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#### SUPERIOR COURT OF WASHINGTON FOR KING COUNTY

MATTHEW DOLAN, THERESA STELTER, PAUL STEPHEN, individually and on behalf of all others similarly situated, and DOES 1-76;

Plaintiffs,

v.

ALASKA AIRLINES, INC., a foreign profit corporation, and HORIZON AIR INDUSTRIES, INC., a Washington profit corporation;

Defendants.

NO. 23-2-21460-9 KNT

## COMPLAINT FOR DAMAGES AND CLASS RELIEF

Plaintiffs allege:

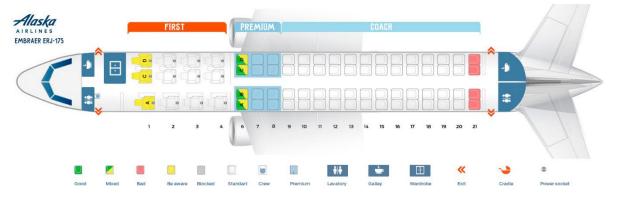
#### I. THE INCIDENT

Alaska Airlines Flight 2059. On Sunday, October 22, 2023, Alaska Airlines Flight 2059, operated by Horizon Air, departed on a regularly scheduled domestic flight from Paine Field in Everett, Washington, bound for San Francisco International Airport. In the cockpit were two Horizon Air pilots (captain and first officer), and an "off duty" Alaska Airlines pilot, Captain Joseph David Emerson, who is a large man at 6 feet 1 inch tall and weighs about 205 pounds. In the passenger compartment of the aircraft were, apparently, 81 other souls; presumed to be 79 passengers and 2 flight attendants. The aircraft was an Embraer E175 regional jet. While the flight was at cruise altitude, Emerson ripped off his earphones, announced to the entire cockpit that he

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was "not OK," and tried to crash the aircraft by activating the fire suppression system to shut down the fuel to the engines. The system is designed to close valves that supply fuel to the engines. Later, the flight crew would tell investigators that the aircraft was mere seconds away from being turned into a glider. The pilots and Emerson then wrestled for seconds over control of the aircraft before Emerson stopped and said he was okay, and left the flight deck. The crew apparently was able to open them quickly enough to restore the flow. Emerson walked from the cockpit to the rear of the aircraft, and tried to open an emergency exit door while in flight, only to be stopped by a flight attendant. A flight attendant reportedly told authorities she overheard Emerson say, "I messed everything up," and "tried to kill everybody."<sup>1</sup>



1.2 Passengers. Passengers on the plane had been experiencing a normal flight for 45 minutes to 1 hour, when the plane suddenly experienced what felt like a nosedive. A flight attendant on the intercom announced to the passengers of the plane needed to land immediately. The surprised Plaintiffs were left in the dark about what was happening. Passengers did not know what was wrong. Thoughts of a complete plane malfunction or terrorist activity naturally entered their minds. Some passengers with in-flight internet access were able to follow the flight's path from their seats in the cabin. Passengers observed Emerson walk from the cockpit to the back of

https://www.scribd.com/document/679867104/Charging-documents-in-Joseph-Emersoncase?doc\_id=679867104&download=true&order=619585705

the aircraft. There, and while still in flight, he attempted to open the cabin door. Some passengers saw the zip ties and were confused why that would be the case. At least one passenger reportedly was in contact with a sibling who used the commercial internet application Flightradar to relay to that passenger the in-flight communication updates between the cockpit and Air Traffic Control. Air Traffic Control asked the flight crew to identify the "threat level". The crew responded, "Just to give you a heads up, we've got the guy who tried to shut the engines down out of the cockpit. And he doesn't sound like he's causing any issue in the back right now and I think he is subdued. Other than that, yeah, we want law enforcement as soon as we get on the ground and parked." At some point a pilot announced to the passengers that there had been a disturbance in the cockpit.



A photo taken by passenger Aubrey Gavello shows police on Flight 2059 after it made an emergency landing in Portland, Oregon, on Sunday.

1.3 <u>Landing and arrest</u>. Fortunately, the flight crew was able to divert the flight to the Portland, Oregon airport and land the aircraft safely. Law enforcement agents boarded the plane and removed Emerson as the passengers watched. The flight attendants told passengers Emerson had a mental breakdown. Emerson was arrested. Upon Emerson's arrest, he told the FBI that he

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had not slept in 40 hours and had consumed psychedelic mushrooms 48 hours beforehand. Emerson was later charged with 167 crimes, including 83 counts of attempted murder, 83 counts of reckless endangerment and one count of endangering an aircraft.

1.4 Spin. Alaska Airlines' public statement about the incident is disappointingly selfserving. It reads, in part, "On Oct. 22, Alaska Airlines Flight 2059 operated by Horizon Air from Everett, WA (PAE) to San Francisco, CA (SFO) reported a credible security threat related to an off-duty Alaska Airlines pilot, identified as Captain Joseph Emerson, who was traveling in the flight deck jumpseat. Captain Emerson unsuccessfully attempted to disrupt the operation of the engines. The Horizon Captain and First Officer quickly responded, and the crew secured the aircraft without incident." However, the clever rhetoric attempts to soften the undeniable: An attempt to crash an airliner actually was not merely a "security threat"; an actual security breach occurred; Emerson attempted to kill everyone aboard. And the aircraft was not, in fact, secured "without incident." Rather, there was a struggle in the cockpit and later Emerson tried again to sabotage the airplane. As Alaska Airlines admits, "Our crew also confirmed that Emerson attempted to grab the handle of the emergency exit during the aircraft's descent before being stopped by a Flight Attendant." The statement goes on: "Upon exiting the flight deck, both Flight Attendants confirmed that Emerson was escorted by a Flight Attendant to the rear of the aircraft where Emerson was placed in wrist restraints and belted into the aft jumpseat. Our crew also confirmed that Emerson attempted to grab the handle of the emergency exit during the aircraft's descent before being stopped by a Flight Attendant." Clearly, in the airline's narrative, the only wrongdoer is Emerson. However, this is the defendant airlines' way of misdirecting attention not only from the fact that even while not assigned to fly but traveling in the cockpit's jumpseat, Emerson had responsibilities for aircraft safety that made him an agent of both airlines, but also

from their *own* failures of their primary responsibility as common carriers to their passengers and crew; namely, their apparent failures to even question whether he was fit to fly shortly before he was allowed to occupy thethe cockpit for the duration of the flight. Had they done so, he more likely than not would have been denied admission and could not have been in position to nearly kill everyone aboard.

