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19	UNITED STATES DISTRICT COURT		
20	NORTHERN DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION		
21	JANE DOE,		
22	Plaintiff,	Case No. 3:21-cv-03943-WHO	
23	v.	Hon. William H. Orrick	
24	ROBLOX CORPORATION,	CLASS ACTION SETTLEMENT	
25	Defendant.	AGREEMENT	
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This Class Action Settlement Agreement ("Settlement Agreement") is entered into by and among Plaintiff Jane Doe, represented by her father and next friend John Dennis ("Plaintiff"), for herself individually and on behalf of the Settlement Class, and Roblox Corporation ("Defendant"). (Plaintiff and Defendant are referred to individually as a "Party" and collectively referred to as the "Parties.") This Settlement Agreement is intended by the Parties to fully, finally, and forever resolve, discharge, and settle the Released Claims upon and subject to the terms and conditions hereof, and is subject to the approval of the Court.

RECITALS

- A. On May 25, 2021, Plaintiff filed her initial class action complaint against Defendant, who operates a gaming platform in a virtual universe, or "metaverse." (Dkt. 1.) She asserted five causes of action for violations of California's Unfair Competition Law, Consumer Legal Remedies Act, and for common law fraud, conversion, and unjust enrichment. (*Id.*) Plaintiff alleged that Roblox had a practice of disabling, or "moderating," users' access to virtual items they had obtained using virtual currency called "Robux" in Defendant's virtual marketplace without adequately refunding them. Plaintiff sought restitution and damages equivalent to the money users had spent to purchase Robux for later-deleted virtual items in addition to punitive damages.
- B. On July 23, 2021, Plaintiff A.B. filed a class action complaint, Case No. 4:21-cv-5683, against Roblox Corporation arising out of the same allegations. On August 23, 2021, the Court related the two actions. (Dkt. 14.) On October 5, 2021, Plaintiff A.B. voluntarily dismissed her claims, and counsel in both actions coordinated their efforts to prosecute the instant action filed by Plaintiff Jane Doe.
- C. On October 12, 2021, Roblox filed a motion to dismiss the complaint and to strike the class allegations and requests for monetary relief. (Dkt. 19.) Plaintiff filed her First Amended Complaint on November 2, 2021 (Dkt. 22), and in response, Roblox renewed its motion to dismiss and to strike the First Amended Complaint on December 10, 2021, reasserting its prior arguments. (Dkt. 25.) Plaintiff opposed the motion on January 20, 2022. (Dkt. 33.) Defendant filed a reply on February 4, 2022. (Dkt. 38.) A hearing was held on March 23, 2022. (Dkt. 44.)

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1. **DEFINITIONS**

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As used herein, in addition to any definitions set forth elsewhere in this Settlement Agreement, the following terms shall have the meanings set forth below:

D. The District Court denied in part and granted in part the motion to dismiss and strike on May 9, 2022. (Dkt. 48.) The Court ruled that Plaintiff had not adequately alleged a violation of the UCL's "unfair conduct" prong, but otherwise denied the motion. Roblox filed its answer to the First Amended Complaint on June 14, 2022. (Dkt. 50.)

- E. On May 12, 2022, Plaintiff served her First Set of Requests for Production of Documents. Defendant served its Responses & Objections to Plaintiff's First Set of Requests for Production on June 23, 2022. In May 2022, the Parties also began discussing a possible settlement in the case. Recognizing that the Parties were working productively towards a resolution, Plaintiff nevertheless continued working to move discovery forward. The Parties met and conferred about Roblox's responses and objections, and Plaintiff sent a follow up letter to Defendant's response to her First Set of Requests for Production on September 20, 2022.
- F. To prepare for settlement negotiations, the Parties continued to exchange information about the size of the class and the amount in controversy. Ultimately, the Parties agreed to schedule a mediation with Greg Lindstrom of Phillips ADR. The mediation was scheduled in-person in San Francisco on November 16, 2022. The Parties exchanged mediation briefing and engaged in several productive arm's length negotiations and information exchanges in the lead up to the mediation. On November 16, 2022, and with Mr. Lindstrom's assistance, the Parties were able to reach agreement on the material terms of a class-wide settlement.

NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED by and among Plaintiff, the Settlement Class, and Defendant that, subject to Court approval after a hearing as provided for in this Settlement Agreement, and in consideration of the benefits flowing to the Parties from the Settlement set forth herein, the Released Claims shall be fully and finally compromised, settled, and released, and the Action shall be dismissed with prejudice, upon and subject to the terms and conditions set forth in this Settlement Agreement.

<u>AGREEMENT</u>

- **1.1.** "**Action**" means the case captioned *Doe v. Roblox*, No. 3:21-cv-03943-WHO (N.D. Cal.).
- **1.2.** "Agreement" or "Settlement Agreement" means this Class Action Settlement Agreement.
- 1.3. "Approved Cash Claim" means a Cash Claim Form submitted by a Settlement Class Member that is (a) timely and submitted in accordance with the directions on the Cash Claim Form and the terms of this Agreement, (b) fully completed and physically or electronically signed by the Settlement Class Member, and (c) satisfies the conditions of eligibility for a Cash Payment as set forth in this Agreement.
- 1.4. "Cash Claims Deadline" means the date by which all Cash Claim Forms must be postmarked or submitted on the Settlement Website to be considered timely, and shall be set as a date no later than fifty-six (56) days following the Notice Date, subject to Court approval. The Cash Claims Deadline shall be clearly set forth in the order granting Preliminary Approval, as well as in the Notice and the Cash Claim Form.
- 1.5. "Cash Claim Form" means the document substantially in the form attached hereto as Exhibit A, as approved by the Court. The Cash Claim Form, which shall be completed by Settlement Class Members who are potentially eligible for a Cash Payment and who wish to claim a Cash Payment, shall be available in electronic format on the Settlement Website, and shall be linked to in the Notice. The Cash Claim Form will require claiming Settlement Class Members to provide at least the following information, and any additional information that the Parties and Settlement Administrator may determine is reasonably necessary to process claims and deter fraudulent submissions: (i) full name, (ii) current U.S. Mail address, (iii) current contact telephone number and email address, (iv) the Roblox account username(s) for which they are making claims, (v) a statement that they wish to receive a Cash Payment instead of Robux Relief, and (vi) a unique claim code or similar device that will be provided to potentially eligible class members by email and/or in their Roblox account messages. The Cash Claim Form will not require notarization, but will require affirmation that the information supplied is true and correct. The

electronically or by check via U.S. Mail.

online Cash Claim Form will provide the option of having settlement payments transmitted

