#### IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF NEW YORK

MARIA AUSTIN, individually and on behalf of others similarly situated,

Plaintiff,

v.

THE GOLUB CORPORATION,

Defendant.

Civil Action No. 1:18-cv-778 (FJS/CFH)

<u>COMPLAINT</u> FLSA COLLECTIVE ACTION AND RULE 23 CLASS ACTION

JURY TRIAL DEMANDED

Plaintiff Maria Austin by and through her attorneys, on behalf of herself and all others similarly situated, alleges, upon personal knowledge as to herself and upon information and belief as to other matters, as follows:

#### **NATURE OF THE ACTION**

1. Plaintiff Maria Austin ("Plaintiff") brings this action on behalf of herself and all others similarly situated, against Defendant The Golub Corporation ("Defendant"), to remedy violations of the Fair Labor Standards Act, as amended, 29 U.S.C. § 201 et seq. ("FLSA"). Plaintiff seeks, for herself and similarly situated employees, declaratory and injunctive relief, unpaid wages, unpaid overtime, liquidated damages, reasonable attorneys' fees and costs, and all other appropriate legal and equitable relief, pursuant to 29 U.S.C. § 216 and 217, and other applicable federal law.

2. Plaintiff also brings this action, on behalf of herself and other employees similarly situated, to remedy violations of the New York State Labor Law ("NYLL"), including NYLL § 190 et seq., §§ 650 et seq., New York Codes, Rules & Regulations ("NYCRR"), including 12 NYCRR §§ 142-2.6, 195 and New York common law. Plaintiff seeks, for herself and all other similarly situated employees, declaratory and injunctive relief, unpaid wages, unpaid overtime

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wages, statutory damages, interest, liquidated damages, reasonable attorneys' fees, and costs and all other appropriate legal and equitable relief, pursuant to *inter alia*, the NYLL §§ 198, 663.

#### **JURISDICTION**

Jurisdiction of the Court over Plaintiff's FLSA claims is invoked pursuant to 29
 U.S.C. § 216(b) and 28 U.S.C. § 1331.

4. Jurisdiction of this Court over Plaintiff's state law claims is invoked pursuant to 28 U.S.C. § 1367(a) in that the NYLL claims are so related to Plaintiff's FLSA claims as to form the same case or controversy under Article III of the United States Constitution.

5. Venue is proper within this District, pursuant to 28 U.S.C. § 1391, because Defendant does business in, and accordingly resides in, this District.

#### **PARTIES**

6. Plaintiff resides in the County of Ulster, in the State of New York. Plaintiff was hired in 1992 as a "Loss Prevention Officer" by Defendant and then her job title was changed around January 2014 to "Loss Prevention Manager" by Defendant. Her job duties remained the same. Plaintiff is a current employee of Defendant.

7. Defendant The Golub Corporation is a Delaware corporation. The Golub Corporation, through its subsidiaries, operates discount supermarkets under the Price Chopper, Market 32 and Market Bistro banners. It offers products in the areas of pharmaceuticals, meat, flowers, cheeses, seafood, and deli products. The company is based in Schenectady, New York and has locations in Connecticut, Massachusetts, New Hampshire, New York, Pennsylvania, and Vermont.

8. At all relevant times, Defendant has been, and continues to be, an "employer" engaged in "interstate commerce" and/or in the "production of goods" for "commerce", within the

meaning of 29 U.S.C. § 203. At all times relevant hereto, Defendant has been, and continues to be, an "employer" as defined by 29 U.S.C. § 203(D) and by the NYLL § 190(3). At all relevant times, Defendant has employed "employee[s]", including Plaintiff and each of the FLSA Collective Plaintiffs and the members of the Class.

#### FACTUAL ALLEGATIONS

9. Defendant The Golub Corporation owns several grocery store and pharmacy chains, including Price Chopper.

10. Price Chopper is a grocery store with approximately 135 locations in the United States.

11. Defendant employs "Loss Prevention Officers" and "Loss Prevention Managers" such as Plaintiff and each of the FLSA Collective Plaintiffs and the members of the Rule 23 Class to monitor store premises.

#### During the Rule 23 Class Period Defendant Employed Plaintiff and the Members of the Rule 23 Class As "Loss Prevention Officers"—A Job Position Which Defendant Classified as Non-Exempt

12. Plaintiff was initially employed by Defendant in 2013 as a "Loss Prevention Officer", a position which Defendant classified as "non-exempt". At such time, Plaintiff was paid approximately \$22.45 per hour.

13. During the Rule 23 Class Period (as defined below), "Loss Prevention Officers" performed the following duties:

- Monitor store premises;
- Call police to report theft;
- Review surveillance footage;
- Conduct witness interviews;

- Prepare incident reports; and,
- Request permission to file police reports.

14. During the Rule 23 Class Period, Plaintiff and the members of the Rule 23 Class (as defined below) were routinely required to perform work during meal periods.

15. Plaintiff and the members of the Rule 23 Class regularly worked in excess of forty (40) hours in a workweek but Defendants failed to pay them overtime wages for such time worked. Plaintiff worked on a routine basis 50 to 60 hours a week (excluding the on-call time) for which she was not paid overtime. This occurred approximately 45 weeks a year, which excludes only the weeks in which Plaintiff took vacation time.