#### II. PARTIES

- 2.1 <u>Plaintiff Matthew Dolan</u>. Plaintiff Matthew Dolan was a passenger on October 22, 2023, Horizon Airlines Flight 2059, departing Everett, Washington to San Francisco, California. At that time and at the commencement of this action, he was a resident of San Francisco, California.
- 2.2 <u>Plaintiff Theresa Stelter</u>. Plaintiff Theresa Stelter was a passenger on October 22, 2023, Horizon Airlines Flight 2059, departing Everett, Washington to San Francisco, California. At that time and at the commencement of this action, she was a resident of San Francisco, California.
- 2.3 <u>Plaintiff Paul Stephen</u>. Plaintiff Paul Stephen was a passenger on October 22, 2023, Horizon Airlines Flight 2059, departing Everett, Washington to San Francisco, California. At that time and at the commencement of this action, he was a resident of Kenmore, King County, Washington.
- 2.4 <u>Defendant Horizon Air Industries, Inc.</u> Defendant Horizon Air Industries, Inc. ("Horizon Air") is a corporation organized and existing under the laws of the state of Washington with its headquarters in Washington, located at 17930 International Blvd., Ste. 800, SeaTac, WA, 98188-4231. Its registered agent for service of process in Washington is Kyle Levine, 19300 International Blvd., Ste. 800, SeaTac, WA, 98188-4231. Since 1986, Horizon Airlines has been

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owned by Alaska Air Group, Inc., a holding company organized and existing under the laws of Delaware, headquartered at 19300 International Blvd., Ste. 800, SeaTac, WA, 98188-4231, which owns 100 percent of both Horizon Airlines and Alaska Airlines. Since 2011, Horizon Air has retired its brand and branded itself as part of Alaska Airlines. Horizon Airlines uses Alaska Airlines livery and logos in its advertising. Alaska Airlines credits passengers taking Horizon Airlines flights with miles in the Alaska Airlines frequent flyer program. Horizon Airlines' affiliation with Alaska Airlines gives it a competitive advantage as a regional carrier. Defendant Horizon Air's principal business is the transportation of passengers on aircraft.

2.5 Defendant Alaska Airlines, Inc. Defendant Alaska Airlines, Inc. is a corporation organized and existing under the laws of the state of Washington with its headquarters in Washington, located at 19300 International Blvd, Ste 800, SeaTac, WA, 98188-4231. registered agent for service of process in Washington is Kyle Levine, 19300 International Blvd., Ste. 800, SeaTac, WA, 98188-4231. Defendant Alaska Airlines' principal business is the transportation of passengers on aircraft.

#### III. **JURISDICTION & VENUE**

2.1 Subject matter jurisdiction. The Superior Court of the State of Washington has jurisdiction over the subject matter and persons in this action because the incident complained of arose from each Defendant and/or its agents' commission of one or more tortious acts within Washington, and/or each Defendant and/or its agents' ownership, use and/or possession of property within Washington, and the damages suffered by each Plaintiff exceeds three hundred dollars.

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2.2 <u>Personal jurisdiction</u>. Defendants are each subject to personal jurisdiction in Washington for reasons pleaded in this complaint. Each Defendant was properly served with process in this action, in accordance with Washington law.

2.3 <u>Venue</u>. Proper venue of this matter exists in the Superior Court of the State of Washington in and for King County because this cause of action or some part thereof arose in King County, Washington.

#### IV. <u>BACKGROUND</u>

3.1 Pervasive mental illness among pilots. According to an August 27, 2023, Washington Post report, "federal authorities have been investigating nearly 5,000 pilots suspected of falsifying medical records to conceal that they were receiving benefits for mental health disorders and other serious conditions that could make them unfit to fly." Some are "collecting veterans benefits that could bar them from the cockpit." And yet, most are still flying. The newspaper finds, "the inquiry has exposed long-standing vulnerabilities in the FAA's medical system for screening pilots and that the sheer number of unreported health problems presents a risk to aviation safety. While pilots must pass regular government-contracted health exams, the tests often are cursory and the FAA relies on aviators to self-report conditions that can otherwise be difficult to detect, such as depression or post-traumatic stress, according to physicians who conduct the exams." "Federal contracting records obtained by The Washington Post show the FAA's Office of Aerospace Medicine allotted \$3.6 million starting last year to hire medical experts and other staff members to reexamine certification records for 5,000 pilots who pose "potential risks to the flying public."<sup>2</sup> The Washington post quotes aviation law attorney Joseph LoRusso, "whose firm has fielded 'hundreds' of queries from military veterans under FAA scrutiny since July 2022, said it is an open

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<sup>&</sup>lt;sup>2</sup> https://www.washingtonpost.com/politics/2023/08/27/faa-pilots-health-conditions-va-benefits/

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secret that 'probably greater than 85 percent of pilots are lying on their medical forms' because they don't want to flag conditions that might drag out approval or renewal of their licenses." On information and belief, the defendant airlines were aware of these facts and/or similar concerns before Emerson boarded Flight 2059 on October 22, 2023.

