UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF NEW YORK

•

LEE BOYD, individually and on behalf of all others similarly situated,	:
Plaintiff,	:
V.	· : :
BEECH-NUT NUTRITION COMPANY,	:
Defendant.	:

CASE NO. 1:21-CV-0200 (TJM/CFH)

DEMAND FOR JURY TRIAL CLASS ACTION

CLASS ACTION COMPLAINT

Plaintiff Lee Boyd, on behalf of herself and all others similarly situated, by her undersigned attorneys, brings this Class Action Complaint against Defendant Beech-Nut Nutrition Company ("Beech-Nut") for its negligent, reckless, and/or intentional practice of misrepresenting and failing to fully disclose the presence of toxic heavy metals in its baby food products sold throughout the United States, including in this District. Plaintiff seeks both injunctive and monetary relief on behalf of the proposed Class and Sub-Class (defined below), including requiring full disclosure of all such substances and ingredients in Defendant's marketing, advertising and labeling; requiring testing of all ingredients and final products for such substances; and restoring monies to the members of the proposed Class. Plaintiff alleges the following based upon personal knowledge as to herself and her own actions, and, as to all other matters, alleges, upon information and belief and the investigation of her counsel. Plaintiff believes that substantial evidentiary support will exist for the allegations set forth herein after a reasonable opportunity for discovery.

INTRODUCTION

1. This is a consumer class action brought individually by Plaintiff and on behalf of all persons in the proposed Class and Subclass (defined below), all of whom purchased one or more baby food products manufactured by Beech-Nut.¹

2. Defendant markets, advertises, labels, distributes, and sells baby food products under the brand name Beech-Nut throughout the United States, including in this District.

3. Defendant states that it offers natural and organic baby foods "that are free from artificial preservatives, colors and flavors" and touts that it "conduct[s] over 20 rigorous tests on [its] purees, testing for up to 255 pesticides and heavy metals (like lead, cadmium, arsenic and other nasty stuff). Just like you would, we send the produce back if it's not good enough." *See* https://www.beechnut.com/our-story/.

4. The packaging and labels on Beech-Nut products further emphasize quality and safe ingredients and even proclaims that the products are "100% Natural." The packaging and labels also state that Beech-Nut's baby food products are natural, organic, and safe for human infant consumption.

5. Parents like Plaintiff trust manufacturers like Defendant to sell baby food that is safe, nutritious, and free from harmful toxins, contaminants, and chemicals. Parents purchase Baby Food Products with the expectation that they do not contain high levels of heavy metals, substances known to have significant, harmful health effects, particularly on babies and infants.

6. Because consumers do not have the scientific knowledge necessary to determine whether the Baby Food Products contain high levels heavy metals or to know or ascertain the

¹ The Beech-Nut baby food products purchased by Plaintiff are: Beech-Nut Naturals Green Beans, Beech-Nut Organics Pears, Beech-Nut Organic Sweet Potatoes, (collectively referred to herein as the "Baby Food Products").

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 3 of 38

true nature of the ingredients in the Baby Food Products, they must rely on Defendant to honestly report the contents of its products.

7. On February 4, 2021, the U.S. House of Representatives' Subcommittee on Economic and Consumer Policy, Committee on Oversight and Reform, released the results of an investigation into leading baby food manufacturers in the United States relating to alleged high amounts of detrimental metals in baby food.

8. The Subcommittee Report (defined below) revealed that "[i]nternal company standards permit dangerously high levels of toxic heavy metals, and ... that the manufacturers have often sold foods that exceeded those levels." Subcommittee Report at 4.

9. Heavy metals are not listed on the product labels of the Baby Food Products. Nor do the labels warn of the potential presence of heavy metals in the Baby Food Products. Unbeknownst to Plaintiff and other members of the proposed Classes, and contrary to the representations on the product labels, the Baby Food Products contain toxic heavy metals, including inorganic arsenic, lead, cadmium, and mercury, at levels above what is considered safe for babies. Had the presence of these levels of heavy metals had been disclosed to Plaintiff and the members of the proposed Classes prior to purchase of the Baby Food Products, they would not have purchased or allowed their children to consume the Baby Food Products.

10. Defendant knows that its customers trust the quality of its products and that they expect Defendant's products to be free of harmful levels of heavy metals. Beech-Nut is also aware that many consumers seek out and purchase premium baby foods that contain high quality ingredients, free of toxins, contaminants or chemicals and that these consumers will pay more for baby foods that they believe possess these qualities.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 4 of 38

11. Defendant's promises, warranties, pricing, statements, claims, packaging, labeling, marketing and/or advertising center on representations that are intended to, and do, convey to consumers that their products, including their Baby Food Products, possess certain qualities and characteristics, including that the baby food does not have high levels of heavy metals by making assurances that the foods are natural and safe for infant consumption.

12. Defendant asserts that its baby foods are "real food for babies," that its foods are tested for heavy metals, and that Defendant is "aware of no higher standards in the industry than the ones we employ" in direct contradiction of its true contents, which include high levels of heavy metals. *See* https://www.beechnut.com/food-quality-safety/.

13. No reasonable consumer seeing Defendant's marketing and labeling would expect the Baby Food Products to contain dangerous levels of heavy metals or other contaminants. Reasonable consumers, like Plaintiff, would consider the inclusion of high levels of heavy metals or other toxins or contaminants a material fact when considering what baby food products to purchase.

14. Defendant intended for consumers like Plaintiff to rely on its marketing, and reasonable consumers did rely on Beech-Nut's marketing. As detailed herein, because the Baby Food Products contained high levels of undisclosed toxins, contaminants, and/or heavy metals, the Baby Food Products' labeling and marketing is deceptive, misleading, unfair and/or false.

15. Defendant's Baby Food Products do not have a disclaimer or warning that the items may contain high levels of heavy metals or other undesirable toxins or contaminants that can accumulate in a child's body over time and cause deleterious effects.

16. Defendant's wrongful marketing and advertising, which includes misleading, deceptive, unfair, and false marketing and omissions, allowed the company to capitalize on, and

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 5 of 38

reap enormous profits from, consumers who paid the purchase price or a premium price for the Baby Food Products that were not sold as advertised. Defendant continues to wrongfully induce consumers to purchase its Baby Food Products that are not as advertised.

17. Plaintiff and the members of the proposed Class and Sub-Class thus bring claims for consumer fraud and seek damages, injunctive and declaratory relief, interest, costs, and attorneys' fees.

THE PARTIES

18. Plaintiff Lee Boyd is, and at all relevant times hereto has been, a citizen of Texas and is a member of the proposed class defined herein. She purchased the Baby Food Products, including sweet potatoes, organic pears, and green beans. Plaintiff Boyd last purchased the Baby Food Products for her child from Target.com in or about January 2021. Prior to purchasing the Baby Food Products, Plaintiff Boyd saw Defendant's nutritional claims, including "natural" and "real food for babies," upon which she relied in deciding to purchase these products.

19. Plaintiff believed she was feeding her child healthy, nutritious foods during the time she purchased and fed her child the Baby Food Products. Due to the false and misleading claims and omissions by Defendant as described herein, Plaintiff was unaware that the Baby Food Products contained high levels of heavy metals, and Plaintiff would not have purchased the baby food if that information had been fully disclosed.