16. In addition, Plaintiff and the members of the Rule 23 Class were expected to be available by cell phone 24 hours a day, seven days a week when they were not working their scheduled hours, but Defendant does not compensate them for all of the hours they are on call but not at a store location and for other work performed "off-the-clock" including emails and drive time..

17. Defendant knew that Plaintiff and the members of the Rule 23 Class were performing uncompensated work.

18. Upon information and belief, Defendant knew of, and/or showed reckless disregard for, the practices by which Defendant failed to pay wages and overtime wages for all hours worked. Defendant knew that the nonpayment of wages and overtime wages would economically injure Plaintiff and the Rule 23 Class Members, and that it violated the NYLL.

#### <u>Defendant Subsequently Re-Named and Re-Classified the "Loss Prevention Officer"</u> <u>Position But Did Not Alter the Duties and Expectations of the Position</u>

19. In or about January 2014, Defendant re-classified all employees in the position of "Loss Prevention Officer" including Plaintiff. Defendant re-named the position "Loss Prevention

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Manager" and classified the position as "exempt" however the job duties and expectations of the position did not change.

20. When Plaintiff's position changed to "Loss Prevention Manager" she was paid approximately \$917 to \$973 gross per week, a figure that remained consistent throughout the Class Period.

21. Defendant classifies "Loss Prevention Managers" as salaried non-exempt employees, however, such classification is improper and, as a corporate policy and/or practice, Defendant fails to compensate "Loss Prevention Managers" for all hours worked in the performance of their duties. Plaintiff worked on a routine basis 50 to 60 hours a week (excluding the on-call time) for which she was not paid overtime. This occurred approximately 45 weeks a year, which excludes only the weeks in which Plaintiff took vacation time.

#### Allegations Regarding Misclassification of Plaintiff and FLSA Collective Class Members as "Exempt" Employees

22. Although the FLSA provides for certain exemptions to the mandates of paying overtime compensation, no exemption applies in the instant matter.

23. The Act exempts certain employees from the overtime requirements. However, an "employer who claims an exemption from the FLSA has the burden of showing that the exemption applies." *See Hogan v. Allstate Ins. Co.*, 361 F.3d 621, 625 (11th Cir.2004) (per curiam) (citing *Atlanta Prof'l Firefighters Union, Local 134 v. City of Atlanta*, 920 F.2d 800, 804 (11th Cir.1991). Pursuant to the FLSA, the test for the executive exemption (which is nearly identical to the administrative exemption) requires that an employee's main, principal and "primary duty" consists of the "management of the enterprise" in which he or she is employed. In addition, the executive employee's work must include "the customary and regular direction" of work of two or more employees, including the hiring and firing processes, as well as "customarily and regularly

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exercis[ing] discretionary powers." The regulations define an exempt administrative employee as one whose "primary duty" consists of "office or non-manual work." A high degree of discretion and independence is also required by the employee for a successful classification under the executive and administrative exemptions.

24. Pursuant to the FLSA, an exempt professional employee is one whose work requires "theoretical and practical application of highly specialized knowledge in computer systems analysis, programming, or software engineering." The professional employee's work requires consistent exercise of discretion and judgment.

25. The job duties of Defendant's "Loss Prevention Manager" employees, such as Plaintiff and the members of the FLSA Class, included:

- Monitor store premises;
- Call police to report theft;
- Review surveillance footage;
- Conduct witness interviews;
- Prepare incident reports; and,
- Request permission to file police reports.

26. Plaintiff and the members of the FLSA Class did not have any supervisory role for Defendant.

27. Based upon the foregoing, the Plaintiff and the members of the FLSA Class are not exempt from the FLSA's overtime requirements.

28. Unless proven to be exempt from the protection of overtime laws, all employees are entitled to premium overtime pay for work in excess of forty (40) hours per week.

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29. The Plaintiff and the members of the FLSA Class were improperly classified by the Defendant as exempt during the FLSA Class Period; their work duties dictate they should be classified and compensated as non-exempt employees.

#### **Defendant's Misclassification Resulted in Violations of the FLSA**

30. By means of Defendant improperly classifying "Loss Prevention Managers" as exempt salaried employees as opposed to non-exempt hourly employees, Defendant avoided its obligation to pay overtime wages to "Loss Prevention Managers". Plaintiff alleges that Defendant systemically and uniformly failed to pay Plaintiff and members of the putative class all wages owed including overtime wages as a direct result of being misclassified as "exempt" during the Class Period.

31. FLSA provides that, with certain exceptions, employers must pay employees overtime of at least one and one-half times their regular rate of pay for any hours over forty worked in a week. 29 U.S.C. § 207(a)(1).

32. "Loss Prevention Managers" are also expected to be available by cell phone 24 hours a day, 7 days a week. Defendant does not compensate Loss Prevention Managers for all of the hours they were on call but not at a store location and for other work performed "off-the-clock" including emails and drive time.