- 3.2 Airline knowledge of concealed health conditions. None of this disturbing reality can be news to airlines, including Alaska Airlines and Horizon Air. Airline management is (or at least should be) also highly familiar with aviation regulations and knows that a pilot's concealment within the course and scope of the pilot's employment of conditions that disqualify the pilot from flight may lead to civil liability for the airline if that pilot injures or kills passengers. A pilot's concealment of being unfit to fly is an indisputable violation of the employer airline's duty of highest care for the safety of its passengers. The defendant airlines were aware of these facts before Emerson boarded Flight 2059 on October 22, 2023.
- 3.3 <u>Pilot confessions.</u> Not all pilots have concealed their conditions that could disqualify them. Some pilots tell one another. The Washington Post article quotes a pilot who says, know of a lot of pilots who have told me about [medical conditions] they aren't telling the FAA about[.]"<sup>3</sup> Because those pilots are agents of the airlines and their medical condition is essential to the safe performance of their employment duties, the pilots' knowledge is imputed by law to the pilots' employer airlines should it be relevant to any claim for injury the pilot may cause to an airline's passenger. The defendant airlines were aware of these facts before Emerson boarded Flight 2059 on October 22, 2023.
- 3.4 <u>Drug testing.</u> The Federal Aviation Administration is an agency of the United States Department of Transportation, which in Title 40, Subtitle A, part 40 of the Code of Federal

<sup>&</sup>lt;sup>3</sup> See note 3 above.

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Regulations, has adopted regulations governing drug and alcohol tests. These tests can include random testing before or after a flight, or testing based on reasonable suspicion. However, they do not specifically address hallucinogenic drugs such as mushrooms containing psilocybin. The defendant airlines were aware of these regulations and their scope before Emerson boarded Flight 2059 on October 22, 2023. On information and belief, the defendant airlines were aware of those regulations but did not administer to Emerson or require administration of such a test, or any test capable of detecting exposure to psilocybin, to Emerson between the time of his last medical examination and boarding Flight 2059 on October 22, 2023.

3.5 The duality of familiarity. According to Alaska Airlines, "Captain Emerson joined Alaska Air Group as a Horizon First Officer in August 2001. In June 2012, Emerson left Horizon to join Virgin America as a pilot. Emerson became an Alaska Airlines First Officer following Alaska's acquisition of Virgin America in 2016. He became an Alaska Airlines Captain in 2019. Throughout his career, Emerson completed his mandated FAA medical certifications in accordance with regulatory requirements, and at no point were his certifications denied, suspended or revoked." The education and training he received to acquire his pilot license and certifications and to serve on flight crews for Horizon Air and Alaska Airlines familiarized him with the Embraer E175 cockpit in ways not generally known to the public and that could make him a hero or a mass murderer.

3.1 <u>Knowledge of danger</u>. Emerson's self-described weeks-long struggle with mental health, his lack of sleep, and drug abuse, were known to him, and thus as a matter of law known to Defendants, immediately before he boarded Flight 2059 on October 22, 2023. Alternatively, Defendants reasonably should have known of the danger Emerson presented to Flight 2059 on October 22, 2023, because Defendants should have known of the general hazards presented by

unfit pilots, that the current regulatory system is only minimally effective in screening them out, and that at least some unfit pilots could be effectively detected at minimal cost and disruption to the airline's business and profit.

3.2 Airline drug and alcohol policies. In 2013, Mark Hutcheson, an attorney hired by Horizon Air in a matter involving a pilot the airline accused of being impaired by marijuana, has publicly stated, "Horizon is not willing to place an impaired pilot back in the cockpit," and "Doing so would violate federal law and contravene a well-settled public policy prohibiting a pilot from flying while using drugs." Alaska Airlines, and on information and belief, Horizon Air, each have "zero-tolerance" drug policies for their employees. The published Code of Ethics of their holding company, Alaska Air Group, Inc. (as revised September 2021) states:

Drugs and alcohol

To ensure public safety, we are held to a higher standard when it comes to having drugs or alcohol in our system while at work. Additionally, employees who perform certain safety-sensitive duties are subject to DOT-FAA drug and alcohol regulations and the Companies' policies, which are in many cases more stringent than DOT-FAA regulations.

There may be occasions where we serve alcohol at an AAG, Alaska, or Horizon event. If you choose to drink at these events, use good judgment. If you are planning an event, make sure you get the necessary approval.

<sup>&</sup>lt;sup>4</sup> https://www.seattlepi.com/local/article/Horizon-Air-sues-to-fire-pot-puffing-pilot-4449113.php

These guidelines also apply during:

- Business trips
- Layovers
- Functions hosted by one of our suppliers
- Other professional or community meetings

Each of the defendant airlines has also adopted a drug and alcohol policy. Even so, and despite the defendant airlines' duty to protect their passengers from unfit pilots, on information and belief, shortly before Emerson boarded Flight 2059 on October 22, 2023, neither defendant airline tested or examined him or anyone else for evidence of using psychedelics.

- 3.3 <u>Limitations of security credentials</u>. An airline pilot's cockpit-access security credential, usually issued through the Cockpit Access Security System ("CASS"), only serves to validate the pilot's employment and identity criteria. It is not proof of compliance with federal rest requirements, recent drug use, or mental fitness in the moment. This fact was known to Emerson and to the defendant airlines before Emerson boarded Flight 2059 on October 22, 2023.
- 3.4 Medical silence. Medical certificates required to certify a pilot of Emerson's age and flying responsibilities require an examination to be conducted by an Aviation Medical Examiner designated by the FAA every 6 months. It requires pilots to disclose all existing physical and psychological conditions and medications. Conditions and medications that arise after such an exam but before the next one are thus not reported to those examiners. Also, the FAA does not require Aviation Medical Examiners to review medical records outside the aviation system. A pilot's health care providers are not under a legal obligation to advise the pilot's employer that the pilot has a physical or mental condition that might impact the pilot's fitness for duty. The FAA does not require airlines to conduct their own pilot medical evaluations or any ongoing screening

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for sleep, drug use, or mental health; nor does the FAA restrict airlines from doing so. These facts were known to Emerson and to the defendant airlines before Emerson boarded Flight 2059 on October 22, 2023.