20. As a result of Defendant's negligent, reckless, and/or knowingly deceptive conduct, Plaintiff was injured when she paid the purchase price or a premium price for the Baby Food Products that did not deliver what they promised. Plaintiff paid the purchase price with the assumption that the labeling of the Baby Food Products was accurate, and they did not contain dangerous levels of heavy metals and they were safe for children to ingest. Plaintiff would not

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 6 of 38

have paid this money had she known that the Baby Food Products contained excessive levels of heavy metals. Damages can be calculated through expert testimony at trial. Further, should Plaintiff encounter the Baby Food Products in the future, she could not rely on the truthfulness of the packaging and labeling, absent corrective changes.

21. Defendant Beech-Nut is incorporated in Delaware. Its headquarters and principal place of business is located at One Nutritious Place, Amsterdam, New York 12010. Defendant formulates, develops, manufactures, labels, distributes, markets, advertises, and sells its Baby Food Products under the Beech-Nut name throughout the United States, including in this District, during the Class Period (defined below). The advertising, labeling, and packaging for the Baby Food Products, relied upon by Plaintiff, were prepared, reviewed, and/or approved, and disseminated by Defendant and its agents through marketing, advertising, packaging, and labeling that had the misrepresentations alleged herein. The marketing, advertising, packaging, and labeling for the Baby Food Products. Defendant is responsible for sourcing its ingredients, manufacturing the products, and conducting all relevant quality assurance protocols, including testing, of the ingredients and finished Baby Food Products.

JURISDICTION AND VENUE

22. This Court has subject matter jurisdiction under the Class Action Fairness Act of 2005 ("CAFA"), 28 U.S.C. § 1332(d)(2) because the claims of the proposed members of the Classes exceed \$5,000,000 and because Plaintiff is a citizen of a different state than Defendant.

23. This Court has personal jurisdiction over Defendant because Defendant regularly sells and markets its Baby Food Products in this District, and because Defendant otherwise

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 7 of 38

conducts business in this District and/or under the stream of commerce doctrine by allowing its products, including the Baby Food Products, to be sold in this District.

24. Venue is proper in this Court pursuant to 28 U.S.C. § 1391 because a substantial portion of the events complained of herein took place in this District, and this Court has jurisdiction over Defendant. Defendant has intentionally availed itself of the laws and markets of this District, and Defendant is subject to personal jurisdiction in this District. Defendant Beech-Nut also has its principal place of business and headquarters in this District.

FACTUAL ALLEGATIONS

25. Defendant manufactures, distributes, markets, offers for sale, and sells the Baby Food Products throughout the United States. Defendant has advertised and continues to advertise these products via television, print advertisements, point-of-sale displays, product packaging, Internet advertisements including on social media, as well as other promotional materials.

26. The United States House of Representatives Subcommittee on Economic and Consumer Policy published a report on February 4, 2021, revealing its findings that numerous commercial baby foods, including those manufactured by Defendant, are "tainted with significant levels of toxic heavy metals, including arsenic, lead, cadmium, and mercury." *See* U.S. House of Representatives Subcommittee on Economic and Consumer Policy, Staff Report, "Baby Foods are Tainted with Dangerous Levels of Arsenic, Lead, Cadmium and Mercury (Feb. 4, 2021) (the "Subcommittee Report"). Congressional investigators examined a wide range of baby foods including rice cereals, purees, puffs, and juices. The Subcommittee Report found that organic products were as likely as conventional products to contain heavy metals. Subcommittee Report at 9.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 8 of 38

27. Though heavy metals are naturally found in the environment, most heavy metals in foods come from contaminated soil or water. The contamination comes from either farming or manufacturing practices, such as the use of pesticides, mining, and smelting or pollution.

28. Defendant was one of the seven largest baby food manufacturers in the United States from whom the Subcommittee requested internal documents and test results. Beech-Nut responded to the requests and produced internal testing policies and results for ingredients and/or finished products. Subcommittee Report at 2.

29. The Subcommittee Report found that baby food companies such as Beech-Nut regularly sell products that contain ingredients that exceed their own internal standards for heavy metals. Subcommittee Report at 33. According to the Subcommittee Report, most baby food companies do not regularly test the products before they go to market, and when they do, products with high levels of heavy metals are still sold to consumers.

30. Representative Raja Krishnamoorthj, chairman of the Subcommittee, told Consumer Reports that there are "dangerous levels of toxic metals in baby foods, and the levels far exceed what experts and governing bodies say is permissible. Left to their own devices, baby food makers have set testing standards in excess of what recommended standards are, and even then, they often violate their standards."

31. The Subcommittee Report provides evidence that baby food companies such as Defendant are not doing enough to reduce risk from exposure to these heavy metals, and that parts of the manufacturing process, including the addition of vitamins and mineral mixes, may be contributing to the high levels of these metals in the Baby Food Products. The Subcommittee Report concludes that "[t]hese toxic heavy metals [in baby foods] pose serious health risks to babies and toddlers. Manufacturers knowingly sell these products to unsuspecting parents, in

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 9 of 38

spite of internal company standards and test results, and without any warning labeling whatsoever." Subcommittee Report at 1.

32. Beech-Nut touts its baby food as "real food for babies" and under the Food Quality & Safety section of its website, states that Beech-Nut "find[s] the purest, cleanest fruits and vegetables out there, while adhering to rigorous quality standards." *See* <u>https://www.beechnut.com/food-quality-safety/</u>. The website notes "there are a lot of contaminants out there in the environment. They are in the soil, the water, the air –and even found in trace amounts in the fruits and vegetables you buy at the store or farmer's market." Yet, Beech-Nut labels and packaging do not warn that there may be high levels of these heavy metals in the products.

33. While Beech-Nut has "been testing our ingredients for contaminants such as heavy metals and pesticides since 1985" it does not detail the testing process. It merely states the company conducts "more than 20 rigorous tests on our delicious purees alone to ensure that the safety, quality and flavors are just right."

Defendant Falsely Marketed Its Baby Food Products

34. Defendant packages, labels, markets, advertises, manufactures, distributes, and sells its Baby Food Products throughout the United States, including in this District. Defendant markets the Baby Food Products as healthy, high quality and safe and omits any mention of heavy metals.

35. Defendant advertises that "what's inside your baby food matters" and states the Beech-Nut products are "food quality standards you can trust." Defendant touts its commitment to safety, claiming it "continuously improve[s] our food safety and quality standards based on the most up to date scientific technology."

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 10 of 38

36. Based on Defendant's decision to advertise, label, and market its Baby Food Products as healthy, safe and high quality, Defendant had a duty to ensure that these statements were true and not misleading. As such, Defendant knew or should have known that the Baby Food Products included nondisclosed levels of toxic, heavy metals, and that these toxins accumulate in the body over time.

37. The marketing of the Baby Food Products also fails to disclose that the products contain or may contain any level of heavy metals or other undesirable toxins or contaminants. Defendant intentionally omitted this in order to induce and mislead reasonable consumers like Plaintiff to purchase the Baby Food Products.

38. As a result of Defendant's omissions, a reasonable consumer would have no reason to suspect the presence of heavy metals in the Baby Food Products without conducting his or her own scientific tests or reviewing third party scientific testing of these products.

Defendant Misled and Deceived Consumers

39. Defendant's marketing of its Baby Food Products wrongfully conveys to consumers that these foods have certain superior qualities and characteristics that they do not actually possess.

40. For example, Defendant misleadingly caused Plaintiff and other consumers to believe that its Baby Food Products do not contain high levels of heavy metals through its marketing and omissions, which is material information to a reasonable consumer.