33. The Plaintiff and the members of the FLSA Class as defined below were forced to work overtime hours, every week and was not paid overtime for hours worked in excess of 40 in any given work week.

34. The Plaintiff and the members of the FLSA Class as defined below worked approximately 50 to 60 plus hours a week while employed by Defendant.

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35. During her tenure as a "Loss Prevention Manager", Plaintiff was classified by Defendant as an exempt employee. During her tenure as a "Loss Prevention Manager", Plaintiff performed job duties each week that were not compensated. As a result, Plaintiff received less than the wage contracted for.

36. Each of the FLSA Collective Plaintiffs and the members of the Rule 23 Class also performed work in their performance of required job duties for the benefit of Defendant that was not paid.

37. Defendant knew that Plaintiff and the FLSA Collective Plaintiffs were performing uncompensated work.

38. As a result of the above illegal policies and practices, Defendant routinely failed to pay Plaintiff and the FLSA Collective Plaintiff, and members of the Class, for all of the hours they worked (i) at their regular hourly rates of pay for the hours they worked up to 40 hours per week and (ii) overtime wages for hours worked in excess of 40 per week.

39. Upon information and belief, Defendant knew of, and/or showed reckless disregard for, the practices by which Defendant failed to pay wages and overtime wages for all hours worked. Defendant knew that the nonpayment of wages and overtime wages would economically injure Plaintiff and the FLSA Collective Plaintiffs and that it violated the FLSA.

40. Defendant committed the foregoing acts knowingly, intentionally and willfully against Plaintiff, the FLSA Collective Plaintiffs, and the Rule 23 Class members.

41. All employers subject to the FLSA must maintain and preserve certain records describing the wages, hours and working conditions of their employees. 29 U.S.C.A. § 211.

42. Accurate records are not only required for regulatory purposes, they are critical to an employer's defense of claims that it violated the Act. An employer that fails to maintain the

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required records cannot avoid liability in a wage-hour case through argument that there is insufficient evidence of the claimed hours worked. *See Wirtz v. First State Abstract Ins. Co.*, 362 F.2d 83 (8th Cir. 1966). An employer's failure to maintain records may create a presumption in the aggrieved employee's favor. *See Myers v. The Copper Cellar Corp.*, 192 F.3d 546, 551 (6th Cir. 1999).

43. Evidence reflecting the precise number of overtime hours worked by Plaintiff and the members of the FLSA Class, as well as the applicable compensation rates, is in the possession of Defendant. If these records are unavailable, Plaintiff and the members of the FLSA Class may establish the hours worked solely by their testimony and the burden of overcoming such testimony shifts to the employer. *See Anderson v. Mt. Clemens Pottery Co.*, 328 U.S. 680 (1946).

44. Plaintiff and the members of the FLSA Class allege that Defendant's failure to pay overtime was knowing and willful. Accordingly, Plaintiff and the members of the FLSA Class are entitled to recover all overtime pay due from overtime hours worked for which compensation was not paid, liquidated damages and attorneys' fees under the FLSA's three-year statute of limitations.

45. Defendant has not made a good faith effort to comply with the FLSA with respect to its compensation of Plaintiff and the members of the FLSA Class.

#### **COLLECTIVE ACTION ALLEGATIONS**

46. The Plaintiff brings Count I, the FLSA claim, as a nationwide "opt-in" collective action pursuant to 29 U.S.C. § 216(b), on behalf of herself and on behalf of the following Class of persons (hereinafter the "FLSA Class" or "FLSA Collective Plaintiffs"):

All individuals in the United States who contracted with Defendant to serve as "Loss Prevention Managers" from three (3) years from the time of filing this class action to the date judgment is rendered in this case.

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47. The FLSA claim may be pursued by those who opt-in to this case, pursuant to 29 U.S.C. § 216(b).

48. At all relevant times, Plaintiff and the other FLSA Collective Plaintiffs are and have been similarly situated, have had substantially similar job requirements, and job duties, and are and have been subject to Defendant's decision, policy, plan, practice, procedure, routine, and rules to willfully fail and refuse to pay them the legally required overtime wages. The claims of Plaintiff herein are essentially the same as those of the other FLSA Collective Plaintiffs.

49. Other "Loss Prevention Managers" currently or formerly employed by Defendant should have the opportunity to have their claims for violations of the FLSA heard. Certifying this action as a collective action under the FLSA will provide other non-exempt employees notice of the action and allow them to opt in to such an action if they so choose.

50. The First Claim for Relief is properly brought under and maintained as an opt-in collective action pursuant to 29 U.S.C. § 218(b). The FLSA Collective Plaintiffs are readily ascertainable. For purpose of notice and other purposes related to this action, their names and addresses are readily available from Defendant. Notice can be provided to the FLSA Collective Plaintiffs via first class mail to the last addresses known to Defendant.

#### **RULE 23 CLASS ALLEGATIONS- NEW YORK LABOR LAW**

51. Plaintiff brings the Second Claim for Relief pursuant to the Fed. R. Civ. P. ("FRCP") Rule 23, to recover unpaid wages, unpaid overtime pay, and statutory damages on behalf of a class of all individuals employed by Defendant as a "Loss Prevention Manager" in New York State from six (6) years from the time of filing this class action to the date judgment is rendered herein (the "Class Period"). All said persons, including Plaintiff, are referred to herein as the "Class Members" and/or the "Rule 23 Class".