3.5 Rest mandates. Federal law for commercial airline pilots, specifically 14 CFR § 121.471, establishes strict rest mandates for commercial pilots engaged in regularly scheduled air carrier operations. For example, during any consecutive 24-hour period before the completion of the scheduled flight time, a flight crew member is required by law to have 9 consecutive hours of rest for less than 8 hours of scheduled flight time. Longer flight times require more rest.

3.6 Jumpseat. A flight deck jumpseat is an auxiliary seat generally not assigned to paying passengers, but most often used by airline crew. In most airliners, jumpseats exist in the front and/or the rear of the passenger cabin, which are generally used by flight attendants and other airline employees who are nonpaying passengers. Often one jumpseat is also in the cockpit. Airline crew and some other airline employees generally rely on these seats to transit to their assignment and to fly home. Sometimes pilots in training and their instructors use them. Federal safety and law enforcement officials use them as well.



3.7 <u>Jumpseat pilot's role</u>. A jumpseat pilot who is merely in transit is not assigned the primary duty to fly the aircraft but may be called upon to assist the flight crew fly the aircraft in an emergency (for example, a stroke or heart attack among the assigned crew, or a hijacking). According to the Airline Pilots Association, International primer on jumpseat policies:

If sitting on the flight deck, a pilot should keep his or her eyes and ears open; an additional crewmember is a valuable safety asset. Jumpseaters should turn off cell phones when entering and wear a headset. Sterile cockpit rules, which are in effect at 10,000 feet and below in the cockpit environment (and, in some cases, above 10,000 feet also), should be observed, but speak up when necessary. Reading, talking, and other nonpertinent activities are prohibited when jumpseating.

Jumpseaters may also serve as a security asset by helping with flight deck door openings and, in an extreme case, protecting the flight crew from an assault on the flight deck.

- 3.8 <u>Captain's discretion</u>. A person can be deemed fit to occupy a cockpit jumpseat only at the assigned captain's discretion. But the captain's exercise of that discretion must follow federal aviation security regulations and the airline's security policies. Given what Emerson told the cockpit crew aloft and later told investigators, it is reasonable to conclude that, more likely than not, if any of defendants' agents before the flight had asked Emerson how he was feeling, whether he had the mandated rest, whether he had taken any drugs before being allowed to fly, and whether he felt himself in his right mind, he would have made a statement that would have led the defendant airlines and/or flight's captain to bar him from the cockpit, and likely from the aircraft.
- 3.9 <u>Pilot's knowledge and experience</u>. Due to Emerson's pilot training and experience, unlike the vast majority of the 32 million revenue passengers Alaska Airlines carried in mainline operations in 2022, and presumably in similar quantities in 2023, who are barred from entering or even standing outside the cockpit during flight, Emerson could be a greater threat to aircraft safety

than nearly any other passenger could be; particularly if he was allowed into the cockpit while sleepless, mentally ill, and possibly intoxicated, or even when he apparently had not been screened by the defendant airlines to meet on-duty requirements for rest, mental fitness and sobriety.

3.10 Public relations. In an effort to reassure its future customers, Alaska Airlines' public relations statement published on its website on October 24, 2023, included these remarks: "All Gate Agents and Flight Attendants are trained to identify signs and symptoms of impairment." "At no time during the check-in or boarding process did our Gate Agents or flight crew observe any signs of impairment that would have led them to prevent Emerson from flying on Flight 2059." Alaska Airlines is "deeply disturbed by what we have learned." Those carefully worded statements conveniently sought to suggest – without demonstrable proof — not only that its gate agents and flight attendants did their jobs, but that they were sufficiently trained, equipped and directed to detect lack of rest, recent psychedelic use, or a mental illness in jumpseat pilots. Tellingly, the airline does not address those details specifically. Moreover, the airline does not state that the airline's gate agents or flight crew made any effort to determine whether Emerson was impaired in one or more of those ways. For example, the airline does not say that it inquired about his rest, his drug use, his state of mind, or even looked at him for more than a second or two, perhaps to check his identification badge and permission to fly. Obviously, Alaska Airlines failed to detect that Emerson was "impaired" by lack of sleep, intoxicants, and/or state of mind.

#### V. INCIDENT CONTEXT: THE KNOWN RISK ENVIRONMENT

5.1 <u>Sky crime</u>. Since well before September 11, 2001, the possibility that terrorists, suicidal pilots, or other rogue actors may enter a cockpit to hijack or sabotage an aircraft in flight has been well-known to the entire world.

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5.2 Hijackings. Hijackings involving cockpit intrusions too numerous to list here have occurred over the last 60 years. By one account, in the period 1968 to 1972, the world experienced a hijacking on average every 5.6 days. Numerous infamous attacks against civil aviation resulted in death and destruction. In 1998, an Air China captain disgruntled with policies and pay hijacked his own plane and flew to Taiwan. In 2014, Ethiopian Airlines Flight 702 was hijacked by its own co-pilot, who locked the pilot out of the cockpit when he went to the restroom. In 1972, the FAA mandated screening of carry-on baggage, and passenger screening soon followed, with xrays, metal detectors, and pat-downs. In 1974, a federal death penalty for air piracy was enacted. Even so, terrorism through cockpit takeovers, such as Ethiopian Airlines Flight 961 in 1996 which crashed in to the ocean, and in-flight bombings -- for example, Air India 192 over the Atlantic Ocean in 1985 and PanAm 103 over Lockerbie, Scotland in 1988 -- resulted in terrible losses of life. Furthermore, the adoption of passenger-focused security measures prioritized protection of the cockpit and minimized or even ignored the risks posed by the airline employees within it. On information and belief, all of these facts were known to the defendant airlines before Emerson boarded Flight 2059 on October 22, 2023.