41. In or about October 2019, Defendant's baby foods were tested by Health Babies Bright Futures, an alliance of nonprofit organizations, scientists and donors that designs and implements outcomes-based programs to measurably reduce babies' exposures to toxic chemicals in the first 1,000 days of development. The testing found certain Beech-Nut products

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 11 of 38

contained undisclosed heavy metals. See

https://www.healthybabyfood.org/sites/healthybabyfoods.org/files/2020-

04/BabyFoodReport_ENGLISH_R6.pdf.

42. For example, Beech-Nut Single Grain Baby Cereal had 117 parts per billion ("ppb") of arsenic; 86 ppb of inorganic arsenic; 3.5 ppb of lead; 5.4 ppb cadmium and 0.582 ppb of mercury. *Id.* Moreover, Beech-Nut Oatmeal Whole Grain Baby Cereal contained 23.8 ppb of arsenic; 2.2 ppb of lead; and 13 ppb of cadmium. As discussed in detail below, many of these levels exceeded recommended limits.

43. Beech-Nut's vegetable purees also contained heavy metals. For example, Beech-Nut's Classics Sweet Carrots Stage 2 contained 27.2 ppb of lead and 6.8 ppb of cadmium. The Classics Sweet Potatoes Stage 2 contained 2.8 ppb of arsenic, 24.1 ppb of lead and 3.4 ppb of cadmium. The Classics Sweet Peas Stage 2 contained 6.3 ppb of arsenic and 1.6 ppb of cadmium.

44. Defendant's marketing wrongfully fails to disclose to Plaintiff and other consumers the presence, or risk, of high levels of heavy metals in its Baby Food Products.

45. Based on Defendant's marketing, a reasonable consumer would not suspect the presence of high levels of heavy metals, nor would a reasonable consumer be able to detect these metals in the Baby Food Products without conducting his or her own scientific testing or reviewing scientific testing conducted on these products.

46. Reasonable consumers must and do rely on Defendant to honestly report what is in the Baby Food Products.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 12 of 38

47. In light of Defendant's marketing, including its commitment to "higher standards," Defendant knew or should have known that the Baby Food Products contained heavy metals.

48. Defendant intended for consumers to rely on its marketing, and reasonable consumers did in fact so rely.

49. Defendant had a duty to ensure that the Baby Food Products were as they were represented, and not deceptively, misleadingly, unfairly, or falsely marketed.

50. As a result of the foregoing, Defendant's marketing is deceptive, misleading, unfair, and false to Plaintiff and other consumers of baby food.

51. Defendant acted negligently, reckless, unfairly, and/or intentionally with its deceptive, misleading, unfair, and false marketing and omissions as described herein.

Defendant's Marketing and Omissions Are Misleading

52. At all times herein, Defendant knew or should have known that the Baby Food Products contained or could contain high levels of heavy metals and were not sufficiently tested for the presence of these metals.

53. Defendant knew that heavy metals are a potentially dangerous contaminant that pose health risks to humans, and particularly to babies and children.

54. The Baby Food Products had a risk of containing heavy metals due to Defendant's failure to monitor for their presence in the ingredients and finished products. Defendant was aware of this risk and failed to disclose it to Plaintiffs and the Class.

55. Defendant knew or should have known that it owed consumers a duty of care to prevent, or at the very least, to minimize the presence of high levels of heavy metals in the Baby Food Products to the extent reasonably possible.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 13 of 38

56. Defendant knew or should have known it owed consumers a duty of care to adequately test for heavy metals in Baby Food Products.

57. Defendant knew consumers purchased the Baby Food Products based on the reasonable expectation that Defendant manufactured the Baby Food Products to the highest standards. Based on this expectation, Defendant knew or should have known consumers reasonably inferred that Defendant would hold the Baby Food Products to the highest standard.

Heavy Metals Exceed Safe Limits

58. Arsenic, lead, cadmium, and mercury are toxic heavy metals. The U.S. Food and Drug Administration (the "FDA") and the World Health Organization (the "WHO") have declared these heavy metals to be dangerous to human health, especially to babies and children. Subcommittee Report at 2.

59. Exposure to heavy metals in food can be harmful to babies' neurological development and long-term brain function. Even exposure to low levels of these metals can lead to serious and potentially irreversible damage to brain development. *Id.* Babies may be more susceptible to levels of toxins and substances as they are in the critical years of brain growth and development. According to James Dickerson, Ph.D., chief scientific officer for Consumer Reports "[e]xposure to heavy metals has a disproportionate adverse effect on developing minds and bodies." Dickerson said that exposure to these metals has "deleterious effects on the developing infant mind, brain, cardiovascular system, and immune system" and can lead to a lower IQ or behavioral problems like ADHD, as well as an increased risk of skin and bladder cancer.

60. Internal company standards permit dangerously high levels of toxic heavy metals, and documents revealed that the manufacturers, like Beech-Nut have often sold foods that

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 14 of 38

exceeded those levels. Subcommittee Report at 4. Beech-Nut set internal arsenic and cadmium standards at 3,000 ppb in additives, such as vitamin mix, and 5,000 ppb lead for certain ingredients like BAN 800. These standards are the highest of any responding manufacturer. Subcommittee Report at 4.

a. Arsenic

61. Arsenic is an odorless and tasteless element that does not degrade or disappear. It occurs in the environment and can be found in rocks, soil, water, air, plants, and animals. Inorganic arsenic is highly toxic and a known cause of human cancers. Exposure to arsenic can also cause respiratory, gastrointestinal, hematological, hepatic, renal, skin, neurological and immunological effects, and damage to children's central nervous systems and cognitive development. Subcommittee Report.

62. Because of the risks associated with exposure to arsenic, both the FDA and the Environmental Protection Agency (the "EPA") have set limits for the allowable amount of arsenic. The allowable limit of arsenic is 10 part per billion ("ppb") for human consumption in apple juice (regulated by the FDA) and drinking water (regulated by the EPA).

63. In August 2020, the FDA issued guidance for inorganic arsenic in infant rice cereal at 100 ppb. According to the Subcommittee Report, one quarter of the baby food products tested by the Nurture company contained more than this level; some ingredients used by Beech-Nut tested as high as 913 ppb, and Gerber used at least 67 batches of rice flour testing more than 90 ppb inorganic arsenic.

b. Cadmium

64. Exposure to cadmium is associated with decreases in IQ and the development of ADHD. The U.S. Department of Health and Human Services has determined that cadmium and

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 15 of 38

cadmium compounds are known human carcinogens and the EPA likewise determined that cadmium is a probable human carcinogen. It has been specifically noted that "[k]idney and bone effects have...been observed in laboratory animals ingesting cadmium."

65. There has been some regulation on the use of cadmium outside of the baby food realm, with the EPA setting a limit of 5 ppb in drinking water and the FDA setting a limit of 5 ppb in drinking water. *See* Subcommittee Report at 29. The WHO has set a limit of 3 ppb for cadmium in drinking water. The EU has set a limit ranging from 5-20 ppb cadmium in baby formula. Healthy Babies Bright Futures has set a goal of no measurable cadmium in baby foods. Subcommittee Report at 29.

66. The Subcommittee Report found that baby food manufacturers, including Beech-Nut, sold many baby food products that exceeded these levels.

67. Beech-Nut used 105 ingredients that tested over 20 ppb cadmium. In fact, some tested much higher – including cinnamon with up to 344.55 ppb cadmium. Subcommittee Report at 3, 29. This is more than 17 times the EU's lax upper limit on cadmium in baby foods. Subcommittee Report at 29-30. Beech-Nut set an internal specific limit of *3,000 ppb* for cadmium in certain ingredients, which far surpasses any existing regulatory standards. Subcommittee Report at 29.