- **1.6.** "Cash Payment" means the eligible Settlement Class Member's pro rata share of the Settlement Fund that Settlement Class Members may elect to receive *instead of* the automatic Robux Relief.
- **1.7.** "Class Counsel" means attorneys Jay Edelson, Rafey S. Balabanian, J. Eli Wade-Scott, Yaman Salahi, and P. Solange Hilfinger-Pardo of Edelson PC.
- **1.8.** "Class Representative" means the named Plaintiff in the Action, Jane Doe, represented by her father and next friend John Dennis.
- **1.9.** "Court" means the United States District Court for the Northern District of California, San Francisco Division, the Honorable William H. Orrick presiding, or any judge who shall succeed him as the Judge assigned to the Action.
 - **1.10.** "Defendant" or "Roblox" means Roblox Corporation, a Delaware corporation.
- **1.11.** "Defendant's Counsel" or "Roblox's Counsel" means attorney Anthony Weibell of Wilson Sonsini Goodrich & Rosati, P.C.
- 1.12. "Effective Date" means one business day following the later of: (i) the date upon which the time expires for filing or noticing any appeal of the Final Judgment; (ii) if there is an appeal or appeals, other than an appeal or appeals solely with respect to the Fee Award or service award, the date of completion, in a manner that finally affirms and leaves in place the Final Judgment without any material modification, of all proceedings arising out of the appeal(s) (including, but not limited to, the expiration of all deadlines for motions for reconsideration or petitions for review and/or certiorari, all proceedings ordered on remand, and all proceedings arising out of any subsequent appeal(s) following decisions on remand); or (iii) the date of final dismissal of any appeal or the final dismissal of any proceeding on certiorari with respect to the Final Judgment.
- **1.13.** "Fee Award" means the amount of attorneys' fees and reimbursement of costs to Class Counsel that is approved by the Court to be paid out of the Settlement Fund.

- **1.14.** "Final Approval Hearing" means the hearing before the Court where the Plaintiff will request that the Final Judgment be entered by the Court finally approving the Settlement as fair, reasonable, and adequate, and determining the Fee Award and the service award to the Class Representative.
- 1.15. "Final Judgment" means the final judgment to be entered by the Court confirming approval of the Settlement Class for purposes of Settlement, approving the settlement of the Action in accordance with this Settlement Agreement after the Final Approval Hearing, and dismissing the Action with prejudice.
- **1.16.** "Liaison Counsel" means attorneys Mark S. Reich and Courtney E. Maccarone of Levi & Korsinsky, LLP.
- **1.17.** "Notice" means the notice of this proposed Settlement and Final Approval Hearing, which, subject to Court approval, is to be disseminated to the Settlement Class substantially in the manner set forth in this Settlement Agreement, and which fulfills the requirements of Due Process and Federal Rule of Civil Procedure 23, and is substantially in the form of Exhibits B and C attached hereto.
- **1.18.** "Notice Date" means the date by which dissemination of the Notice to the Settlement Class is completed, which dissemination shall commence no later than twenty-eight (28) days after entry of Preliminary Approval and be completed within seven (7) days thereafter.
- 1.19. "Objection/Exclusion Deadline" means the date by which a written objection to the Settlement Agreement must be filed with the Court or a request for exclusion submitted by a person within the Settlement Class must be postmarked or received by the Settlement Administrator, which shall be designated as a date fifty-six (56) days after the Notice Date, as approved by the Court. The Objection/Exclusion Deadline will be set forth in the order granting Preliminary Approval, as well as in the Notice and on the Settlement Website.
 - **1.20.** "Plaintiff" means Jane Doe, represented by her father and next friend John Dennis.
- **1.21.** "Preliminary Approval" means the Court's Order preliminarily approving the Agreement, appointing Class Counsel, certifying and/or finding the Settlement Class is likely to

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be certified for purposes of entering the Final Judgment, and approving the form and manner of the Notice.

- 1.22. "QSF" means the amounts paid by Defendant into an escrow account from the Settlement Fund that will constitute a court-approved Qualified Settlement Fund (OSF) for federal tax purposes pursuant to Treas. Reg. § 1.468B-1 as described herein.
- "Released Claims" means any and all claims, complaints, actions, proceedings, or remedies of any kind, whether known or unknown (including, without limitation, claims for attorneys' fees and costs and "Unknown Claims" as defined below), whether in law or in equity, under contract, tort or any other subject area, or under any statute, rule, regulation, order, or law, whether federal, state, or local, on any grounds whatsoever, arising prior to the Effective Date, that were, could have been, or could be asserted by the Releasing Parties arising from or related to the deletion, removal, or moderation of virtual items obtained with Robux on the Roblox platform.
- "Released Parties" means Roblox Corporation and all of its present or former 1.24. administrators, predecessors, successors, assigns, parents, subsidiaries, holding companies, investors, sister and affiliated companies, divisions, associates, affiliated and related entities, employers, employees, agents, representatives, consultants, independent contractors, directors, managing directors, officers, partners, principals, members, attorneys, vendors, accountants, fiduciaries, financial and other advisors, investment bankers, insurers, reinsurers, employee benefit plans, underwriters, shareholders, lenders, auditors, investment advisors, and any and all present and former companies, firms, trusts, corporations, officers, and directors.
- "Releasing Parties" means Plaintiff, represented by her father and next friend, and 1.25. Settlement Class Members and their respective present or past heirs, executors, estates, administrators, assigns, and agents.
- "Robux Relief" means the pro rata portion of the Settlement Fund paid in Robux that all Settlement Class Members will be automatically entitled to (unless they are both eligible to receive and elect to receive a Cash Payment). Robux Relief will be in the form of Robux credited to a Settlement Class Member's Roblox account.

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creating and maintaining the Settlement Website, mailing checks or electronic processing of Settlement Payments, and other such related expenses and tax obligations, with all such expenses to be paid from the Settlement Fund. "Settlement Administrator" means Simpluris Inc., subject to approval of the Court,

the Settlement Administrator in or relating to administering the Settlement, providing Notice,

"Settlement Administration Expenses" means the expenses reasonably incurred by

who will provide the Notice as set forth herein, unless Defendant should otherwise agree to perform these tasks itself, create and maintain the Settlement Website, send Settlement Payments to Settlement Class Members, be responsible for tax reporting, and perform such other settlement administration matters set forth herein or contemplated by the Settlement.