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52. **Numerosity & Ascertainability:** The members of the Class are so numerous that joinder of all members would be impractical, if not impossible. The identity of the members of the class is readily ascertainable by review of Defendant's records, including payroll records. Plaintiff is informed and believes and based thereon alleges that Defendant (a) failed to pay to Plaintiff and the Rule 23 Class all wages, including overtime wages, earned and (b) failed to pay all earned wages in a timely manner.

53. Adequacy of Representation: The Plaintiff is fully prepared to take all necessary steps to represent fairly and adequately the interests of the class defined above. Plaintiff has no interests antagonistic to the Class. Plaintiff's attorneys are ready, willing and able to fully and adequately represent the class and individual Plaintiff. Plaintiff's attorneys have prosecuted and settled wage-and-hour class actions in the past and currently have a number of wage-and-hour class actions pending in courts across the Country.

54. Defendant uniformly administered a corporate policy, practice of misclassifying Plaintiff and Class Members as exempt employees and not paying Plaintiff and the Class all wages, including overtime wages.

55. Plaintiff is informed and believes and based thereon alleges this corporate conduct is accomplished with the advance knowledge and designed intent to willfully and intentionally fail to accurately record proper rates of pay, hours worked, net wages, and deductions.

56. Plaintiff is informed and believes and based thereon alleges that Defendant had a consistent and uniform policy, practice and procedure of willfully failing to comply with New York <u>Labor Law</u> §§ 191, 193, 652, 663 and the FLSA. Plaintiff and other members of the Class did not secret or absent themselves from Defendant, nor refuse to accept the earned and unpaid wages from Defendant.

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57. **Common Question of Law and Fact:** There are predominant common questions of law and fact and a community of interest amongst Plaintiff and the claims of the Class concerning Defendant not paying Plaintiff and the Class all wages, including overtime wages, earned.

58. **Typicality:** The claims of the Plaintiff are typical of the claims of all members of the Rule 23 Class. Plaintiff is a member of the Rule 23 Class and has suffered the alleged violations of New York <u>Labor Law</u> §§ 191, 193, 663 and the FLSA.

59. The New York Labor Law and the FLSA upon which Plaintiff bases her claims are broadly remedial in nature. These laws and labor standards serve an important public interest in establishing minimum working conditions and standards. These laws and labor standards protect the average working employee from exploitation by employers who may seek to take advantage of superior economic and bargaining power in setting onerous terms and conditions of employment.

60. The nature of this action and the format of laws available to Plaintiff and members of the Rule 23 Class identified herein make the class action format a particularly efficient and appropriate procedure to redress the wrongs alleged herein. If each employee and common law employee were required to file an individual lawsuit, the corporate Defendant would necessarily gain an unconscionable advantage since they would be able to exploit and overwhelm the limited resources of each individual Plaintiff with their vastly superior financial and legal resources. Requiring each class member to pursue an individual remedy would also discourage the assertion of lawful claims by employees who would be disinclined to file an action against their former and/or current employer for real and justifiable fear of retaliation and permanent damage to their careers at subsequent employment.

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61. The prosecution of separate actions by the individual class members, even if possible, would create a substantial risk of (a) inconsistent or varying adjudications with respect to individual class members against the Defendant and which would establish potentially incompatible standards of conduct for the Defendant, and/or (b) adjudications with respect to individual class members which would, as a practical matter, be dispositive of the interest of the other class members not parties to the adjudications or which would substantially impair or impede the ability of the class members to protect their interests. Further, the claims of the individual members of the class are not sufficiently large to warrant vigorous individual prosecution considering all of the concomitant costs and expenses.

62. Such a pattern, practice and uniform administration of corporate policy regarding illegal employee compensation described herein is unlawful and creates an entitlement to recovery by the Plaintiff and the Rule 23 Class identified herein, in a civil action, for declaratory and injunctive relief, unpaid wages, unpaid overtime, statutory damages, interest, liquidated damages, reasonable attorneys' fees, and costs and all other appropriate legal and equitable relief, pursuant to *inter alia*, the NYLL §§ 198, 663.

63. Proof of a common business practice or factual pattern, which the Plaintiff experienced and is representative of, will establish the right of each of the members of the Rule 23 Class to recovery on the causes of action alleged herein.

64. The Rule 23 Class is commonly entitled to a specific fund with respect to the compensation illegally and unfairly retained by Defendant. The Rule 23 Class is commonly entitled to restitution of those funds being improperly withheld by Defendant. This action is brought for the benefit of the entire Class and will result in the creation of a common fund.

#### FIRST CLAIM FOR RELIEF

## (Failure to Pay Overtime Wages – FLSA, Brought by Plaintiff on behalf of herself and the FLSA Collective Plaintiffs)

65. Plaintiff, on behalf of herself and the FLSA Collective Plaintiffs, realleges and incorporates by reference all previous paragraphs as if they were set forth again herein.