68. At least 105 ingredients that Beech-Nut tested and used in baby foods registered at or over 20 ppb cadmium—the EU's lax infant formula upper limit, as demonstrated by this chart excerpted from the Subcommittee Report at 30:

Ingredient	Cadmium Result (ppb)
Cinnamon	344.50
Org. Cinnamon	225.10
Cinnamon	194.30
Org. Garlic	186.00

Ingredient	Cadmium Result (ppb)
Org. Cinnamon	178.20
Oregano	176.50
Org. Cinnamon	163.40
Dehydrated Potato	148.40
Dehydrated Potato	146.00
Dehydrated Potato	143.50
Spinach Puree	143.00
Fresh Spinach	142.30
Org. Cinnamon	140.00
Org. Cinnamon	140.00
Org. Cinnamon	120.00
Dehydrated Potato	119.60
Org. Cinnamon	117.30
Spinach	117.00
Spinach	101.00
Spinach	101.00

c. Lead

69. Lead is persistent, and it can bioaccumulate in the body over time. See

https://www.epa.gov/ground-water-and-drinking-water/basic-information-about-lead-drinkingwater. It is a carcinogen and developmental toxin known to cause health and developmental problems in children including decreased cognitive performance, delayed puberty, and reduced postnatal growth. Because lead can build up in the body over time, even low levels of chronic exposure can be toxic and seriously dangerous to one's health.

70. The American Academy for Pediatrics, the Environmental Defense Fund, and Consumer Reports have all called for a 1 ppb level of lead in food and drinks that babies and children consume. Subcommittee Report at 21. While there is no lead standard for lead in baby foods, the FDA standard for lead in bottled water is 5 ppb lead. *See* 21 C.F.R. § 165.110(b)(4)(iii)(A). The EU has set the maximum lead level in infant formula to 20 ppb. *See* Laura Reiley, *New Report Finds Toxic Heavy Metals in Popular Baby Foods. FDA Failed to*

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 17 of 38

Warn Consumers of Risk, The Washington Post (Feb. 4, 2021), available at

https://www.washingtonwpost.com/businesss/2021/02/04/toxic-metals-baby-food/

71. The Subcommittee Report found that Beech-Nut used ingredients containing as much as 886.9 ppb lead. Defendant only tested its ingredients, and not its finished products, for lead. Beech-Nut tested and used 57 ingredients that contained over 20 ppb lead, the EU's lax standard for lead in infant formula. Beech-Nut accepted 89 ingredients that tested at or over 15 ppb lead, EPA's action level for drinking water, and 483 ingredients that tested at or over 5 ppb lead, FDA's standard for lead in bottled water. Subcommittee Report at 23 (citations omitted). The chart, excerpted from the Subcommittee Report at 24-25, shows the high levels of lead in Beech-Nut products."

Ingredient	Lead Result (ppb)
Cinnamon	886.90
Org. Cumin	644.90
Org. Coriander	603.50
Oregano	570.40
Org. Cumin	231.20
Cinnamon	203.90
Cumin	177.70
Org. Cumin	167.70
Org. Cinnamon	126.2
Alpha Amylase	114.50
Amylase	108.80
Org. Lemon	102.00
Org. Cinnamon	100.00
Org. Cinnamon	100.00
Amylase	95.80
Amylase	91.00
Turmeric	76.30
Sunflower Lecithin	71.60
Org. Lemon	63.70
Org. Cinnamon	59.00
S. Potato	55.30
Sunflower Lecithin	54.90
Quinoa Flour	51.60

Ingredient	Lead Result (ppb)
S. Potato	50.1
Lemon	47.5
Enzyme	47.3
Prune Puree	41.50
S. Potato	40.30
Org. Turmeric	39.30
Org. Cinnamon	37.80
Org. Cinnamon	36.70
S. Potato	35.20
S. Potato	34.9
Dehydrated Potato	32.4
Mango	32.3
S. Potato	31.8
Sunflower Lecithin	31.7
Prune	31.1
Sebamyl 100	30.60
Org. Prune	30.00
Apricot	28.00
Org. Prune	27.90
Enzyme	26.30
Org. Quinoa Flour	25.30
Vitamin Mix	24.60
Org. Quinoa Seeds	24.20
Org. Quinoa Seeds	24.20
Blueberry	22.70
S. Potato	22.00
Org. Pears	21.70
Sunflower Lecithin	21.00
Carrots	20.00
Sunflower Lecithin	20.00
Org. Cinnamon	20.00

d. Mercury

72. Mercury is a known toxin, and pre-natal exposure has been associated with affected neuro-development, a decreased IQ, and autistic behaviors. The impact of mercury exposure to humans and animals has been studied for years; as early as 1997, the EPA issued a

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 19 of 38

report to Congress that detailed the health risks to humans and animals. Because of these risks and mercury's toxicity, both state and federal regulators have enacted regulations to protect humans and animals.

73. For example, the EPA has capped mercury in drinking water a 2 ppb. Consumer advocates have urged for even stricter standards for baby food. Health Babies Bright Futures has called for a goal of *no* measurable mercury in baby food. *See* Subcommittee Report at 32.

74. Beech-Nut does not even test for mercury in baby food. Subcommittee Report at 4, 29.

75. Based on the foregoing, reasonable consumers like Plaintiff, would consider the high levels of these toxic metals as a material fact when considering which baby food to purchase.

76. Defendant knew that properly and sufficiently monitoring and testing for heavy metals in its ingredients and Baby Food Products was critical.

77. Finally, Defendant knew or should have known that it could control the levels of heavy metals in the Baby Food Products by properly monitoring their ingredients for heavy metals and adjusting any formulation or diet to reduce ingredients that contained higher levels of heavy metals.

78. Defendant also knew it was not properly and sufficiently testing for the presence of high levels of heavy metals in the Baby Food Products.

79. Defendant knew or should have known that consumers reasonably expected it to test for and monitor the presence of heavy metals in the Baby Food Products and ingredients.

80. Defendant knew, yet failed to disclose, its lack of regular testing and knowledge of the risk or presence of high levels of heavy metals in the Baby Food Products.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 20 of 38

81. The statements, representations, partial disclosures, and omissions made by Defendant are crafted to deceive the public as they create an image that the Baby Food Products are healthy, nutritious, are made from the best ingredients, are subject to strict quality control, and are free of high levels of heavy metals.

82. Reasonable consumers, such as Plaintiff and the Class members, would have no reason to doubt Defendant's statements regarding the quality of the Baby Food Products. Defendant's failure to disclose and/or concealment of the high levels of toxins in the Baby Food Products together with the misrepresentations alleged herein that were intended to, and did, cause consumers like Plaintiff to purchase products they otherwise would not have if the true quality and ingredients were disclosed.

83. As a result of its wrongful marketing, including its misleading, deceptive, unfair and false representations and omissions, Defendant capitalized on and reaped enormous profits from consumers like Plaintiff who paid the purchase price or a premium price for the Baby Food Products that were not as advertised.

PLAINTIFF'S RELIANCE AS REASONABLE AND FORESEEABLE

84. Plaintiff reasonably relied on Defendant's claims, warranties, representations, advertisements, and other marketing concerning the qualities and benefits of the Baby Food Products.