1.29. "Settlement Class" means all individuals in the United States having a Roblox account prior to Preliminary Approval of this Settlement from which content on the Roblox platform was moderated and removed by Roblox. Excluded from the Settlement Class are (a) any Judge or Magistrate presiding over this action and members of their families; (b) Defendant, Defendant's subsidiaries, parents, successors, predecessors, and any entity in which Defendant or its parents have a controlling interest and its current or former employees, officers and directors; (c) persons who properly execute and file a timely request for exclusion from the Class; (d) persons whose claims in this matter have been finally adjudicated on the merits or otherwise released; (e) the legal representatives, successors, and assigns of any such excluded persons; and (f) individuals who own the accounts identified in Exhibit D. Exhibit D is a list of 311 accounts that Roblox has determined spent over 80,000 Robux (equating to over \$1,000) on moderated items and falls into one or more of these three categories: (1) the account used Robux to acquire the same virtual item multiple times, (2) the account used Robux to acquire a virtual item after that item had already been moderated, or (3) the account created a virtual item and then used Robux to acquire it themselves.

1.30. "Settlement Class Member" or "Class Member" means a person who falls within the definition of the Settlement Class and who does not submit a valid request for exclusion from the Settlement Class.

- 1.31. "Settlement Fund" means the ten million U.S. Dollars (\$10,000,000.00) non-reversionary settlement fund that shall be established by the Defendant and funded in the manner provided in this Agreement. Other than the Settlement Fund, Defendant will have no financial obligations to Class Representatives, Class Members, Class Counsel, any other attorney representing any Class Member, or the Settlement Administrator. The Settlement Fund represents the total extent of Defendant's monetary obligations under this Agreement. In no event shall Defendant's total monetary obligations with respect to this Agreement exceed the amount stated above.
- **1.32.** "Settlement Payment" means a pro rata portion of the Settlement Fund in either U.S. Dollars or Robux Relief, less any Fee Award, service award to the Class Representative, and Settlement Administration Expenses.
- **1.33.** "Settlement Website" means the website to be created, launched, and maintained by the Settlement Administrator, and which allows for the electronic submission of Cash Claim Forms and provides access to relevant settlement administration documents, including the Notice, relevant case documents, and other relevant material.
- 1.34. "Unknown Claims" means claims that could have been raised in the Action and that Plaintiff, any member of the Settlement Class or any Releasing Party, do not know or suspect to exist, which, if known by him, her or it, might affect his, her or its agreement to release the Released Parties or the Released Claims or might affect his, her or its decision to agree, to object or not to object to the Settlement. Upon the Effective Date, Plaintiff, the Settlement Class, and the Releasing Parties shall be deemed to have, and shall have, expressly waived and relinquished, to the fullest extent permitted by law, the provisions, rights and benefits of Section 1542 of the California Civil Code, which provides as follows:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED PARTY.

Upon the Effective Date, the Releasing Parties also shall be deemed to have, and shall have, waived any and all provisions, rights and benefits conferred by any law of any state or territory of the United States, or principle of common law, or the law of any jurisdiction outside of the United States, which is similar, comparable or equivalent to § 1542 of the California Civil Code. The Releasing Parties acknowledge that they may discover facts in addition to or different from those that they now know or believe to be true with respect to the subject matter of this release, but that it is their intention to finally and forever settle and release the Released Claims, notwithstanding any Unknown Claims they may have, as that term is defined in this paragraph.

2. SETTLEMENT FUND

- **2.1.** The Settlement Fund shall be funded by Defendant in two steps: (1) within 30 days of Preliminary Approval, Defendant shall pay \$3,000,000.00 into a segregated escrow account; and (2) within 60 days of the Effective Date, Defendant shall pay into the escrow account the remaining cash to be disbursed by the Settlement Administrator from the Settlement Fund (\$7,000,000.00 less the amounts being paid out in the form of Robux Relief).
- 2.2. The amounts paid into the escrow account from the Settlement Fund shall be a court-approved Qualified Settlement Fund (QSF) for federal tax purposes pursuant to Treas. Reg. § 1.468B-1. Defendant shall be the "transferor" to the QSF within the meaning of Section 1.468B-1(d)(1) of the Treasury Regulations with respect to the escrow account or any other amount transferred to the QSF pursuant to this Settlement Agreement. The Settlement Administrator shall be the "administrator" of the QSF within the meaning of Section 1.468B-2(k)(3) of the Treasury Regulations, responsible for causing the filing of all tax returns required to be filed by or with respect to the QSF, paying from the QSF any taxes owed by or with respect to the QSF, and complying with any applicable information reporting or tax withholding requirements imposed by Section 1.468B-2(l)(2) of the Treasury Regulations or any other applicable law on or with respect to the QSF. All taxes on income or interest generated by the QSF, if any, shall be paid out of the QSF.
- **2.3.** Class Counsel shall select the escrow account and the escrow bank. The escrow bank shall invest the QSF exclusively in an interest-bearing account or accounts where the principal

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will not decrease and is fully insured by the United States Government or an agency thereof, including certificates of deposit, a U.S. Treasury Fund or a bank account that is either (a) fully insured by the Federal Deposit Insurance Corporation ("FDIC") or (b) secured by instruments backed by the full faith and credit of the United States Government. The Settlement Fund escrow bank shall reinvest the proceeds of these instruments as they mature in similar instruments at their

in the QSF for distribution as set forth herein.

2.4. The Settlement Administrator will draw from the QSF to cover all cash obligations of Defendant related to this Agreement, including the expenses of the Settlement Administrator, the provision of Notice, payments to Class Members, payments to Class Representatives, Fee Awards, and any other administrative fees and expenses in connection with this Agreement; provided, however, that the Parties must approve any payments to the Settlement Administrator prior to the Settlement Administrator drawing from the QSF to cover such expenses. The Parties intend that, after the foregoing payments and disbursements are made, there will be no funds remaining in the QSF. Nonetheless, to the extent any funds remain, no portion of the QSF will be returned to Defendant except in the event this Agreement is terminated.

then-current market rates. All interest earned on the investment of funds in the QSF shall remain

- 2.5. If this Agreement is terminated or fails for any reason, the Settlement Administrator will return all funds from the QSF to Defendant within 10 days of the termination date; provided, however, that the Settlement Administrator need not return any funds already spent on notice and on reasonable Settlement Administrator expenses before the termination date.
- Neither the Released Parties nor Defendant's Counsel shall have any liability, 2.6. obligation, or responsibility with respect to the investment, disbursement, or other administration or oversight of the QSF and shall have no liability, obligation or responsibility with respect to any liability, obligation or responsibility of the Settlement Administrator, including but not limited to, liabilities, obligations or responsibilities arising in connection with the investment, disbursement or other administration of the Settlement Fund and QSF.
- 2.7. Each person or entity who receives a payment from the QSF will be solely responsible for their tax obligations. Each Class Counsel or other attorney or firm receiving a distribution

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3. CLASS MEMBER RELIEF

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27 28 from the Settlement Fund will be solely responsible for his, her, or its tax obligations. Neither Class Counsel nor Defendant make any representations regarding the tax treatment of the Settlement Fund nor will Defendant accept any responsibility for the tax treatment of the Settlement Payments received by any Settlement Class Member.