66. Plaintiff consents in writing to be a party to this action under 29 U.S.C. § 216(b). Plaintiff's written consent with private information redacted is attached hereto as Exhibit "A".

67. The FLSA Collective Plaintiffs have been, and are, entitled to the rights, protections, and benefits provided under the FLSA, 29 U.S.C. §§ 201 et seq. Defendant is subject to the requirements of the FLSA because it is an enterprise engaged in interstate commerce and its employees are engaged in commerce. Id.

68. The FLSA defines "employee" as "any individual employed by an employer." 29U.S.C. § 203.

69. The FLSA defines "employ" to include to suffer or permit to work. 29 U.S.C. §203.

70. The FLSA Collective Plaintiffs are, or were, employed by Defendant, and, as such, are or were employees of Defendant. 29 U.S.C. § 203.

71. As a pattern and practice, Defendant regularly required the FLSA Collective Plaintiffs to perform job duties without the payment of any wages. Defendant was aware of such non-payment of wages.

72. As a pattern and practice, Defendant regularly failed to pay the FLSA Collective Plaintiffs and members of the FLSA Collective Class overtime wage compensation for all hours they were on call.

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73. As a pattern and practice, Plaintiff and the members of the FLSA Collective Class worked in excess of forty (40) hours in a week and yet Defendant regularly failed to pay the FLSA Collective Plaintiffs and members of the FLSA Collective Class overtime compensation for such hours.

74. Defendant violated, and continues to violate, the FLSA, including 29 U.S.C. § 206(a)(1), by failing to pay for all work performed and for failing to pay sufficient wages.

75. Plaintiff and members of the FLSA Collective Class are victims of a uniform and company-wide compensation policy. Upon information and belief, Defendant is applying this uniform policy of not paying wages for all hours "Loss Prevention Managers" are on call or reimbursing costs incurred to all employees nationwide during the last three years.

76. Defendant has acted neither in good faith nor with reasonable grounds to believe that their actions and omissions were not a violation of the FLSA, and as a result thereof, Plaintiff and members of the FLSA Collective Class are entitled to recover unpaid overtime wages pay pursuant to 29 U.S.C. § 216.

77. Questions of law and fact common to collective employees as a whole include, but are not limited to the following:

- a. Whether Defendant misclassified Plaintiff and other collective employees as "exempt" versus "non-exempt";
- Whether Defendant's policies and practices failed to accurately record all hours worked by Plaintiff and other collective employees;
- c. Whether Defendant's policies and practices were to write down the time worked by Plaintiff and collective employees;
- d. Whether Defendant failed to include all remuneration in calculating the appropriate rates straight time;

- e. Whether Defendant should be enjoined from continuing the practices which violate the FLSA; and
- f. Whether Defendant is liable to the collective employees.

78. As a result of the aforesaid willful violations of the FLSA's overtime wage provisions, compensation has been unlawfully withheld by Defendant from Plaintiff and members of the FLSA Collective Class. Accordingly, Defendant is liable for compensatory damages pursuant to 29 U.S.C. § 216(b), together with an additional amount as liquidated damages, prejudgment and post-judgment interest, reasonable attorneys' fees, costs of this action, and such other legal and equitable relief as the Court deems just and proper.

79. WHEREFORE, the Plaintiff, on behalf of herself and all members of the FLSA Collective Class, pray for relief as follows:

- a. Designation of this action as a collective action on behalf of the members of the FLSA Class and promptly issue notice to all members of the opt-in class apprising them of the pendency of this action and permitting them to assert timely FLSA claims in this action by filing individual consents to join;
- b. A declaration that Defendant is financially responsible for notifying all FLSA
   Collective Class Members of their alleged violations;
- c. Designation of Bradley/Grombacher LLP and Blitman & King LLP as the attorneys representing the FLSA Collective Class;
- d. A declaratory judgment that the practices complained of herein are unlawful under the FLSA, 29 U.S.C. § 201 et seq.;
- e. An award of damages for compensation due to Plaintiff and members of the FLSA Collective Class, including liquidated damages, to be paid by Defendant;

- f. Costs and expenses of this action incurred herein, including reasonable attorneys' fees and expert fees;
- g. Pre-Judgment and post-Judgment interest, as provided by law; and
- h. Any and all such other and further legal and equitable relief as this Court deems necessary, just and proper.

#### SECOND CLAIM FOR RELIEF

#### FAILURE TO PAY OVERTIME WAGES

#### (12 New York Comp. Codes R. & Regs. Part 142-2, et seq.;

#### N.Y. Lab. Law §§ 2 and 650 et seq.)

#### By Plaintiff on behalf of herself and the Rule 23 Class

80. Plaintiff re-alleges, and incorporates by reference, the preceding paragraphs as though fully set forth herein.

81. Defendant is an "employer" and the Plaintiff and members of the Rule 23 Class are, or were, "employees" who were "employed" by Defendant. 12 N.Y. Comp. Codes R. & Regs. § 142-2.14; N.Y. Lab. Law § 2.

82. It is unlawful under New York law for an employer to suffer or permit an employee to work without compensation for all hours worked.