85. Plaintiff read and relied upon the labels and packaging of the Baby Food Products when making purchasing decisions. Had Plaintiff known Defendants omitted the presence of high levels of heavy metals from its packaging, Plaintiff would not have purchased the Baby Food Products.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 21 of 38

86. A reasonable consumer would consider the labeling of a product when deciding whether to purchase it. Here, Plaintiff relied on the specific statements and omissions on the Baby Food Products' labeling that led them to believe it was healthy, nutritious, and did not contain high levels of heavy metals.

DEFENDANT BREACHED ITS EXPRESSED AND IMPLIED WARRANTIES

87. Defendant had sufficient notice of its breaches of express and implied warranties. Defendant has, and had, exclusive knowledge of the physical and chemical properties of the Baby Food Products. Moreover, Defendant was put on notice by the Healthy Babies Bright Future Report released in October 2019, regarding the inclusion of high levels of heavy metals or other toxins in the Baby Food Products. *See* <u>https://www.healthybabyfood.org/sites/</u> <u>healthybabyfoods.org/files/2020-04/BabyFoodReport_ENGLISH_R6.pdf</u>.

88. Defendant previously released a response, posted on the company's website, to a study of lead contamination in baby food products by the Environmental Defense Fund. *See* <u>https://www.beechnut.com/beech-nut-edf-response/</u>. Specifically, Beech-Nut stated that the report was based on data from "before Beech-Nut introduced a completely new line of products and revamped our sourcing standards. In fact, all the Beech-Nut products listed in the report have either been reformulated or discontinued." The response further states "[o]ur goal is to minimize the amount of heavy metals in our products through testing and partnering with our suppliers to identify the areas of the country and specific farms with the lowest levels possible." This response discusses the source of lead, cadmium, and arsenic, as well as what Defendant contends to be acceptable levels of those heavy metals in baby foods.

89. Defendant also boasted "[a]ll of our products meet or exceed the FDA draft guidance for inorganic arsenic in rice cereals."

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 22 of 38

90. Defendant did not change its packaging or labeling to include a disclaimer that the Baby Food Products contained, or may contain, high levels of heavy metals.

PRIVITY EXISTS WITH PLAINTIFF AND THE PROPOSED CLASS

91. Defendant knew that consumers such as Plaintiff and the proposed members of the Class would be the end purchasers or users of the Baby Food Products and the target of its advertising and statements.

92. Defendant intended the warranties, advertising, labeling, statements, and other representations would be considered by the end purchasers of the Baby Food Products, including Plaintiff and the proposed Class.

93. Defendant directly marketed the Baby Food Products to Plaintiff and the proposed Class through statements on its website, labeling, advertising, and packaging on the Baby Food Products.

94. Plaintiff and the proposed Class are the intended beneficiaries of the express and implied warranties.

CLASS ACTION ALLEGATIONS

95. Plaintiff brings this action individually and on behalf of all other persons similarly situated pursuant to Rules 23(a) and 23(b)(2) and (3) of the Federal Rules of Civil Procedure. Notwithstanding, at this time, Plaintiff brings this action and seeks certification of the following proposed class:

All persons within the United States who purchased and/or consumed the Baby Food Products for household or business use, and not for resale, from October 1, 2015 through the date of class certification (the "Class" or the "National Class").

96. Plaintiff Boyd brings this action individually and on behalf of the following Texas Sub-Class:

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 23 of 38

All persons who are citizens of the State of Texas, who, from October 1, 2015 through the present, purchased the Baby Food Products for household or business use, and not for resale (the "Texas Sub-Class").

97. Excluded from the proposed Class and Subclass is the Defendant, and any entities in which the Defendant has a controlling interest, the Defendant's agents, employees, and their legal representatives, any judge to whom this action is assigned to and any member of such judge's staff and immediate family.

98. Certification of Plaintiff's claims for class-wide treatment is appropriate because Plaintiff can prove the elements of her claims on a class-wide basis using the same evidence as would be used to prove those elements in individual actions alleging the same claims.

99. **Numerosity** – **Federal Rule of Civil Procedure 23(a)(1)** -- The members of the Class and Sub-Class are so numerous that their individual joinder herein is impracticable. On information and belief, members of the Classes number in the thousands to tens of thousands. The number of members in the Classes is presently unknown to Plaintiff but may be verified by Defendant's records. Members of the Classes may be notified of the pendency of this action by mail, e-mail, Internet postings, and/or publication.

100. Commonality and Predominance – Federal Rule of Civil Procedure 23(a)(2)

and 23(b)(3) – Common questions of law and fact exist as to all members of the Classes and predominate over individual questions. Such common questions of law or fact include, but are not limited to:

- a. Whether Defendant owed a duty of care;
- b. Whether Defendant knew or should have known that the Baby Food Products contained high levels of heavy metals;
- c. Whether the Baby Food Products contain dangerous levels of toxic heavy metals;

- d. Whether the marketing, advertising, packaging, labeling, and other promotional materials for the Baby Food Products are deceptive;
- e. Whether Defendant's actions violate state consumer fraud statutes identified below;
- f. Whether Defendant's actions constitute common law fraud;
- g. Whether Plaintiff and members of the Classes were damaged by Defendant's conduct as alleged herein;
- h. Whether Defendant was unjustly enriched at the expense of Plaintiff and the members of the Classes; and
- i. Whether Plaintiff and the members of the Classes are entitled to injunctive relief.

101. **Typicality – Federal Rule of Civil Procedure 23(a)(3) – The claims of the** named Plaintiff are typical of the claims of the other members of the Class and the subclass. All members of the Classes were similarly injured by Defendant's conduct as described herein, and there are no defenses available to Defendant that are unique to Plaintiff or any particular Class members.

102. Adequacy of Representation – Federal Rule of Civil Procedure 23(a)(4) –

Plaintiff is an adequate Class representative because her interests do not conflict with the interests of other Class members; she has retained class counsel competent to prosecute class actions and financially able to represent the Classes.

103. **Declaratory and Injunctive Relief – Federal Rule of Civil Procedure 23(b)(2).** Defendant has acted or refused to act on grounds generally applicable to Plaintiff and the other Class members, thereby making appropriate final injunctive relief and declaratory relief, as described below, with respect to Class members as a whole. In particular, Plaintiff seeks to

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 25 of 38

certify a Class to enjoin Defendant from selling or otherwise distributing Baby Food Products until such time that Defendant can demonstrate to the Court's satisfaction that its baby foods are accurately labeled.

104. **Superiority – Federal Rule of Civil Procedure 23(b)(3)** – A class action is superior to any other means of adjudication for this controversy. It would be impracticable for members of the Classes to individually litigate their own claims against Defendant because the damages suffered by Plaintiff and members of the Classes are relatively small compared to the cost of individually litigating their claims. A class action provides an efficient means for adjudication with fewer management difficulties and comprehensive supervision by a single court.

CAUSES OF ACTION

COUNT I Breach of Express Warranty Against Defendant on Behalf of the Class (or, alternatively, the Subclass)

105. Plaintiff incorporates by reference and realleges each and every allegation detailed above, as though fully set forth herein.

106. Defendant marketed and sold the Baby Food Products into the stream of commerce with the intent that the Baby Food Products would be purchased by Plaintiff and the Class.

107. Defendant expressly warranted, advertised, and represented to Plaintiff and the Class that the Baby Food Products are: (i) natural; (ii) appropriate for certain "stages" of babies; and (iii) "real food for babies".

108. Defendant made these express warranties regarding the Baby Food Products' quality, ingredients, and fitness for consumption in writing via its website, advertisements, and

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 26 of 38

marketing materials, as well as on the labels and packaging of the products themselves. These express warranties became part of the basis of the bargain that Plaintiff and the Class entered into upon purchasing the Baby Food Products.