Pro Rata Allocation. After deduction of Settlement Administration Expenses, any Fee 3.1. Award, and any service award to the Class Representative, the amount remaining in the Settlement Fund (the "Net Settlement Fund") shall be allocated between the Settlement Class Members in U.S. Dollars. The individual allocation will be made proportionally based on the proportion of Robux that Settlement Class Members spent on moderated items at issue in the Action (less any Robux credits they may have already received) compared to the total Robux spent on items at issue in the Action by all Settlement Class Members (less any Robux credits already provided to the Settlement Class), multiplied by the value of the Net Settlement Fund according to the formula below:

 $Individual\ Allocation = \frac{Individual\ Robux\ Spent\ Less\ Credits}{Total\ Robux\ Spent\ Less\ Total\ Credits} \times Net\ Settlement\ Fund$

3.2. Form of Payment. Every Settlement Class Member will receive a Settlement Payment for their individual allocation either in the form of a Cash Payment or Robux Relief as described herein.

3.3. Cash Payment.

- 3.3.1. Settlement Class Members who elect to receive a Cash Payment will receive a Cash Payment (instead of automatic Robux Relief) so long as (1) their pro rata allocation exceeds a value of \$10.00 U.S. Dollars and (2) they submit a valid Cash Claim Form by the Cash Claims Deadline.
- 3.3.2. Within twenty-eight (28) days of the Cash Claims Deadline, the Settlement Administrator shall process all Cash Claim Forms timely submitted by Settlement Class Members and shall determine which claims are valid and initially approved, subject to

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27 28 satisfaction of the \$10.00 eligibility threshold to be determined upon the Effective Date, and which claims are initially rejected.

- 3.3.3. Also within twenty-eight (28) days of the Cash Claims Deadline, the Settlement Administrator will submit to Class Counsel and Defendant's Counsel a report listing all initially approved and initially rejected Cash Claim Forms, including the reason for rejection.
- 3.3.4. Class Counsel and Defendant's Counsel shall have fourteen (14) days after the date they receive the report listing the initially approved and initially rejected Cash Claim Forms to challenge any initially approved or initially rejected Cash Claim Forms. Class Counsel and Defendants' Counsel shall meet and confer in an effort to resolve any disputes or disagreements over any initially approved or rejected claims. The Settlement Administrator shall have the authority for determining if Settlement Class Members' Cash Claim Forms are complete, timely, and accepted as an Approved Cash Claim.
- 3.3.5. If the amount of attorney's fees, costs, service award, or administrative costs used to determine Cash Claim eligibility at the time prior to final approval is higher than those amounts ultimately approved by the Court, then the Settlement Administrator shall determine whether the change in the size of the Net Settlement Fund causes additional individuals who opted for the Cash Payment to meet the \$10 eligibility threshold. If such a re-determination is necessary, then the Settlement Administrator will perform it and, within fourteen (14) days of the Effective Date, the Settlement Administrator will submit to Class Counsel and Defendant's Counsel a final determination of eligibility and report listing all Approved Cash Claims that satisfy the \$10.00 eligibility threshold and the amount of Cash Payment.
- 3.3.6. Within ninety (90) days of the Effective Date, or such other date as the Court may set, the Settlement Administrator shall send Cash Payments by the means elected by Settlement Class Members on their Cash Claim Forms or by other means approved by the Court.
- 3.3.7. Each Cash Payment issued to a Settlement Class Member by check will state on the face of the check that it will become null and void unless cashed within ninety (90) calendar days after the date of issuance.

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- 3.3.8. In the event that an electronic payment to a Settlement Class Member is unable to be processed, the Settlement Administrator shall attempt to contact the Settlement Class Member within thirty (30) calendar days to correct the problem.
- 3.3.9. To the extent that a check issued to a Settlement Class Member is not cashed within ninety (90) days after the date of issuance or an electronic payment is unable to be processed within ninety (90) days, such allocation will be provided to the Class Member as Robux Relief by Roblox and, if successfully delivered, shall be refunded to Roblox by the Settlement Administrator. If the provision of Robux Relief cannot be accomplished and/or Cash Payments remain uncashed or unable to be processed such that residual funds remain in the Settlement Fund, such funds shall be distributed as cy pres to an appropriate recipient approved by the Court.

3.4. Robux Relief.

- 3.4.1. Each Settlement Class Member who does not elect to receive, or who is not eligible for, a Cash Payment shall automatically receive their Settlement Payment as Robux Relief without the need to submit any type of claim form or to take any other action.
- 3.4.2. Each Settlement Class Member receiving Robux Relief will receive 1 Robux in their Roblox account for every \$0.01 in value of their pro rata allocation from the Settlement Fund.
- 3.4.3. Within twenty-eight (28) days of the Cash Claims Deadline, the Settlement Administrator will submit to Class Counsel and Defendant's Counsel a report listing all Roblox accounts that will not receive a Cash Payment and the amount of Robux Relief to be provided to these accounts.
- 3.4.4. The preliminary eligibility determinations regarding Robux Relief made pursuant to the foregoing paragraph shall be presented to the Court in Plaintiff's motion for final approval of the Settlement. If the amount of attorney's fees, costs, service award, or administrative costs used to determine Robux Relief and Cash Claims prior to final approval is higher than those amounts ultimately approved by the Court, then the Settlement Administrator shall re-determine each individual's allocation. If such a re-determination is necessary, then the Settlement

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Administrator will perform it and, within fourteen (14) days of the Effective Date, the Settlement Administrator will submit to Class Counsel and Defendant's Counsel a report listing all Roblox accounts that will not receive a Cash Payment and the amount of Robux Relief to be provided to these accounts.

- 3.4.5. Within sixty (60) days of the Effective Date, Defendant shall provide Robux Relief to the accounts listed on the Settlement Administrator's report.
- 3.4.6. Within thirty (30) days after Robux Relief has been provided to all Settlement Class Members due Robux Relief, including those whose Cash Payments could not be processed by the Settlement Administrator, Defendant shall provide an accounting to Class Counsel and the Settlement Administrator indicating the accounts to which Robux were successfully credited and in what amounts, and identifying any accounts for which Robux Relief could not be provided.
- 3.5. **Prospective Relief.** Defendant will maintain the policy implemented in September 2021 to credit accounts for Robux spent on moderated items by users not in violation of the Roblox Terms of Use for a period of no less than four (4) years.