Auburn Calloway. On April 7, 1994, a Federal Express flight engineer and former Navy pilot Auburn Calloway, apparently facing imminent dismissal for falsifying flight hours, obtained FedEx's permission to fly from Memphis, Tennessee to San Jose, California in the cockpit jumpseat of a McDonnell Douglas DC-10 cargo jet designated **FedEx Flight 705**. In an event famous in the commercial aircraft community, shortly after takeoff, Calloway used a sledge hammer he had brought aboard in a guitar case to bludgeon the three flight crew members in the head and body, triggering a dramatic, bloody and horrific struggle to subdue him and continue to fly the aircraft. Despite the crew's brain injuries and loss of large amounts of blood, the co-pilot

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and assigned flight engineer, joined occasionally by the pilot, struggled to hold onto the barbed end of a spear gun Calloway had aimed at them and keep him contained. They wrestled with him as the pilot flew aerobatic maneuvers in the aircraft to keep him at bay. The crew finally overpowered Calloway and were miraculously able to return to Memphis and land the aircraft safely, leaving the cockpit covered in blood, and were never able to fly again. Calloway is serving two consecutive life sentences. On information and belief, all of these facts were known to the defendant airlines before Emerson boarded Flight 2059 on October 22, 2023.

- 5.4 Jonathan J. Dunn. In August 2022, on a commercial fight, a Delta Airlines copilot Jonatha J. Dunn allegedly assaulted and threatened to shoot the pilot with a gun to prevent the pilot from diverting due to a passenger's medical event.
- 5.5 As disturbing, if not more so, airline pilots themselves have Killer pilots. intentionally killed hundreds of people, as seen in the following frightening examples, all of which were presumably known to the defendant airlines before Emerson boarded Flight 2059 on October 22, 2023:
- Suspected Pilot Suicide/Homicide-Silk Air Flight 185 (1997). Pilot locked 5.5.1 the co-pilot out of the cockpit, turned off the flight data recorder, and dove the airliner at extreme velocity until it broke apart midair and crashed into a river, killing 104 people.
- 5.5.2 Intentional Mayhem-Air Botswana ATR 42-320 incident (1999). Pilot commandeered an airliner, took off, announced his grudge against airline management and his intention to kill himself, then landed and intentionally rammed the aircraft into other aircraft at the airport, killing himself.

5	.5.3	Suspected Pilot Suicide/Homicide-Egyptair Flight 990 (1999). The first
officer turned of	f the e	engines after pilot left the cockpit and dove the airliner into the ocean, killing
217 people.		

- 5.5.4 Suspected Pilot Suicide/Homicide LAM Mozambique Airlines Flight 470 (2013). The pilot locked the co-pilot out of the cockpit and crashed the airliner, killing 33 people.
- 5.5.5 Suspected Pilot Suicide/Homicide-Malaysia Airlines Flight 370 (2014). The aircraft diverted off course, ceased communication and crashed, killing 239 people.
- 5.5.6 Pilot Suicide/Homicide-**Germanwings Flight 9525 (2015)**. The co-pilot, who had been hospitalized for depression and suicidal tendencies, and later released by a psychiatrist, locked the captain out of the cockpit and crashed the airliner into a mountain, killing 150 people.
- 5.5.7 Pilot Suicide Horizon Air Q400 (2018) In a relatively recent security breach by Defendants, a mentally disturbed Horizon Air ground service agent with no pilot experience stole a De Havilland Canada Dash 8-400 airliner from Seattle-Tacoma International Airport. After describing himself as "a broken guy; I've got a few screws loose," he eventually crashed the aircraft into a populated island in Puget Sound.
- 5.5.8 Suspected Pilot Suicide/Homicide-China Eastern Airlines Flight 5735(2022). The airliner suddenly descended, killing 132 people.
- 5.5.9 According to a **June 13, 2022 Bloomberg News analysis**, "intentional crashes [are] a leading cause of airline travel deaths." Between 2011 and 2020 pilot murder-suicides ranked as the second most prevalent cause of airline crash deaths in western-built airliners, although fatalities due to accidental causes decreased markedly.

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5.7 <u>9/11</u>. Still vivid in the minds of any person with a memory of the most horrific of intentional airline disasters is September 11, 2001, which killed nearly 3,000 people and injured over twice as many. Terrorists trained as pilots and armed with box cutters took over four airliners, American Airlines 11 and 77, and United Airlines 93 and 175; crashing two into the World Trade Center in New York City, one into the Pentagon in Washington, DC, and by virtue of heroic passengers, one into the ground at Shanksville, Pennsylvania; killing thousands of innocent people.

5.8 Cockpit security: Response to 9/11. The complacencies in airline security that allowed these events of 9/11 to happen, despite the specific warnings of a Minnesota flight school to the FBI, and despite CIA and FBI concerns about those terrorists, forced not only a wholesale re-thinking of intelligence information sharing, but as we all know, a radical tightening of airliner access security; again, focused primarily verifying identity credentials for assigned airline and airport employees and imposing physical barriers and screening for access to the aircraft and especially the cockpit, to guard against intrusion or destruction generated from within the cargo hold, passenger cabin, and/or the ramp area. Not the least of those measures was the reinforcement of cockpit doors. Less than two years after the 9/11 attacks, the FAA adopted 14 C.F.R. §§ 25.795 and 121.313. Those provisions mandated, among other things, that airlines install reinforced cockpit doors with internal locks to prevent unauthorized entry, and strong enough to minimize penetration of shrapnel from small arms fire or a fragmentation device. The rules required all new cockpit doors to "remain locked." In a compromise with airlines, the rule also stated, "Operators must develop a more stringent approval process and better identification procedures to ensure proper identification of a jump seat rider."

Open the pod bay doors, Hal. In 2007, the FAA responded to pilot needs to eat, use the lavatory, and occasionally switch crew on longer flights. The FAA permitted necessary opening of the cockpit door so long as FAA-approved procedures were followed. Those procedures suggested using crew monitoring, galley carts and/or an installed physical secondary barrier while opening the cockpit door for no more than three seconds. The FAA left to the airlines how to comply, and in 2011 an advisor to the FAA, RTCA, Inc., published guideline DO-329, entitled "Aircraft Secondary Barriers and Alternative Flight Deck Security Procedures." In 2015, the FAA published Advisory Circular 20-110, "Aircraft Secondary Barriers and Alternate Flight Deck Security Procedures." On June 1, 2023, the FAA adopted Advisory Circular 20-110A, which superseded 20-110. The new one generally reiterates the prior means of compliance, but also starting in August 2025 requires new airliners that are required to have cockpit doors to install secondary barriers that will prevent entry to the cockpit while the door is open.