109. Defendant's advertisements, warranties, and representations were made in connection with the sale of the Baby Food Products to Plaintiff and the Class. Plaintiff and the Class relied on Defendant's advertisements, warranties, and representations regarding the Baby Food Products in deciding whether to purchase Defendant's products.

110. Defendant's Baby Food Products do not conform to its advertisements, warranties, and representations in that they:

a. Are not natural or suitable for consumption by human infants; and

b. Contain, or may contain, high levels of certain heavy toxic metals.

111. Defendant was on notice of this breach as Beech-Nut was aware of the included high levels of heavy metals in the Baby Food Products and based on the investigation in the Healthy Babies Bright Futures October 2019 report that showed its Baby Food Products as unhealthy.

112. Privity exists because Defendant expressly warranted to Plaintiff and the Class through warranting, packaging, advertising, marketing, and labeling that the Baby Food Products were healthy, natural, and safe for consumption and by failing to make any mention of the high levels of heavy metals and/or other contaminants.

113. As a direct and proximate result of Defendant's conduct, Plaintiff and the Class have suffered actual damages in that they purchased Baby Food Products that were worth less than the price they paid and they would not have purchased had they known of the risk and/or

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 27 of 38

presence of high levels of heavy metals and /or other contaminants that do not conform to the products' labels, packaging and advertisements.

114. Plaintiff and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available thereunder for Defendant's failure to deliver goods conforming to its express warranties and resulting breach.

COUNT II Breach of Implied Warranty of Merchantability Against Defendant on Behalf of the Class (or alternatively, the State Subclass)

115. Plaintiff incorporates by reference and realleges each and every allegation detailed above, as though fully set forth herein.

116. Defendant is a merchant engaging in the sale of goods to Plaintiff and the Class.

117. There was a sale of goods from Defendant to Plaintiff and the Class.

118. At all times mentioned herein, Defendant manufactured or supplied the Baby

Food Products, and prior to the time they were purchased by Plaintiff and the Class, Defendant impliedly warranted to them that the Baby Food Products were of merchantable quality, fit for their ordinary use (consumption by babies), and conformed to the promises and affirmations of fact when they purchased the Baby Food Products.

119. The Baby Food Products were not fit for their ordinary use, consumption by babies, and did not conform to Defendant's affirmations of fact and promises as they contained, or were at risk of containing, high levels of heavy metals, and/or unnatural or other ingredients or contaminants that do not conform to the packaging.

120. Defendant breached its implied warranties by selling Baby Food Products that failed to conform to the promises or affirmations of fact made on the container or label as each

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 28 of 38

product contained high levels of heavy metals, and/or unnatural or other ingredients or contaminants that do not conform to the packaging.

121. Defendant was on notice of this breach, as it was aware of the high levels of heavy metals included, or at risk, in the Baby Food Products, and based on the public investigation by Healthy Babies Bright Futures that showed Defendant's baby food products as unhealthy and contaminated.

122. Privity exists because Defendant impliedly warranted to Plaintiff and the Class through the warranting, packaging, advertising, marketing, and labeling that the Baby Food Products were natural, and suitable for consumption by babies, and by failing to make any mention of the high levels of heavy metals, and/or unnatural or other ingredients.

123. As a direct and proximate result of Defendant's conduct, Plaintiff and the Class have suffered actual damages in that they have purchased Baby Food Products that are worth less than the price they paid and that they would not have purchased at all had they known of the presence or risk of high levels of heavy metals, and/or unnatural or other ingredients.

124. Plaintiff and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available thereunder for Defendant's failure to deliver goods conforming to their implied warranties and resulting breach.

COUNT III Fraudulent Misrepresentation Against Defendant on Behalf of the Class (or, alternatively, the State Subclass)

125. Plaintiff incorporates by reference and realleges each and every allegation contained above, as though fully set forth herein.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 29 of 38

126. Defendant falsely represented to Plaintiff and the Class that their Baby Food Products are: (a) Natural; (b) Appropriate for certain "Stage[s]" of babies; and (c) "real food for babies."

127. Defendant intentionally, knowingly, and recklessly made these misrepresentations to induce Plaintiff and the Class to purchase its Baby Food Products.

128. Defendant knew that their representations about the Baby Food Products were false in that they contained, or were at risk of containing, high levels of heavy metals, and/or other ingredients that do not conform to the products' labels, packaging, advertising, and statements. Defendant allowed its packaging, labels, advertisements, promotional materials, and websites to intentionally mislead consumers, such as Plaintiff and the Class.

129. Plaintiff and the Class did in fact rely on these misrepresentations and purchased the Baby Food Products to their detriment. Given the deceptive manner in which Defendant advertised, represented, and otherwise promoted these products, Plaintiff's and the Class's reliance on Defendant's misrepresentations was justifiable.

130. As a direct and proximate result of Defendant's conduct, Plaintiff and the Class have suffered actual damages in that they purchased Baby Food Products that were worth less than the price they paid and that they would not have purchased at all had they known of the risk and/or presence of high levels of heavy metals, and/or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

131. Plaintiff and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available under the laws.

COUNT IV Fraud by Omission Against Defendant on Behalf of the Class (or, alternatively, the State Subclass)

132. Plaintiff incorporates by reference and realleges each and every allegation contained above, as though fully set forth herein.

133. Defendant concealed from and failed to disclose to Plaintiff and the Class that their Baby Food Products contained, or were at risk of containing, high levels of heavy metals, and/or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

134. Defendant was under a duty to disclose to Plaintiff and the Class the true quality, characteristics, ingredients and suitability of the Baby Food Products because: (1) Defendant was in a superior position to know the true state of facts about its products; (2) Defendant was in a superior position to know the ingredients, characteristics, and suitability of the Baby Food Products for consumption by babies; and (3) Defendant knew that Plaintiff and the Class could not reasonably have been expected to learn or discover that the Baby Food Products were misrepresented in the packaging, labels, advertising, and websites prior to purchasing the Baby Food Products.

135. The facts concealed or not disclosed by Defendant to Plaintiff and the Class are material in that a reasonable consumer would have considered them important when deciding whether to purchase the Baby Food Products.

136. Plaintiff and the Class justifiably relied on the Defendant's omissions to their detriment. The detriment is evident from the true quality, characteristics, and ingredients of the Baby Food Products, which is inferior when compared to how the Baby Food Products are advertised and represented by Defendant.

137. As a direct and proximate result of Defendant's conduct, Plaintiff and the

Class have suffered actual damages in that they purchased Baby Food Products that were worth less than the price they paid and that they would not have purchased at all had they known of the risk and/or presence of high levels of heavy metals, or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

138. Plaintiff and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available under the laws.

COUNT V Negligent Misrepresentation Against Defendant on behalf of the Class (or, alternatively, the State Subclass)

139. Plaintiff incorporates by reference and repeats and realleges all previously alleged paragraphs, as if fully alleged herein.

140. Plaintiff reasonably placed her trust and reliance in Defendant's representations that the Baby Food Products were healthy, nutritious, made from the best ingredients, and safe for consumption and did not contain heavy metals.

141. Because of the relationship between the parties, Defendant owed a duty to use reasonable care to impart correct and reliable disclosures concerning the presence of high levels of heavy metals in the Baby Food Products, or based upon its superior knowledge, having spoken, to say enough not to be misleading.