4. RELEASE

4.1. Upon the Effective Date, and in consideration of the settlement relief described herein, the Releasing Parties, and each of them, shall be deemed to have released, and by operation of the Final Judgment shall have, fully, finally, and forever, released, relinquished, and discharged all Released Claims against each and every one of the Released Parties.

5. NOTICE TO THE CLASS

- 5.1. Form of Notice. Notice to the Class will be in the form of direct notice by email and Roblox Inbox and public notice by a settlement website.
- 5.2. Class List. Roblox shall provide the Settlement Administrator the following data for all Roblox accounts identified as belonging to persons in the Settlement Class (the "Class List") as soon as practicable, but by no later than fourteen (14) days after the Court grants Preliminary Approval of the Settlement Agreement: Roblox account username, Roblox User ID, email address, and the total Robux spent on moderated items that have not yet been credited back to the account. The Settlement Administrator shall keep the Class List and all personal information

obtained therefrom, including but not limited to the identity and contact information of all persons, strictly confidential. The Class List may not be used by the Settlement Administrator for any purpose other than advising specific individual Settlement Class members of their rights, reviewing Cash Claim Forms, calculating and processing Settlement Payments, and otherwise effectuating the terms of the Settlement Agreement or the duties arising thereunder, including the provision of Notice of the Settlement.

- **5.3. Direct Email Notice.** No later than the Notice Date, the Settlement Administrator shall send Notice via e-mail, substantially in the form of Exhibit B, to all persons in the Settlement Class for whom an email address is available in the Class List. In the event that the transmission of any email notice results in a "bounce-back," the Settlement Administrator shall attempt to skip trace an updated e-mail address and provide Roblox with the updated information.
- 5.4. Reminder Email Notice. Thirty (30) days prior to the Cash Claims Deadline, the Settlement Administrator shall again send Notice via email to all persons on the Class List for whom a valid email address is available and who, at that point, are potentially eligible to receive a Cash Payment but have not submitted a Cash Claim Form. The reminder notice shall be substantially in the form of Exhibit B with minor, non-material modifications to indicate that they are reminder notices rather than initial notices.
- **5.5. In-Platform Notice by Roblox.** No later than the Notice Date, Roblox shall, at its own cost, make notice available via the Roblox platform My Inbox feature substantially in the form attached as Exhibit B to all persons in the Class. Roblox shall provide Class Counsel and/or the Court with a declaration confirming completion of the in-platform Notice and providing statistics about the number of In-App Notices sent.
- **5.6. Internet Notice.** Within twenty-eight (28) days after the entry of Preliminary Approval, the Settlement Administrator will develop, host, administer, and maintain the Settlement Website, containing the Notice substantially in the form of Exhibit C, other important case documents, the ability to file Cash Claim Forms online, and other standard Settlement Website features.
 - **5.7. CAFA Notice.** Pursuant to 28 U.S.C. § 1715, not later than ten (10) days after the

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Agreement is filed with the Court, the Settlement Administrator shall cause to be served upon the Attorneys General of each U.S. State in which Settlement Class members reside, the Attorney General of the United States, and other required government officials, notice of the proposed settlement as required by law.

- **5.8. Notice Contents.** The Notice shall advise the Settlement Class of their rights under the Settlement Agreement, including the right to be excluded from or object to the Settlement Agreement or its terms. The Notice shall specify that any objection to this Settlement Agreement, and any papers submitted in support of said objection, shall be received by the Court at the Final Approval Hearing, only if, on or before the Objection/Exclusion Deadline approved by the Court and specified in the Notice, the person making an objection files notice of his or her intention to do so and at the same time (a) files copies of such papers they propose to submit at the Final Approval Hearing clearly identifying the case name and number (*Doe v. Roblox*, No. 3:21-cv-03943-WHO (N.D. Cal.)), (b) submits such papers to the Court either by filing them electronically or in person at any location of the United States District Court for the Northern District of California or by mailing them to the Class Action Clerk, United States District Court for the Northern District of California, San Francisco Division, and (c) files or postmarks such papers on or before the Objection/Exclusion Deadline.
- 5.9. Right to Object or Comment. Any Settlement Class Member who intends to object to this Settlement Agreement must present the objection in writing, which must be personally signed by the objector and must include: (a) the Settlement Class Member's full name and current address; (b) their Roblox account username for the account that experienced removal of moderated items; (c) a statement that they believe themselves to be a member of the Settlement Class; (d) whether the objection applies only to the objector, to a specific subset of the Settlement Class, or to the entire Settlement Class; (e) the specific grounds for the objection; (f) all documents or writings that the Settlement Class Member desires the Court to consider; (g) the name and contact information of any and all attorneys representing, advising, or in any way assisting the objector in connection with the preparation or submission of the objection or who may profit from the pursuit of the objection; and (h) a statement indicating whether the objector

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intends to appear at the Final Approval Hearing (either personally or through counsel, who must file an appearance or seek *pro hac vice* admission in accordance with the Local Rules). All written objections must be filed with the Court and filed, postmarked, or delivered to the Court no later than the Objection/Exclusion Deadline. Any Settlement Class Member who fails to timely file a written objection with the Court and notice of his or her intent to appear at the Final Approval Hearing in accordance with the terms of this Section and as detailed in the Notice shall not be permitted to object to this Settlement Agreement at the Final Approval Hearing, and shall be foreclosed from seeking any review of this Settlement Agreement or Final Judgment by appeal or other means and shall be deemed to have waived his or her objections and be forever barred from making any such objections in the Action or any other action or proceeding.

5.10. Right to Request Exclusion. Any person in the Settlement Class may submit a request for exclusion from the Settlement on or before the Objection/Exclusion Deadline. To be valid, any request for exclusion must be submitted using the form agreed to by the Parties and approved by the Court, which shall be available for download from the Settlement Website and shall (a) be in writing; (b) identify the case name *Doe v. Roblox*, No. 3:21-cv-03943-WHO (N.D. Cal.); (c) state the full legal name and current residential address of the person in the Settlement Class seeking exclusion; (d) identify their Roblox account username for the account that experienced removal of moderated items; (e) contain a statement to the effect that "I hereby request to be excluded from the proposed Settlement Class in Doe v. Roblox, No. 3:21-cv-03943-WHO (N.D. Cal.)"; (f) contain the hand signature of the person(s) seeking exclusion; and (g) be postmarked or received by the Settlement Administrator on or before the Objection/Exclusion Deadline. A request for exclusion that is not submitted on the approved form, does not include all of the foregoing information, that is sent to an address other than that designated in the Notice, or that is not postmarked or delivered to the Settlement Administrator within the time specified, shall be invalid and the persons serving such a request shall be deemed to remain Settlement Class Members and shall be bound as Settlement Class Members by this Settlement Agreement, if approved. Any person who is excluded from the Settlement Class shall not (a) be bound by any orders or Final Judgment entered in the Action, (b) receive a Settlement Payment under this

Settlement Agreement, (c) gain any rights by virtue of this Settlement Agreement, or (d) be entitled to object to any aspect of this Settlement Agreement or Final Judgment. No person may request to be excluded from the Settlement Class through "mass" or "class" opt-outs or bulk mailing of requests, meaning, *inter alia*, that each individual who seeks to opt out must send an individual request to the Settlement Administrator that complies with all requirements of this paragraph separate from any other individual's request to ensure the request manifests the individual's considered, personal decision.