5.10 <u>Complacency</u>. In describing the failure to prevent the 9/11 attacks, one airline pilot, Patrick Smith, observed, "What the men actually exploited was a weakness in our mind-set – a set of presumptions based on decades-long track record of hijackings." Unfortunately, the fortunate lack since 9/11 of an event similar in scale, possibly together with hero stories such as that of Captain Chesley Sullenberger ("Captain Sully"), who saved US Airways Flight 1549 by ditching successfully in the Hudson River in 2009 when both engines were disabled by a bird strike, has apparently lulled the defendant airlines into complacency with respect to the potential dangers presented by their own employees, or the relative infrequency of event such as those described

<sup>&</sup>lt;sup>5</sup> Smith, Patrick (2018-06-05). Cockpit confidential: everything you need to know about air travel: questions, answers & reflections (Revised and updated ed.). Naperville, Illinois. <u>ISBN 978-1492663973</u>. <u>OCLC 1039369001</u>.

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above has been regarded as a cost of doing business caused by rogue aviation industry personnel who the airlines disingenuously suggest they cannot do more to stop.

- 5.11 Reinforcement of cockpit security requirements. In apparent recognition of the ongoing need to improve protection against in-flight threats, on June 14, 2023, the FAA adopted a new cockpit security regulation, which requires new airliners to have an additional barrier to protect flight decks from intrusion when the flight deck door is open. As the rule states, "When the flightdeck door must be opened for lavatory breaks, meal service, or crew changes, the flightdeck could be vulnerable to attack. The benefit of this rule, requiring installation and use of IPSBs on airplanes in part 121 service, is to slow such an attack long enough so that an open flightdeck door can be closed and locked before an attacker could reach the flightdeck."
- 5.12 The monetization of security. Airport security screening has become utterly insufferable for all but the wealthiest among us. The screening lines are interminable. They demand hours-long advance arrival and demeaning public examination of one's body and clothes. Carry-on restrictions on bringing aboard razor blades, pocket knives, scissors, baby formula, normal shampoo containers, antiperspirant spray, jars of homemade jams and pasta sauce, and other routine household items bring to mind methods of attack that only the truly committed terrorists could exploit. Audits of TSA screening show that it has historically failed to discover up to 95 percent of prohibited items, which suggest that thousands of guns, knives other weapons and "potential weapons" each year go unnoticed. Because very few if any incidents involving those missed items have occurred, it is fair to infer that the great majority of those are in the hands of safe passengers that cause nobody any harm. More to the point here, searching for them does nothing to protect the public against those in the flightdeck who are as susceptible as anyone to

mental illness, drug abuse, and lack of sleep, and are at the aircraft controls, making them capable of killing everyone aboard without a gun, razor, or bottle of body lotion loaded with explosives.

- 5.13 Alaska Airlines privileges. Like many airlines, Alaska Airlines has chosen to push the envelope of the risk calculus in order to extract more money from a public sick of long and intrusive TSA screening. First Class fare passengers, premium fare passengers, those who have MVP or greater status in the Alaska Airlines frequent flyer club, which allows them to enter a less crowded "premium" class TSA security line at SeaTac Airport and to board the aircraft earlier than common folk. Passengers who pay the annual fee for the Alaska Airlines Visa Signature credit card enjoy "priority" boarding status as well. Sometimes Alaska Airlines give boarding privileges to passengers willing to dress in costume, such as on Halloween or National Ugly Sweater Day.
- 5.14 Relaxation of security. Ironically, while the public has been squeezing its liquids into tiny bottles and forced to buy miniature deodorants and toothpastes at inflated prices, and upcharging for exit row seats (the only reason for which is safety), the airlines have loosened their grip on in-flight security. It is no surprise that the market response has been an economic opportunity for airlines and other businesses to extract yet more money from travelers to reduce those inconveniences, by way of purchased status privileges that not only shorten security lines, but exempt them from suspicion. Wealthy and privileged populations, who in general suffer much less contact with law enforcement, are allowed to keep their shoes on, as presumably, they will not try to use them as a bomb or hide a weapon inside.
- 5.15 The rise of domestic massacres. Mass shootings are a rising epidemic. They, seem to occur everywhere and constantly in the United States, including in streets, schools, marketplaces and workplaces. That sad reality has brought to the forefront of public discussion not only the hundreds of millions of guns now available in our society, but that mental illness leading to

violence makes some individual outbursts appear predictable, but others not. There is no reason to think that whatever pathology is driving such events cannot be present in an airline employee.

5.16 Better safe than sorry. The airlines' lackadaisical reliance on the presumption of flightcrew safety, half-yearly medical exams, an ID badge and assignment, physical screening and a possible once-over look by a gate agent as sufficient to allow otherwise unchallenged entry to the cockpit by flightcrew, and especially jumpseaters, is inexplicable. Specific violent acts are generally unpredictable. Assessing mental stability of individuals with access to lethal weapons, or of people who may turn aircraft into lethal weapons, is difficult. Security screening to identify and deter bad actors before they do harm must consider the means available to them. Routine and class-based presumptions and exceptions create opportunities for bad actors. Directly questioning flightcrew seeking entry to a cockpit regarding compliance with regulations is low cost and is a reasonable expectation to increase the safety of the traveling public. Even if it does not catch all dangerous actors, it will catch some and save lives. If a grandmother in a wheelchair is forced check her knitting needles and to prove before each flight that her Pepto Bismol is in a small bottle, that her underwear does not conceal a knife, and that her comfy shoes do not contain a bomb, and anyone sitting in an airliner exit row is asked whether they are qualified and willing to assist in the event of an emergency, then trained pilots who will be on the flight deck – whether assigned to fly or not – should be asked before boarding about their required sleep and other physical and mental fitness to be trusted with the lives of those barred from access to the flight controls. If such questions had been asked of Emerson at the airport gate or in the cockpit of Flight 2059, in light of the statements he made in the air and after being arrested, it is more likely than not that he would have answered consistent with those statements and would never have been permitted aboard.