142. Defendant breached its duty to Plaintiff and the Class by providing false, misleading, and/or deceptive information regarding the nature of the Baby Food Products.

143. Plaintiff and the Class reasonably relied upon the information provided by Defendant. A reasonable consumer would have relied on Defendant's own warranties, statements,

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 32 of 38

representations, advertising, packaging, labeling, and other marketing as to the quality, make-up and ingredients of the Baby Food Products.

144. As a result of the misrepresentations, Plaintiff and the Class purchased the Baby Food Products, and purchased them at a premium.

145. Defendant failed to use reasonable care in its communications and representations to Plaintiff and the Class, especially in light of its knowledge of the risks and importance of considering ingredients to consumers when purchasing the Baby Food Products.

146. By virtue of Defendant's negligent misrepresentations, Plaintiff and the Class have been damaged in an amount to be proven at trial, or alternatively, seek rescission and disgorgement under this Count.

COUNT VI STATUTORY BREACH OF IMPLIED WARRANTY (Tex. Bus. & Com. Code § 2.314) (Brought by Plaintiff Boyd on behalf of the Texas Class)

147. Plaintiff incorporates by reference and repeats and realleges all previously alleged paragraphs, as if fully alleged herein.

148. Plaintiff Boyd brings this cause of action on behalf of herself and the Texas Class.

149. Beech-Nut is and was at all relevant times a "merchant" under Texas Business and Commercial Code §§ 2.104(1) and 2A.103(a)(20), and a "seller" under § 2.103(a)(4).

150. The Baby Food Products are and were at all relevant times "goods" within the meaning of Texas Business and Commercial Code §§ 2.105(a) and 2A.103(a)(8).

151. A warranty that the Baby Food Products were in merchantable condition and fit for the ordinary purpose for which baby food products are used is implied by law, pursuant to Texas Business and Commercial Code §§ 2.314 and 2A.212.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 33 of 38

152. Beech-Nut impliedly warranted that the Baby Food Products were of merchantable quality and fit for such use. This implied warranty included, inter alia, a warranty that the Baby Food Products were manufactured, supplied, distributed, and/or sold by Beech-Nut were safe for consumption by infants.

153. Beech-Nut breached the implied warranty of merchantability in that the Baby Food Products were not in merchantable condition when they were sold to Plaintiff and Texas Class members because the Baby Food Products were and are unfit for the ordinary purposes for which such products are used because they pose a serious safety risk to the children who consume them.

154. Beech-Nut has been provided notice of these issues, as alleged herein.

155. As a direct and proximate result of breaches of the implied warranty of merchantability, Plaintiff and the Texas Class members have suffered damages.

COUNT VII STATUTORY BREACH OF EXPRESS WARRANTIES (Tex. Bus. & Com. Code § 2.313) (Brought by Plaintiff Boyd on behalf of the Texas Class)

156. Plaintiff incorporates by reference and repeats and realleges all previously alleged paragraphs, as if fully alleged herein.

157. Plaintiff Boyd brings this cause of action on behalf of herself and the Texas Class.

158. Beech-Nut is and was at all relevant times a "merchant" under Texas Business and

Commercial Code §§ 2.104(1) and 2A.103(a)(20), and a "seller" under § 2.103(a)(4).

159. The Baby Food Products are and were at all relevant times "goods" within the meaning of Texas Business and Commercial Code §§ 2.105(a) and 2A.103(a)(8).

160. In connection with the sale of the defective Baby Food Products to the Plaintiff and the Texas Class, Beech-Nut provided an express warranty.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 34 of 38

161. Beech-Nut breached this warranty by selling Baby Food Products that were unsafe for infant consumption.

162. Beech-Nut has had a reasonable opportunity to cure its breaches.

163. Beech-Nut has been provided notice of these issues by numerous complaints as described herein.

164. As a direct and proximate result of Beech-Nut's breach of express warranties, Plaintiff and the Texas Class members have been damaged in an amount to be determined at trial.

COUNT VIII UNJUST ENRICHMENT (On Behalf of the Class, or alternatively, the State Subclass)

165. Plaintiff incorporates by reference and realleges each and every allegation contained above, as if fully set forth herein.

166. Plaintiff and putative Class Members conferred a benefit on Defendant when they purchased the Baby Food Products, of which Defendant had knowledge. By its wrongful acts and omissions described herein, including selling the Baby Food Products, which contain toxic heavy metals, including arsenic, cadmium, mercury and lead, at levels above what is considered safe for babies and which did not otherwise perform as represented or for the particular purpose for which they were intended, Defendant was unjustly enriched at the expense of Plaintiff and putative Class members. Plaintiff's detriment and Defendant's enrichment were related to and flowed from the wrongful conduct alleged in this Complaint.

167. Defendant has profited from its unlawful, unfair, misleading, and deceptive practices at the expense of Plaintiff and putative Class members under circumstances in which it would be unjust for Defendants to be permitted to retain the benefit. It would be inequitable for

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 35 of 38

Defendant to retain the profits, benefits and other compensation obtained from its wrongful conduct as described herein in connection with selling the Baby Food Products.

168. Defendant has been unjustly enriched in retaining the revenue derived from the Class members' purchases of the Baby Food Products, which retention of such revenues under these circumstances is unjust and inequitable because Defendant manufactured defective Baby Food Products, misrepresented the nature of the Baby Food Products, misrepresented their ingredients, and knowingly marketed and promoted dangerous and defective products which caused injuries to Plaintiff and the Class because they would not have purchased the products based on the same representations if the true facts concerning the Baby Food Products had been known.

169. Plaintiff and putative Class members have been damaged as a direct and proximate result of Defendant's unjust enrichment because they would not have purchased the Baby Food Products on the same terms or for the same price had they known the true nature of the Baby Food Products and the misstatements regarding what the Baby Food Products were and what they contained.

170. Defendant either knew or should have known that payments rendered by Plaintiff or putative Class members were given or received with the expectation that the Baby Food Products were from "the world's most trusted name in baby food," as represented by Defendant in advertising, on Defendant's websites, and on the Baby Food Product's labels and packaging. It is inequitable for Defendant to retain the benefit of payments under these circumstances.

171. Plaintiff and putative Class Members are entitled to recover from Defendant all amounts wrongfully collected and improperly retained by Defendant.

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 36 of 38

172. When required Plaintiff and putative Class Members are in privity with Defendant because Defendant's sale of the Baby Food Products was either direct or through authorized sellers. Purchase through authorized sellers is sufficient to create such privity because such authorized sellers are Defendant's agents for the purpose of the sale of the Baby Food Products.

173. As a direct and proximate result of Defendant's wrongful conduct, and unjust enrichment, Plaintiff and putative Class Members are entitled to restitution of, disgorgement of, and/or imposition of a constructive trust upon profits, benefits and other compensation obtained by Defendant for its inequitable and unlawful conduct.