6. SETTLEMENT ADMINISTRATION

6.1. Settlement Administrator's Duties.

- 6.1.1. *Dissemination of Notices*. The Settlement Administrator and Roblox shall disseminate the Notice as provided in Section 5 of this Settlement Agreement.
- 6.1.2. *Maintenance of Records*. The Settlement Administrator shall maintain reasonably detailed records of its activities under this Settlement Agreement. The Settlement Administrator shall maintain all such records as required by applicable law in accordance with its business practices and such records will be made available to Class Counsel and Defendant's Counsel upon request. The Settlement Administrator shall also provide reports and other information to the Court as the Court may require. Upon request, the Settlement Administrator shall provide Class Counsel and Defendant's Counsel with information concerning Notice, requests for exclusion, claim forms, and administration and implementation of the Settlement.
- 6.1.3. Receipt of Requests for Exclusion. The Settlement Administrator shall receive requests for exclusion from persons in the Settlement Class and provide to Class Counsel and Defendant's Counsel a copy thereof within five (5) days of the Objection/Exclusion Deadline. If the Settlement Administrator receives any requests for exclusion or other requests from Settlement Class Members after the deadline for the submission of requests for exclusion, the Settlement Administrator shall promptly provide copies thereof to Class Counsel and Defendant's Counsel.
- 6.1.4. *Creation of Settlement Website*. The Settlement Administrator shall create the Settlement Website. The Settlement Website shall include a toll-free telephone number and mailing address through which persons in the Settlement Class may contact the Settlement

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Administrator or Class Counsel directly.

6.1.5. Processing Cash Claim Forms. The Settlement Administrator shall, under the supervision of the Court, administer the relief provided by this Settlement Agreement by processing Cash Claim Forms in a rational, responsive, cost effective, and timely manner. The Settlement Administrator shall be obliged to employ reasonable procedures to screen claims for abuse or fraud and deny Cash Claim Forms where there is evidence of abuse or fraud, including without limitation by cross-referencing Approved Cash Claims with the Class List. The Settlement Administrator shall determine whether a Cash Claim Form submitted by a Settlement Class Member is an Approved Cash Claim and shall reject Cash Claim Forms that fail to (1) comply with the instructions on the Cash Claim Form or the terms of this Agreement, or (2) provide full and complete information as requested on the Cash Claim Form. In the event a person submits a timely Cash Claim Form by the Cash Claims Deadline, but the Cash Claim Form is not otherwise complete, then the Settlement Administrator shall give such person reasonable opportunity to provide any requested missing information, which information must be received by the Settlement Administrator no later than twenty-eight (28) days after the Cash Claims Deadline. In the event the Settlement Administrator receives such information more than twenty-eight (28) calendar days after the Cash Claims Deadline, then any such claim shall be denied and that Settlement Class Member shall only be entitled to Robux Relief. The Settlement Administrator may contact any person who has submitted a Cash Claim Form to obtain additional information necessary to verify the Cash Claim Form.

7. CONFIRMATORY DISCOVERY

7.1. Defendant has represented that the total number of Robux spent on moderated items by the Settlement Class, after accounting for the previously credited amounts, is 1,719,480,373 Robux, as of November 12, 2022. Defendant has represented that the total number of Robux spent on moderated items by all affected U.S. Roblox users, after accounting for the previously credited amounts, is 2,296,780,095 Robux, as of November 12, 2022. The difference is the amount spent by the accounts listed in Exhibit D. Defendant shall confirm the foregoing information within fourteen (14) days of the execution of this Agreement with a declaration under penalty of perjury.

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If the total Robux spent on moderated items by the Settlement Class that have not previously been credited is higher than the amount above, the Settlement Fund will be adjusted proportionally to reflect the higher amount.

8. PRELIMINARY APPROVAL AND FINAL APPROVAL

- 8.1. **Preliminary Approval.** Promptly after execution of this Settlement Agreement, Class Counsel shall submit this Settlement Agreement to the Court and shall move the Court to enter an order granting Preliminary Approval, which shall include, among other provisions, a request that the Court:
 - Appoint Plaintiff as Class Representative of the Settlement Class;
 - Appoint Class Counsel to represent the Settlement Class;
 - Appoint a Settlement Administrator;
 - Certify the Settlement Class for settlement purposes only and/or find that the Settlement Class is likely to be certified for purposes of entering the Final Approval Order;
 - Preliminarily approve this Settlement Agreement for purposes of disseminating Notice to the Settlement Class;
 - Approve the form and contents of the Notice and the method of its dissemination to members of the Settlement Class; and
 - Schedule a Final Approval Hearing after the expiration of the CAFA notice period, to review comments and/or objections regarding this Settlement Agreement, to consider its fairness, reasonableness and adequacy, to consider the application for a Fee Award and service award to the Class Representative, and to consider whether the Court shall issue a Final Judgment approving this Settlement Agreement and dismissing the Action with prejudice.
- 8.2. Final Approval. After Notice to the Settlement Class is given, Class Counsel shall move the Court for entry of a Final Judgment, which shall include, among other provisions, a request that the Court:
 - find that it has personal jurisdiction over all Settlement Class Members and subject matter jurisdiction to approve this Settlement Agreement, including all attached Exhibits;