83. Throughout the Class Period, Defendant willfully, regularly, repeatedly and knowingly failed to pay Plaintiff and the Rule 23 Class Members for all hours worked and for all of the overtime hours worked at the required overtime rates for hours worked in excess of forty (40) hours per workweek.

84. As a direct and proximate result of Defendant's unlawful conduct, as set forth herein, Plaintiff and the Rule 23 Class Members have sustained damages, including loss of

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earnings, in an amount to be established at trial.

85. Plaintiff and members of the Rule 23 Class are entitled to recover the unpaid balance of the full amount of wages owing, including interest thereon, as well as reasonable attorneys' fees, and costs of suit. N.Y. Lab. Law § 663.

86. Because Defendant did not have a good faith basis to believe that their underpayment of wages was in compliance with the law, Plaintiff and members of the Rule 23 Class are additionally entitled to recover liquidated damages equal to one hundred percent of the total of such underpayments found to be due. N.Y. Lab. Law § 663. Plaintiff and the Members of the Rule 23 Class are also entitled to any other relief available under the statutes.

#### THIRD CAUSE OF ACTION

#### FAILURE TO PAY WAGES TIMELY AND AT RATES AGREED UPON

#### (New York Labor Law §§191, 198)

#### By Plaintiff on behalf of herself and the Rule 23 Class

87. Plaintiff re-alleges, and incorporates by reference, the preceding paragraphs as though fully set forth herein.

88. Pursuant to Article Six of the New York Labor Law, workers such as Plaintiff and the members of the Rule 23 Class are protected from wage underpayments and improper employment practices.

89. N.Y. Lab. Law § 191(d) provides that workers such as Plaintiff and the members of the Rule 23 Class "shall be paid the wages earned in accordance with the agreed terms of employment, but not less frequently than semi-monthly, on regular pay days designated in advance by the employer."

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90. Defendant routinely failed to pay "Loss Prevention Managers" the wages owed at the rates agreed upon and at the times agreed upon.

91. In failing to pay Plaintiff and the members of the Rule 23 Class the proper wages for all hours worked when such wages were due, Defendant violated Labor Law § 191.

92. By withholding earned wages from Plaintiff and the members of the Rule 23 Class, pursuant to New York Labor Law § 193 and the cases interpreting the same, Defendant made unlawful deductions in wages owed to Plaintiff and the members of the Rule 23 class.

93. Moreover, to the extent that Defendant paid wages, Defendant routinely failed to pay Plaintiff and the members of the Rule 23 Class in a timely manner in accordance with prearranged payment schedules in further violation of Labor Law § 191.

94. Upon information and belief, Defendant's failure to pay Plaintiff and the members of the Rule 23 Class all earned wages and compensation was willful.

95. In addition to the full wages they are owed, the Plaintiff and members of the Rule 23 Class are entitled to recover liquidated damages that accrued during the six years prior to the commencement of this action, plus attorneys' fees and costs. N.Y. Lab Law § 198(3). Plaintiff is also entitled to any other relief available under the statutes.

#### FOURTH CAUSE OF ACTION

#### FAILURE TO PROVIDE ACCURATE WAGE STATEMENTS

#### (New York Labor Law §§ 190 et seq.)

#### By Plaintiff on Behalf of Herself and the Rule 23 Class

96. Plaintiff repeats, realleges and incorporates by reference each of the foregoing allegations as though fully set forth herein.

97. Defendant failed to supply Plaintiff and the Rule 23 Class members with accurate statements of wages as required under the NYLL, Article 6, § 195(3). Specifically, Defendant

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failed to provide an accurate number of hours worked by Plaintiff and the Class members or their rates of pay.

98. Defendant maintained inaccurate time records and paid Plaintiff and the members of the Rule 23 Class according to the inaccurate time records. Specifically, the records did not reflect all the time worked by Plaintiff and the members of the Rule 23 class and thus, did not properly reflect the hours worked or the true rate of pay.

99. Through their knowing or intentional failure to provide Plaintiff and the Rule 23 Class members with the accurate wage statements required under the NYLL, Defendant has willfully violated NYLL, Article 6, §§ 190 et seq., and the supporting New York State Department of Labor Regulations.

100. Due to Defendant's willful violations of NYLL, Article 6, § 195(3), Plaintiff and the Rule 23 Class members are entitled to statutory penalties, reasonable attorneys' fees, costs, and injunctive relief and declaratory relief, as provided for by NYLL, Article 6, §198(1-d).

#### PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully prays for an Order:

- a. Certifying this matter to proceed as a class action;
- b. Approving Plaintiff as Class representative of the proposed Class;
- c. Appointing Bradley/Grombacher, LLP to serve as Class Counsel;
- d. Requiring Defendant to provide the names and current (or best known) addresses of all members of the identified Collective and Class;
- e. Authorizing Class Counsel to issue a notice informing the Class members that this action has been filed, of the nature of the action, and of their right to opt out of this lawsuit;

- f. Finding that Defendant willfully violated the applicable provisions of the FLSA by failing to pay all required wages to Plaintiff and the collective group members;
- g. Finding that Defendant willfully violated the applicable provisions of the NYLL by failing to pay all required wages to Plaintiff and the New York Class members;
- h. Granting judgment in favor of Plaintiff and the members of the collective group and Class on all Counts;
- i. Awarding all available compensatory damages in an amount to be determined;
- j. Awarding all available statutory damages;
- k. Awarding an equal amount of liquidated damages as provided by the FLSA;
- 1. Awarding reasonable attorneys' fees and reimbursement of all costs and expenses incurred in litigating this action;
- m. Awarding all available equitable and injunctive relief precluding the continuation of the policies and practices pled in this Complaint;
- n. Awarding any further relief the Court deems just, necessary and proper;
- o. Granting leave to add additional Plaintiffs by motion, the filing of written consent forms, or any other method approved by the Court; and
- p. Maintaining jurisdiction over this action to ensure Defendant's compliance with the foregoing.

#### DEMAND FOR JURY TRIAL

Plaintiff, by and through her undersigned counsel, hereby demands a jury trial in the abovecaptioned matter. DATED: June 29, 2018

#### **BLITMAN & KING LLP**

By: <u>s/ Brian J. LaClair</u> Brian J. LaClair (515995) **BLITMAN & KING LLP** Franklin Center, Suite 300 443 North Franklin Street Syracuse New York 13204-5412 Telephone: 315-422-7111 Facsimile: 315-471-2623 Email: bjlaclair@bklawyers.com

## Case 1:18-cv-00778-FJSCEH COVER SHEET

JS 44 (Rev. 06/17)