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#### VI. AGENCY

Agency. At all times and for all purposes material to this action, Emerson was an agent of both Alaska Airlines and Horizon Air, acting within the course and scope of Emerson's duties as such, and Horizon Air was an agent of Alaska Airlines acting within the course and scope of Horizon Air's duties as such. On information and belief, Horizon Air and/or Alaska Airlines authored and/or prescribed policies, procedures and practices used by Horizon Airlines to manage jumpseat passengers, cockpit access, in-flight security, and in-flight emergencies, including but not limited to mandating truthfulness in disclosing disqualifications from serving as flight crew and prevention of criminal misconduct by airline crew and/or passengers on Horizon Air flights, including but not limited to Horizon Air Flight 2059 on October 22, 2023.

### VII. <u>INJURIES & DAMAGES</u>

- 7.1 <u>Emotional distress</u>. Defendants breaches of their duties to Plaintiffs that permitted Emerson to fly in the cockpit and to attempt to sabotage Alaska Airlines Flight 2059 on October 22, 2023 caused Plaintiffs to suffer fear, anxiety and reluctance to fly.
- 7.2 <u>Physical manifestations</u>. As a direct and proximate result of Defendants' actions and omissions as described above, Plaintiffs experienced physical manifestations borne from the emotional distress that occurred including: nausea on later flights, insomnia, anxiety, and flashbacks of the incident. The impacts on their willingness to fly at all and future flight experiences remain to be revealed.
- 7.3 Other injuries and damages. As a direct and proximate result of Defendants' actions and omissions as described above, Plaintiffs suffered other special and general damages in amounts to be proved at trial, including but not limited to ticket fees, charges for evaluation and/or

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treatment of health conditions and associated travel, damages for psychological injury, lost enjoyment of life, lost travel and economic opportunities, and inconvenience.

### VIII. CAUSES OF ACTION

For causes of action based upon the foregoing allegations, Plaintiffs allege:

#### A. BREACH OF COMMON CARRIER'S DUTIES

- 8.1 Defendants Alaska Airlines and Horizon Air were by law at all times material to this action common carriers with respect to each Plaintiff.
- 8.2 Airlines and other common carriers owe their passengers the highest duty of care known to the law.
- 8.3 A common carrier has a duty to its passengers to exercise the highest degree of care consistent with the practical operation of its type of transportation and its business as a common carrier. Any failure of a common carrier to exercise such care is negligence. WPI 100.01.
- 8.4 The duty of a common carrier includes a duty to protect its passengers from harm resulting from the misconduct of others, when such conduct is known or could reasonably be foreseen and prevented by the exercise of the care required of a common carrier. For reasons described above, Emerson's misconduct was known to him and thus to the defendants Alaska Airlines and Horizon Air. Alternatively, his misconduct was reasonably foreseeable and could more likely than not have been prevented by the exercise of care required of the Defendants to ask him about his rest, drug use, and mental status. The defendant airlines' failures to do so breached their duties of highest care to their passengers aboard Flight 2059 on October 22, 2023, and each of those breaches was a proximate cause of Emerson's attempt to crash that airliner, rendering Defendants Alaska Airlines and Horizon Air directly and/or vicariously liable to Plaintiffs' injuries and damages. *See* WPI 100.01, 100.03 & 120.060.03.

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8.5 A common carrier is liable for any injury proximately caused to its passengers by any intentional harm to them by an employee of the carrier. WPI 100.04. See also WPI 120.060.03. On information and belief, Emerson intended to kill or otherwise harm those aboard Flight 2059 on October 22, 2023. Defendants Alaska Airlines and Horizon Air proximately caused and are directly and/or vicariously liable for Plaintiffs' resulting injuries and damages.

- 8.6 The actions and omissions of the Defendants Alaska Airlines and Horizon Air by and through their agents as described above breached their common carrier duties to their passengers to exercise the highest degree of care for their safety by securing the aircraft cockpit from being occupied by unqualified persons, and to prevent such persons from endangering the aircraft and its occupants in flight. Each such breach with respect to Flight 2059 on October 22, 2023 was a proximate cause of Plaintiffs' injuries and damages.
- 8.7 Alaska Airlines and Horizon Air had the highest legal duty to protect Plaintiffs' safety through educating and training the airlines' employees, including but not limited to gate agents and flightcrew members, to question and examine jumpseaters with pilot education and training, immediately prior to boarding, about whether they were qualified to perform their duties to the same extent as flightcrew, in case the flight should require their services. Each of Defendant's failures to do so with respect to Flight 2059 on October 22, 2023 was each a proximate cause of Plaintiffs' injuries and damages.
- 8.8 Defendants' highest legal duties of care to protect their passengers were breached in the various ways described above. Each of Defendants' actions and omissions constituted a breach of trust between Alaska Airlines, Horizon Air and their passengers. That breach of trust was a cause in fact and legal cause of emotional distress.

8.9 Defendants cannot claim ignorance of Emerson's condition to escape their legal responsibilities to their passengers for harm caused by his wrongdoing. While surely Emerson's duties to Alaska Airlines and to Horizon Air did not include attempting to commit mass murder, those duties did include the duty to keep the aircraft safe, and the duty to report lack of mandated rest, his drug abuse and his mental illness. Had he done so in fulfilment of his employment duty, he should have, and more likely than not would have, been barred from the cockpit of Flight 2059, such that his effort to crash the airliner would never have occurred.