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- approve the Settlement as fair, reasonable and adequate as to, and in the best interests of, the Settlement Class Members;
- direct the Parties and their counsel to implement and consummate the Settlement according to its terms and conditions;
- declare the Settlement to be binding on, and have res judicata and preclusive effect in, all pending and future lawsuits or other proceedings maintained by or on behalf of Plaintiff and all other Settlement Class Members and Releasing Parties;
- find that the Notice implemented pursuant to the Settlement Agreement (1) constitutes the best practicable notice under the circumstances, (2) constitutes notice that is reasonably calculated, under the circumstances, to apprise the Settlement Class of the pendency of the Action and their rights to object to or exclude themselves from this Settlement Agreement and to appear at the Final Approval Hearing, (3) is reasonable and constitutes due, adequate, and sufficient notice to all persons entitled to receive notice, and (4) fulfills the requirements of the Federal Rules of Civil Procedure, the Due Process Clause of the United States Constitution, and the rules of the Court;
- finally certify or confirm certification of the Settlement Class under Federal Rule of Civil Procedure 23, including finding that the Class Representative and Class Counsel adequately represented the Settlement Class for purposes of entering into and implementing the Settlement Agreement;
- dismiss the Action on the merits and with prejudice, without fees or costs to any Party except as provided in this Settlement Agreement;
- incorporate the Release set forth above, make the Release effective as of the Effective Date, and forever discharge the Released Parties as set forth herein;
- authorize the Parties, without further approval from the Court, to agree to and adopt such amendments, modifications and expansions of the Settlement and its implementing documents (including all Exhibits to this Settlement Agreement) that (i) shall be consistent in all material respects with the Final Judgment, and (ii) do not limit the rights of Settlement Class Members; and

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- without affecting the finality of the Final Judgment for purposes of appeal, retain jurisdiction as to all matters relating to administration, consummation, enforcement and interpretation of the Settlement Agreement and the Final Judgment, and for any other necessary purpose.
- 8.3. Cooperation. The Parties shall, in good faith, cooperate, assist, and undertake all reasonable actions and steps in order to accomplish these required events on the schedule set by the Court, subject to the terms of this Settlement Agreement.

9. MONETARY AWARDS

- 9.1. Fee Award. Defendant agrees to pay Class Counsel from the Settlement Fund an award of reasonable attorneys' fees and unreimbursed expenses incurred in the Action to be determined by the Court. The amount of the Fee Award shall be determined by the Court based on petition from Class Counsel. Without the Parties having reached any agreement on the issue of attorneys' fees at any point in their negotiations, and with no consideration given or received, Class Counsel has agreed to limit its petition for attorneys' fees to no more than twenty-five percent (25%) of the Settlement Fund. Defendant may challenge the amount requested. Payment of the Fee Award shall be made from the Settlement Fund, and should the Court award less than the amount sought by Class Counsel, the difference in the amount sought and the amount ultimately awarded pursuant to this Section shall remain in the Settlement Fund and be distributed to Settlement Class Members as Settlement Payments. The Settlement Administrator shall distribute the Fee Award to Class Counsel from the QSF within ten (10) business days after the Effective Date. Payment of the Fee Award shall be made via wire transfer to an account designated by Class Counsel after providing necessary information for electronic transfer.
- 9.2. **Service Award.** Defendant agrees that Class Counsel may petition the Court for a service award on behalf of the Class Representative, but Defendant otherwise has not agreed to the entitlement to or amount of the service award. The Class Representative intends to seek a service award in the amount of five thousand U.S. Dollars (\$5,000.00) from the Settlement Fund, in addition to any Settlement Payment pursuant to this Settlement Agreement and in recognition of her efforts on behalf of the Settlement Class, subject to Court approval. Should the Court award

less than this amount, the difference in the amount sought and the amount ultimately awarded pursuant to this Section shall remain in the Settlement Fund and be distributed to Settlement Class Members as Settlement Payments. Any award shall be paid by the Settlement Administrator from the QSF (in the form of a check to the Class Representative that is sent care of Class Counsel) within the same time provided for Settlement Class Members to receive their Settlement Payments.

10. CONDITIONS OF SETTLEMENT AND TERMINATION

- **10.1. Effective Date.** The Effective Date shall not occur unless and until each and every one of the following events occurs, and shall be the date upon which the last (in time) of the following events occurs:
 - This Agreement has been signed by the Parties, Class Counsel and Defendant's Counsel;
 - The Court has entered an order granting Preliminary Approval of the Agreement;
 - The Court has entered an order finally approving the Agreement, following Notice to the Settlement Class and a Final Approval Hearing, as provided in the Federal Rules of Civil Procedure, and has entered the Final Judgment, or a judgment substantially consistent with this Settlement Agreement that has become final and unappealable; and
 - In the event that the Court enters an order and final judgment in a form other than that provided above ("Alternative Judgment") to which the Parties have consented, that Alternative Judgment has become final and unappealable.
- 10.2. Termination. The Class Representative, on behalf of the Settlement Class, or Defendant, shall have the right to terminate this Agreement by providing written notice of the election to do so to all other Parties within ten (10) days of any of the following events: (i) the Court's refusal to grant Preliminary Approval of this Agreement in any material respect; (ii) the Court's refusal to enter the Final Judgment in this Action in any material respect; (iii) the date upon which the Final Judgment is modified or reversed in any material respect by the Court of Appeals or the Supreme Court; or (iv) the date upon which an Alternative Judgment, as defined in

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Paragraph 10.1 of this Agreement, is modified or reversed in any material respect by the Court of Appeals or the Supreme Court.

- **10.3. Breach.** If any Party is in material breach of the terms hereof, any other Party, provided that it is in substantial compliance with the terms of this Agreement, may terminate this Settlement Agreement on notice to all other Parties.
- **10.4. Monetary Award Disputes.** Notwithstanding anything herein, the Parties agree that the Court's decision as to the amount of the Fee Award to Class Counsel set forth above or the service award to the Class Representative, regardless of the amounts awarded, shall not prevent the Settlement Agreement from becoming effective and undisputed Settlement Payments being distributed, nor shall they be grounds for termination of the Agreement. It is not a condition of this Agreement that any particular amount of the Fee Award, or service awards be approved by the Court, or that such fees, costs, expenses or awards be approved at all. Any order or proceeding relating to the amount of any award of attorneys' fees, costs, or expenses or service awards, or any appeal from any order relating thereto, or reversal or modification thereof, shall not operate to modify, terminate or cancel this Agreement, or affect or delay the Effective Date from occurring, except that any modification, order or judgment cannot result in Defendant's overall obligation exceeding the agreed-upon amount of the Settlement Fund.
- 10.5. Effect of Termination or Failure. If this Settlement Agreement is terminated or fails to become effective for the reasons set forth above, the Parties shall be restored to their respective positions in the Action as of the date of the signing of this Agreement, and Defendant's entry into the Settlement Agreement shall not be considered, in any way, as an admission concerning liability or the propriety of class certification. In such event, any Final Judgment or other order entered by the Court in accordance with the terms of this Agreement shall be treated as vacated, nunc pro tune, and the Parties shall be returned to the status quo ante with respect to the Action as if this Settlement Agreement had never been entered into.