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)* 

I. (a) PLAINTIFFS				DEFENDANTS				
MARIA AUSTIN, individually and on behalf of others similarly situated				THE GOLUB CORPORATION				
(b) County of Residence of First Listed Plaintiff <u>Ulster</u> (EXCEPT IN U.S. PLAINTIFF CASES)				County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.				
(c) Attorneys (Firm Name, Address, and Telephone Number) Brian J. LaClair, Blitman & King LLP				Attorneys (If Known)				
Franklin Center, Suite 30 Syracuse, NY 13204		eet						
II. BASIS OF JURISDI	<b>CTION</b> (Place an "X" in O	ne Box Only)	III. CI	TIZENSHIP OF P	RINCIPA	L PARTIES	(Place an "X" in One Box for Plaintif	
□ 1 U.S. Government Plaintiff	<ul><li>✗ 3 Federal Question</li><li>(U.S. Government Not a Party)</li></ul>			(For Diversity Cases Only) <b>PTF DEF</b> Citizen of This State 1 1 1 Incorporated <i>or</i> Principal Place 4 4 of Business In This State				
2 U.S. Government Defendant	4 Diversity (Indicate Citizenship of Parties in Item III)		Citizen of Another State 2 2 Incorporated <i>and</i> Principal Place 5 5 5 of Business In Another State					
			Citizen or Subject of a 🛛 3 🗖 3 Foreign Nation 🗖 6 🗖 6 Foreign Country					
IV. NATURE OF SUIT		ly) RTS		DRFEITURE/PENALTY		nere for: <u>Nature c</u> <b>KRUPTCY</b>	of Suit Code Descriptions. OTHER STATUTES	
<ul> <li>110 Insurance</li> <li>120 Marine</li> <li>130 Miller Act</li> <li>140 Negotiable Instrument</li> <li>150 Recovery of Overpayment</li> </ul>	PERSONAL INJURY ☐ 310 Airplane ☐ 315 Airplane Product Liability ☐ 320 Assault, Libel &	<ul> <li>PERSONAL INJUR</li> <li>365 Personal Injury - Product Liability</li> <li>367 Health Care/ Pharmaceutical</li> </ul>	Y 🗆 62	5 Drug Related Seizure of Property 21 USC 881 0 Other	<ul> <li>422 Appea</li> <li>423 Withda 28 US</li> <li>PROPER'</li> </ul>	1 28 USC 158 rawal C 157 <b>FY RIGHTS</b>	<ul> <li>375 False Claims Act</li> <li>376 Qui Tam (31 USC 3729(a))</li> <li>400 State Reapportionment</li> <li>410 Antitrust</li> </ul>	
<ul> <li>&amp; Enforcement of Judgment</li> <li>151 Medicare Act</li> <li>152 Recovery of Defaulted Student Loans (Excludes Veterans)</li> <li>153 Recovery of Overnaument</li> </ul>	Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability	Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability PERSONAL PROPER		LABOR	<ul> <li>\$20 Copyrights</li> <li>\$30 Patent</li> <li>\$35 Patent - Abbreviated New Drug Application</li> <li>\$40 Trademark</li> <li>SOCIAL SECURITY</li> </ul>		<ul> <li>430 Banks and Banking</li> <li>450 Commerce</li> <li>460 Deportation</li> <li>470 Racketeer Influenced and Corrupt Organizations</li> <li>480 Consumer Credit</li> </ul>	
<ul> <li>153 Recovery of Overpayment of Veteran's Benefits</li> <li>160 Stockholders' Suits</li> <li>190 Other Contract</li> <li>195 Contract Product Liability</li> <li>196 Franchise</li> </ul>	□ Idadinty       TERSONAL PROFE         □ 350 Motor Vehicle       □ 370 Other Fraud         □ 355 Motor Vehicle       □ 371 Truth in Lending         □ roduct Liability       □ 380 Other Personal         □ 360 Other Personal       Property Damage         □ 362 Personal Injury       □ 385 Property Damage         ■ 362 Personal Injury -       Product Liability         ■ Medical Malpractice       ■ 100 Product Liability		71 72 74	CABOK O Fair Labor Standards Act 0 Labor/Management Relations 0 Railway Labor Act 1 Family and Medical Leave Act	SOCIAL SECONT           861 HIA (1395ff)           862 Black Lung (923)           863 DIWC/DIWW (405(g))           864 SSID Title XVI           865 RSI (405(g))		<ul> <li>440 Consumer Creating</li> <li>440 Cable/Sat TV</li> <li>850 Securities/Commodities/ Exchange</li> <li>890 Other Statutory Actions</li> <li>891 Agricultural Acts</li> <li>893 Environmental Matters</li> <li>895 Freedom of Information</li> </ul>	
REAL PROPERTY	CIVIL RIGHTS	PRISONER PETITION		0 Other Labor Litigation		L TAX SUITS	Act	
<ul> <li>210 Land Condemnation</li> <li>220 Foreclosure</li> <li>230 Rent Lease &amp; Ejectment</li> <li>240 Torts to Land</li> <li>245 Tort Product Liability</li> <li>290 All Other Real Property</li> </ul>	440 Other Civil Rights     Habeas Corpus:       441 Voting     463 Alien Detainee       442 Employment     510 Motions to Vacate Sentence       443 Housing/ Accommodations     530 General       445 Amer. w/Disabilities - Employment     535 Death Penalty       Other:     Court		□ 46	Employee Retirement Income Security Act     IMMIGRATION     2 Naturalization Application     Column	<ul> <li>R70 Taxes (U.S. Plaintiff or Defendant)</li> <li>R71 IRS—Third Party 26 USC 7609</li> </ul>		<ul> <li>896 Arbitration</li> <li>899 Administrative Procedure Act/Review or Appeal of Agency Decision</li> <li>950 Constitutionality of State Statutes</li> </ul>	
	<ul> <li>446 Amer. w/Disabilities - Other</li> <li>448 Education</li> </ul>	<ul> <li>540 Mandamus &amp; Oth</li> <li>550 Civil Rights</li> <li>555 Prison Condition</li> <li>560 Civil Detainee - Conditions of Confinement</li> </ul>	er ∐ 46	5 Other Immigration Actions				
		Remanded from Appellate Court	⊐ 4 Rein Reop	1 11011010	er District	6 Multidistr Litigation Transfer		
VI. CAUSE OF ACTION	DN 29 U.S.C. § 201 ( Brief description of ca	et seq.		Do not cite jurisdictional stat		-	ind-hour laws	
VII. REQUESTED IN COMPLAINT:Image: Check if this is a class action UNDER RULE 23, F.R.Cv.P.				EMAND \$	CH		if demanded in complaint:	
VIII. RELATED CASI IF ANY	<b>E(S)</b> (See instructions):	JUDGE			DOCKET	NUMBER		
DATE 06/29/2018		SIGNATURE OF AT S/ Brian J. LaC		DF RECORD				
FOR OFFICE USE ONLY ANYNDC-4432818 RECEIPT #	MOUNT \$400	APPLYING IFP		JUDGE	FJS	MAG. JUD	oge CFH	
Print	Save As		1:18-0	ev-778			Reset	

#### **INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44**

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
  - (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
  - (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.
 United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)

- **III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV.** Nature of Suit. Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: <u>Nature of Suit Code Descriptions</u>.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.

Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket. **PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7.** Origin Code 7 was used for historical records and is no longer relevant due to changes in statue.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P. Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction. Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

Case 1:18-cv-00778-FJS-CFH Document 1-2 Filed 06/29/18-778(EJS/6F2H)

# **Exhibit** A

#### **CONSENT TO JOIN FORM**

#### Consent to sue The Golub Corporation for wage and hour violations under Fair Labor Standards Act (FLSA)

I was hired in 1992 and am a current employee at The Golub Corporation ("Golub").

I choose to participate in the class and FLSA collective action titled *Maria Austin v. The Golub Corporation.* pending in the State of New York to recover for wage and hour violations committed by Golub.

I choose to be represented in this matter jointly by Bradley/Grombacher, LLP and Blitman & King LLP, in this action. I hereby consent, agree, and opt-in to become a party plaintiff and agree to be bound by any adjudication or settlement of this action, whether it is favorable or unfavorable.

Dated: 6 Signature:

Print Name: Maria Austin

Address: 2627 Rtn 44-45 Gardiner, New York 12525

Email Address: strgzr528@aol.com

## **ClassAction.org**

This complaint is part of ClassAction.org's searchable class action lawsuit database and can be found in this post: <u>Supermarket Loss Prevention Manager Alleges Golub Corporation Fails to Pay Overtime</u>