#### B. CLASS RELIEF

- 8.10 The actions and omissions of the Defendants as described above breached their duties to refrain from boarding the aircraft with malicious intent or in any other state of mind that could lead to aircraft sabotage; to refrain from travel in the cockpit jumpseat while unqualified to act as a pilot if necessary and/or while in a dangerous state of mind; to report Emerson's lack of sleep, drug abuse, and mental health condition; to challenge his fitness to be in the cockpit; to educate and train their employees to prevent the foregoing breaches; and otherwise to keep their passengers safe; and were a proximate cause of Plaintiffs' injuries.
- 8.11 Plaintiffs bring this Class action pursuant to CR 23(b)(1)-(3) on behalf of the Class defined as follows: All passengers aboard Alaska Airlines Flight 2059 on October 22, 2023 who were not at that time on-duty employees of any Defendant. Pursuant to CR 23(b)(4),
- 8.12 Pursuant to CR 23(c)(4)(A), Plaintiffs bring claims on behalf of themselves and the Class to adjudicate the Defendants liabilities and to obtain the injunctive relief requested below. The extent of each individual class member's injuries and damages may be determined and resolved otherwise.
  - 8.13 Plaintiffs request a Class Notice advising the Class that Emerson is alleged to have

acted as an agent of Defendants Alaska Airlines and/or Horizon Air when he attempted to kill all aboard; that Defendants Alaska Airlines and/or Horizon Air failed to take reasonable steps to determine prior to Emerson's admission to the cockpit of Alaska Airlines Flight 2059 that he was unfit to act as a pilot in view of his then-existing non-compliance with federal rest and drug/alcohol requirements and mental health status, and that the Class may be entitled to injunctive relief, a monetary award for emotional distress, and any other applicable relief the Court sees fit and just to award.

- 8.14 <u>Numerosity</u>. The Class is believed to include approximately 79 individuals, at least some of whom reside in Washington State, but some of whom do not. The Class is so numerous that joinder of all members is impracticable. The disposition of the liability claims of the Class in a single action will provide substantial benefits to all parties and the Court.
- 8.15 <u>Commonality</u>. The Class members have questions of law and fact in common, including but not limited to:
- 8.15.1.1 The facts, federal and state law pertaining to the incident aboard Flight 2059 that is the subject of this complaint;
- 8.15.1.2 Whether Defendants breached their duties to the Plaintiffs described above;
  - 8.15.1.3 Whether injunctive relief Plaintiffs request should be ordered;
- 8.16 <u>Typicality</u>. Plaintiffs' liability claims and claims for injunctive relief are typical of the claims available to the other members of the Class and are not subject to any atypical claims or defenses. The Class liability issues are identical to the entire Class.
- 8.17 <u>Adequacy</u>. Plaintiffs will fairly and adequately represent the Class, and are committed to prosecuting this action, have no conflicts of interests, and have retained competent

counsel who are experienced civil trial lawyers with recent significant experience in complex and class action litigation and trial. Plaintiffs and their counsel are committed to prosecuting this action vigorously on behalf of the Class and have the financial resources to do so. Neither Plaintiffs nor their counsel have interests that are contrary to or that conflict with those of the proposed Class.

- 8.18 <u>Predominance</u>. The common issues identified above predominate over any individualized issues. Adjudication of these common issues in a single action has important and desirable advantages of judicial economy.
- 8.19 <u>Superiority</u>. Plaintiffs, Class members, and other travelers on Alaska Airlines and Horizon Air have suffered and will continue to suffer harm and damages as a result of Defendants' misconduct. Absent a Class action, most Class members would likely find litigation of their claims cost-prohibitive.
- 8.19.1.1 Class treatment is superior to multiple individual suits or piecemeal litigation because it conserves judicial resources, promotes consistency and efficiency of adjudication, and provides a single forum for all claims, which forum is where the defendants are at home. On information and belief, no member of the class has commenced any litigation concerning the controversy that is the subject of this action. If any members of the class are interested in individually controlling the prosecution of separate actions, they may opt out of the class. Whether such class members exist is presently unknown to Plaintiffs.
- 8.19.1.2 Class members can be identified by Defendants electronic search of Flight 2059's passenger manifest and/or from Defendants' other business records.
- 8.19.1.3 There will be no significant difficulty in the management of this case as a Class action. Once relief is granted on Class issues, the Class will be notified of the opportunity to come forward and assert individual claims for relief. Those claims can be grouped

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or sorted into subclasses as needed if they do not resolve.

### IX. PRAYER FOR RELIEF

Wherefore, Plaintiffs respectfully request this Court grant them the following joint and several relief against Defendants:

- A. Special and general damages in amounts to be proved at trial, including but not limited to ticket fees, charges for evaluation and/or treatment of health conditions and associated travel, damages for psychological injury including but not limited to emotional distress, physical pain and suffering, lost enjoyment of life, lost travel and economic opportunities, and inconvenience Defendants caused Plaintiffs.
- **B.** If a Defendant brings any frivolous or unfounded defenses, for attorneys' fees and costs pursuant to RCW 4.84.185 and/or Rule 11 of the Superior Court Civil Rules;
- **C.** Reasonable attorneys' fees and costs to the extent allowed by law;
- **D.** Pre- and post-judgment interest on any judgment awarded to Plaintiffs to the maximum extent allowed by law;
- E. Class certification;
- **F.** Class Notice of Class Member rights;
- G. Injunctive relief mandating Defendants, to the extent they operate in the state of Washington, to conduct reasonable examinations, including but not limited to specific questioning and focused observation, of all anticipated flightcrew and jumpseat passengers, immediately prior to their admission to an aircraft on which they may occupy the cockpit, regarding their compliance with federal rest and drug/alcohol requirements applicable to their aviation licenses (if any) as if they were assigned to perform their licensed duties on that flight, and their then-existing

# **ClassAction.org**

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>Alaska Airlines Lawsuit Alleges Pilot Who</u> <u>Tried to Crash Oct. 2023 Flight Should Not Have Been Allowed in Cockpit</u>