11. LIMITATIONS ON USE OF THIS AGREEMENT

11.1. Whether the Effective Date occurs or this Settlement is terminated, neither this Settlement Agreement nor the Settlement contained herein, nor any act performed or document

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executed pursuant to or in furtherance of this Settlement Agreement or the Settlement is, may be deemed, or shall be used, offered or received for any of the following purposes:

- 11.1.1. against the Released Parties as an admission, concession or evidence of, the validity of any Released Claims, the truth of any fact alleged by Plaintiff, the deficiency of any defense that has been or could have been asserted in the Action, the violation of any law or statute, the reasonableness of the Settlement Fund, Settlement Payment, or the Fee Award, or of any alleged wrongdoing, liability, negligence, or fault of the Released Parties, or any of them;
- 11.1.2. against the Released Parties as, an admission, concession or evidence of any fault, misrepresentation or omission with respect to any statement or written document approved or made by the Released Parties, or any of them;
- 11.1.3. against Plaintiff or the Settlement Class, or each or any of them as an admission, concession or evidence of, the infirmity or strength of any claims asserted in the Action, the truth or falsity of any fact alleged by Defendant, or the availability or lack of availability of meritorious defenses to the claims raised in the Action; or
- 11.1.4. against Plaintiff and the Settlement Class, or each or any of them, or against the Released Parties, or each or any of them, as an admission or concession that the consideration to be given hereunder represents an amount equal to, less than or greater than that amount that could have or would have been recovered after trial.
- This Settlement Agreement and any acts performed and/or documents executed in furtherance of or pursuant to this Settlement Agreement may be used in any proceedings as may be necessary to effectuate the provisions of this Settlement Agreement.
- 11.3. If this Settlement Agreement is approved by the Court, any of the Released Parties may file this Settlement Agreement and/or the Final Judgment in any action that may be brought against such parties in order to support a defense or counterclaim based on principles of res *judicata*, collateral estoppel, release, good faith settlement, judgment bar or reduction, or any other theory of claim preclusion or issue preclusion, or similar defense or counterclaim.

12. MISCELLANEOUS PROVISIONS

The Parties: (a) acknowledge that it is their intent to consummate this Agreement; and

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- (b) agree, subject to their fiduciary and other legal obligations, to cooperate to the extent reasonably necessary to effectuate and implement all terms and conditions of this Agreement and to exercise their reasonable best efforts to accomplish the foregoing terms and conditions of this Settlement Agreement. Class Counsel and Defendant's Counsel agree to cooperate with one another in seeking entry of an order granting Preliminary Approval and the Final Judgment, and promptly to agree upon and execute all such other documentation as may be reasonably required to obtain final approval of the Settlement Agreement.
- 12.2. Each signatory to this Agreement represents and warrants (a) that he, she, or it has all requisite power and authority to execute, deliver and perform this Settlement Agreement and to consummate the transactions contemplated herein, (b) that the execution, delivery and performance of this Settlement Agreement and the consummation by it of the actions contemplated herein have been duly authorized by all necessary corporate action on the part of each signatory, and (c) that this Settlement Agreement has been duly and validly executed and delivered by each signatory and constitutes its legal, valid and binding obligation.
- 12.3. The Parties intend this Settlement Agreement to be a final and complete resolution of all disputes between them with respect to the Released Claims by Plaintiff and the other Settlement Class Members, and each or any of them, on the one hand, against the Released Parties, and each or any of the Released Parties, on the other hand. Accordingly, the Parties agree not to assert in any forum that the Action was brought by Plaintiff or defended by Defendant, or each or any of them, in bad faith or without a reasonable basis.
- **12.4.** The Parties have relied upon the advice and representation of counsel, selected by them, concerning the claims hereby released. The Parties have read and understand fully this Settlement Agreement and have been fully advised as to the legal effect hereof by counsel of their own selection and intend to be legally bound by the same.
- The headings used herein are used for the purpose of convenience only and are not meant to have legal effect.
- The waiver by one Party of any breach of this Settlement Agreement by any other Party shall not be deemed as a waiver of any other prior or subsequent breaches of this Settlement

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- 12.7. All of the Exhibits to this Settlement Agreement are material and integral parts hereof and are fully incorporated herein by reference.
- This Settlement Agreement and its Exhibits set forth the entire agreement and understanding of the Parties with respect to the matters set forth herein, and supersede all prior negotiations, agreements, arrangements and undertakings with respect to the matters set forth herein. No representations, warranties or inducements have been made to any Party concerning this Settlement Agreement or its Exhibits other than the representations, warranties and covenants contained and memorialized in such documents. This Settlement Agreement may be amended or modified only by a written instrument signed by or on behalf of all Parties or their respective successors-in-interest.
- **12.9.** Except as otherwise provided herein, each Party shall bear its own attorneys' fees and costs incurred in any way related to the Action.
- **12.10.** Plaintiff represents and warrants that she has not assigned any claim or right or interest relating to any of the Released Claims against the Released Parties to any other person or party and that she is fully entitled to release the same.
- 12.11. Each counsel or other Person executing this Settlement Agreement, any of its Exhibits, or any related settlement documents on behalf of any Party hereto, hereby warrants and represents that such Person has the full authority to do so and has the authority to take appropriate action required or permitted to be taken pursuant to the Settlement Agreement to effectuate its terms.
- **12.12.** This Settlement Agreement may be executed in one or more counterparts. All executed counterparts and each of them shall be deemed to be one and the same instrument. Signature by digital, facsimile, or in PDF format will constitute sufficient execution of this Settlement Agreement. A complete set of original executed counterparts shall be filed with the Court if the Court so requests.
- **12.13.** The Court shall retain jurisdiction with respect to implementation and enforcement of the terms of this Settlement Agreement, and all Parties hereto submit to the jurisdiction of the Court for purposes of implementing and enforcing the settlement embodied in this Settlement

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Agreement. 12.14. This Settlement Agreement shall be governed by and construed in accordance with the laws of the State of California without reference to the conflicts of laws provisions thereof. 12.15. This Settlement Agreement is deemed to have been prepared by counsel for all Parties, as a result of arm's-length negotiations among the Parties. Whereas all Parties have contributed substantially and materially to the preparation of this Settlement Agreement, it shall not be construed more strictly against one Party than another. **12.16.** Where this Settlement Agreement requires notice to the Parties, such notice shall be sent to the undersigned counsel: Yaman Salahi, EDELSON PC, 150 California St., 18th Floor, San Francisco, CA 94111, ysalahi@edelson.com; Anthony Weibell, WILSON SONSINI GOODRICH & ROSATI, 650 Page Mill Road, Palo Alto, CA 94304, aweibell@wsgr.com. [SIGNATURES APPEAR ON FOLLOWING PAGE]

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