about the likely outcome of a trial and would like an experienced neutral to help guide them toward a resolution.

Arbitration: A neutral person called an "arbitrator" considers arguments and evidence presented by each side and then decides the outcome of the dispute. Arbitration is less formal than a trial, and the rules of evidence are usually relaxed. If the parties agree to binding arbitration, they waive their right to a trial and agree to accept the arbitrator's decision as final. With nonbinding arbitration, any party may reject the arbitrator's decision and request a trial. Arbitration may be appropriate when the parties want another person to decide the outcome of their dispute but would like to avoid the formality, time, and expense of a trial.

Other ADR Processes: There are several other types of ADR which are not offered through the court but which may be obtained privately, including neutral evaluation, conciliation, fact finding, mini-trials, and summary jury trials. Sometimes parties will try a combination of ADR processes. The important thing is to try to find the type or types of ADR that are most likely to resolve your dispute. Be sure to learn about the rules of any ADR program and the qualifications of any neutral you are considering, and about their fees.

Local ADR Programs for Civil Cases

Mediation: The San Diego Superior Court maintains a Civil Mediation Panel of approved mediators who have met certain minimum qualifications and have agreed to charge \$150 per hour for each of the first two (2) hours of mediation and their regular hourly rate thereafter in court-referred mediations.

On-line mediator search and selection: Go to the court's ADR webpage at www.sdcourt.ca.gov/adr and click on the "Mediator Search" to review individual mediator profiles containing detailed information about each mediator including their dispute resolution training, relevant experience, ADR specialty, education and employment history, mediation style, and fees and to submit an on-line Mediator Selection Form (SDSC form #CIV-005). The Civil Mediation Panel List, the Available Mediator List, individual Mediator Profiles, and Mediator Selection Form (CIV-005) can also be printed from the court's ADR webpage and are available at the Mediation Program Office or Civil Business Office at each court location.

Settlement Conference: The judge may order your case to a mandatory settlement conference, or voluntary settlement conferences may be requested from the court if the parties certify that: (1) settlement negotiations between the parties have been pursued, demands and offers have been tendered in good faith, and resolution has failed; (2) a judicially supervised settlement conference presents a substantial opportunity for settlement; and (3) the case has developed to a point where all parties are legally and factually prepared to present the issues for settlement consideration and further discovery for settlement purposes is not required. Refer to SDSC Local Rule 2.2.1 for more information. To schedule a settlement conference, contact the department to which your case is assigned.

Arbitration: The San Diego Superior Court maintains a panel of approved judicial arbitrators who have practiced law for a minimum of five years and who have a certain amount of trial and/or arbitration experience. Refer to SDSC Local Rules <u>Division II, Chapter III</u> and Code Civ. Proc. § 1141.10 et seq or contact the Arbitration Program Office at (619) 450-7300 for more information.

More information about court-connected ADR: Visit the court's ADR webpage at www.sdcourt.ca.gov/adr or contact the court's Mediation/Arbitration Office at (619) 450-7300.

Dispute Resolution Programs Act (DRPA) funded ADR Programs: The following community dispute resolution programs are funded under DRPA (Bus. and Prof. Code §§ 465 et seq.):

- In Central, East, and South San Diego County, contact the National Conflict Resolution Center (NCRC) at www.ncrconline.com or (619) 238-2400.
- In North San Diego County, contact North County Lifeline, Inc. at www.nclifeline.org or (760) 726-4900.

Private ADR: To find a private ADR program or neutral, search the Internet, your local telephone or business directory, or legal newspaper for dispute resolution, mediation, settlement, or arbitration services.

Legal Representation and Advice

To participate effectively in ADR, it is generally important to understand your legal rights and responsibilities and the likely outcomes if you went to trial. ADR neutrals are not allowed to represent or to give legal advice to the participants in the ADR process. If you do not already have an attorney, the California State Bar or your local County Bar Association can assist you in finding an attorney. Information about obtaining free and low cost legal assistance is also available on the California courts website at www.courtinfo.ca.gov/selfhelp/lowcost.

SUPERIOR COURT OF CALIFORNIA, COUNTY OF S	AN DIEGO		FOR COURT USE ONLY
STREET ADDRESS: 330 West Broadway	• • • • • • • • • • • • • • • • • • • •		
MAILING ADDRESS: 330 West Broadway			
CITY, STATE, & ZIP CODE: San Diego, CA 92101-3827			
BRANCH NAME: Central			
DISACTIVANE.			
PLAINTIFF(S): Bryant Fonseca			
DEFENDANT(S): Hewlett-Packard Company et.al.			
SHORT TITLE: FONSECA VS HEWLETT-PACKARD	COMPANY [IMAGED]		· <u></u>
STIPULATION TO USE AI DISPUTE RESOLUTION		I .	CASE NUMBER: 37-2017-00045630-CU-WT-CTL
Judge: Timothy Taylor		Department	: C-72
The parties and their attorneys stipulate that the matternative dispute resolution (ADR) process. Sele	natter is at issue and the ection of any of these o	e claims in this act ptions will not dela	ion shall be submitted to the following y any case management timelines.
Mediation (court-connected)	Non-binding	private arbitration	
Mediation (private)	Binding priva	ate arbitration	
Voluntary settlement conference (private)	☐ Non-binding	judicial arbitration (d	liscovery until 15 days before trial)
Neutral evaluation (private)	☐ Non-binding	judicial arbitration (d	liscovery until 30 days before trial)
Other (specify e.g., private mini-trial, private jud	lae etc.):		
_	<u> </u>		
It is also stipulated that the following shall serve as arbit			
Alternate neutral (for court Civil Mediation Program and	arbitration only):		·
Date:		Date:	
			•
Name of Plaintiff		Name of Defendar	nt
- Claratura		Chaptus	
Signature		Signature	
Name of Plaintiff's Attorney		Name of Defendan	it's Attorney
Signature		Signature	
If there are more parties and/or attorneys, please attach	additional completed and		3.
It is the duty of the parties to notify the court of any settle the court will place this matter on a 45-day dismissal cale	ement pursuant to Cal. Rul	-	
No new parties may be added without leave of court.			
IT IS SO ORDERED.			
Dated: 11/30/2017		JUC	OGE OF THE SUPERIOR COURT

ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>HP Accused of Age Discrimination in Workforce Reduction Plan</u>