PRAYER FOR RELIEF

1. WHEREFORE, Plaintiff, individually and on behalf of the other members of the Classes proposed in this Complaint, respectfully requests that the Court enter judgment as follows:

A. Declaring that this action is a proper class action, certifying the Classes as requested herein, designating Plaintiff as Class Representative, and appointing the undersigned counsel as Class Counsel for the Classes;

B. Enjoining Defendant from selling Baby Food Products until the higher and/or unsafe levels of heavy metals are removed;

C. Enjoining Defendant from selling Baby Food Products in any manner suggesting or implying that they are healthy, nutritious, and safe for consumption;

D. Requiring Defendant to engage in a corrective advertising campaign and engage in any further necessary affirmative injunctive relief, such as recalling existing products;

E. Ordering Defendant to pay actual damages to Plaintiff and the other members of the Classes;

Case 1:21-cv-00200-TJM-CFH Document 1 Filed 02/22/21 Page 37 of 38

F. Ordering Defendant to pay restitution to Plaintiff and the other members of the Classes;

G. Ordering Defendant to pay punitive damages, as allowable by law, to Plaintiff and the other members of the Classes;

H. Ordering Defendant to pay statutory damages, as provided by the applicable state consumer protection statutes invoked herein, to Plaintiff and the other members of the Classes;

I. Ordering Defendant to pay reasonable attorneys' fees and litigation costs to

Plaintiff and the other members of the Class as allowable by law;

J. Ordering Defendant to pay both pre- and post-judgment interest as allowable by

law, on any amounts awarded; and

K. Ordering such other and further relief as may be just and proper.

DEMAND FOR JURY TRIAL

Plaintiff demands a trial by jury of all claims in this Complaint so triable. Plaintiff also respectfully requests leave to amend this Complaint to conform to the evidence if such amendment is needed for trial.

Dated: February 22, 2021

KIRWAN LAW FIRM, P.C.

s/ Terry J. Kirwan, Jr. Terry J. Kirwan, Jr. Bar Roll #: 501821 100 Madison Street AXA Tower I, 15th Floor Syracuse, New York 13202 T: (315) 452-2443 F: (315) 671-1550 Email: <u>tkirwan@kirwanlawpc.com</u>

Jeffrey A. Barrack BARRACK, RODOS & BACINE 3300 Two Commerce Square 2001 Market Street Philadelphia, PA 19103 T: (215) 963-0600 F: (215) 963-0838 jbarrack@barrack.com

Michael A. Toomey BARRACK, RODOS & BACINE Eleven Times Square 640 8th Avenue, 10th Floor New York, NY 10036 T: (212) 688–0782 F: (212) 688–0783 mtoomey@barrack.com

Stephen R. Basser BARRACK, RODOS & BACINE One America Plaza 600 West Broadway, Suite 900 San Diego, CA 92101 T: (619) 230–0800 F: (619) 230–1874 sbasser@barrack.com

John G. Emerson EMERSON FIRM, PLLC 2500 Wilcrest, Suite 300 Houston, TX 77042 T: (800)-551-8649 F: (501)-286-4659 jemerson@emersonfirm.com

Christopher D. Jennings (AR Bar No. 2006306) **JOHNSON FIRM** 610 President Clinton Avenue, Suite 300 Little Rock, Arkansas 72201 T: (501) 372-1300 F: (888) 505-0909 <u>chris@yourattorney.com</u>

JS 44 (Rev. 10/20) Case 1:21-cv-00200-TJCFF COVERSITE Filed 02/22/21 Page 1 of 2

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)*

I. (a) PLAINTIFFS	, ,		DEFEND	DANTS
Lee Boyd			Beech-N	Nut Nutrition Company
(b) County of Residence o	f First Listed Plaintiff	<u>Harris County, TX</u> SES)	NOTE: IN	Residence of First Listed Defendant <u>Montgomery</u> (IN U.S. PLAINTIFF CASES ONLY) V LAND CONDEMNATION CASES, USE THE LOCATION OF HE TRACT OF LAND INVOLVED.
Terry J. Kirwan, Jr.,	Address, and Telephone Numbe Kirwan Law Firm, P.C., Floor, Syracuse, New Yo	100 Madison Street,	Attorneys ()	
II. BASIS OF JURISD	CTION (Place on "Y" in	One Box Only)	III CITIZENSHIP	P OF PRINCIPAL PARTIES (Place an "X" in One Box for Plai.
1 U.S. Government Plaintiff	3 Federal Question (U.S. Government)		(For Diversity Ca.) Citizen of This State	
2 U.S. Government Defendant	X 4 Diversity (Indicate Citizenshi	p of Parties in Item III)	Citizen of Another State	of Business In Another State
			Citizen or Subject of a Foreign Country	a 3 Foreign Nation 6
IV. NATURE OF SUIT	(Place an "X" in One Box On	ly)		Click here for: Nature of Suit Code Descriptions.
CONTRACT		RTS	FORFEITURE/PEN	
 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle 355 Motor Vehicle 950 Motor Vehicle 960 Other Personal Injury 362 Personal Injury - Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities - Other 448 Education	PERSONAL INJURY 365 Personal Injury - Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability PERSONAL PROPERT 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage 385 Property Damage 785 Property Damage 985 Property Damage 510 Motions to Vacate Sentence 530 General 535 Death Penalty Other: 540 Mandamus & Othee 550 Civil Rights 555 Prison Condition 560 Civil Detainee - Conditions of Confinement	 of Property 21 U 690 Other 690 Other 710 Fair Labor Stand Act 720 Labor/Managem Relations 740 Railway Labor A 751 Family and Med Leave Act 790 Other Labor Liti 791 Employee Retird Income Security MMIGRATIO 462 Naturalization A 	USC 881 423 Withdrawal 376 Qui Tam (31 USC 3729(a)) PROPERTY RIGHTS 400 State Reapportionment 410 Antitrust 430 Banks and Banking 830 Patent 450 Commerce 460 Deportation 835 Patent - Abbreviated 460 Deportation 470 Racketeer Influenced ar Corrupt Organizations 480 Trademark Corrupt Organizations 480 Consumer Credit (15 USC 1681 or 1692) 840 Trademark 480 Consumer Credit (15 USC 1681 or 1692) 485 Telephone Consumer Protection Act 861 HIA (1395ff) 490 Cable/Sat TV 862 Black Lung (923) 864 SSID Title XVI 863 DIWC/DIWW (405(g)) 891 Agricultural Acts 893 Environmental Matters ty Act FEDERAL TAX SUITS 895 Freedom of Information 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS—Third Party 26 USC 7609 Application Act/Review or Appeal of Agency Decision
	noved from 3	Remanded from	4 Reinstated or 5 Reopened	5 Transferred from 6 Multidistrict Another District Litigation - Litigation - (specify) Transfer Direct File
VI. CAUSE OF ACTIC	DN Class Action Fairness Brief description of ca	Act of 2005, 28 U.S.C. 13 use:	332 (d)(2)	tictional statutes unless diversity):
VII. REQUESTED IN COMPLAINT:	CHECK IF THIS UNDER RULE 2	IS A CLASS ACTION 3, F.R.Cv.P.	DEMAND \$	CHECK YES only if demanded in complaint: JURY DEMAND: XYes No
VIII. RELATED CASH IF ANY	E(S) (See instructions):	JUDGE Hon. Tho	nas J. McAvoy	DOCKET NUMBER <u>1:21-cv-00133; 1:21-cv-00167</u>
DATE		SIGNATURE OF ATT		
2/19/2021 FOR OFFICE USE ONLY ANYNDC-5430046		/s/ Terry	J. Kirwan, Jr.	
	10UNT \$402.00	APPLYING IFP	$\frac{J}{S = N_0 \cdot 1.21} CV$	JUDGE TJM MAG. JUDGE CFH

Case No.: 1:21-CV-0200

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a)** Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below. United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box. Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution of the United States are included here.

to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; NOTE: federal question actions take precedence over diversity cases.)

- **III.** Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: <u>Nature of Suit Code Descriptions</u>.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.

Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket. **PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7.** Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service.
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P. Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction. Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

ClassAction.org

This complaint is part of ClassAction.org's searchable class action lawsuit database